



**Securities
Commission**

Suite 400, Duke Tower
5251 Duke St., P. O. Box 458
Halifax, NS B3J 2P8

September 19, 2018

Nova Scotia Securities Commission Provides Guidance in the Event of the Disruption of Postal Service

Nova Scotia securities law contains various requirements in respect of filing of documents with the Nova Scotia Securities Commission and delivery of documents to securityholders. The following is the Commission's position relating to these requirements during a postal strike and pending such a strike.

AVAILABILITY OF FINANCIAL REPORTS, OFFERING DOCUMENTS, PROXY SOLICITATION MATERIALS AND BID CIRCULARS

Financial statements and related disclosure: In the event that regular postal service is not available in Canada, reporting issuers that are required to send or deliver financial statements and related disclosure to security holders should review [Blanket Order No. 51-505](#) which provides for certain exemptive relief upon the satisfaction of certain conditions.

Issuers must, however, make reasonable efforts to ensure that disclosure is made available to securityholders upon request. In addition, such disclosure is required to be mailed upon the resumption of normal mail service.

Offering documents, proxy solicitation materials, bid circulars: Issuers and other affected people and companies should consult with their service providers as to alternate delivery options and their legal advisers in order to determine how best to comply with their obligations.

Interested members of the public should be aware that the above documents are generally available on the SEDAR website at www.sedar.com.

FILING WITH THE COMMISSION OTHER THAN BY SEDAR, SEDI, NRD

Materials not filed on SEDAR, SEDI or NRD should be delivered to the NSSC at Suite 400, Duke Tower, 5251 Duke St., Halifax, NS B3J 2P8, faxed to (902)424-4625, or emailed to nssc_corp_finance@novascotia.ca.

The Commission notes that a mail strike will not impact on those filings required to be made through SEDAR, SEDI and NRD.

REGISTRANT FILINGS, APPLICATIONS, FINANCIAL INFORMATION AND CONFIRMATIONS OF TRADE

Registrants must make reasonable efforts to meet their obligations to their clients with respect to confirmation of trades and the delivery of other client documentation.

Applications for registration, financial information and other information required to be filed by the registrant should be delivered, faxed to the Commission, or emailed to nsscinquries@novascotia.ca

APPLICATIONS FOR EXEMPTIVE RELIEF FROM DELIVERY OBLIGATIONS

Applications for relief from the requirements for delivery of documents to securityholders and other parties as may be required by Nova Scotia securities law may be necessary. In cases where relief is urgently needed, the Commission will attempt to deal with such applications as quickly as possible.

Market participants may also refer to the guidance in National Policy 11-201 *Delivery of Documents by Electronic Means*.

For those companies, investment funds, or intermediaries who wish to discuss obtaining exemptive relief in their particular circumstances, please contact:

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