

## **Registration application timing policy**

The registration staff (Staff) of the Nova Scotia Securities Commission will use best efforts to review and issue a decision on all complete individual registration applications that are received from a sponsoring firm within seven business days following the receipt of the application.

Where registration is granted, Staff will use best efforts to notify applicants of Staff's decision within three business days following the date of the decision. This notification will be recorded on the National Registration Database.

Where registration is not granted, Staff will use best efforts to provide a written decision, that includes reasons, to applicants within a reasonable time. This notification will be provided to the applicant directly.

Staff will use best efforts to respond to an inquiry from an applicant regarding an open application within three business days following the date of the inquiry.