



Securities
Commission

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Commission creates payment status report

Halifax - The Nova Scotia Securities Commission will now publish on its website a Sanction Payment Status Report (the Report) listing the names of people and companies that the Commission has ordered to pay monetary penalties in connection with enforcement proceedings.

"The Report is another investor protection resource that we've added to our website", said Sarah Bradley, CEO & Chair for the Commission. "It's meant to provide transparency for Nova Scotia's capital markets participants."

The Report sets out the names of the respondents who have been ordered to pay the Commission administrative penalties and associated costs from enforcement proceedings. It displays the original amount owing by the respondent and whether the penalty is paid, partially paid or unpaid, plus a link to the related order. The list will be updated quarterly.

The Nova Scotia Securities Commission is the provincial government agency that regulates securities trading in the province. October is Investor Education month and we invite you to check out the many resources available to you on our website. To view [the Report](#).

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