

Nova Scotia Securities Commission

Please Note

The default status of a reporting issuer shown on the list is as of the date of the last update indicated. More current information may be obtained by calling 902-424-6859 or 902-424-7059 or by email: NSSC_Corp_Finance@novascotia.ca.

Last Updated on Jan 16, 2023

Legend	
1a.	Annual financial statements
1b.	Interim financial statements
1c.	Annual or interim management's discussion and analysis (MD&A) or annual or interim management report of fund performance (MRFP)
1d.	Annual information form (AIF)
1e.	Certification of annual or interim filings under Multilateral Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings (MI 52-109)
1f.	Proxy materials or a required information circular
1g.	Issuer profile supplement on the System for Electronic Disclosure By Insiders (SEDI)
1h.	Material change report
1i.	Written update after filing a confidential report of a material change
1j.	Business acquisition report
1k.	Annual oil and gas disclosure prescribed by National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities (NI 51-101) or a technical report for a mineral project required under National Instrument 43-101 Standards of Disclosure for Mineral Projects (NI 43-101)
1l.	Mandatory news releases
1m.	Corporate governance disclosure as required by National Instrument 58-101 Disclosure of Corporate Governance Practices
1n.	Audit committee disclosure as required by Multilateral Instrument 52-110 Audit Committees or BC Instrument 52-509 Audit Committees
1o.	Disclosure in an issuer's MD&A relating to disclosure controls and procedures and their effectiveness that is referred to in a certificate filed under MI 52-109
2a.	The financial statements of the reporting issuer, or the auditor's report accompanying the financial statements, do not comply with the requirements of National Instrument 51-102 Continuous Disclosure Obligations (NI 51-102), National Instrument 81-106 Investment Fund Continuous Disclosure (NI 81-106) or National Instrument 52-107 Acceptable Accounting Principles, Auditing Standards and Reporting Currency
2b.	The reporting issuer has acknowledged that its financial statements, or the auditor's report accompanying the financial statements, may no longer be relied upon
2c.	The reporting issuer's AIF, MD&A, MRFP, information circular or business acquisition reports do not contain information for each of the content items required by NI 51-102 or NI 81-106
2d.	The reporting issuer's technical disclosure or other reports do not comply with the disclosure requirements of NI 43-101 or NI 51-101
3.	The reporting issuer has failed to pay a fee required by securities laws
4.	The reporting issuer has failed to comply with any other requirement related to continuous disclosure

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
@rgentum Canadian Equity Portfolio	Champlain Canadian Equity Portfolio	QC	Yes	1a,1b,1c,1d,3
@rgentum Canadian L/S Equity Portfolio	Merchant Capital Canadian L/S Equity Portfolio	QC	Yes	1a,1b,1c,1d,3
@rgentum Canadian Performance Portfolio	@Rgentum Canadian Small Company Portfolio	QC	Yes	1a,1b,1c,1d,3
@rgentum Discovery Portfolio		QC	Yes	1a,1b,1c,3
@rgentum Income Portfolio	Champlain Income Portfolio	QC	Yes	1a,1b,1c,1d,3
@rgentum International Master Portfolio	Champlain International Master Portfolio	QC	Yes	1a,1b,1c,1d,3
@rgentum Short Term Asset Portfolio	Champlain Short Term Asset Portfolio	QC	Yes	1a,1b,1c,1d,3
@rgentum U.S. Market Neutral Portfolio		QC	Yes	1a,1b,1c,1d,3
@rgentum U.S. Master Portfolio	Champlain U.S. Master Portfolio	QC	Yes	1a,1b,1c,1d,3
01 Communique Laboratory Inc.		ON	No	
1317774 B.C. Ltd.		ON	No	
1608557 Ontario Inc.	Hemosol Corp.	ON	Yes	1a,1b,1c,1e,3
1763960 Nova Scotia Limited (Cease Traded)	Grandview Golf & Country Club Limited	NS	Yes	1a,3
1832 AM Canadian All Cap Equity Pool	Scotia Private Canadian All Cap Equity Pool	ON	No	
1832 AM Emerging Markets Equity Pool*	Scotia Emerging Markets Equity Fund	ON	No	
1832 AM Fundamental Canadian Equity Pool	Scotia Private Fundamental Canadian Equity Pool	ON	No	
1832 AM Global Credit Pool	Scotia Private Global Credit Pool	ON	No	
1832 AM International Growth Equity Pool*	Scotia Private International Growth Equity Pool	ON	No	
1832 AM Investment Grade Canadian Corporate Bond Pool		ON	No	
1832 AM U.S. \$ Investment Grade U.S. Corporate Bond Pool	1832 AM Investment Grade U.S. Corporate Bond Pool	ON	No	
1911 Gold Corporation	Havilah Mining Corporation	ON	No	
2242749 Ontario Limited	Armtec Infrastructure Inc.	ON	No	
360networks Inc.	Worldwide Fiber Inc.	BC	Yes	1a,1b
3iQ CoinShares Bitcoin ETF		ON	No	
3iQ CoinShares Ether ETF		ON	No	
407 International Inc.	1346292 Ontario Inc.	ON	No	
4504020 Canada Inc.	Railpower Technologies Corp.	ON	No	
48North Cannabis Corp.		ON	No	
49 North Resources Inc.	49 North Resource Fund Inc.	SK	No	
4Front Ventures Corp.		ON	No	
5N Plus Inc.		QC	No	
A&W Revenue Royalties Income Fund		BC	No	
Aardvark 2 Capital Corp.		ON	No	
Aardvark Ventures Inc.	Roca Mines Inc.	BC	No	
Abacan Resource Corporation		AB	Yes	1a,1b
Abacus Mining & Exploration Corporation		BC	No	
Abaxx Technologies Inc.	New Millennium Iron Corp.	AB	No	
ABC Technologies Holdings Inc.		ON	No	
Aboriginal Global Investment Fund		BC	Yes	1a,1b,3
abrdn Asia-Pacific Income Fund VCC	Aberdeen Asia-Pacific Income Investment Company Limited	ON	No	
Absolute Software Corporation		BC	No	
Acadian Core International Equity Fund	Integra Europacific Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Acadian Timber Corp.		NB	No	
Accelerate Absolute Return Hedge Fund		AB	No	
Accelerate Arbitrage Fund		AB	No	
Accelerate Bitcoin ETF		AB	No	
Accelerate Enhanced Canadian Benchmark Alternative Fund		AB	No	
Accelerate Market Neutral Yield Fund*		AB	No	
Accelerate OneChoice Alternative Portfolio ETF	Accelerate Multi-Strategy Alternative Allocation Fund	AB	No	
Accelerate Private Equity Alpha Fund		AB	No	
Accord Financial Corp.		ON	No	
ACE Aviation Holdings Inc.		QC	No	
Acerus Pharmaceuticals Corporation	Trimel Pharmaceuticals Corporation	ON	No	
Aclara Resources Inc.		ON	No	
Acreage Holdings, Inc.	Applied Inventions Management Corp.	ON	No	
Action Energy Inc.		AB	No	
ACTIVE Resources Income Fund	ACTIVEnergy Income Fund	AB	No	
AcuityAds Holdings Inc.		ON	No	
Adaltis Inc.		QC	No	
ADCORE Inc.		ON	No	
Addenda HumaniT Balanced Fund		QC	No	
Addenda HumaniT Balanced Growth Fund		QC	No	
Addenda HumaniT Balanced Moderate Fund		QC	No	
Adex Mining Inc.		ON	No	
ADF Group Inc.		QC	No	
Admiral Inc.		ON	Yes	1a,1b
Advanced Education Savings Plan*		ON	No	
Advantage Energy Ltd.	Advantage Oil & Gas Ltd.	AB	No	
Advantedge International Inc.		ON	No	
Aecon Group Inc.		ON	No	
Aegis Brands Inc.	Second Cup Ltd., The	ON	No	
AEterna Zentaris Inc.	AEterna Laboratories Inc.	QC	No	
AFM Hospitality Corporation	Rose Gold Mining Company, Limited	ON	Yes	1a,1b,3
African Copper PLC		F	No	
Ag Growth International Inc.		MB	No	
AGF American Growth Class		ON	No	
AGF American Growth Fund	AGF Special U.S. Fund	ON	No	
AGF Balanced Growth Portfolio Fund		ON	No	
AGF Canadian All Cap Strategic Equity Fund		ON	No	
AGF Canadian Growth Equity Class	AGF Canadian Growth Equity Fund Limited	ON	No	
AGF Canadian Money Market Fund	AGF Money Market Account	ON	No	
AGF Canadian Small Cap Fund	AGF Canadian Aggressive All-Cap Fund	ON	No	
AGF Canadian Strategic Balanced Fund		ON	No	
AGF Canadian Strategic Bond Fund		ON	No	
AGF China Focus Class		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
AGF Conservative Portfolio Fund		ON	No	
AGF Defensive Portfolio Fund		ON	No	
AGF Elements Balanced Portfolio		ON	No	
AGF Elements Balanced Portfolio Class		ON	No	
AGF Elements Conservative Portfolio		ON	No	
AGF Elements Conservative Portfolio Class		ON	No	
AGF Elements Global Portfolio		ON	No	
AGF Elements Global Portfolio Class		ON	No	
AGF Elements Growth Portfolio		ON	No	
AGF Elements Growth Portfolio Class		ON	No	
AGF Elements Yield Portfolio	AGF Elements Yield Portfolio (Not A R.I. Yet)	ON	No	
AGF Elements Yield Portfolio Class		ON	No	
AGF Emerging Markets Bond Fund		ON	No	
AGF Emerging Markets Class		ON	No	
AGF Emerging Markets Fund	AGF Emerging Markets Value Fund	ON	No	
AGF Emerging Markets Strategic Equity Fund		ON	No	
AGF Equity Income Fund	AGF Equity Income Focus Fund	ON	No	
AGF European Equity Class	AGF European Growth Class	ON	No	
AGF European Equity Fund		ON	No	
AGF Fixed Income Plus Class		ON	No	
AGF Fixed Income Plus Fund	Acuity Fixed Income Fund	ON	No	
AGF Floating Rate Income Fund		ON	No	
AGF Global Alternatives Strategic Equity Fund		ON	No	
AGF Global Convertible Bond Fund		ON	No	
AGF Global Corporate Bond Fund	AGF High Yield Bond Fund	ON	No	
AGF Global Dividend Class		ON	No	
AGF Global Dividend Fund		ON	No	
AGF Global Equity Class	AGF World Equity Class	ON	No	
AGF Global Equity Fund	AGF World Companies Fund	ON	No	
AGF Global ESG Equity Fund		ON	No	
AGF Global Growth Balanced Fund	AGF Global Strategic Balanced Fund	ON	No	
AGF Global Opportunities Bond ETF		ON	No	
AGF Global Real Assets Class	AGF Global Resources Class	ON	No	
AGF Global Real Assets Fund	AGF Precious Metals Fund	ON	No	
AGF Global Select Fund	AGF Aggressive Global Stock Fund	ON	No	
AGF Global Strategic Equity Fund		ON	No	
AGF Global Sustainable Balanced Class	AGF Diversified Income Class	ON	No	
AGF Global Sustainable Balanced Fund	AGF Diversified Income Fund	ON	No	
AGF Global Sustainable Growth Equity ETF		ON	No	
AGF Global Sustainable Growth Equity Fund	AGF Clean Environment Equity Fund	ON	No	
AGF Global Unconstrained Strategic Bond Fund		ON	No	
AGF Growth Portfolio Fund		ON	No	
AGF High Interest Savings Account Fund		ON	No	
AGF Income Portfolio Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
AGF Management Limited		ON	No	
AGF Moderate Portfolio Fund		ON	No	
AGF Monthly Canadian Dividend Income Fund		ON	No	
AGF North American Small-Mid Cap Fund		ON	No	
AGF Short-Term Income Class	AGF International Short-Term Income Class	ON	No	
AGF Strategic Income Fund	AGF Canadian Asset Allocation Fund	ON	No	
AGF Tactical Fund		ON	No	
AGF Total Return Bond Class		ON	No	
AGF Total Return Bond Fund	AGF Global High Yield Bond Fund	ON	No	
AGF U.S. Small-Mid Cap Fund	AGF Aggressive U.S. Growth Fund	ON	No	
AGF US All Cap Growth Equity Fund		ON	No	
AGF US Sector Rotation Fund		ON	No	
AGFiQ Canadian Dividend Income Fund	AGFiQ Dividend Income Fund	ON	No	
AGFiQ Canadian Equity ETF	AGFiQ Enhanced Core Canadian Equity ETF	ON	No	
AGFiQ Emerging Markets Equity ETF	AGFiQ Enhanced Core Emerging Markets Equity ETF	ON	No	
AGFiQ Global Balanced ETF Portfolio	AGFiQ MultiAsset Allocation ETF	ON	No	
AGFiQ Global Balanced ETF Portfolio Fund		ON	No	
AGFiQ Global ESG Factors ETF	AGFiQ Enhanced Global ESG Factors ETF	ON	No	
AGFiQ Global Income ETF Portfolio	AGFiQ MultiAsset Income Allocation ETF	ON	No	
AGFiQ Global Income ETF Portfolio Fund		ON	No	
AGFiQ Global Infrastructure ETF	AGFiQ Enhanced Global Infrastructure ETF	ON	No	
AGFiQ Global Multi-Sector Bond ETF	AGFiQ Enhanced Core Global Multi-Sector Bond ETF	ON	No	
AGFiQ International Equity ETF	AGFiQ Enhanced Core International Equity ETF	ON	No	
AGFiQ North American Dividend Income Class	AGF Canadian Large Cap Dividend Class	ON	No	
AGFiQ North American Dividend Income Fund	AGF Canadian Large Cap Dividend Fund	ON	No	
AGFiQ U.S. Sector Class	AGF U.S. Sector Class	ON	No	
AGFiQ US Equity ETF	AGFiQ Enhanced Core US Equity ETF	ON	No	
AGFiQ US Market Neutral Anti-Beta CAD-Hedged ETF		ON	No	
Agnico Eagle Mines Limited		ON	No	
Agrinam Acquisition Corporation		ON	No	
AIC Mines Limited	Intrepid Mines Limited	ON	No	
AIM5 Ventures Inc.		AB	No	
AIM6 Ventures Inc.		ON	No	
Aimglobal Technologies Company Inc.	AIM Safety Company Inc.	BC	Yes	1a,1b,3
Aimia Inc.	Groupe Aeroplan Inc.	QC	No	
Air Canada		QC	No	
AIRBOSS OF AMERICA CORP.		ON	No	
Akela Pharma Inc.		QC	No	
Akita Drilling Ltd.		AB	No	
Akrokeri-Ashanti Gold Mines Inc.		ON	Yes	1a,1b,3
Akumin Inc.		ON	No	
Alamos Gold Inc.		ON	No	

Nova Scotia Securities Commission

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Alaris Equity Partners Inc.	Alaris Royalty Corp.	AB	No	
Alaris Equity Partners Income Trust		AB	No	
Aleafia Health Inc.	Canabo Medical Inc.	ON	No	
Algernon Pharmaceuticals Inc.		BC	No	
Algo Group Inc.	Algo Group Inc.	QC	Yes	1a,1c,1e,3
Algoma Central Corporation		ON	No	
Algonquin Fixed Income 2.0 Fund		ON	No	
Algonquin Power & Utilities Corp.	Hydrogenics Corporation	ON	No	
Alimentation Couche-Tard Inc.		QC	No	
All Equity Fund	Global Growth 100 Fund	ON	No	
All In West! Capital Corporation		MB	No	
Allegiant Gold Ltd.		BC	No	
Alliance Atlantis Communications Inc.		ON	No	
Allied Properties Real Estate Investment Trust		ON	No	
Allkem Limited	Orocobre Limited	ON	No	
Almaden Minerals Ltd.		BC	No	
Alpha Cognition Inc.		BC	No	
Alphabet (GOOGL) Yield Shares		ON	No	
AlphaDelta Canadian Growth of Dividend Income Class		BC	No	
AlphaDelta Canadian Momentum Equity Class	AlphaDelta Canadian Prosperity Class	ON	No	
AlphaDelta Growth of Dividend Income Class		ON	No	
AlphaDelta Tactical Growth Class	AlphaDelta Global Value Class	ON	No	
Alphanet Telecom Inc.		ON	Yes	1a,1b,3
ALSTOM	Alstom (Not A R.I. Yet)	ON	No	
ALT House Cannabis Inc.		BC	Yes	1a,1c,1e,3
AltaGas Ltd.		AB	No	
AltaLink, L.P.		AB	No	
Altair Gold Explorations Inc.	Lucas Gold Resources Corporation	ON	Yes	1a,1b,3
Altius Minerals Corporation		ON	No	
Altius Renewable Royalties Corp.		ON	No	
Altus Group Limited		ON	No	
Alvopetro Energy Ltd.		AB	No	
Amaroq Minerals Ltd.	AEX Gold Inc.	ON	No	
Amazon (AMZN) Yield Shares		ON	No	
Ambari Brands Inc.		BC	No	
American Hotel Income Properties REIT LP		BC	No	
American Pacific Mining Corp.		BC	No	
Americas Gold and Silver Corporation	Americas Silver Corporation	ON	No	
Amt International Mining Corporation		ON	Yes	1a,1b,3
Anaergia Inc.		ON	No	
AnalytixInsight Inc. (formerly, OMT Inc.)	AnalytixInsight Inc.	BC	No	
ANB Canada Inc.*	Griffin Skye Corporation	ON	No	
Anconia Resources Corp.	Citadel Gold Mines Inc	AB	Yes	1a,1b,1c,1d,1e,3
Andlauer Healthcare Group Inc.		ON	No	

Nova Scotia Securities Commission

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Angold Resources Ltd.	ZTR Acquisition Corp.	BC	Yes	1a,1b,1c,1d,3
Antler Gold Inc.	Northwest Arm Capital Inc.	NS	No	
Anvil Range Mining Corporation (Cease Traded)		ON	Yes	1a,1b
Appili Therapeutics Inc.		NS	No	
Apple (AAPL) Yield Shares		ON	No	
Aptose Biosciences Inc.	Lorus Therapeutics Inc.	ON	No	
Aquarius Surgical Technologies Inc.	Aquarius Coatings Inc.	ON	Yes	3
Arbutus Biopharma Corporation	Tekmira Pharmaceuticals Corporation	BC	No	
ARC Resources Ltd.		AB	No	
Arctic Glacier Income Fund		MB	Yes	1a,1b,1c,1e,3
Ares Strategic Mining Inc.	Lithium Energy Products Inc.	BC	No	
Argent Energy Trust		AB	No	
Argonaut Gold Inc.		ON	No	
Argosy Energy Inc.		AB	No	
ARHT Media Inc.		ON	No	
Arimetco International Inc. (Cease Traded)		ON	Yes	1a
Aris Mining Corporation	GCM Mining Corp.	ON	No	
Aris Mining Holdings Corp.	Aris Gold Corporation	ON	No	
ARISE Technologies Corporation		ON	No	
Aristotle - IG U.S. Small Cap Equity Pool		MB	No	
Aritzia Inc.		BC	No	
Arizona Metals Corp.	Ring the Bell Capital Corp.	ON	No	
Arizona Sonoran Copper Company Inc.	Elim Mining Incorporated	ON	No	
Arrow Canadian Advantage Alternative Class	Exemplar Canadian Focus Portfolio	ON	No	
Arrow EC Income Advantage Alternative Fund		ON	No	
Arrow Global Advantage Alternative Class		ON	No	
Arrow Global Opportunities Alternative Class		ON	No	
Artemis Gold Inc.		BC	No	
Artis Real Estate Investment Trust	Westfield Real Estate Investment Trust	MB	No	
Arway Corporation		ON	No	
Ascalade Communications Inc.	Ascalade Communications Inc. (Not Ri Yet)		No	
Ascend Wellness Holdings, Inc.	Ascend Wellness Holdings, LLC	ON	No	
Ascot Resources Ltd.		BC	No	
Asset Management Software Systems Corp.	Moss Resources Inc._Reverse Take-Over 20may2000	ON	No	
Atalaya Mining plc	EMED Mining Public Limited	ON	No	
ATBIS Canadian Equity Pool		AB	No	
ATBIS Fixed Income Pool		AB	No	
ATBIS International Equity Pool		AB	No	
ATBIS U.S. Equity Pool		AB	No	
Atco LTD.		AB	No	
Atco Mining Inc.	EV Ventures Inc.	BC	No	
Athabasca Oil Corporation	Athabasca Oil Sands Corp.	AB	No	
Atlantic Turbines Inc.		ON	Yes	1a,1b

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Atmofizer Technologies Inc.		BC	No	
Atna Resources Ltd.		BC	No	
Aton Resources Inc.	Alexander Nubia International Inc.	ON	No	
Atrium Mortgage Investment Corporation		ON	No	
ATS Automation Tooling Systems Inc.		ON	No	
Augusta Gold Corp.		BC	No	
Aura Minerals Inc.		BC	No	
Aurinia Pharmaceuticals Inc.	Isotechnika Pharma Inc.	AB	No	
Aurora Cannabis Inc.		BC	No	
Austral Gold Limited		ON	No	
Australian REIT Income Fund*		ON	No	
Australis Capital Inc.		BC	Yes	1a,1b,1c,1e,3
AutoCanada Inc.		AB	No	
Automotive Finco Corp.	Augyva Mining Resources Inc.	ON	No	
Automotive Properties Real Estate Investment Trust		ON	No	
Auxly Cannabis Group Inc.	Cannabis Wheaton Income Corp	BC	No	
Avalon Advanced Materials Inc.	Avalon Rare Metals Inc.	ON	No	
Avant Brands Inc.	GTEC Holdings Ltd.	BC	No	
Avanti Helium Corp.	Avanti Energy Inc.	BC	No	
Avino Silver & Gold Mines Ltd.		BC	No	
Avisa Diagnostics Inc.	FogChain Corp.	ON	Yes	1b,1c,1e
Axiom All Equity Portfolio		ON	No	
Axiom Balanced Growth Portfolio		ON	No	
Axiom Balanced Income Portfolio		ON	No	
Axiom Canadian Growth Portfolio		ON	No	
Axiom Diversified Monthly Income Portfolio		ON	No	
Axiom Foreign Growth Portfolio		ON	No	
Axiom Global Growth Portfolio		ON	No	
Axiom Long-Term Growth Portfolio		ON	No	
Axolotl Capital Corp.		ON	No	
Axxent Inc.	Oci Communications Inc.	ON	Yes	1a,1b
AYA GOLD & SILVER INC.	Aya Gold & Silver Inc.	QC	No	
Ayr Wellness Inc.	Ayr Strategies Inc.	ON	No	
Azure Dynamics Corporation	Wild Horse Resources Ltd.	ON	No	
B2Gold Corp.		BC	No	
BAC Canada Finance Company	Merrill Lynch Canada Finance Company	ON	No	
Balanced 60/40 Fund	Primus Capital Advisors Balanced Fund		No	
Balanced Growth Fund	Growth Fund	ON	No	
Balanced Income Portfolio		ON	No	
Balanced Monthly Income Fund			No	
Balanced Property Fund L.P.	1994 Balanced Property Fund LP	MB	No	
Ballard Power Systems Inc.	7076991 Canada Inc.	BC	No	
Bank of America Corporation		ON	No	
Bank of Montreal		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Bank of Nova Scotia, The		ON	No	
Banxa Holdings Inc.		BC	Yes	1a,1c,1e,3
Barclays Bank PLC		ON	No	
Barometer Disciplined Leadership Balanced Fund		ON	No	
Barometer Disciplined Leadership Equity Fund		ON	No	
Barometer Disciplined Leadership Tactical Income Growth Fund	Barometer Disciplined Leadership High Income Fund	ON	No	
Barrantagh Small Cap Canadian Equity Fund		ON	No	
Barrick Gold Corporation	American Barrick Resources Corporation	ON	No	
Battery Metals Royalties Corp.		BC	No	
Battery Technologies Inc.		ON	No	
Bausch + Lomb Corporation		ON	No	
Bausch Health Companies Inc.	Valeant Pharmaceuticals International, Inc.	QC	No	
Baylin Technologies Inc.		ON	No	
Baytex Energy Corp.		AB	No	
BBTV Holdings Inc.		BC	No	
BCE Inc.		QC	No	
Beamscope Canada Inc.		ON	No	
Bear Creek Mining Corporation		BC	No	
Beckett Technologies Corp.		ON	No	
Belgravia Hartford Capital Inc.	Belgravia Capital International Inc.	ON	No	
Bell Canada		QC	No	
Bellatrix Exploration Ltd.	True Energy Inc.	AB	No	
BELLUS Health Inc.	8103976 Canada Inc.	QC	No	
Belo Sun Mining Corp.		ON	No	
Bengal Energy Ltd.		AB	No	
Berkshire Hathaway (BRK) Yield Shares		ON	No	
BetaPro Bitcoin ETF		ON	No	
BetaPro Canadian Gold Miners -2x Daily Bear ETF	Horizons BetaPro S&P/TSX Global Gold Bear Plus ETF	ON	No	
BetaPro Canadian Gold Miners 2x Daily Bull ETF	Horizons BetaPro S&P/TSX Global Gold Bull Plus ETF	ON	No	
BetaPro Crude Oil Inverse Leveraged Daily Bear ETF	BetaPro Crude Oil -1x Daily Bear ETF	ON	No	
BetaPro Crude Oil Leveraged Daily Bull ETF	BetaPro Crude Oil Daily Bull ETF	ON	No	
BetaPro Equal Weight Canadian Bank -2x Daily Bear ETF		ON	No	
BetaPro Equal Weight Canadian Bank 2x Daily Bull ETF		ON	No	
BetaPro Equal Weight Canadian REIT -2x Daily Bear ETF		ON	No	
BetaPro Equal Weight Canadian REIT 2x Daily Bull ETF		ON	No	
BetaPro Gold Bullion -2x Daily Bear ETF	Horizons BetaPro COMEX Gold Bullion Bear Plus ETF	ON	No	
BetaPro Gold Bullion 2x Daily Bull ETF	Horizons BetaPro COMEX Gold Bullion Bull Plus ETF	ON	No	
BetaPro Inverse Bitcoin ETF		ON	No	
BetaPro Marijuana Companies 2x Daily Bull ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
BetaPro Marijuana Companies Inverse ETF		ON	No	
BetaPro NASDAQ-100® -2x Daily Bear ETF	Horizons BetaPro NASDAQ-100 Bear Plus ETF	ON	No	
BetaPro NASDAQ-100® 2x Daily Bull ETF	Horizons BetaPro NASDAQ-100 Bull Plus ETF	ON	No	
BetaPro Natural Gas Inverse Leveraged Daily Bear ETF	BetaPro Natural Gas -2x Daily Bear ETF	ON	No	
BetaPro Natural Gas Leveraged Daily Bull ETF	BetaPro Natural Gas 2x Daily Bull ETF	ON	No	
BetaPro S&P 500 VIX Short-Term Futures ETF	Horizons BetaPro S&P 500 VIX Short-Term Futures Index ETF	ON	No	
BetaPro S&P 500® -2x Daily Bear ETF	Horizons BetaPro S&P 500 Bear Plus ETF	ON	No	
BetaPro S&P 500® 2x Daily Bull ETF	Horizons BetaPro S&P 500 Bull Plus ETF	ON	No	
BetaPro S&P 500® Daily Inverse ETF	Horizons BetaPro S&P 500 Inverse ETF	ON	No	
BetaPro S&P/TSX 60 -2x Daily Bear ETF	Horizons BetaPro S&P/TSX 60 Bear Plus ETF	ON	No	
BetaPro S&P/TSX 60 2x Daily Bull ETF	Horizons BetaPro S&P/TSX 60 Bull Plus ETF	ON	No	
BetaPro S&P/TSX 60 Daily Inverse ETF	Horizons BetaPro S&P/TSX 60 Inverse ETF	ON	No	
BetaPro S&P/TSX Capped Energy -2x Daily Bear ETF	Horizons BetaPro S&P/TSX Capped Energy Bear Plus ETF	ON	No	
BetaPro S&P/TSX Capped Energy 2x Daily Bull ETF	Horizons BetaPro S&P/TSX Capped Energy Bull Plus ETF	NU	No	
BetaPro S&P/TSX Capped Financials -2x Daily Bear ETF	Horizons BetaPro S&P/TSX Capped Financials Bear Plus ETF	ON	No	
BetaPro S&P/TSX Capped Financials 2x Daily Bull ETF	Horizons BetaPro S&P/TSX Capped Financials Bull Plus ETF	ON	No	
BetaPro Silver -2x Daily Bear ETF	Horizons BetaPro COMEX Silver Bear Plus ETF	ON	No	
BetaPro Silver 2x Daily Bull ETF	Horizons BetaPro COMEX Silver Bull Plus ETF	ON	No	
BetaPro US 30-Year T-Bond -3x Daily Bear ETF		ON	No	
BetaPro US 30-Year T-Bond 3x Daily Bull ETF		ON	No	
Better Plant Sciences Inc.	Yield Growth Corp., The	BC	No	
Betts Cove Minerals Limited		ON	Yes	1a
Beutel Goodman American Equity Fund	Beutel Goodman American & International Equity Fund	ON	No	
Beutel Goodman Balanced Fund		ON	No	
Beutel Goodman Canadian Dividend Fund		ON	No	
Beutel Goodman Canadian Equity Fund		ON	No	
Beutel Goodman Core Plus Bond Fund	Beutel Goodman Corporate/Provincial Active Bond Fund	ON	No	
Beutel Goodman Fundamental Canadian Equity Fund		ON	No	
Beutel Goodman Global Dividend Fund		ON	No	
Beutel Goodman Global Equity Fund		ON	No	
Beutel Goodman Income Fund		ON	No	
Beutel Goodman International Equity Fund		ON	No	
Beutel Goodman Long Term Bond Fund		ON	No	
Beutel Goodman Money Market Fund		ON	No	
Beutel Goodman North American Focused Equity Fund	Beutel Goodman Canadian Intrinsic Fund	ON	No	
Beutel Goodman Short Term Bond Fund		ON	No	
Beutel Goodman Small Cap Fund		ON	No	
Beutel Goodman Total World Equity Fund	Beutel Goodman Canadian Equity Plus Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Beutel Goodman World Focus Equity Fund		ON	No	
BGP Acquisition Corp.		ON	No	
Big Banc Split Corp.*		ON	No	
Big Bear Exploration LTD.		AB	No	
Big Pharma Split Corp.		ON	No	
Big Rock Brewery Inc.		AB	No	
BIGG Digital Assets Inc.		BC	No	
Billy Goat Brands Ltd.		BC	No	
BioExx Specialty Proteins Ltd.		ON	No	
Biomind Labs Inc.	Crosswinds Holdings Inc.	ON	No	
BIP Investment Corporation		BC	No	
Birchcliff Energy Ltd.		AB	No	
Bird Construction Inc.		ON	No	
Bitcoin ETF		ON	No	
Bitcoin Fund, The*		ON	No	
Bitfarms Ltd.		ON	No	
Black Diamond Distressed Opportunities Fund		ON	No	
Black Diamond Global Enhanced Income Fund		ON	No	
Black Diamond Global Equity Fund		ON	No	
Black Diamond Group Limited		AB	No	
Black Diamond Impact Core Equity Fund		ON	No	
Black Iron Inc.		ON	No	
BlackBerry Limited	Research In Motion Limited	ON	No	
Blackline Safety Corp.		AB	No	
BlackRock - IG Active Allocation Pool I*		MB	No	
BlackRock - IG Active Allocation Pool II*		MB	No	
BlackRock - IG Active Allocation Pool III*		MB	No	
BlackRock - IG Active Allocation Pool IV		MB	No	
BlackRock - IG International Equity Pool		MB	No	
BlackRock - IG Low Volatility International Equity Pool*		MB	No	
Blackrock Silver Corp.	Blackrock Gold Corp.	BC	No	
Blockchain Technologies ETF		ON	No	
BlockchainK2 Corp.	Africa Hydrocarbons Inc.	BC	No	
Bloom Select Income Fund*		ON	No	
Bloom U.S. Income & Growth Fund*	Bloom U.S. Advantaged Income & Growth Fund	ON	No	
Blue Note Mining Inc.		QC	No	
Blue Ribbon Income Fund*	Citadel Diversified Investment Trust	ON	No	
BlueBay \$U.S. Global Convertible Bond Class (Canada)		ON	No	
BlueBay \$U.S. Global Convertible Bond Fund (Canada)		ON	No	
BlueBay \$U.S. Global Investment Grade Corporate Bond Fund (Canada)		ON	No	
BlueBay \$U.S. Global High Yield Bond Fund (Canada)		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
BlueBay Emerging Markets Bond Fund (Canada)		ON	No	
BlueBay Emerging Markets Corporate Bond Fund		ON	No	
BlueBay Emerging Markets High Yield Corporate Bond Fund (Canada)		ON	No	
BlueBay Emerging Markets Local Currency Bond Fund (Canada)		ON	No	
BlueBay European High Yield Bond Fund (Canada)		ON	No	
BlueBay Global Alternative Bond Fund (Canada)*		ON	No	
BlueBay Global Convertible Bond Class (Canada)		ON	No	
BlueBay Global Convertible Bond Fund (Canada)		ON	No	
BlueBay Global High Yield Bond Fund (Canada)		ON	No	
BlueBay Global Investment Grade Corporate Bond Fund (Canada)*		ON	No	
BlueBay Global Monthly Income Bond Fund		ON	No	
BlueBay Global Sovereign Bond Fund (Canada)*		ON	No	
Bluestone Resources Inc.		BC	No	
BluMont Canadian Fund	Halcyon Hirsch Opportunistic Canadian Fund	ON	No	
BMG BullionFund	Millennium BullionFund, The	ON	No	
BMG Gold BullionFund		ON	No	
BMG Silver BullionFund		ON	No	
BMO Aggregate Bond Index ETF		ON	No	
BMO All-Equity ETF		ON	No	
BMO ARK Genomic Revolution Fund		ON	No	
BMO ARK Innovation Fund		ON	No	
BMO ARK Next Generation Internet Fund		ON	No	
BMO Ascent Balanced Portfolio		ON	No	
BMO Ascent Conservative Portfolio		ON	No	
BMO Ascent Equity Growth Portfolio		ON	No	
BMO Ascent Growth Portfolio		ON	No	
BMO Ascent Income Portfolio		ON	No	
BMO Asian Growth and Income Class		ON	No	
BMO Asian Growth and Income Fund	BMO Guardian Asian Growth and Income Fund	ON	No	
BMO Asset Allocation Fund	First Canadian Asset Allocation Fund	ON	No	
BMO Balanced ESG ETF		ON	No	
BMO Balanced ETF	BMO Core Balanced Portfolio ETF	ON	No	
BMO Balanced ETF Portfolio		ON	No	
BMO Balanced ETF Portfolio Class	BMO Balanced ETF Portfolio	ON	No	
BMO BBB Corporate Bond Index ETF		ON	No	
BMO Bond Fund	First Canadian Bond Fund	ON	No	
BMO Brookfield Global Real Estate Tech Fund		ON	No	
BMO Brookfield Global Renewables Infrastructure Fund		ON	No	
BMO Canadian Bank Income Index ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
BMO Canadian Banks ETF Fund		ON	No	
BMO Canadian Dividend ETF		ON	No	
BMO Canadian Equity Class		ON	No	
BMO Canadian Equity ETF Fund	BMO Equity Index Fund	ON	No	
BMO Canadian Equity Fund	BMO Equity Fund	ON	No	
BMO Canadian High Dividend Covered Call ETF		ON	No	
BMO Canadian Income & Growth Fund		ON	No	
BMO Canadian Large Cap Equity Fund	BMO Guardian Canadian Large Cap Equity Fund	ON	No	
BMO Canadian MBS Index ETF		ON	No	
BMO Canadian Small Cap Equity Fund	BMO Special Equity Fund	ON	No	
BMO Canadian Stock Selection Fund		ON	No	
BMO China Equity Index ETF	BMO China Equity Hedged to CAD ETF	ON	No	
BMO Clean Energy ETF Fund		ON	No	
BMO Clean Energy Index ETF		ON	No	
BMO Concentrated Global Balanced Fund	BMO Global Diversified Fund	ON	No	
BMO Concentrated Global Equity Fund		ON	No	
BMO Concentrated U.S. Equity Fund		ON	No	
BMO Conservative ETF	BMO Core Conservative Portfolio ETF	ON	No	
BMO Conservative ETF Portfolio		ON	No	
BMO Core Bond Fund		ON	No	
BMO Core Plus Bond Fund		ON	No	
BMO Corporate Bond Index ETF		ON	No	
BMO Corporate Discount Bond ETF		ON	No	
BMO Covered Call Canada High Dividend ETF Fund		ON	No	
BMO Covered Call Canadian Banks ETF		ON	No	
BMO Covered Call Canadian Banks ETF Fund		ON	No	
BMO Covered Call Dow Jones Industrial Average Hedged to CAD ETF		ON	No	
BMO Covered Call Europe High Dividend ETF Fund		ON	No	
BMO Covered Call Technology ETF		ON	No	
BMO Covered Call U.S. High Dividend ETF Fund		ON	No	
BMO Covered Call US Banks ETF		ON	No	
BMO Covered Call Utilities ETF		ON	No	
BMO Crossover Bond Fund		ON	No	
BMO Discount Bond Index ETF		ON	No	
BMO Diversified Income Portfolio	BMO Diversified Income Fund	ON	No	
BMO Dividend Class		ON	No	
BMO Dividend Fund	First Canadian Dividend Fund	ON	No	
BMO Dow Jones Industrial Average Hedged to CAD Index ETF	BMO Dow Jones Diamonds Index ETF	ON	No	
BMO Emerging Markets Bond Fund		ON	No	
BMO Emerging Markets Bond Hedged to CAD Index ETF		ON	No	
BMO Emerging Markets Fund	First Canadian Emerging Markets Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
BMO Enterprise Fund	BMO Guardian Enterprise Fund	ON	No	
BMO Equal Weight Banks Index ETF	BMO S&P/TSX Equal Weight Banks Index ETF	ON	No	
BMO Equal Weight Global Base Metals Hedged to CAD Index ETF	BMO S&P/TSX Equal Weight Global Base Metals Hedged to CAD Index ETF	ON	No	
BMO Equal Weight Global Gold Index ETF	BMO S&P/TSX Equal Weight Global Gold Index ETF	ON	No	
BMO Equal Weight Industrials Index ETF	BMO S&P/TSX Equal Weight Industrials Index ETF	ON	No	
BMO Equal Weight Oil & Gas Index ETF	BMO S&P/TSX Equal Weight Oil & Gas Index ETF	ON	No	
BMO Equal Weight REITs Index ETF		ON	No	
BMO Equal Weight US Banks Hedged to CAD Index ETF		ON	No	
BMO Equal Weight US Banks Index ETF		ON	No	
BMO Equal Weight US Health Care Hedged to CAD Index ETF		ON	No	
BMO Equal Weight US Health Care Index ETF		ON	No	
BMO Equal Weight Utilities Index ETF		ON	No	
BMO Equity Growth ETF Portfolio		ON	No	
BMO Equity Growth ETF Portfolio Class	BMO Aggressive Growth ETF Portfolio Class	ON	No	
BMO ESG Corporate Bond Index ETF		ON	No	
BMO ESG High Yield US Corporate Bond Index ETF		ON	No	
BMO ESG US Corporate Bond Hedged to CAD Index ETF		ON	No	
BMO Europe High Dividend Covered Call ETF		ON	No	
BMO Europe High Dividend Covered Call Hedged to CAD ETF		ON	No	
BMO European Fund	First Canadian European Fund	ON	No	
BMO Fixed Income ETF Portfolio		ON	No	
BMO Floating Rate High Yield ETF		ON	No	
BMO Floating Rate Income Fund	BMO Guardian Floating Rate Income Fund	ON	No	
BMO FundSelect Balanced Portfolio		ON	No	
BMO FundSelect Equity Growth Portfolio	BMO FundSelect Aggressive Growth Portfolio	ON	No	
BMO FundSelect Growth Portfolio		ON	No	
BMO FundSelect Income Portfolio	BMO FundSelect Security Portfolio	ON	No	
BMO Global Communications Index ETF		ON	No	
BMO Global Consumer Discretionary Hedged to CAD Index ETF		ON	No	
BMO Global Consumer Staples Hedged to CAD Index ETF		ON	No	
BMO Global Dividend Class	BMO Global Balanced Class	ON	No	
BMO Global Dividend Fund	BMO Global Science & Technology Fund	ON	No	
BMO Global Energy Class		ON	No	
BMO Global Enhanced Income Fund		ON	No	
BMO Global Equity Class	BMO Global Opportunities Class	ON	No	
BMO Global Equity Fund		ON	No	
BMO Global Growth & Income Fund	BMO Global Absolute Return Fund	ON	No	
BMO Global High Dividend Covered Call ETF		ON	No	
BMO Global Income & Growth Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
BMO Global Infrastructure Fund	BMO Income Trust Fund	ON	No	
BMO Global Infrastructure Index ETF	BMO Global Infrastructure ETF	ON	No	
BMO Global Innovators Fund		ON	No	
BMO Global Low Volatility ETF Class	BMO Global Tactical ETF Class	ON	No	
BMO Global Monthly Income Fund		ON	No	
BMO Global Quality ETF Fund		ON	No	
BMO Global Small Cap Fund	BMO Guardian Global Small Cap Fund	ON	No	
BMO Global Strategic Bond Fund	BMO Global High Yield Bond Fund	ON	No	
BMO Global Water Solutions TACTIC Fund*		ON	No	
BMO Government Bond Index ETF		ON	No	
BMO Greater China Class		ON	No	
BMO Growth & Income Fund	BMO Guardian Growth & Income Fund	ON	No	
BMO Growth ETF	BMO Core Growth Portfolio ETF	ON	No	
BMO Growth ETF Portfolio		ON	No	
BMO Growth ETF Portfolio Class	BMO Growth ETF Portfolio	ON	No	
BMO Growth Opportunities Fund		ON	No	
BMO High Quality Corporate Bond Index ETF		ON	No	
BMO High Yield US Corporate Bond Hedged to CAD Index ETF	BMO High Yield US Corporate Bond Hedged to CAD ETF	ON	No	
BMO High Yield US Corporate Bond Index ETF*		ON	No	
BMO Income ETF Portfolio	BMO Security ETF Portfolio	ON	No	
BMO Income ETF Portfolio Class	BMO Security ETF Portfolio Class	ON	No	
BMO India Equity Index ETF	BMO India Equity Hedged to CAD ETF	ON	No	
BMO International Dividend ETF		ON	No	
BMO International Dividend Hedged to CAD ETF		ON	No	
BMO International Equity ETF Fund	BMO International Index Fund	ON	No	
BMO International Equity Fund		ON	No	
BMO International Value Class		ON	No	
BMO International Value Fund		ON	No	
BMO Japan Fund		ON	No	
BMO Japan Index ETF		ON	No	
BMO Junior Gas Index ETF		ON	No	
BMO Junior Gold Index ETF		ON	No	
BMO Junior Oil Index ETF		ON	No	
BMO Laddered Preferred Share Index ETF	BMO S&P/TSX Laddered Preferred Share Index ETF	ON	No	
BMO LifeStage Plus 2025 Fund		ON	No	
BMO LifeStage Plus 2026 Fund		ON	No	
BMO LifeStage Plus 2030 Fund		ON	No	
BMO Long Corporate Bond Index ETF		ON	No	
BMO Long Federal Bond Index ETF		ON	No	
BMO Long Provincial Bond Index ETF		ON	No	
BMO Long-Term US Treasury Bond Index ETF		ON	No	
BMO Low Volatility Canadian Equity ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
BMO Low Volatility Canadian Equity ETF Fund		ON	No	
BMO Low Volatility Emerging Markets Equity ETF		ON	No	
BMO Low Volatility International Equity ETF		ON	No	
BMO Low Volatility International Equity Hedged to CAD ETF		ON	No	
BMO Low Volatility U.S. Equity ETF Fund		ON	No	
BMO Low Volatility US Equity ETF		ON	No	
BMO Low Volatility US Equity Hedged to CAD ETF		ON	No	
BMO MSCI Europe High Quality Hedged to CAD Index ETF		ON	No	
BMO Mid Corporate Bond Index ETF		ON	No	
BMO Mid Federal Bond Index ETF	BMO Canadian Government Bond Index ETF	ON	No	
BMO Mid Provincial Bond Index ETF		ON	No	
BMO Mid-Term US IG Corporate Bond Hedged to CAD Index ETF		ON	No	
BMO Mid-Term US IG Corporate Bond Index ETF		ON	No	
BMO Mid-Term US Treasury Bond Index ETF		ON	No	
BMO Money Market Fund	First Canadian Money Market Fund	ON	No	
BMO Monthly Dividend Fund Ltd.	BMO Guardian Monthly Dividend Fund Ltd.	ON	No	
BMO Monthly High Income Fund II	BMO Guardian Monthly High Income Fund II	ON	No	
BMO Monthly Income ETF		ON	No	
BMO Monthly Income Fund	First Canadian Monthly Income Fund	ON	No	
BMO Mortgage and Short-Term Income Fund	BMO Mortgage Fund	ON	No	
BMO MSCI ACWI Paris Aligned Climate Equity Index ETF		ON	No	
BMO MSCI All Country World High Quality Index ETF		ON	No	
BMO MSCI Canada ESG Leaders Index ETF		ON	No	
BMO MSCI Canada Value Index ETF*		ON	No	
BMO MSCI EAFE ESG Leaders Index ETF		ON	No	
BMO MSCI EAFE Hedged to CAD Index ETF	BMO International Equity Hedged to CAD Index ETF	ON	No	
BMO MSCI EAFE Index ETF		ON	No	
BMO MSCI EAFE Value Index ETF*		ON	No	
BMO MSCI Emerging Markets Index ETF	BMO Emerging Markets Equity Index ETF	ON	No	
BMO MSCI Fintech Innovation Index ETF		ON	No	
BMO MSCI Genomic Innovation Index ETF		ON	No	
BMO MSCI Global ESG Leaders Index ETF		ON	No	
BMO MSCI Innovation Index ETF		ON	No	
BMO MSCI Next Gen Internet Innovation Index ETF		ON	No	
BMO MSCI Tech & Industrial Innovation Index ETF		ON	No	
BMO MSCI USA ESG Leaders Index ETF		ON	No	
BMO MSCI USA High Quality Index ETF		ON	No	
BMO MSCI USA Value Index ETF*		ON	No	
BMO Multi-Factor Equity Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
BMO Nasdaq 100 Equity ETF Fund		ON	No	
BMO Nasdaq 100 Equity Hedged to CAD Index ETF		ON	No	
BMO Nasdaq 100 Equity Index ETF		ON	No	
BMO North American Dividend Fund	BMO Nafta Advantage Fund	ON	No	
BMO PineBridge Preferred Securities TACTIC Fund*		ON	No	
BMO Precious Metals Fund	First Canadian Precious Metals Fund	ON	No	
BMO Preferred Share Fund		ON	No	
BMO Premium Yield ETF		ON	No	
BMO Private Canadian Core Equity Portfolio	BMO Private Canadian Conservative Equity Portfolio	ON	No	
BMO Private Canadian Corporate Bond Portfolio	BMO Harris Canadian Corporate Bond Portfolio	ON	No	
BMO Private Canadian Income Equity Portfolio	BMO Harris Canadian Income Equity Portfolio	ON	No	
BMO Private Canadian Mid-Term Bond Portfolio	BMO Harris Canadian Mid-Term Bond Portfolio	ON	No	
BMO Private Canadian Money Market Portfolio	BMO Harris Canadian Money Market Portfolio	ON	No	
BMO Private Canadian Short-Term Bond Portfolio	BMO Harris Canadian Short-Term Bond Portfolio	ON	No	
BMO Private Canadian Special Equity Portfolio	BMO Harris Canadian Special Growth Portfolio	ON	No	
BMO Private Diversified Yield Portfolio	BMO Harris Diversified Yield Portfolio	ON	No	
BMO Private Emerging Markets Equity Portfolio	BMO Harris Emerging Markets Equity Portfolio	ON	No	
BMO Private International Equity Portfolio	BMO Harris International Equity Portfolio	ON	No	
BMO Private U.S. Equity Portfolio	BMO Harris U.S. Equity Portfolio	ON	No	
BMO Private U.S. Growth Equity Portfolio	BMO Private U.S. Growth Portfolio	ON	No	
BMO Private U.S. Special Equity Portfolio	BMO Harris U.S. Special Equity Portfolio	ON	No	
BMO Real Return Bond Index ETF		ON	No	
BMO Resource Fund	First Canadian Resource Fund	ON	No	
BMO Retirement Balanced Portfolio		ON	No	
BMO Retirement Conservative Portfolio		ON	No	
BMO Retirement Income Portfolio		ON	No	
BMO Risk Reduction Equity Fund		ON	No	
BMO Risk Reduction Fixed Income Fund		ON	No	
BMO S&P 500 Hedged to CAD Index ETF	BMO US Equity Hedged to CAD Index ETF	ON	No	
BMO S&P 500 Index ETF		ON	No	
BMO S&P US Mid Cap Index ETF		ON	No	
BMO S&P US Small Cap Index ETF		ON	No	
BMO S&P/TSX Capped Composite Index ETF	BMO Dow Jones Canada Titans 60 Index ETF	ON	No	
BMO SDG Engagement Global Equity Fund		ON	No	
BMO SelectClass Balanced Portfolio		ON	No	
BMO SelectClass Equity Growth Portfolio	BMO SelectClass Aggressive Growth Portfolio	ON	No	
BMO SelectClass Growth Portfolio		ON	No	
BMO SelectClass Income Portfolio	BMO SelectClass Security Portfolio	ON	No	
BMO SelectTrust Balanced Portfolio	BMO Guardian Balanced Solution	ON	No	
BMO SelectTrust Conservative Portfolio	BMO Guardian Conservative Solution	ON	No	
BMO SelectTrust Equity Growth Portfolio	BMO Guardian Aggressive Growth Solution	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
BMO SelectTrust Fixed Income Portfolio		ON	No	
BMO SelectTrust Growth Portfolio	BMO Guardian Growth Solution	ON	No	
BMO SelectTrust Income Portfolio	BMO SelectTrust Security Portfolio	ON	No	
BMO Short Corporate Bond Index ETF		ON	No	
BMO Short Federal Bond Index ETF		ON	No	
BMO Short Provincial Bond Index ETF		ON	No	
BMO Short-Term Bond Index ETF		ON	No	
BMO Short-Term Discount Bond ETF		ON	No	
BMO Short-Term US IG Corporate Bond Hedged to CAD Index ETF		ON	No	
BMO Short-Term US TIPS Index ETF		ON	No	
BMO Short-Term US Treasury Bond Index ETF		ON	No	
BMO SIA Focused Canadian Equity Fund		ON	No	
BMO SIA Focused North American Equity Fund		ON	No	
BMO Structured Equity Yield Portfolio		ON	No	
BMO Sustainable Balanced Portfolio	BMO Principle Balanced Portfolio	ON	No	
BMO Sustainable Bond Fund		ON	No	
BMO Sustainable Conservative Portfolio	BMO Principle Conservative Portfolio	ON	No	
BMO Sustainable Global Balanced Fund	BMO Global Balanced Fund	ON	No	
BMO Sustainable Global Multi-Sector Bond Fund	BMO Global Multi-Sector Bond Fund	ON	No	
BMO Sustainable Growth Portfolio	BMO Principle Growth Portfolio	ON	No	
BMO Sustainable Income Portfolio	BMO Principle Income Portfolio	ON	No	
BMO Sustainable Opportunities Canadian Equity Fund		ON	No	
BMO Sustainable Opportunities China Equity Fund		ON	No	
BMO Sustainable Opportunities Global Equity Fund	BMO Fossil Fuel Free Fund	ON	No	
BMO Tactical Balanced ETF Fund		ON	No	
BMO Tactical Dividend ETF Fund	BMO Tactical Dividend ETF Portfolio	ON	No	
BMO Tactical Global Asset Allocation ETF Fund		ON	No	
BMO Tactical Global Bond ETF Fund		ON	No	
BMO Tactical Global Equity ETF Fund		ON	No	
BMO Tactical Global Growth ETF Fund		ON	No	
BMO Target Education 2025 Portfolio		ON	No	
BMO Target Education 2030 Portfolio		ON	No	
BMO Target Education 2035 Portfolio		ON	No	
BMO Target Education 2040 Portfolio		ON	No	
BMO Target Education Income Portfolio		ON	No	
BMO U.S. All Cap Equity Fund		ON	No	
BMO U.S. Corporate Bond Fund		ON	No	
BMO U.S. Dividend Fund		ON	No	
BMO U.S. Dollar Balanced Fund		ON	No	
BMO U.S. Dollar Dividend Fund		ON	No	
BMO U.S. Dollar Equity Index Fund	First Canadian U.S. Dollar Equity Index Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
BMO U.S. Dollar Money Market Fund	First Canadian U.S. Dollar Money Market Fund	ON	No	
BMO U.S. Dollar Monthly Income Fund		ON	No	
BMO U.S. Equity Class	BMO American Equity Class	ON	No	
BMO U.S. Equity ETF Fund	BMO U.S. Equity Index Fund	ON	No	
BMO U.S. Equity Fund	BMO U.S. Value Fund	ON	No	
BMO U.S. Equity Plus Fund		ON	No	
BMO U.S. High Yield Bond Fund		ON	No	
BMO U.S. Small Cap Fund		ON	No	
BMO Ultra Short-Term Bond ETF	BMO 2013 Corporate Bond Target Maturity ETF	ON	No	
BMO Ultra Short-Term US Bond ETF	BMO Short-Term US Bond Index ETF	ON	No	
BMO US Dividend ETF		ON	No	
BMO US Dividend Hedged to CAD ETF		ON	No	
BMO US High Dividend Covered Call ETF		ON	No	
BMO US High Dividend Covered Call Hedged to CAD ETF		ON	No	
BMO US Preferred Share Hedged to CAD Index ETF		ON	No	
BMO US Preferred Share Index ETF		ON	No	
BMO US Put Write ETF		ON	No	
BMO US Put Write Hedged to CAD ETF		ON	No	
BMO USD Balanced ETF Portfolio		ON	No	
BMO USD Conservative ETF Portfolio		ON	No	
BMO USD Income ETF Portfolio		ON	No	
BMO Women in Leadership Fund		ON	No	
BMO World Bond Fund	BMO International Bond Fund	ON	No	
BNP Resources Inc.		AB	No	
Boardwalk Real Estate Investment Trust		AB	No	
Boat Rocker Media Inc.		ON	No	
Bombardier Inc.		QC	No	
Bonterra Energy Corp.	Bonterra Oil & Gas Ltd.	AB	No	
Boralex Inc.		QC	No	
Border Petroleum Limited	Border Petroleum Corp.	AB	No	
Boston Pizza Royalties Income Fund		BC	No	
Boyd Group Services Inc.		MB	No	
BQE Water Inc.	BioteQ Environmental Technologies Inc.	BC	No	
Bracknell Corporation		ON	Yes	1a,1b,3
Bragg Gaming Group Inc.	Breaking Data Corp.	ON	No	
Brand Leaders Income Fund*		ON	No	
Brandes Canadian Equity Fund		ON	No	
Brandes Canadian Money Market Fund		ON	No	
Brandes Corporate Focus Bond Fund		ON	No	
Brandes Emerging Markets Value Fund	Brandes Emerging Markets Equity Fund	ON	No	
Brandes Global Equity Fund		ON	No	
Brandes Global Opportunities Fund		ON	No	
Brandes Global Small Cap Equity Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Brandes International Equity Fund		ON	No	
Brandes U.S. Equity Fund		ON	No	
Bravo Mining Corp		BC	No	
Bravo Mining Corp.		BC	No	
Breaking Point Developments Inc.		AB	No	
Bri-Chem Corp.		AB	No	
Bridgehouse Canadian Bond Fund	Greystone Canadian Bond Fund	ON	No	
Bridgemarq Real Estate Services Inc.	Brookfield Real Estate Services Inc.	ON	No	
Bright Minds Biosciences Inc.		BC	No	
Bristol Gate Concentrated Canadian Equity ETF		ON	No	
Bristol Gate Concentrated US Equity ETF		ON	No	
British Columbia Ferry Services Inc.			No	
Brixton Metals Corporation		BC	No	
Bro-X Minerals LTD.		AB	Yes	1a
Brompton Enhanced Multi-Asset Income ETF		ON	No	
Brompton European Dividend Growth ETF	European Dividend Growth Fund	ON	No	
Brompton Flaherty & Crumrine Enhanced Investment Grade Preferred ETF		AB	No	
Brompton Flaherty & Crumrine Investment Grade Preferred ETF		ON	No	
Brompton Global Dividend Growth ETF		ON	No	
Brompton Global Healthcare Income & Growth ETF	Global Healthcare Income & Growth ETF	ON	No	
Brompton Lifeco Split Corp.*		ON	No	
Brompton North American Financials Dividend ETF		ON	No	
Brompton North American Low Volatility Dividend ETF		ON	No	
Brompton Oil Split Corp.*		ON	No	
Brompton Split Banc Corp.*		ON	No	
Brompton Sustainable Real Assets Dividend ETF	Brompton Global Real Assets Dividend ETF	ON	No	
Brompton Tech Leaders Income ETF	Tech Leaders Income ETF	ON	No	
Brookfield Asset Management Inc.	Brascan Corporation	ON	No	
Brookfield Asset Management Ltd.		ON	No	
Brookfield Asset Management Reinsurance Partners Ltd.		ON	No	
Brookfield Business Corporation		ON	No	
Brookfield Business Partners L.P.		ON	No	
Brookfield Capital Finance LLC		ON	No	
Brookfield Finance (Australia) Pty Ltd		ON	No	
Brookfield Finance I (UK) PLC		ON	No	
Brookfield Finance II Inc.		ON	No	
Brookfield Finance II LLC		ON	No	
Brookfield Finance Inc.		ON	No	
Brookfield Finance LLC		ON	No	
Brookfield Global Infrastructure Securities Income Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Brookfield Infrastructure Corporation		ON	No	
Brookfield Infrastructure Corporation Exchange Limited Partnership		ON	No	
Brookfield Infrastructure Finance Limited		ON	No	
Brookfield Infrastructure Finance LLC		ON	No	
Brookfield Infrastructure Finance Pty Ltd.		ON	No	
Brookfield Infrastructure Finance ULC		ON	No	
Brookfield Infrastructure Partners Exchange LP		ON	No	
Brookfield Infrastructure Partners L.P.		ON	No	
Brookfield Infrastructure Preferred Equity Inc.		ON	No	
Brookfield Office Properties Inc.	Brookfield Properties Corporation	ON	No	
Brookfield Property Finance ULC		ON	No	
Brookfield Property Partners L.P.		ON	No	
Brookfield Property Preferred Equity Inc.		ON	No	
Brookfield Property Preferred L.P.		ON	No	
Brookfield Property Split Corp.		ON	No	
Brookfield Renewable Corporation		ON	No	
Brookfield Renewable Partners L.P.	Brookfield Renewable Energy Partners L.P.	ON	No	
Brookfield Renewable Partners ULC	Brookfield Renewable Energy Partners ULC	ON	No	
Brookfield Renewable Power Preferred Equity Inc.		ON	No	
Brookfield Select Opportunities Income Fund		ON	No	
BRP Inc.		QC	No	
BSR Real Estate Investment Trust		ON	No	
BTB Real Estate Investment Trust		QC	No	
Buchans Resources Limited		NS	No	
Buffalo Coal Corp.	Forbes & Manhattan Coal Corp.	ON	No	
Burcon NutraScience Corporation		BC	No	
Burin Gold Corp.		BC	No	
C International Income Fund	Cinram International Income Fund	ON	Yes	1c,1e
Cae Inc.	Cae Industries Limited	ON	No	
Caldwell Canadian Value Momentum Fund		ON	No	
Caldwell North American Fund (formerly Caldwell Balanced Fund)	Caldwell Balanced Fund	ON	No	
Caldwell Partners International Inc., The		ON	Yes	3
Caldwell U.S. Dividend Advantage Fund		ON	No	
Calfrac Well Services LTD.			No	
Calian Group Ltd.	Calian Technologies Ltd.	ON	No	
Callitas Health Inc.	M Pharmaceutical Inc.	BC	Yes	1a,1c,1e,3
Calmena Energy Services Inc.	BlackWatch Energy Services Corp.	AB	No	
Calyx Ventures Inc.	Calyx Bio-Ventures Inc.	AB	Yes	3
Cambridge Bond Fund		ON	No	
Cambridge Global Dividend Class	Sentry Global Growth and Income Class	ON	No	
Cambridge Monthly Income Corporate Class	Cambridge Income Corporate Class	ON	No	
Cambridge Monthly Income Fund	Cambridge Income Fund	ON	No	
Cambridge Put Write Pool*	Cambridge Balanced Yield Pool	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Cameco Corporation		SK	No	
Campbell Resources Inc.		QC	No	
Canaccord Genuity G Ventures Corp.		ON	No	
Canaccord Genuity Group Inc.	Canaccord Financial Inc.	BC	No	
Canacol Energy Ltd.		AB	No	
Canada 3000 Inc.		AB	No	
Canada Goose Holdings Inc.		ON	No	
Canada Jetlines Operations Ltd.		BC	No	
Canada Life Advanced Portfolio	Canada Life Advanced Folio Fund	ON	No	
Canada Life Aggressive Portfolio	Canada Life Aggressive Folio Fund	ON	No	
Canada Life American Value Fund	Canada Life American Equity Fund (Beutel Goodman)	ON	No	
Canada Life Assurance Company, The		MB	No	
Canada Life Balanced Portfolio	Canada Life Balanced Folio Fund	ON	No	
Canada Life Canadian Core Bond Fund	Canada Life Core Bond Fund (Portico)	ON	No	
Canada Life Canadian Core Dividend Fund	Canada Life Canadian Dividend Fund (Laketon)	ON	No	
Canada Life Canadian Core Plus Bond Fund	Canada Life Core Plus Bond Fund (Portico)	ON	No	
Canada Life Canadian Corporate Bond Fund	Canada Life Corporate Bond Fund (Portico)	ON	No	
Canada Life Canadian Dividend Fund		ON	No	
Canada Life Canadian Equity Fund	Canada Life Canadian Equity Fund (Laketon)	ON	No	
Canada Life Canadian Fixed Income Balanced Fund		ON	No	
Canada Life Canadian Fixed Income Balanced Fund II	Canada Life Income Fund (Portico)	ON	No	
Canada Life Canadian Focused Dividend Fund	Canada Life Dividend Fund (GWLIM)	ON	No	
Canada Life Canadian Focused Growth Fund		ON	No	
Canada Life Canadian Focused Value Fund	Canada Life Canadian Equity Fund (Beutel Goodman)	ON	No	
Canada Life Canadian Growth Balanced Fund	Canada Life Equity/Bond Fund (GLC)	ON	No	
Canada Life Canadian Growth Balanced Fund II	Canada Life Canadian Balanced Fund	ON	No	
Canada Life Canadian Growth Fund	Canada Life Canadian Growth Fund (GWLIM)	ON	No	
Canada Life Canadian Low Volatility Fund	Canada Life Canadian Low Volatility Fund (London Capital)	ON	No	
Canada Life Canadian Small-Mid Cap Fund	Canada Life Mid Cap Canada Fund (GWLIM)	ON	No	
Canada Life Canadian Tactical Bond Fund	Canada Life Tactical Bond Fund (Portico)	ON	No	
Canada Life Canadian Value Balanced Fund	Canada Life Balanced Fund (Beutel Goodman)	ON	No	
Canada Life Canadian Value Fund	Canada Life Canadian Value Fund (FGP)	ON	No	
Canada Life Capital Trust			No	
Canada Life Conservative Portfolio	Canada Life Conservative Folio Fund	ON	No	
Canada Life Diversified Fixed Income Portfolio	Canada Life Diversified Fixed Income Folio Fund	ON	No	
Canada Life Emerging Markets Equity Fund		ON	No	
Canada Life European Equity Fund		ON	No	
Canada Life Floating Rate Income Fund		ON	No	
Canada Life Foreign Equity Fund		ON	No	
Canada Life Global All Cap Equity Fund	Canada Life Global All Cap Equity Fund (Setanta)	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Canada Life Global Balanced Fund		ON	No	
Canada Life Global Dividend Fund	Canada Life Global Dividend Equity Fund (Setanta)	ON	No	
Canada Life Global Founders Fund	Canada Life Global Founders Fund (Beutel Goodman)	ON	No	
Canada Life Global Growth and Income Fund	Canada Life Growth and Income Fund (GWLIM)	ON	No	
Canada Life Global Growth Balanced Fund	Canada Life Global Growth Balanced Fund (T. Rowe Price)	ON	No	
Canada Life Global Growth Equity Fund	Canada Life Global Growth Equity Fund (T. Rowe Price)	ON	No	
Canada Life Global Growth Opportunities Balanced Fund	Canada Life Global Focused Growth Balanced Fund	ON	No	
Canada Life Global Growth Opportunities Fund		ON	No	
Canada Life Global Infrastructure Fund	Canada Life Global Infrastructure Equity Fund (London Capital)	ON	No	
Canada Life Global Low Volatility Fund	Canada Life Global Low Volatility Fund (ILIM)	ON	No	
Canada Life Global Multi-Sector Fixed Income Fund	Canada Life Global Multi-Sector Bond Fund (T. Rowe Price)	ON	No	
Canada Life Global Real Estate Fund	Canada Life Global Real Estate Fund (London Capital)	ON	No	
Canada Life Global Resources Fund		ON	No	
Canada Life Global Resources Fund		ON	No	
Canada Life Global Small-Mid Cap Growth Fund		ON	No	
Canada Life Global Strategic Income Fund	Canada Life Global Monthly Income Fund (London Capital)	ON	No	
Canada Life Global Value Balanced Fund	Canada Life Global Value Balanced Fund (Beutel Goodman)	ON	No	
Canada Life International Bond Fund (CLI)	International Bond Fund (CLI)	ON	No	
Canada Life International Equity Fund	Canada Life International Core Equity Fund (JPMorgan)	ON	No	
Canada Life International Growth Fund	Canada Life International Opportunity Fund (JPMorgan)	ON	No	
Canada Life Long Term Bond Fund (Portico)	Long Term Bond Fund (Portico)	ON	No	
Canada Life Moderate Portfolio	Canada Life Moderate Folio Fund	ON	No	
Canada Life Money Market Fund	Money Market Fund	ON	No	
Canada Life North American High Yield Fixed Income Fund	Canada Life North American High Yield Bond Fund	ON	No	
Canada Life North American Specialty Fund		ON	No	
Canada Life Pathways Canadian Concentrated Equity Fund	London Life Pathways Canadian Concentrated Equity Fund	ON	No	
Canada Life Pathways Canadian Equity Fund	London Life Pathways Canadian Equity Fund	ON	No	
Canada Life Pathways Core Bond Fund	London Life Pathways Core Bond Fund	ON	No	
Canada Life Pathways Core Plus Bond Fund	London Life Pathways Core Plus Bond Fund	ON	No	
Canada Life Pathways Emerging Markets Equity Fund	London Life Pathways Emerging Markets Equity Fund	ON	No	
Canada Life Pathways Emerging Markets Large Cap Equity Fund	London Life Pathways Emerging Markets Large Cap Equity Fund	ON	No	
Canada Life Pathways Global Core Plus Bond Fund	London Life Pathways Global Core Plus Bond Fund	ON	No	
Canada Life Pathways Global Multi Sector Bond Fund	London Life Pathways Global Multi Sector Bond Fund	ON	No	
Canada Life Pathways Global Tactical Fund	London Life Pathways Global Tactical Fund	ON	No	
Canada Life Pathways International Concentrated Equity Fund	London Life Pathways International Concentrated Equity Fund	NU	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Canada Life Pathways International Equity Fund	London Life Pathways International Equity Fund	ON	No	
Canada Life Pathways Money Market Fund	London Life Pathways Money Market Fund	ON	No	
Canada Life Pathways U.S. Concentrated Equity Fund	London Life Pathways U.S. Concentrated Equity Fund	ON	No	
Canada Life Pathways U.S. Equity Fund	London Life Pathways U.S. Equity Fund	ON	No	
Canada Life Precious Metals Fund		ON	No	
Canada Life Risk Reduction Pool		ON	No	
Canada Life Risk-Managed Balanced Portfolio		ON	No	
Canada Life Risk-Managed Conservative Income Portfolio		ON	No	
Canada Life Risk-Managed Growth Portfolio		ON	No	
Canada Life Science and Technology Fund	Canada Life Science & Technology Fund (London Capital)	ON	No	
Canada Life Short-Term Bond Fund	Canada Life Short Term Bond Fund (Portico)	ON	No	
Canada Life Strategic Income Fund		ON	No	
Canada Life Strategic Income Fund II	Canada Life Monthly Income Fund (London Capital)	ON	No	
Canada Life Sustainable Balanced Portfolio		ON	No	
Canada Life Sustainable Conservative Portfolio		ON	No	
Canada Life Sustainable Emerging Markets Equity Fund		ON	No	
Canada Life Sustainable Global Bond Fund		ON	No	
Canada Life Sustainable Global Equity Fund		ON	No	
Canada Life Sustainable Growth Portfolio		ON	No	
Canada Life Sustainable U.S. Equity Fund		ON	No	
Canada Life U.S. Carbon Transition Equity Fund*		ON	No	
Canada Life U.S. Dividend Fund	Canada Life US Dividend Fund (GWLIM)	ON	No	
Canada Life U.S. Growth Fund	Canada Life US Equity Fund (London Capital)	ON	No	
Canada Life U.S. Low Volatility Fund	Canada Life US Low Volatility Fund (Putnam)	ON	No	
Canada Life U.S. Small-Mid Cap Growth Fund		ON	No	
Canada Life U.S. Value Fund	Canada Life US Value Fund (Putnam)	ON	No	
Canada Life Unconstrained Fixed Income Fund		ON	No	
Canada Life US All Cap Growth Fund		ON	No	
Canada Life US Mid Cap Opportunities Fund		ON	No	
Canada Silver Cobalt Works Inc.		BC	Yes	1b,1c,1e
Canadian Airlines Corporation	Pwa Corporation	AB	Yes	1a
Canadian Apartment Properties Real Estate Investment Trust		ON	No	
Canadian Banc Corp.*	Prime Rate Plus Corp	ON	No	
Canadian Credit Card Trust II		QC	No	
Canadian Dollar Cash Management Fund	AIM Canadian Dollar Cash Management Fund	ON	No	
Canadian Equity Alpha Corporate Class		ON	No	
Canadian Equity Alpha Pool		ON	No	
Canadian Equity Fund	Primus Capital Advisors Canadian Equity Fund	ON	No	
Canadian Equity Growth Corporate Class		ON	No	
Canadian Equity Growth Pool	Assante Canadian Equity Growth Pool	ON	No	
Canadian Equity Small Cap Corporate Class		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Canadian Equity Small Cap Pool	Assante Canadian Equity Small Cap Pool	ON	No	
Canadian Equity Value Corporate Class		ON	No	
Canadian Equity Value Pool	Optima Strategy Fund - Canadian Equity Value Pool	ON	No	
Canadian Fixed Income Corporate Class		ON	No	
Canadian Fixed Income Fund	Primus Capital Advisors Canadian Fixed Income Fund		No	
Canadian Fixed Income Pool	Optima Strategy Fund - Canadian Fixed Income Section	ON	No	
Canadian General Investments, Limited*		ON	No	
Canadian High Income Equity Fund*		ON	No	
Canadian Imperial Bank of Commerce		ON	No	
Canadian Investment Grade Preferred Share Fund*		ON	No	
Canadian Life Companies Split Corp.		ON	No	
Canadian Manganese Company Inc	Canadian Manganese Company Inc.	ON	No	
Canadian National Railway Company		QC	No	
Canadian Natural Resources Limited		AB	No	
Canadian Net Real Estate Investment Trust	Fronsac Real Estate Investment Trust	QC	No	
Canadian Overseas Petroleum Limited	Velo Energy Inc.	AB	No	
Canadian Pacific Railway Company	Canadian Pacific Limited Effective 96jul04	AB	No	
Canadian Pacific Railway Limited	3913732 Canada Inc.	AB	No	
Canadian Scholarship Trust Family Savings Plan*	Canadian Scholarship Trust Plan - Millennium Family	ON	No	
Canadian Scholarship Trust Founders' Plan		ON	No	
Canadian Scholarship Trust Group Savings Plan		ON	No	
Canadian Scholarship Trust Group Savings Plan*	Canadian Scholarship Trust Plan - Optional PLAN	ON	No	
Canadian Scholarship Trust Individual Savings Plan*	Canadian Scholarship Trust Plan - Millennium PLAN	ON	No	
Canadian Shield Fund, The*		ON	No	
Canadian Small Company Equity Fund			No	
Canadian Tire Corporation, Limited		ON	No	
Canadian Utilities & Telecom Income Fund*		ON	No	
Canadian Utilities Limited		AB	No	
Canadian Western Bank		AB	No	
Canarc Resource Corp.		BC	No	
Canfor Corporation		BC	No	
Canfor Pulp Products Inc.		BC	No	
Canlan Ice Sports Corp.		BC	No	
Canmine Resources Corporation		ON	No	
CannTrust Holdings Inc.		ON	Yes	1b,1c,1e,3
Canoe Asset Allocation Class	Canoe Canadian Asset Allocation Class	AB	No	
Canoe Bond Advantage Class	Canoe 'GO CANADA!' Bond Advantage Class	AB	No	
Canoe Bond Advantage Fund	Canoe 'GO CANADA!' Bond Advantage Fund	AB	No	
Canoe Canadian Small Mid Cap Class		AB	No	
Canoe Defensive Global Balanced Fund		AB	No	
Canoe Defensive Global Equity Fund	Fiera Capital Defensive Global Equity Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Canoe Defensive International Equity Fund	Canoe International Equity Fund	QC	No	
Canoe Defensive U.S. Equity Class	Canoe U.S. Equity Income Class	AB	No	
Canoe EIT Income Fund*	Envest Diversified Income Trust	AB	No	
Canoe Energy Class	Canoe 'GO CANADA!' Canadian Energy Class	AB	No	
Canoe Energy Income Class	Canoe 'GO CANADA!' Energy Income Class	AB	No	
Canoe Enhanced Income Class	Canoe 'GO CANADA!' Enhanced Income Class	AB	No	
Canoe Enhanced Income Fund	Canoe 'GO CANADA!' Enhanced Income Fund	AB	No	
Canoe Equity Class	Canoe 'GO CANADA!' Canadian Equity Class	AB	No	
Canoe Global Equity Fund	Fiera Capital Global Equity Fund	ON	No	
Canoe Global Income Class		AB	No	
Canoe Global Income Fund		AB	No	
Canoe North American Monthly Income Class	Canoe 'GO CANADA!' North American Monthly Income Class	AB	No	
Canoe Preferred Share Class		AB	No	
Canoe Premium Income Fund	Canoe Canadian Dividend Fund	QC	No	
Canoe Trust Fund		AB	No	
Canopy Growth Corporation	Tweed Marijuana Inc.	ON	No	
Canso Credit Income Fund*		ON	No	
Canso Select Opportunities Corporation		ON	No	
CANSORTIUM INC.		ON	No	
Capital Group Canadian Core Plus Fixed Income Fund (Canada)	Capital International - Canadian Core Plus Fixed Income	ON	No	
Capital Group Canadian Focused Equity Fund (Canada)	Capital International - Growth and Income	ON	No	
Capital Group Capital Income Builder (Canada)		ON	No	
Capital Group Emerging Markets Total Opportunities Fund (Canada)	Capital International - Emerging Markets Total Opportunities	ON	No	
Capital Group Global Balanced Fund (Canada)		ON	No	
Capital Group Global Equity Fund (Canada)	Capital International - Global Equity	ON	No	
Capital Group International Equity Fund (Canada)	Capital International - International Equity	ON	No	
Capital Group Monthly Income Portfolio (Canada)		ON	No	
Capital Group Multi-Sector Income Fund (Canada)		ON	No	
Capital Group U.S. Equity Fund (Canada)	Capital International - U.S. Equity	ON	No	
Capital Group World Bond Fund (Canada)		ON	No	
Capital Power Corporation		AB	No	
Capstone Copper Corp.		BC	No	
Capstone Infrastructure Corporation	Macquarie Power and Infrastructure Corporation	ON	No	
Carbiz Inc.	Carbiz.Com Inc.	ON	No	
Cardinal Energy Ltd.		AB	No	
Cardiol Therapeutics Inc.		ON	No	
CARDS II Trust		ON	No	
CareRx Corporation	Centric Health Corporation	ON	No	
Cargojet Inc.		ON	No	
Caribbean Utilities Company, Ltd.		US	No	
Carmanah Resources LTD.		BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
CARS and PARS Programme		ON	No	
Cars4u LTD.	Cars4u.Com LTD.		No	
Cascada Silver Corp.		ON	No	
Cascades Inc.		QC	No	
Cash Management Pool	Optima Strategy Fund - Cash Management Section	ON	No	
Cassiar Gold Corp.	Margaux Resources Ltd.	AB	No	
Caterpillar Financial Services Limited		ON	No	
Cathay Forest Products Corp.		ON	No	
Cathedral Energy Services Ltd.		AB	No	
CC&L Alternative Canadian Equity Fund		ON	No	
CC&L Alternative Global Equity Fund		ON	No	
CC&L Alternative Income Fund		ON	No	
CC&L Core Income and Growth Fund	Connor, Clark & Lunn Conservative Income & Growth Fund	ON	No	
CC&L Diversified Income Portfolio	CC&L Balanced Income Portfolio	ON	No	
CC&L Equity Income and Growth Fund		ON	No	
CC&L Global Alpha Fund		ON	No	
CC&L High Yield Bond Fund		ON	No	
CCL Industries Inc.		ON	No	
CE Brands Inc.		AB	No	
Celestial Acquisition Corp.		ON	No	
Celestica Inc.		ON	No	
Celtic Tiger Minerals Exploration Inc. (Cease Traded)		NS	No	
Cenalta Energy Services Inc.		AB	No	
Enovus Energy Inc.	7050372 Canada Inc.	AB	No	
Centamin plc		ON	No	
Centaurus Energy Inc.	Madalena Energy Inc.	AB	No	
Centerra Gold Inc.		ON	No	
CENTR Brands Corp.		BC	No	
Ceres Global Ag Corp.		ON	No	
Ceridian HCM Holding Inc.		ON	No	
CES Energy Solutions Corp.	Canadian Energy Services & Technology Corp.	BC	No	
CGI Inc.	CGI Group Inc.	QC	No	
CGX Energy Inc.		ON	No	
Challenger Energy Corp.		AB	No	
Champion Iron Limited		ON	No	
Charlotte's Web Holdings, Inc.	Stanley Brothers Holdings Inc.	ON	No	
Chartwell Retirement Residences	Chartwell Seniors Housing Real Estate Investment Trust	ON	No	
Chauvco Resources International LTD.	Chauvco International Resources LTD.	AB	No	
Chemistree Technology Inc.		BC	No	
Chemokine Therapeutics Corp.		BC	No	
Chemtrade Logistics Income Fund		ON	No	
Chesswood Group Limited		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Cheyenne Energy Inc.			No	
Chieftain Metals Corp.		ON	No	
Children's Educational Foundation of Canada, The*		ON	No	
China AMC - IG China Equity Pool*		MB	No	
China Education Resources Inc.	China Ventures Inc.	BC	Yes	1a,1c,1e,3
CHIP Mortgage Trust		ON	No	
Choice Properties Real Estate Investment Trust		ON	No	
Chorus Aviation Inc.		NS	No	
Chorus II 100% Equity Growth Portfolio		QC	No	
Chorus II Aggressive Growth Portfolio	Chorus II Dynamic Growth Portfolio	QC	No	
Chorus II Balanced Low Volatility Portfolio	Chorus II Balanced Growth Portfolio	QC	No	
Chorus II Conservative Low Volatility Portfolio	Chorus II Conservative Portfolio	QC	No	
Chorus II Growth Portfolio		QC	No	
Chorus II Maximum Growth Portfolio		QC	No	
Chorus II Moderate Low Volatility Portfolio	Chorus II Balanced Income Portfolio	QC	No	
Chou Asia Fund		ON	No	
Chou Associates Fund		ON	No	
Chou Bond Fund		ON	No	
Chou Europe Fund		ON	No	
Chou RRSP Fund		ON	No	
CI 1-5 Year Laddered Government Strip Bond Index ETF	CI First Asset 1-5 Year Laddered Government Strip Bond Index ETF	ON	No	
CI Alternative Diversified Opportunities Fund	Marret Diversified Opportunities Fund	ON	No	
CI Alternative Investment Grade Credit Fund	CI Lawrence Park Alternative Investment Grade Credit Fund	ON	No	
CI Alternative Multi-Strategy Fund		ON	No	
CI Alternative North American Opportunities Fund		ON	No	
CI American Managers Corporate Class	CI American Managers Sector Fund	ON	No	
CI American Small Companies Corporate Class	CI American Small Companies Sector Fund	ON	No	
CI Asian Opportunities Corporate Class	Signature Asian Opportunities Corporate Class	ON	No	
CI Asian Opportunities Fund	Signature Asian Opportunities Fund	ON	No	
CI Auspice Broad Commodity ETF		ON	No	
CI Bio-Revolution ETF		ON	No	
CI Bitcoin Fund		ON	No	
CI Canadian Asset Allocation Corporate Class	Cambridge Asset Allocation Corporate Class	ON	No	
CI Canadian Asset Allocation Fund	Cambridge Asset Allocation Fund	ON	No	
CI Canadian Balanced Corporate Class	Signature Canadian Balanced Corporate Class	ON	No	
CI Canadian Balanced Fund	Signature Canadian Balanced Fund	ON	No	
CI Canadian Banks Income Class ETF	CI First Asset CanBanc Income Class ETF	ON	No	
CI Canadian Bond Corporate Class	Signature Canadian Bond Corporate Class	ON	No	
CI Canadian Bond Fund	Signature Canadian Bond Fund	ON	No	
CI Canadian Convertible Bond ETF	CI First Asset Canadian Convertible Bond ETF	ON	No	
CI Canadian Convertible Bond Fund	First Asset Canadian Convertible Bond Fund	ON	No	
CI Canadian Core Plus Bond Fund	Cambridge Bond Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
CI Canadian Dividend Corporate Class	Cambridge Canadian Dividend Corporate Class	ON	No	
CI Canadian Dividend Fund	Cambridge Canadian Dividend Fund	ON	No	
CI Canadian Dividend Private Pool		ON	No	
CI Canadian Equity Corporate Class	Cambridge Canadian Equity Corporate Class	ON	No	
CI Canadian Equity Fund	Cambridge Canadian Equity fund	ON	No	
CI Canadian Equity Index ETF		ON	No	
CI Canadian Equity Private Pool		ON	No	
CI Canadian Fixed Income Private Pool		ON	No	
CI Canadian Income & Growth Corporate Class	Signature Income & Growth Corporate Class	ON	No	
CI Canadian Income & Growth Fund	Signature Income & Growth Fund	ON	No	
CI Canadian Investment Corporate Class	CI Canadian Investment Sector Fund	ON	No	
CI Canadian Investment Fund	Spectrum United Canadian Investment Fund	ON	No	
CI Canadian Long-Term Bond Pool	Cambridge Canadian Long-Term Bond Pool	ON	No	
CI Canadian REIT ETF	CI First Asset Canadian REIT ETF	ON	No	
CI Canadian REIT Fund	First Asset REIT Income Fund	ON	No	
CI Canadian Short-Term Bond Pool	Cambridge Canadian Short-Term Bond Pool	ON	No	
CI Canadian Small/Mid Cap Fund	Clarica Canadian Small/Mid Cap Fund	ON	No	
CI Corporate Bond Corporate Class	Signature Corporate Bond Corporate Class	ON	No	
CI Corporate Bond Fund	Signature Corporate Bond Fund	ON	No	
CI Digital Security ETF		ON	No	
CI Diversified Yield Corporate Class	Signature Diversified Yield Corporate Class	ON	No	
CI Diversified Yield Fund	Signature Diversified Yield Fund	ON	No	
CI Dividend Income & Growth Corporate Class	Signature Dividend Corporate Class	ON	No	
CI Dividend Income & Growth Fund	Signature Dividend Fund	PE	No	
CI DoubleLine Core Plus Fixed Income US\$ Fund		ON	No	
CI DoubleLine Income US\$ Fund		ON	No	
CI DoubleLine Total Return Bond US\$ Fund		ON	No	
CI Emerging Markets Alpha ETF		ON	No	
CI Emerging Markets Bond Fund		ON	No	
CI Emerging Markets Corporate Class	Signature Emerging Markets Corporate Class	ON	No	
CI Emerging Markets Fund	Signature Emerging Markets Fund	ON	No	
CI Energy Giants Covered Call ETF	CI First Asset Energy Giants Covered Call ETF	ON	No	
CI Enhanced Government Bond ETF	CI First Asset Enhanced Government Bond ETF	ON	No	
CI Enhanced Short Duration Bond Fund	CI First Asset Enhanced Short Duration Bond Fund	ON	No	
CI Ethereum Fund		ON	No	
CI Financial Corp.*		ON	No	
CI First Asset Active Canadian Dividend ETF	First Asset Active Canadian Dividend ETF	ON	No	
CI First Asset Active Credit ETF*	First Asset Active Credit ETF	ON	No	
CI First Asset Active Utility & Infrastructure ETF	First Asset Active Utility & Infrastructure ETF	ON	No	
CI First Asset Canadian Buyback Index ETF*	First Asset Canadian Buyback Index ETF	ON	No	
CI First Asset Core Canadian Equity Income Class ETF*	First Asset Core Canadian Equity Income Class ETF	ON	No	
CI First Asset European Bank ETF	First Asset European Bank ETF	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
CI First Asset Gold+ Giants Covered Call ETF	CI First Asset Can-Materials Covered Call ETF	ON	No	
CI First Asset Long Duration Fixed Income ETF*	First Asset Long Duration Fixed Income ETF	ON	No	
CI First Asset Morningstar Canada Dividend Target 30 Index ETF	First Asset Morningstar Canada Dividend Target 30 Index ETF	ON	No	
CI First Asset Morningstar US Dividend Target 50 Index ETF	First Asset Morningstar US Dividend Target 50 Index ETF	ON	No	
CI First Asset MSCI Canada Low Risk Weighted ETF	First Asset MSCI Canada Low Risk Weighted ETF	ON	No	
CI First Asset MSCI USA Low Risk Weighted ETF	First Asset MSCI USA Low Risk Weighted ETF	ON	No	
CI First Asset U.S. Buyback Index ETF*		ON	No	
CI Floating Rate Income Fund	Signature Floating Rate Income Fund	ON	No	
CI G5 20 2038 Q3 Fund		ON	No	
CI G5 20 2038 Q4 Fund		ON	No	
CI G5 20 2039 Q2 Fund		ON	No	
CI G5 20 2039 Q3 Fund*		ON	No	
CI G5 20 2040 Q1 Fund		ON	No	
CI G5 20 2040 Q4 Fund*		ON	No	
CI G5 20i 2035 Q1 Fund*		ON	No	
CI G5 20i 2035 Q2 Fund*		ON	No	
CI G5 20i 2036 Q1 Fund		ON	No	
CI G5 20i 2036 Q2 Fund*		ON	No	
CI Galaxy Bitcoin ETF		ON	No	
CI Galaxy Bitcoin Fund		ON	No	
CI Galaxy Blockchain ETF	CI Blockchain ETF	ON	No	
CI Galaxy Ethereum ETF		ON	No	
CI Galaxy Metaverse ETF	CI Metaverse ETF	ON	No	
CI Galaxy Multi-Crypto ETF		ON	No	
CI Global Alpha Innovation ETF		ON	No	
CI Global Alpha Innovators Corporate Class	Signature Global Technology Corporate Class	ON	No	
CI Global Asset Allocation Private Pool		ON	No	
CI Global Balanced Corporate Class	Black Creek Global Balanced Corporate Class	ON	No	
CI Global Balanced Fund	Black Creek Global Balanced Fund	ON	No	
CI Global Bond Corporate Class	Signature Global Bond Corporate Class	ON	No	
CI Global Bond Currency Neutral Fund		ON	No	
CI Global Bond Fund	Signature Global Bond Fund	ON	No	
CI Global Climate Leaders Fund		ON	No	
CI Global Concentrated Equity Private Pool		ON	No	
CI Global Core Plus Bond Fund	Signature Core Bond Plus Fund	ON	No	
CI Global Dividend Corporate Class	Signature Global Dividend Corporate Class	ON	No	
CI Global Dividend Fund	Signature Global Dividend Fund	ON	No	
CI Global Dividend Opportunities Corporate Class	Cambridge Global Dividend Corporate Class	ON	No	
CI Global Dividend Opportunities Fund	Cambridge Global Dividend Fund	ON	No	
CI Global Energy Corporate Class	Signature Global Energy Corporate Class	ON	No	
CI Global Enhanced Government Bond Private Pool		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
CI Global Equity & Income Fund	Cambridge Global High Income Fund	ON	No	
CI Global Equity Alpha Private Pool		ON	No	
CI Global Equity Core Private Pool*		ON	No	
CI Global Equity Corporate Class	Cambridge Global Equity Corporate Class	ON	No	
CI Global Equity Fund	Cambridge Global Equity Fund	ON	No	
CI Global Financial Sector ETF	CI First Asset Global Financial Sector ETF	ON	No	
CI Global Green Bond Fund		ON	No	
CI Global Health Sciences Corporate Class	CI Global Health Sciences Sector Fund	ON	No	
CI Global Healthcare Leaders Index ETF		ON	No	
CI Global High Dividend Advantage Corporate Class		ON	No	
CI Global High Yield Credit Private Pool		ON	No	
CI Global Income & Growth Corporate Class	Signature Global Income & Growth Corporate Class	ON	No	
CI Global Income & Growth Fund	Signature Global Income & Growth Fund	ON	No	
CI Global Infrastructure Private Pool		ON	No	
CI Global Investment Grade ETF		ON	No	
CI Global Investment Grade Fund		ON	No	
CI Global Leaders Corporate Class	Black Creek Global Leaders Corporate Class	ON	No	
CI Global Leaders Fund	Black Creek Global Leaders Fund	ON	No	
CI Global Longevity Economy Fund		ON	No	
CI Global Minimum Downside Volatility Index ETF		ON	No	
CI Global Quality Dividend Managed Fund	CI Global High Dividend Advantage Fund	ON	No	
CI Global Real Asset Private Pool		ON	No	
CI Global REIT Private Pool		ON	No	
CI Global Resource Corporate Class	Signature Global Resource Corporate Class	ON	No	
CI Global Resource Fund	Signature Global Resource Fund	ON	No	
CI Global Smaller Companies Corporate Class	Cambridge Global Smaller Companies Corporate Class	ON	No	
CI Global Smaller Companies Fund	Cambridge Global Smaller Companies Fund	ON	No	
CI Global Smaller Companies Private Pool		ON	No	
CI Global Stock Selection Fund	Harbour Global Analyst Fund	ON	No	
CI Global Sustainable Infrastructure Fund		ON	No	
CI Global Unconstrained Bond Private Pool		ON	No	
CI Global Value Balanced Fund	Sentry Global Monthly Income Fund	ON	No	
CI Global Value Corporate Class	CI Global Value Sector Fund	ON	No	
CI Global Value Fund	C.I. Global Value Fund	ON	No	
CI Gold Bullion Fund		ON	No	
CI Gold Corporate Class	Signature Gold Corporate Class	ON	No	
CI Health Care Giants Covered Call ETF	CI First Asset Health Care Giants Covered Call ETF	ON	No	
CI High Income Corporate Class	Signature High Income Corporate Class	ON	No	
CI High Income Fund	Signature High Income Fund	ON	No	
CI High Interest Savings ETF	CI First Asset High Interest Savings ETF	ON	No	
CI High Interest Savings Fund		ON	No	
CI High Yield Bond Corporate Class	Signature High Yield Bond Corporate Class	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
CI High Yield Bond Fund	Signature High Yield Bond Fund	ON	No	
CI ICBCCS S&P China 500 Index ETF	WisdomTree ICBCCS S&P China 500 Index ETF	ON	No	
CI Income Fund	CI Income Advantage Fund	ON	No	
CI International Equity Alpha Private Pool		ON	No	
CI International Equity Corporate Class	Black Creek International Equity Corporate Class	ON	No	
CI International Equity Fund	Black Creek International Equity Fund	ON	No	
CI International Equity Growth Private Pool		ON	No	
CI International Value Corporate Class	CI International Value Sector Fund	ON	No	
CI International Value Fund	C.I. International Value Fund	ON	No	
CI Investment Grade Bond ETF	CI First Asset Investment Grade Bond ETF	ON	No	
CI Investment Grade Bond Fund	Marret Investment Grade Bond Fund	ON	No	
CI Investment Grade Bond Private Pool	CI Global Investment Grade Credit Private Pool	ON	No	
CI Lawrence Park Alternative Investment Grade Credit ETF		ON	No	
CI Marret Alternative Absolute Return Bond ETF		ON	No	
CI Marret Alternative Absolute Return Bond Fund	Marret Alternative Absolute Return Bond Fund	ON	No	
CI Marret Alternative Enhanced Yield Fund		ON	No	
CI Money Market Class	Sentry Money Market Class	ON	No	
CI Money Market Fund	C.I. Money Market Fund	ON	No	
CI Morningstar Canada Momentum Index ETF	CI First Asset Morningstar Canada Momentum Index ETF	ON	No	
CI Morningstar Canada Value Index ETF	CI First Asset Morningstar Canada Value Index ETF	ON	No	
CI Morningstar International Momentum Index ETF	CI First Asset Morningstar International Momentum Index ETF	ON	No	
CI Morningstar International Value Index ETF	CI First Asset Morningstar International Value Index ETF	ON	No	
CI Morningstar National Bank Québec Index ETF	CI First Asset Morningstar National Bank Québec Index ETF	ON	No	
CI Morningstar US Momentum Index ETF	CI First Asset Morningstar US Momentum Index ETF	ON	No	
CI Morningstar US Value Index ETF	CI First Asset Morningstar US Value Index ETF	ON	No	
CI Mosaic Balanced ETF Portfolio		ON	No	
CI Mosaic Balanced Growth ETF Portfolio		ON	No	
CI Mosaic Balanced Income ETF Portfolio		ON	No	
CI Mosaic ESG Balanced ETF Portfolio		ON	No	
CI Mosaic ESG Balanced Growth ETF Portfolio		ON	No	
CI Mosaic ESG Balanced Income ETF Portfolio		ON	No	
CI Mosaic Growth ETF Portfolio		ON	No	
CI Mosaic Income ETF Portfolio		ON	No	
CI MSCI Canada Quality Index Class ETF	CI First Asset MSCI Canada Quality Index Class ETF	ON	No	
CI MSCI Europe Low Risk Weighted ETF	CI First Asset MSCI Europe Low Risk Weighted ETF	ON	No	
CI MSCI International Low Risk Weighted ETF	CI First Asset MSCI International Low Risk Weighted ETF	ON	No	
CI MSCI World ESG Impact ETF	CI First Asset MSCI World ESG Impact ETF	ON	No	
CI MSCI World ESG Impact Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
CI MSCI World Low Risk Weighted ETF	CI First Asset MSCI World Low Risk Weighted ETF	ON	No	
CI Munro Alternative Global Growth ETF		ON	No	
CI Munro Alternative Global Growth Fund	Munro Alternative Global Growth Fund	ON	No	
CI Munro Global Growth Equity Fund	Munro Global Growth Equity Fund	ON	No	
CI North American Dividend Fund	Sentry Growth and Income Fund	ON	No	
CI ONE Global Equity ETF	ONE Global Equity ETF	ON	No	
CI ONE North American Core Plus Bond ETF	ONE North American Core Plus Bond ETF	ON	No	
CI Portfolio Series Balanced Fund	Portfolio Series Balanced Fund	ON	No	
CI Portfolio Series Balanced Growth Fund	Portfolio Series Balanced Growth Fund	ON	No	
CI Portfolio Series Conservative Balanced Fund	CI Portfolio Series Conservative Balanced Fund	ON	No	
CI Portfolio Series Conservative Fund	Portfolio Series Conservative Fund	ON	No	
CI Portfolio Series Growth Fund	Portfolio Series Growth Fund	ON	No	
CI Portfolio Series Income Fund	Portfolio Series Income Fund	ON	No	
CI Portfolio Series Maximum Growth Fund	Portfolio Series Maximum Growth Fund	ON	No	
CI Precious Metals Fund	Sentry Precious Metals Fund	ON	No	
CI Preferred Share ETF	CI First Asset Preferred Share ETF	ON	No	
CI Preferred Share Fund	Signature Preferred Share Fund	ON	No	
CI Pure Canadian Small/Mid Cap Equity Corporate Class	Cambridge Pure Canadian Equity Corporate Class	ON	No	
CI Pure Canadian Small/Mid Cap Equity Fund	Cambridge Pure Canadian Equity Fund	ON	No	
CI Select 100e Managed Portfolio Corporate Class	Select 100e Managed Portfolio Corporate Class	ON	No	
CI Select Canadian Equity Corporate Class	Signature Select Canadian Corporate Class	ON	No	
CI Select Canadian Equity Fund	Signature Select Canadian Fund	ON	No	
CI Select Canadian Equity Managed Corporate Class	Select Canadian Equity Managed Corporate Class	ON	No	
CI Select Global Equity Corporate Class	Signature Global Equity Corporate Class	ON	No	
CI Select Global Equity Fund	Signature Global Equity Fund	ON	No	
CI Select Income Managed Corporate Class	Select Income Managed Corporate Class	ON	No	
CI Select International Equity Managed Corporate Class	Select International Equity Managed Corporate Class	ON	No	
CI Select Staging Fund	Select Staging Fund	ON	No	
CI Select U.S. Equity Managed Corporate Class	Select U.S. Equity Managed Corporate Class	ON	No	
CI Short Term Government Bond Index Class ETF	CI First Asset Short Term Government Bond Index Class ETF	ON	No	
CI Short-Term Bond Fund	Signature Short-Term Bond Fund	ON	No	
CI Short-Term Corporate Class	CI Short-Term Sector Fund	ON	No	
CI Short-Term US\$ Corporate Class	CI Short-Term US\$ Sector Fund	ON	No	
CI Synergy American Corporate Class	Synergy American Corporate Class	ON	No	
CI Synergy American Fund	Synergy American Fund	ON	No	
CI Synergy Canadian Corporate Class	Synergy Canadian Corporate Class	ON	No	
CI Synergy Global Corporate Class	Synergy Global Corporate Class	ON	No	
CI Tech Giants Covered Call ETF	CI First Asset Tech Giants Covered Call ETF	ON	No	
CI U.S. & Canada Lifeco Income ETF	CI First Asset U.S. & Canada Lifeco Income ETF	ON	No	
CI U.S. 1000 Index ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
CI U.S. 500 Index ETF		ON	No	
CI U.S. Dividend Fund	Cambridge U.S. Dividend Fund	ON	No	
CI U.S. Dividend Registered Fund	Cambridge U.S. Dividend Registered Fund	ON	No	
CI U.S. Dividend US\$ Fund	Cambridge U.S. Dividend US\$ Fund	ON	No	
CI U.S. Equity & Income Fund	Sentry U.S. Monthly Income Fund	ON	No	
CI U.S. Equity Private Pool		ON	No	
CI U.S. Income US\$ Fund	CI U.S. Income US\$ Pool	ON	No	
CI U.S. Minimum Downside Volatility Index ETF		ON	No	
CI U.S. Stock Selection Corporate Class	CI American Value Corporate Class	ON	No	
CI U.S. Stock Selection Fund	CI American Value Fund	ON	No	
CI U.S. Treasury Inflation-linked Bond Index ETF (CAD Hedged)		ON	No	
CI U.S. TrendLeaders Index ETF	CI First Asset U.S. TrendLeaders Index ETF	ON	No	
CI US Money Market Fund	C.I. US Money Market Fund	ON	No	
CI Utilities Giants Covered Call ETF		ON	No	
CI WisdomTree Canada Quality Dividend Growth Index ETF	WisdomTree Canada Quality Dividend Growth Index ETF	ON	No	
CI WisdomTree Emerging Markets Dividend Index ETF	WisdomTree Emerging Markets Dividend Index ETF	ON	No	
CI WisdomTree Europe Hedged Equity Index ETF	WisdomTree Europe Hedged Equity Index ETF	ON	No	
CI WisdomTree International Quality Dividend Growth Index ETF	WisdomTree International Quality Dividend Growth Index ETF	ON	No	
CI WisdomTree International Quality Dividend Growth Variably Hedged	WisdomTree International Quality Dividend Growth Dynamic Hedged	ON	No	
CI WisdomTree Japan Equity Index ETF	WisdomTree Japan Equity Index ETF	ON	No	
CI WisdomTree U.S. MidCap Dividend Index ETF	WisdomTree U.S. MidCap Dividend Index ETF	ON	No	
CI WisdomTree U.S. Quality Dividend Growth Index ETF	WisdomTree U.S. Quality Dividend Growth Index ETF	ON	No	
CI WisdomTree U.S. Quality Dividend Growth Variably Hedged Index ETF	CI WisdomTree U.S. Quality Dividend Growth Dynamic Hedged Index ETF	ON	No	
CI Yield Enhanced Canada Aggregate Bond Index ETF	WisdomTree Yield Enhanced Canada Aggregate Bond Index ETF	ON	No	
CI Yield Enhanced Canada Short-Term Aggregate Bond Index ETF	WisdomTree Yield Enhanced Canada Short-Term Aggregate Bond Index ETF	ON	No	
CIBC Active Investment Grade Corporate Bond ETF		ON	No	
CIBC Active Investment Grade Floating Rate Bond ETF		ON	No	
CIBC Alternative Credit Strategy		ON	No	
CIBC Asia Pacific Fund	CIBC Far East Prosperity Fund	ON	No	
CIBC Asia Pacific Index Fund		ON	No	
CIBC Balanced Fund	CIBC Balanced Income And Growth Fund	ON	No	
CIBC Balanced Growth Passive Portfolio		ON	No	
CIBC Balanced Index Fund		ON	No	
CIBC Balanced Passive Portfolio		ON	No	
CIBC Canadian Bond Fund		ON	No	
CIBC Canadian Bond Index ETF		ON	No	
CIBC Canadian Bond Index Fund		ON	No	
CIBC Canadian Equity Fund	CIBC Core Canadian Equity Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
CIBC Canadian Equity Index ETF		ON	No	
CIBC Canadian Equity Value Fund	Canadian Imperial Equity Fund	ON	No	
CIBC Canadian Index Fund		ON	No	
CIBC Canadian Real Estate Fund		ON	No	
CIBC Canadian Resources Fund		ON	No	
CIBC Canadian Short-Term Bond Index ETF		ON	No	
CIBC Canadian Short-Term Bond Index Fund	CIBC Canadian Short-Term Bond Fund	ON	No	
CIBC Canadian Small-Cap Fund	CIBC Capital Appreciation Fund	ON	No	
CIBC Canadian T-Bill Fund	CIBC Premium Canadian T-Bill Fund	ON	No	
CIBC Clean Energy Index ETF		ON	No	
CIBC Conservative Fixed Income Pool		ON	No	
CIBC Conservative Passive Portfolio		ON	No	
CIBC Core Fixed Income Pool		ON	No	
CIBC Core Plus Fixed Income Pool		ON	No	
CIBC Diversified Fixed Income Fund		ON	No	
CIBC Dividend Growth Fund	CIBC Dividend Fund	ON	No	
CIBC Dividend Income Fund	CIBC Diversified Income Fund	ON	No	
CIBC Emerging Markets Equity Index ETF		ON	No	
CIBC Emerging Markets Fund	CIBC Emerging Economies Fund	ON	No	
CIBC Emerging Markets Index Fund		ON	No	
CIBC Emerging Markets Local Currency Bond Fund		ON	No	
CIBC Energy Fund		ON	No	
CIBC European Equity Fund		ON	No	
CIBC European Index Fund		ON	No	
CIBC Financial Companies Fund		ON	No	
CIBC Flexible Yield ETF (CAD-Hedged)		ON	No	
CIBC Global Bond ex-Canada Index ETF (CAD-Hedged)		ON	No	
CIBC Global Bond Fund		ON	No	
CIBC Global Bond Index Fund		ON	No	
CIBC Global Credit Fund		ON	No	
CIBC Global Equity Fund		ON	No	
CIBC Global Growth ETF		ON	No	
CIBC Global Monthly Income Fund		ON	No	
CIBC Global Technology Fund		ON	No	
CIBC International Equity ETF		ON	No	
CIBC International Equity Fund	CIBC Disciplined International Equity Fund	ON	No	
CIBC International Equity Index ETF		ON	No	
CIBC International Equity Index ETF (CAD-Hedged)		ON	No	
CIBC International Index Fund		ON	No	
CIBC International Small Companies Fund		ON	No	
CIBC Managed Aggressive Growth Portfolio	CIBC Managed Aggressive Growth RRSP Portfolio	ON	No	
CIBC Managed Balanced Growth Portfolio	CIBX Managed Balanced Growth RRSP Portfolio	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
CIBC Managed Balanced Portfolio	CIBC Balanced Portfolio	ON	No	
CIBC Managed Growth Portfolio	CIBC Managed Growth RRSP Portfolio	ON	No	
CIBC Managed Income Plus Portfolio	CIBC Income Plus Portfolio	ON	No	
CIBC Managed Income Portfolio	CIBC Income Portfolio	ON	No	
CIBC Managed Monthly Income Balanced Portfolio	CIBC Managed Monthly Income And Growth Portfolio	ON	No	
CIBC Money Market Fund		ON	No	
CIBC Monthly Income Fund		ON	No	
CIBC Multi-Asset Absolute Return Strategy		ON	No	
CIBC Nasdaq Index Fund		ON	No	
CIBC Precious Metals Fund		ON	No	
CIBC Qx Canadian Low Volatility Dividend ETF		ON	No	
CIBC Qx International Low Volatility Dividend ETF		ON	No	
CIBC Qx U.S. Low Volatility Dividend ETF		ON	No	
CIBC Short-Term Income Fund	CIBC Mortgage and Short-Term Income Fund	ON	No	
CIBC Smart Balanced Growth Solution		ON	No	
CIBC Smart Balanced Income Solution		ON	No	
CIBC Smart Balanced Solution		ON	No	
CIBC Smart Growth Solution		ON	No	
CIBC Smart Income Solution		ON	No	
CIBC Sustainable Balanced Growth Solution		ON	No	
CIBC Sustainable Balanced Solution		ON	No	
CIBC Sustainable Canadian Core Plus Bond Fund	CIBC ex. Fossil Fuel Canadian Core Plus Bond Fund	ON	No	
CIBC Sustainable Canadian Equity Fund	CIBC ex. Fossil Fuel Canadian Equity Fund	ON	No	
CIBC Sustainable Conservative Balanced Solution		ON	No	
CIBC Sustainable Global Equity Fund	CIBC ex. Fossil Fuel Global Equity Fund	ON	No	
CIBC U.S. Broad Market Index Fund	CIBC U.S. Equity Index Fund	ON	No	
CIBC U.S. Dollar Managed Balanced Portfolio		ON	No	
CIBC U.S. Dollar Managed Growth Portfolio		ON	No	
CIBC U.S. Dollar Managed Income Portfolio		ON	No	
CIBC U.S. Dollar Money Market Fund		ON	No	
CIBC U.S. Equity Fund	CIBC Disciplined U.S. Equity Fund	ON	No	
CIBC U.S. Equity Index ETF		ON	No	
CIBC U.S. Equity Index ETF (CAD-Hedged)		ON	No	
CIBC U.S. Index Fund	CIBC U.S. Index RRSP Fund	ON	No	
CIBC U.S. Small Companies Fund	CIBC U.S. Opportunities Fund	ON	No	
Cineplex Inc.		ON	No	
Cinram International Limited Partnership		ON	No	
Cipher Pharmaceuticals Inc.		ON	No	
Citadel Income Fund*	Crown Hill Fund	ON	No	
Citadel Premium Income Fund*		AB	No	
Clarica Life Insurance Company	Mutual Life Assurance Company Of Canada, The	ON	No	
Clarke Inc.	3442896 Canada Inc.	NS	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Claymore Gold Bullion Trust*		ON	No	
Clean Air Metals Inc.	Regency Gold Corp.	QC	No	
Clean Harbors, Inc.		AB	No	
Clearpoint Short Term Income Fund		ON	No	
ClearStream Energy Services Inc.	Tuckamore Capital Management Inc.	ON	No	
CloudMD Software & Services Inc.	Premier Health Group Inc.	BC	No	
CLS Holdings USA, Inc.		ON	No	
CMP 2019 Resource Limited Partnership*		ON	No	
CMP 2020 Resource Limited Partnership*		ON	No	
CMP 2021 Resource Limited Partnership		ON	No	
CMP 2022 Resource Limited Partnership*		ON	No	
CNH Capital Canada Receivables Trust		ON	No	
CNH Capital Canada Wholesale Trust		ON	No	
Co-Op Atlantic		NB	No	
Co-operators General Insurance Company		ON	No	
Coastal Acquisition Corporation		MB	No	
Cobrun Mining Corporation		ON	Yes	1a,1b,1c,1d,1e,3
Coelacanth Energy Inc.		AB	No	
Cogeco Communications Inc.	Cogeco Cable Inc.	QC	No	
Cogeco Inc.		QC	No	
Cognos ULC	Cognos Incorporated	ON	No	
CohBar, Inc.		BC	No	
Coho Collective Kitchens Inc.		BC	No	
Colabor Group Inc.	ConjuChem Biotechnologies Inc.	QC	No	
Collective Mining Ltd.		ON	No	
Colliers International Group Inc.	FirstService Corporation	ON	No	
Colossus Minerals Inc.		ON	Yes	1a,1b,1c,1d,1e,3
Coloured Ties Capital Inc.	GrowMax Resources Corp.	AB	No	
Columbia Care Inc.	Canaccord Genuity Growth Corp.	ON	No	
Cominar Real Estate Investment Trust		QC	No	
Communicorp Corporation	Great Lakes Minerals Inc.	ON	Yes	1a,1b
Compass Balanced Growth Portfolio		AB	No	
Compass Balanced Portfolio		AB	No	
Compass Conservative Balanced Portfolio		AB	No	
Compass Conservative Portfolio		AB	No	
Compass Growth Portfolio		AB	No	
Compass Maximum Growth Portfolio		AB	No	
Concert Industries LTD.		QC	No	
Condor Petroleum Inc.		AB	No	
Conifex Timber Inc.		BC	No	
ConjuChem Biotechnologies Inc.		QC	No	
Conservative Income Portfolio		ON	No	
Conservative Monthly Income Fund			No	
Constellation Copper Corporation		BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Constellation Software Inc.		ON	No	
Contact Gold Corp.	Winwell Ventures Inc.	BC	No	
Converge Technology Solutions Corp.		ON	No	
Copper Mountain Mining Corporation		BC	No	
Copperleaf Technologies Inc.		BC	No	
Core Canadian Dividend Trust*		ON	No	
Cornerstone Capital Resources Inc.		AB	No	
CORUS Entertainment Inc.		ON	No	
Counsel All Equity Portfolio		ON	No	
Counsel Balanced Portfolio		ON	No	
Counsel Canadian Core Fixed Income*		ON	No	
Counsel Canadian Dividend		ON	No	
Counsel Canadian Growth		ON	No	
Counsel Canadian Value		ON	No	
Counsel Conservative Portfolio		ON	No	
Counsel Defensive Global Equity*		ON	No	
Counsel Fixed Income		ON	No	
Counsel Global Dividend		ON	No	
Counsel Global Fixed Income		ON	No	
Counsel Global Low Volatility Equity*		ON	No	
Counsel Global Real Estate		ON	No	
Counsel Global Small Cap	Counsel Select Small Cap	ON	No	
Counsel Global Trend Strategy		ON	No	
Counsel Growth Portfolio		ON	No	
Counsel High Yield Fixed Income		ON	No	
Counsel International Growth		ON	No	
Counsel International Value		ON	No	
Counsel Money Market		ON	No	
Counsel North American High Yield Bond		ON	No	
Counsel Retirement Accumulation Portfolio		ON	No	
Counsel Retirement Foundation Portfolio		ON	No	
Counsel Retirement Income Portfolio*		ON	No	
Counsel Retirement Preservation Portfolio		ON	No	
Counsel Short Term Bond		ON	No	
Counsel U.S. Growth		ON	No	
Counsel U.S. Value		ON	No	
Coveo Solutions Inc.		QC	No	
CPI Card Group Inc.		BC	No	
CPI Plastics Group Limited		ON	No	
Craft Oil Ltd.		AB	No	
Crailar Technologies Inc.	Naturally Advanced Technologies Inc.	BC	No	
Credit Suisse AG		ON	No	
Crescent Point Energy Corp.	Wild River Resources Ltd.	AB	No	
Crescita Therapeutics Inc.		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Cresco Labs Inc.		BC	No	
Crew Energy Inc.		AB	No	
Critical Elements Lithium Corporation		QC	No	
Crombie Real Estate Investment Trust		NS	No	
Cronos Group Inc.	PharmaCan Capital Corp.	ON	No	
Crown Capital Partners Inc.		AB	No	
Crown Point Energy Inc.	Crown Point Ventures Ltd.	AB	No	
CRS Preferred NT Ltd.*		ON	Yes	1a,1b
Crystallex International Corporation		ON	No	
CST Advantage Plan*	Canadian Scholarship Trust Group Savings Plan 2001	ON	No	
CST Bright Plan	Bright Plan	ON	No	
CST Spark 2026 Education Portfolio		ON	No	
CST Spark 2029 Education Portfolio		ON	No	
CST Spark 2032 Education Portfolio		ON	No	
CST Spark 2035 Education Portfolio		ON	No	
CST Spark 2038 Education Portfolio		ON	No	
CST Spark 2041 Education Portfolio		ON	No	
CST Spark Graduation Portfolio		ON	No	
CT Real Estate Investment Trust		ON	No	
CU Inc.		AB	No	
CubicFarm Systems Corp.		BC	No	
Curaleaf Holdings, Inc.	Lead Ventures Inc.	BC	No	
CVF Technologies Corporation	CVF Corporation	US	Yes	1a,1c,1e,3
CWB Core Equity Fund		AB	No	
CWB Onyx Balanced Solution		AB	No	
CWB Onyx Canadian Equity Fund		AB	No	
CWB Onyx Conservative Solution		AB	No	
CWB Onyx Diversified Income Fund		AB	No	
CWB Onyx Global Equity Fund		AB	No	
CWB Onyx Growth Solution		AB	No	
CWC Energy Services Corp.	CWC Well Services Corp.	AB	No	
Cybin Inc.		ON	No	
Cymat Technologies Ltd.	Cymat Corp.	ON	No	
Cymbria Corporation		ON	No	
Cypress Development Corp.		BC	No	
D-Box Technologies Inc.		QC	No	
D2L Inc.		ON	No	
Danier Leather Inc.	Royal Leather Goods Limited		No	
DATA Communications Management Corp.	Data Group Ltd.	ON	No	
Davie Yards Inc.		QC	No	
Deans Knight Income Corporation*		BC	No	
Decibel Cannabis Company Inc.	Westleaf Inc.	AB	No	
Decisive Dividend Corporation		BC	No	
Decourcy Capital Corp.		BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
DeepSpatial Inc.	Aylen Capital Inc.	ON	No	
Defense Metals Corp.		BC	No	
Defiance Mining Corporation			No	
Defiance Silver Corp.		BC	No	
Definity Financial Corporation		ON	No	
Delta 9 Cannabis Inc.		MB	No	
Denbury Resources Inc.		AB	No	
Denison Mines Corp.	International Uranium Corporation	ON	No	
Denninghouse Inc.	A Buck Or Two Stores Corporation	ON	Yes	1a,1b,3
dentalcorp Holdings Ltd.	Dentalcorp Overbite Ltd.	ON	No	
Descartes Systems Group Inc., The		ON	No	
Desjardins 1-5 year Laddered Canadian Corporate Bond Index ETF		QC	No	
Desjardins 1-5 year Laddered Canadian Government Bond Index ETF		QC	No	
Desjardins Alt Long/Short Equity Market Neutral ETF		QC	No	
Desjardins Alt Long/Short Equity Market Neutral ETF Fund		QC	No	
Desjardins Alt Long/Short Global Equity Markets ETF		QC	No	
Desjardins American Equity Growth Currency Neutral Fund		QC	No	
Desjardins American Equity Growth Fund	Desjardins CI Value Trust Corporate Class Fund	QC	No	
Desjardins American Equity Value Fund		QC	No	
Desjardins Canada Multifactor-Controlled Volatility ETF		QC	No	
Desjardins Canadian Bond Fund	Desjardins Bond Fund	QC	No	
Desjardins Canadian Equity Fund		QC	No	
Desjardins Canadian Equity Income Fund		QC	No	
Desjardins Canadian Equity Value Fund		QC	No	
Desjardins Canadian Preferred Share Fund		QC	No	
Desjardins Canadian Preferred Share Index ETF		QC	No	
Desjardins Canadian Short Term Bond Index ETF		QC	No	
Desjardins Canadian Small Cap Equity Fund	Desjardins Growth Fund	QC	No	
Desjardins Canadian Universe Bond Index ETF		QC	No	
Desjardins Developed ex-USA ex-Canada Multifactor-Controlled		QC	No	
Desjardins Dividend Growth Fund		QC	No	
Desjardins Dividend Income Fund	Desjardins Dividend Fund	QC	No	
Desjardins Emerging Markets Bond Fund		QC	No	
Desjardins Emerging Markets Fund		QC	No	
Desjardins Emerging Markets Multifactor-Controlled Volatility ETF		QC	No	
Desjardins Emerging Markets Opportunities Fund		QC	No	
Desjardins Enhanced Bond Fund		QC	No	
Desjardins Floating Rate Income Fund		QC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Desjardins Global Balanced Growth Fund	Desjardins Tactical Balanced Fund	QC	No	
Desjardins Global Balanced Strategic Income Fund		QC	No	
Desjardins Global Corporate Bond Fund		QC	No	
Desjardins Global Dividend Fund	Desjardins Global Equity Value Fund	QC	No	
Desjardins Global Equity Fund		QC	No	
Desjardins Global Equity Growth Fund		QC	No	
Desjardins Global Government Bond Index Fund		QC	No	
Desjardins Global High Yield Bond Fund		QC	No	
Desjardins Global Infrastructure Fund		QC	No	
Desjardins Global Managed Bond Fund		QC	No	
Desjardins Global Small Cap Equity Fund	Desjardins Fidelity Small Cap America Fund	QC	No	
Desjardins Global Tactical Bond Fund		QC	No	
Desjardins Global Total Return Bond Fund	Desjardins Global Inflation Linked Bond Fund	QC	No	
Desjardins International Equity Value Fund		QC	No	
Desjardins Low Volatility Canadian Equity Fund		QC	No	
Desjardins Low Volatility Global Equity Fund	Desjardins IBrix Low Volatility Global Equity Fund	QC	No	
Desjardins Money Market Fund		QC	No	
Desjardins Overseas Equity Fund	Desjardins Overseas Equity Value Fund	QC	No	
Desjardins Overseas Equity Growth Fund		QC	No	
Desjardins Quebec Balanced Fund	Desjardins Quebec Fund	QC	No	
Desjardins RI Active Canadian Bond - Low CO2 ETF		QC	No	
Desjardins RI Canada - Low CO2 Index ETF		QC	No	
Desjardins RI Canada Multifactor - Low CO2 ETF		QC	No	
Desjardins RI Developed ex-USA ex-Canada - Low CO2 Index ETF		QC	No	
Desjardins RI Developed ex-USA ex-Canada Multifactor - Low CO2 ETF		QC	No	
Desjardins RI Emerging Markets - Low CO2 Index ETF		QC	No	
Desjardins RI Emerging Markets Multifactor - Low CO2 ETF		QC	No	
Desjardins RI Global Multifactor - Fossil Fuel Reserves Free ETF		QC	No	
Desjardins RI USA - Low CO2 Index ETF		QC	No	
Desjardins RI USA Multifactor - Low CO2 ETF		QC	No	
Desjardins Short-Term Income Fund	Desjardins Mortgage Fund	QC	No	
Desjardins SocieTerra American Equity ETF		QC	No	
Desjardins SocieTerra American Equity Fund		QC	No	
Desjardins SocieTerra American Small Cap Equity Fund		QC	No	
Desjardins SocieTerra Canadian Bond Fund		QC	No	
Desjardins SocieTerra Canadian Equity Fund		QC	No	
Desjardins SocieTerra Canadian Equity Income Fund		QC	No	
Desjardins SocieTerra Cleantech Fund		QC	No	
Desjardins SocieTerra Diversity Fund		QC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Desjardins SocieTerra Emerging Markets Bond Fund		QC	No	
Desjardins SocieTerra Emerging Markets Equity Fund		QC	No	
Desjardins SocieTerra Environmental Bond Fund		QC	No	
Desjardins SocieTerra Global Balanced Fund		QC	No	
Desjardins SocieTerra Global Bond Fund		QC	No	
Desjardins SocieTerra Global Corporate Bond Fund		QC	No	
Desjardins SocieTerra Global Dividend Fund		QC	No	
Desjardins SocieTerra Global Managed Bond Fund		QC	No	
Desjardins SocieTerra Global Opportunities Fund	Desjardins SocieTerra Environment Fund	QC	No	
Desjardins SocieTerra International Equity Fund		QC	No	
Desjardins SocieTerra International Small Cap Equity Fund		QC	No	
Desjardins SocieTerra Low Volatility Global Equity Fund		QC	No	
Desjardins SocieTerra Positive Change Fund		QC	No	
Desjardins SocieTerra Short-Term Income Fund		QC	No	
Desjardins USA Multifactor-Controlled Volatility ETF		QC	No	
Deutsche Bank Aktiengesellschaft		ON	No	
Deveron Corp.	Deveron UAS Corp.	ON	No	
Devine Entertainment Corporation		ON	Yes	1a,1b,1c,1e,3
Dexterra Group Inc.	Horizon North Logistics Inc.	AB	No	
DFA Canadian Core Equity Fund		BC	No	
DFA Canadian Vector Equity Fund		BC	No	
DFA Five-Year Global Fixed Income Fund	Five-Year Global Fixed Income Fund	BC	No	
DFA Global 40EQ-60FI Portfolio	DFA Global Conservative Fund	BC	No	
DFA Global 50EQ-50FI Portfolio		ON	No	
DFA Global 60EQ-40FI Portfolio	DFA Global Balanced Fund	BC	No	
DFA Global 70EQ-30FI Portfolio		ON	No	
DFA Global 80EQ-20FI Portfolio		BC	No	
DFA Global Equity Portfolio	DFA Global Equity Fund	BC	No	
DFA Global Fixed Income Portfolio		BC	No	
DFA Global Investment Grade Fixed Income Fund		BC	No	
DFA Global Real Estate Securities Fund		BC	No	
DFA Global Sustainability Core Equity Fund		BC	No	
DFA Global Targeted Credit Fund		ON	No	
DFA International Core Equity Fund		BC	No	
DFA International Vector Equity Fund	DFA International Value Fund	BC	No	
DFA U.S. Core Equity Fund	DFA U.S. Applied Core Equity Fund	BC	No	
DFA U.S. Vector Equity Fund	DFA U.S. Value Fund	BC	No	
DFA World Equity Portfolio		BC	No	
DGTL Holdings Inc.	Conscience Capital Inc.	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Dialogue Health Technologies Inc.		QC	No	
Digihost Technology Inc.		BC	No	
Digital Consumer Dividend Fund*		AB	No	
Dinnerex Limited Partnership Viii		ON	Yes	1a,1b,1c,1e,3
Dinnerex National Iii Limited Partnership		ON	Yes	1a,1b,1c,1e,3
Dinnerex National IV Limited Partnership		ON	Yes	1a,1b,1c,1e,3
Dion Entertainment Corp.	Patcor Capital Inc	BC	Yes	1a,1b,3
DIRTT Environmental Solutions Ltd.		AB	No	
Discovery 2019 Short Duration LP*		AB	No	
Discovery 2020 Short Duration LP*		AB	No	
Discovery 2021 Short Duration LP		ON	No	
Discovery 2022 Short Duration LP		ON	No	
Discovery Air Inc.		ON	No	
Distinct Infrastructure Group Inc.	QE2 Acquisition Corp.	ON	No	
Distinction Balanced Class		QC	No	
Distinction Bold Class		QC	No	
Distinction Conservative Class		QC	No	
Distinction Growth Class		QC	No	
Distinction Prudent Class		QC	No	
Diversified Royalty Corp.	BENEV Capital Inc.	BC	No	
Divestco Inc.		AB	No	
Dividend 15 Split Corp. II*		ON	No	
Dividend 15 Split Corp.*		ON	No	
Dividend Growth Split Corp. (Do Not Use)		ON	No	
Dividend Growth Split Corp.*		ON	No	
Dividend Select 15 Corp.*		ON	No	
DMG Blockchain Solutions Inc.	Aim Explorations Ltd.	BC	No	
DMP Power Global Growth Class		ON	No	
DMP Resource Class	Dynamic Managed Portfolios LTD.	ON	No	
DMP Value Balanced Class		ON	No	
Docebo Inc.		ON	No	
Dollarama Inc.		QC	No	
Doman Building Materials Group Ltd.	CanWel Building Materials Group Ltd.	BC	No	
Doman Industries Limited		BC	No	
Dominion Citrus Income Fund		ON	No	
Domtar Corporation		QC	No	
Donnelley Financial Solutions, Inc.		ON	No	
Dorel Industries Inc.		QC	No	
DoubleLine Income Solutions Trust*		ON	No	
DragonWave Inc.		ON	No	
Dream Impact Trust	Dream Hard Asset Alternatives Trust	ON	No	
Dream Industrial Real Estate Investment Trust	Dundee Industrial Real Estate Investment Trust	ON	No	
Dream Office Real Estate Investment Trust	Dundee Real Estate Investment Trust	ON	No	
Dream Residential Real Estate Investment Trust		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
DREAM Unlimited Corp.		ON	No	
DRI Healthcare Trust		ON	No	
Drone Delivery Canada Corp.	Asher Resources Corporation	ON	No	
Dundee Corporation	Dundee Bancorp Inc.	ON	No	
Dundee Energy Limited	Eurogas Corporation	AB	No	
Dundee Global Resource Class		ON	No	
Dundee Precious Metals Inc.	BGR Precious Metals Inc.	ON	No	
Dye & Durham Limited		ON	No	
Dynamic Active Canadian Dividend ETF	Dynamic iShares Active Canadian Dividend ETF	ON	No	
Dynamic Active Core Bond Private Pool		ON	No	
Dynamic Active Credit Strategies Private Pool		ON	No	
Dynamic Active Crossover Bond ETF	Dynamic iShares Active Crossover Bond ETF	ON	No	
Dynamic Active Crossover Bond Fund		ON	No	
Dynamic Active Discount Bond ETF		ON	No	
Dynamic Active Emerging Markets ETF		ON	No	
Dynamic Active Energy Evolution ETF		ON	No	
Dynamic Active Enhanced Yield Covered Options ETF		ON	No	
Dynamic Active Global Dividend ETF	Dynamic iShares Active Global Dividend ETF	ON	No	
Dynamic Active Global Dividend Fund		ON	No	
Dynamic Active Global Financial Services ETF	Dynamic iShares Active Global Financial Services ETF	ON	No	
Dynamic Active Global Financial Services Fund		ON	No	
Dynamic Active Global Infrastructure ETF		ON	No	
Dynamic Active International Dividend ETF		ON	No	
Dynamic Active International ETF		ON	No	
Dynamic Active Investment Grade Floating Rate ETF	Dynamic iShares Active Investment Grade Floating Rate ETF	ON	No	
Dynamic Active Investment Grade Floating Rate Fund		ON	No	
Dynamic Active Preferred Shares ETF	Dynamic iShares Active Preferred Shares ETF	ON	No	
Dynamic Active Preferred Shares Fund		ON	No	
Dynamic Active Retirement Income+ ETF		ON	No	
Dynamic Active Tactical Bond ETF	Dynamic iShares Active Tactical Bond ETF	ON	No	
Dynamic Active Tactical Bond Fund		ON	No	
Dynamic Active U.S. Dividend ETF	Dynamic iShares Active U.S. Dividend ETF	ON	No	
Dynamic Active U.S. Dividend Fund		ON	No	
Dynamic Active U.S. Mid-Cap ETF	Dynamic iShares Active U.S. Mid-Cap ETF	ON	No	
Dynamic Active U.S. Mid-Cap Fund		ON	No	
Dynamic Advantage Bond Class		ON	No	
Dynamic Advantage Bond Fund	Strategicnova World Convertible Debentures Fund	ON	No	
Dynamic Alpha Performance II Fund		ON	No	
Dynamic Alternative Managed Risk Private Pool Class	Dynamic Alternative Investments Private Pool Class	ON	No	
Dynamic Alternative Yield Class		ON	No	
Dynamic Alternative Yield Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Dynamic American Class	Dynamic American Value Class	ON	No	
Dynamic American Fund	Dynamic American Value Fund	ON	No	
Dynamic Asia Pacific Equity Fund	Dynamic Far East Value Fund	ON	No	
Dynamic Asset Allocation Private Pool		ON	No	
Dynamic Blue Chip Balanced Fund	Dynamic Focus+ Balanced Fund	ON	No	
Dynamic Blue Chip Equity Fund	Dynamic Focus+ Equity Fund	ON	No	
Dynamic Canadian Bond Fund	Dynamic Income Fund	ON	No	
Dynamic Canadian Dividend Fund		ON	No	
Dynamic Canadian Equity Private Pool Class		ON	No	
Dynamic Canadian Value Class		ON	No	
Dynamic Conservative Yield Private Pool		ON	No	
Dynamic Conservative Yield Private Pool Class		ON	No	
Dynamic Corporate Bond Strategies Class		ON	No	
Dynamic Corporate Bond Strategies Fund		ON	No	
Dynamic Credit Absolute Return II Fund		ON	No	
Dynamic Credit Spectrum Fund	Dynamic High Yield Credit Fund	ON	No	
Dynamic Diversified Inflation Focused Fund	Dynamic Diversified Real Asset Fund	ON	No	
Dynamic Dividend Advantage Class		ON	No	
Dynamic Dividend Advantage Fund	Dynamic Dividend Value Fund	ON	No	
Dynamic Dividend Fund		ON	No	
Dynamic Dividend Income Class		ON	No	
Dynamic Dividend Income Fund	Hathaway Dividend Income Fund	ON	No	
Dynamic Dollar-Cost Averaging Fund	Dundee Dollar-Cost Averaging Fund	ON	No	
Dynamic Emerging Markets Equity Fund		ON	No	
Dynamic Energy Evolution Fund		ON	No	
Dynamic Energy Income Fund	Dynamic Focus+ Energy Income Trust Fund	ON	No	
Dynamic Equity Income Fund	Dynamic Focus+ Diversified Income Fund	ON	No	
Dynamic European Equity Fund	Dynamic European Value Fund	ON	No	
Dynamic Financial Services Fund	Dynamic Focus+ Wealth Management Fund	ON	No	
Dynamic Global All-Terrain Fund		ON	No	
Dynamic Global Asset Allocation Class		ON	No	
Dynamic Global Asset Allocation Fund	Dynamic Global Value Balanced Fund	ON	No	
Dynamic Global Balanced Fund		ON	No	
Dynamic Global Discovery Class		ON	No	
Dynamic Global Discovery Fund	Dynamic U.S. Small Cap Value Fund	ON	No	
Dynamic Global Dividend Class	Dynamic Global Dividend Value Class	ON	No	
Dynamic Global Dividend Fund	Dynamic Global Dividend Value Fund	ON	No	
Dynamic Global Equity Fund		ON	No	
Dynamic Global Equity Income Fund		ON	No	
Dynamic Global Equity Private Pool Class		ON	No	
Dynamic Global Fixed Income Fund		ON	No	
Dynamic Global Infrastructure Class		ON	No	
Dynamic Global Infrastructure Fund		ON	No	
Dynamic Global Real Estate Fund	Dynamic Focus+ Real Estate Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Dynamic Global Strategic Yield Fund		ON	No	
Dynamic Global Yield Private Pool		ON	No	
Dynamic Global Yield Private Pool Class		ON	No	
Dynamic High Yield Bond Fund	Dynamic Canadian High Yield Bond Fund I	ON	No	
Dynamic International Discovery Fund		ON	No	
Dynamic International Dividend Private Pool		ON	No	
Dynamic International Equity Fund	Dynamic Global Value Fund	ON	No	
Dynamic Investment Grade Floating Rate Fund		ON	No	
Dynamic Liquid Alternatives Private Pool		ON	No	
Dynamic Money Market Class		ON	No	
Dynamic Money Market Fund		ON	No	
Dynamic North American Dividend Private Pool		ON	No	
Dynamic Power American Growth Class	Dynamic Power U.S. Growth Class	ON	No	
Dynamic Power American Growth Fund	Dynamic Power American Fund	ON	No	
Dynamic Power Balanced Fund	Power Balanced Fund	ON	No	
Dynamic Power Canadian Growth Fund	Dynamic Canadian Growth Fund	ON	No	
Dynamic Power Global Balanced Class		ON	No	
Dynamic Power Global Growth Class	Dynamic Power International Growth Class	ON	No	
Dynamic Power Global Growth Fund		ON	No	
Dynamic Power Global Navigator Class		ON	No	
Dynamic Power Growth & Dividend Fund*		ON	No	
Dynamic Power Small Cap Fund	Strategicnova Canadian Small Cap Fund	ON	No	
Dynamic Precious Metals Fund	Dynamic Canadian Precious Metals Fund	ON	No	
Dynamic Preferred Yield Class		ON	No	
Dynamic Premium Bond Private Pool		ON	No	
Dynamic Premium Bond Private Pool Class		ON	No	
Dynamic Premium Yield Class		ON	No	
Dynamic Premium Yield Fund		ON	No	
Dynamic Premium Yield PLUS Fund		ON	No	
Dynamic Real Estate & Infrastructure Income II Fund		ON	No	
Dynamic Retirement Income+ Fund		ON	No	
Dynamic Short Term Bond Fund		ON	No	
Dynamic Short Term Credit PLUS Fund		ON	No	
Dynamic Small Business Fund	Dynamic Focus+ Small Business Fund	ON	No	
Dynamic Strategic Energy Class	Dynamic Global Energy Class	ON	No	
Dynamic Strategic Gold Class		ON	No	
Dynamic Strategic Resource Class		ON	No	
Dynamic Strategic Yield Class		ON	No	
Dynamic Strategic Yield Fund		ON	No	
Dynamic Sustainable Credit Fund	Dynamic Sustainable Credit Private Pool	ON	No	
Dynamic Sustainable Equity Fund		ON	No	
Dynamic Tactical Bond Private Pool		ON	No	
Dynamic Total Return Bond Class	Dynamic Aurion Total Return Bond Class	ON	No	
Dynamic Total Return Bond Fund	Dynamic Aurion Total Return Bond Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Dynamic U.S. Balanced Class	Dynamic Blue Chip U.S. Balanced Class	ON	No	
Dynamic U.S. Dividend Advantage Fund	Dynamic U.S. Dividend Advantage Class	ON	No	
Dynamic U.S. Equity Income Fund		ON	No	
Dynamic U.S. Equity Private Pool Class		ON	No	
Dynamic U.S. Monthly Income Fund	Dynamic U.S. Value Balanced Fund	ON	No	
Dynamic U.S. Sector Focus Class		ON	No	
Dynamic U.S. Strategic Yield Fund		ON	No	
Dynamic Value Balanced Class		ON	No	
Dynamic Value Balanced Fund	Strategicnova Canadian Balanced Fund	ON	No	
Dynamic Value Fund of Canada	Dynamic Fund Of Canada	ON	No	
DynamicEdge Balanced Class Portfolio		ON	No	
DynamicEdge Balanced Growth Class Portfolio		ON	No	
DynamicEdge Balanced Growth Portfolio		ON	No	
DynamicEdge Balanced Income Portfolio	Dynamic Strategic Income Portfolio	ON	No	
DynamicEdge Balanced Portfolio		ON	No	
DynamicEdge Conservative Class Portfolio		ON	No	
DynamicEdge Defensive Portfolio		ON	No	
DynamicEdge Equity Class Portfolio		ON	No	
DynamicEdge Equity Portfolio		ON	No	
DynamicEdge Growth Class Portfolio		ON	No	
DynamicEdge Growth Portfolio		ON	No	
E Automotive Inc.		ON	No	
E Split Corp.*		ON	No	
E-L Financial Corporation Limited		ON	No	
E-Tech Resources Inc.	Battery Road Capital Corp.	NS	No	
E.D. Smith Income Fund		ON	No	
E2Gold Inc.		ON	No	
E3 Lithium Ltd.	E3 Metals Corp.	AB	No	
Eagle Credit Card Trust		ON	No	
EarthRenew Inc.	Valencia Ventures Inc.	ON	No	
Eastern Platinum Limited		BC	No	
Eat & Beyond Global Holdings Inc.		BC	No	
ECN Capital Corp.		ON	No	
Eco Oro Minerals Corp.	Greystar Resources Ltd.	BC	No	
Ecopia Biosciences Inc.		QC	No	
EcoSynthetix Inc.		ON	No	
Eden Empire Inc.		BC	Yes	1a,1c,1e,3
EdgePoint Canadian Growth & Income Portfolio		ON	No	
EdgePoint Canadian Portfolio		ON	No	
EdgePoint Global Growth & Income Portfolio		ON	No	
EdgePoint Global Portfolio		ON	No	
EdgePoint Income Portfolio		ON	No	
EdgePoint Monthly Income Portfolio		ON	No	
eFunds Bloomberg U.S. Internet Index Fund*		ON	Yes	1a,1b,1c,3

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Eguana Technologies Inc.	Sustainable Energy Technologies Ltd.	AB	No	
EHP Advantage Alternative Fund		ON	No	
EHP Advantage International Alternative Fund		ON	No	
EHP Foundation Alternative Fund	EHP Guardian Alternative Fund	ON	No	
EHP Foundation International Alternative Fund	EHP Guardian International Alternative Fund	ON	No	
EHP Global Arbitrage Alternative Fund		ON	No	
EHP Global ESG Leaders Alternative Fund		ON	No	
EHP Global Multi-Strategy Alternative Fund	EHP Global Multi-Strategy Fund	ON	No	
EHP Multi-Asset Absolute Return Alternative Fund		ON	No	
EHP Select Alternative Fund		ON	No	
EHP Strategic Income Alternative Fund		ON	No	
Elcora Advanced Materials Corp.	Elcora Resources Corp.	NS	No	
Eldorado Gold Corporation		BC	No	
Electra Battery Materials Corporation	First Cobalt Corp.	ON	No	
Electric Royalties Ltd.		BC	No	
Electrovaya Inc.	Electrofuel Inc.	ON	No	
Element Fleet Management Corp.	Element Financial Corporation	ON	No	
Element Nutritional Sciences Inc.	PJ1 Capital Corp.	BC	No	
Element79 Gold Corp.		BC	Yes	1a,1c,1e,3
Elemental Royalties Corp.		BC	No	
Elevation Gold Mining Corporation		BC	No	
Eloro Resources Ltd.		ON	No	
Else Nutrition Holdings Inc.		BC	No	
Emblem Corp.	Saber Capital Corp.	ON	No	
Emera Incorporated	NS Power Holdings Incorporated_Effct 10/7/2000	NS	No	
Emerge ARK AI & Big Data ETF	Emerge ARK Artificial Intelligence ETF	ON	No	
Emerge ARK Autonomous Tech & Robotics ETF	Emerge ARK Autonomous Technology ETF	ON	No	
Emerge ARK Fintech Innovation ETF		ON	No	
Emerge ARK Genomics & Biotech ETF	Emerge ARK Genomic Revolution ETF	ON	No	
Emerge ARK Global Disruptive Innovation ETF		ON	No	
Emerge ARK Space Exploration ETF	Emerge ARK Space Exploration Fund	ON	No	
Emerge Commerce Ltd.		ON	No	
Emerge EMPWR Sustainable Dividend Equity ETF		ON	No	
Emerge EMPWR Sustainable Emerging Markets Equity ETF		ON	No	
Emerge EMPWR Sustainable Global Core Equity ETF		ON	No	
Emerge EMPWR Sustainable Select Growth Equity ETF		ON	No	
Emerge EMPWR Unified Sustainable Equity ETF		ON	No	
Emerging Markets Equity Corporate Class		ON	No	
Emerging Markets Equity Fund	Primus Capital Advisors Emerging Markets Equity Fund		No	
Emerging Markets Equity Pool		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Empire Alliance Properties Inc.	Commonwealth Richmond Properties Inc	ON	Yes	1a,1b,3
Empire Company Limited		NS	No	
Empire Exchangeco LTD.		ON	Yes	1a,1b,3
Empire Life Dividend Growth Mutual Fund		ON	No	
Empire Life Emblem Aggressive Growth Portfolio		ON	No	
Empire Life Emblem Balanced Portfolio		ON	No	
Empire Life Emblem Conservative Portfolio		ON	No	
Empire Life Emblem Diversified Income Portfolio		ON	No	
Empire Life Emblem Growth Portfolio		ON	No	
Empire Life Emblem Moderate Growth Portfolio		ON	No	
Empire Life Insurance Company, The		ON	No	
Empire Life Monthly Income Mutual Fund		ON	No	
Empress Royalty Corp.		BC	No	
Enablence Technologies Inc.		ON	No	
Enbridge Gas Inc.		ON	No	
Enbridge Inc.	Ipl Energy Inc.	AB	No	
Enbridge Pipelines Inc.	Interprovincial Pipe Line INC.	AB	No	
enCore Energy Corp.		BC	No	
Endeavour Mining plc		BC	No	
Endeavour Silver Corp.		BC	No	
Endo International plc		QC	No	
Enerflex Ltd.		AB	No	
Energir Inc.	Gaz Metro Inc.	QC	No	
Energy Credit Opportunities Income Fund*		ON	No	
Energy Fuels Inc.	Volanic Metals Exploration Inc.	ON	No	
Energy Income Fund*	Sustainable Production Energy Trust	ON	No	
Enerplus Corporation		AB	No	
Engine Gaming and Media, Inc.	Engine Media Holdings, Inc.	ON	No	
Enhanced Income Portfolio		ON	No	
EnQuest Energy Services Corp.	Sikanni Services LTD.	AB	No	
Enseco Energy Services Corp.		AB	No	
Enterprise Group, Inc.		AB	No	
Entheon Biomedical Corp		BC	No	
Enthusiast Gaming Holdings Inc.		ON	No	
Entourage Health Corp.	WeedMD Inc.	ON	No	
ENTREC Corporation	Entrec Transportation Services Ltd.	AB	No	
Entree Resources Ltd.	Entree Gold Inc.	BC	No	
EnWave Corporation		BC	No	
EPCOR Utilities Inc.		AB	No	
Epoch Global Equity Class	TD Global Growth Class	ON	No	
Epoch Global Equity Fund	TD Global Growth Fund	ON	No	
Epoch Global Shareholder Yield Fund	TD Global Dividend Fund	ON	No	
Epoch International Equity Fund	TD International Equity Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Epoch U.S. Large-Cap Value Class	TD U.S. Large-Cap Value Class	ON	No	
Epoch U.S. Large-Cap Value Fund	TD U.S. Large-Cap Value Fund	ON	No	
Epoch U.S. Shareholder Yield Fund	TD U.S. Shareholder Yield Fund	ON	No	
Epsilon Energy Ltd.		AB	No	
EQ Inc.	Cyberplex Inc.	ON	No	
Equinox Gold Corp.	Trek Mining Inc.	BC	No	
Equitable Group Inc.		ON	No	
Erdene Resource Development Corporation	Erdene Gold Inc.	NS	No	
Ero Copper Corp.		BC	No	
ESE Entertainment Inc.		BC	No	
ESG Global Impact Capital Inc.	Block One Capital Inc.	ON	No	
Essential Energy Services Ltd.		AB	No	
Ether Capital Corporation		ON	No	
Ether ETF		ON	No	
Ethical Advantage 2015 Fund		BC	No	
Ethical Advantage 2020 Fund		BC	No	
Ethical Advantage 2030 Fund		BC	No	
Ethical Advantage 2040 Fund		BC	No	
Ethical Canadian Index Fund		BC	No	
Ethical Canadian Stock Fund	EFI Canadian Stock Fund	ON	No	
Ethical Monthly Income Fund		BC	No	
Eupraxia Pharmaceuticals Inc.		BC	No	
EURO Ressources S.A.	Guyanor Ressources S.A.	BC	No	
Euro Sun Mining Inc.	Carpathian Gold Inc.	ON	No	
Eurogas International Inc.		ON	Yes	1a,1c,1e,3
European Residential Real Estate Investment Trust	European Commercial Real Estate Investment Trust	ON	No	
Euston Capital Corp.		ON	Yes	1a,1b,1c,1e,3
EV Nickel Inc.		ON	No	
EverGen Infrastructure Corp.		BC	No	
Evermore Retirement ETF 2025		ON	No	
Evermore Retirement ETF 2030		ON	No	
Evermore Retirement ETF 2035		ON	No	
Evermore Retirement ETF 2040		ON	No	
Evermore Retirement ETF 2045		ON	No	
Evermore Retirement ETF 2050		ON	No	
Evermore Retirement ETF 2055		ON	No	
Evermore Retirement ETF 2060		ON	No	
Evertz Technologies Limited		ON	No	
Evolve Active Canadian Preferred Share Fund	Evolve Active Canadian Preferred Share ETF	ON	No	
Evolve Active Core Fixed Income Fund	Evolve Active Core Fixed Income ETF	BC	No	
Evolve Active Global Fixed Income Fund	Evolve Active Global Fixed Income ETF	ON	No	
Evolve Automobile Innovation Index Fund	Evolve Automobile Innovation Index ETF	ON	No	
Evolve Canadian Banks and Lifecos Enhanced Yield Index Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Evolve Cloud Computing Index Fund		ON	No	
Evolve Cryptocurrencies ETF		ON	No	
Evolve Cyber Security Index Fund	Evolve Cyber Security Index ETF	ON	No	
Evolve E-Gaming Index ETF		ON	No	
Evolve Enhanced FANGMA Index ETF		ON	No	
Evolve European Banks Enhanced Yield ETF		ON	No	
Evolve FANGMA Index ETF		ON	No	
Evolve Future Leadership Fund		ON	No	
Evolve Global Healthcare Enhanced Yield Fund	Evolve Global Healthcare Enhanced Yield ETF	ON	No	
Evolve Global Materials & Mining Enhanced Yield Index ETF		ON	No	
Evolve Gold Miners Fund*		ON	No	
Evolve Innovation Index ETF	Evolve Innovation Index ETF	ON	No	
Evolve Metaverse ETF		ON	No	
Evolve S&P 500 CleanBeta Fund		ON	No	
Evolve S&P 500 Enhanced Yield Fund		ON	No	
Evolve S&P/TSX 60 CleanBeta Fund		ON	No	
Evolve S&P/TSX 60 Enhanced Yield Fund		ON	No	
Evolve Slate Global Real Estate Enhanced Yield Fund		ON	No	
Evolve US Banks Enhanced Yield Fund	Evolve US Banks Enhanced Yield ETF	ON	No	
Evolved Digital Systems Inc.	Itec-Mineral Inc.	QC	No	
Exall Energy Corporation		AB	No	
Excel India Trust*			No	
Excellon Resources Inc.		ON	No	
Excelsior Mining Corp.		BC	No	
Exchange Income Corporation	HMY Airways Inc.	MB	No	
Exemplar Global Growth and Income Class		ON	No	
Exemplar Growth and Income Fund		ON	No	
Exemplar Investment Grade Fund		ON	No	
Exemplar Performance Fund		ON	No	
Exro Technologies Inc.		AB	No	
Extendicare Inc.		ON	No	
Exxon Mobil (XOM) Yield Shares		ON	No	
Facedrive Inc.	High Mountain Capital Corporation	ON	No	
Faircourt Gold Income Corp.*		ON	No	
Faircourt Split Trust*		ON	No	
Fairfax Financial Holdings Limited		ON	No	
Fairfax India Holdings Corporation*		ON	No	
Falco Resources Ltd.	Falco Pacific Resource Group Inc.	QC	No	
Falcon Oil & Gas Ltd.		BC	No	
Falcon Well Services LTD.		AB	Yes	1a,1b
Family Single Student Education Savings Plan*	USC Family Single Student Education Savings Plan	ON	No	
Fantom Technologies Inc.	Iona Appliances Inc.	ON	Yes	1a,1b,3

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Faraday Copper Corp		BC	No	
Farallon Mining Ltd.	Farallon Resources Ltd.	BC	No	
Farmers Edge Inc.		MB	No	
Fathom Nickel Inc.		AB	No	
Federated Hermes Strategic Value U.S. Equity Dividend Fund*	Federated Strategic Value U.S. Equity Dividend Fund	BC	No	
Fédération des caisses Desjardins du Québec		QC	No	
Fennec Pharmaceuticals Inc.	Adherex Technologies Inc.	ON	No	
Feronia Inc.	G.T.M. Capital Corporation	ON	No	
FG Acquisition Corp.		ON	No	
Fidelity - IG Canadian Equity Pool*		MB	No	
Fidelity Advantage Bitcoin ETF		ON	No	
Fidelity Advantage Bitcoin ETF Fund		ON	No	
Fidelity Advantage Ether ETF		ON	No	
Fidelity Advantage Ether ETF Fund		ON	No	
Fidelity All-in-One Balanced ETF		ON	No	
Fidelity All-in-One Balanced ETF Fund		ON	No	
Fidelity All-in-One Conservative ETF		ON	No	
Fidelity All-in-One Conservative ETF Fund		ON	No	
Fidelity All-in-One Equity ETF		ON	No	
Fidelity All-in-One Equity ETF Fund		ON	No	
Fidelity All-in-One Growth ETF		ON	No	
Fidelity All-in-One Growth ETF Fund		ON	No	
Fidelity American Balanced Currency Neutral Fund		ON	No	
Fidelity American Balanced Fund		ON	No	
Fidelity American Disciplined Equity Class		ON	No	
Fidelity American Disciplined Equity Currency Neutral Class		ON	No	
Fidelity American Disciplined Equity Fund		ON	No	
Fidelity American Equity Class	Fidelity American Value Class	ON	No	
Fidelity American Equity Currency Neutral Class		ON	No	
Fidelity American Equity Fund	Fidelity American Value Fund	ON	No	
Fidelity American Equity Systematic Currency Hedged Fund		ON	No	
Fidelity American High Yield Currency Neutral Fund	Fidelity American High Yield Currency Hedged Fund	ON	No	
Fidelity American High Yield Fund	Fidelity Emerging Markets Bond Fund	ON	No	
Fidelity AsiaStar Class		ON	No	
Fidelity AsiaStar Fund	Fidelity Asia Pacific Fund	ON	No	
Fidelity Asset Allocation Currency Neutral Private Pool	Fidelity Tactical Asset Allocation Currency Neutral Private Pool	ON	No	
Fidelity Asset Allocation Private Pool	Fidelity Tactical Asset Allocation Private Pool	ON	No	
Fidelity Asset Allocation Private Pool Trust		ON	No	
Fidelity Balanced Class Portfolio	Fidelity Portfolio Class Model 3	ON	No	
Fidelity Balanced Currency Neutral Private Pool		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Fidelity Balanced Income Currency Neutral Private Pool		ON	No	
Fidelity Balanced Income Private Pool	Fidelity Balanced Income Pool	ON	No	
Fidelity Balanced Income Private Pool Trust		ON	No	
Fidelity Balanced Managed Risk Portfolio	Fidelity Multi-Asset Balanced Fund	ON	No	
Fidelity Balanced Portfolio	Fidelity Portfolio Fund Model 3	ON	No	
Fidelity Balanced Private Pool	Fidelity Balanced Pool	ON	No	
Fidelity Balanced Private Pool Trust		ON	No	
Fidelity Canadian Asset Allocation Class		ON	No	
Fidelity Canadian Asset Allocation Fund		ON	No	
Fidelity Canadian Balanced Class		ON	No	
Fidelity Canadian Balanced Fund		ON	No	
Fidelity Canadian Bond Fund	Fidelity Government Bond Fund	ON	No	
Fidelity Canadian Core Equity Fund		ON	No	
Fidelity Canadian Disciplined Equity Class	Fidelity Disciplined Equity Class	ON	No	
Fidelity Canadian Disciplined Equity Fund	Fidelity Disciplined Equity Fund	ON	No	
Fidelity Canadian Equity Multi-Asset Base Fund	Fidelity Canadian Equity Investment Trust	ON	No	
Fidelity Canadian Equity Private Pool	Fidelity Canadian Equity Pool	ON	No	
Fidelity Canadian Focused Equity Multi-Asset Base Fund	Fidelity Canadian Focused Equity Investment Trust	ON	No	
Fidelity Canadian Fundamental Equity Multi-Asset Base Fund		ON	No	
Fidelity Canadian Government Long Bond Index Multi-Asset Base Fund		ON	No	
Fidelity Canadian Growth Company Class		ON	No	
Fidelity Canadian Growth Company Fund	Fidelity Growth Company Fund		No	
Fidelity Canadian High Dividend Index ETF		ON	No	
Fidelity Canadian High Dividend Index ETF Fund		ON	No	
Fidelity Canadian High Quality Index ETF		ON	No	
Fidelity Canadian High Quality Index ETF Fund		ON	No	
Fidelity Canadian Large Cap Class		ON	No	
Fidelity Canadian Large Cap Fund	Fidelity Capital Builder Fund	ON	No	
Fidelity Canadian Large Cap Multi-Asset Base Fund		ON	No	
Fidelity Canadian Low Volatility Index ETF		ON	No	
Fidelity Canadian Low Volatility Index ETF Fund		ON	No	
Fidelity Canadian Momentum Index ETF		ON	No	
Fidelity Canadian Money Market Fund	Fidelity Canadian Short Term Asset Fund	ON	No	
Fidelity Canadian Money Market Investment Trust		ON	No	
Fidelity Canadian Monthly High Income ETF		ON	No	
Fidelity Canadian Monthly High Income ETF Fund		ON	No	
Fidelity Canadian Opportunities Class		ON	No	
Fidelity Canadian Opportunities Fund	Fidelity Canadian Aggressive Fund	ON	No	
Fidelity Canadian Real Return Bond Index Multi-Asset Base Fund	Fidelity Canadian Real Return Bond Index Investment Trust	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Fidelity Canadian Short Term Bond Fund	Fidelity Canadian Income Fund	ON	No	
Fidelity Canadian Short Term Corporate Bond ETF		ON	No	
Fidelity Canadian Short Term Corporate Bond ETF Fund		ON	No	
Fidelity Canadian Short Term Fixed Income Multi-Asset Base Fund	Fidelity Canadian Short Term Fixed Income Investment Trust	ON	No	
Fidelity Canadian Short Term Income Class		ON	No	
Fidelity Canadian Value Index ETF		ON	No	
Fidelity CanAm Opportunities Class	Fidelity Active Equity Class	ON	No	
Fidelity CanAm Opportunities Currency Neutral Class	Fidelity Active Equity Currency Neutral Class	ON	No	
Fidelity China Class		ON	No	
Fidelity China Fund		ON	No	
Fidelity Clearpath 2005 Portfolio		ON	No	
Fidelity Clearpath 2010 Portfolio		ON	No	
Fidelity Clearpath 2015 Portfolio		ON	No	
Fidelity Clearpath 2020 Portfolio		ON	No	
Fidelity Clearpath 2025 Portfolio		ON	No	
Fidelity Clearpath 2030 Portfolio		ON	No	
Fidelity Clearpath 2035 Portfolio		ON	No	
Fidelity Clearpath 2040 Portfolio		ON	No	
Fidelity Clearpath 2045 Portfolio		ON	No	
Fidelity ClearPath 2050 Portfolio		ON	No	
Fidelity ClearPath 2055 Portfolio		ON	No	
Fidelity Clearpath Income Portfolio		ON	No	
Fidelity ClearPath® 2060 Portfolio		ON	No	
Fidelity Climate Leadership Balanced Fund		ON	No	
Fidelity Climate Leadership Bond Fund		ON	No	
Fidelity Climate Leadership Fund		ON	No	
Fidelity Concentrated Canadian Equity Multi-Asset Base Fund	Fidelity Concentrated Canadian Equity Investment Trust	ON	No	
Fidelity Concentrated Canadian Equity Private Pool	Fidelity Concentrated Canadian Equity Pool	ON	No	
Fidelity Concentrated Value Investment Trust	Fidelity Canadian Focused Equity Investment Trust	ON	No	
Fidelity Concentrated Value Private Pool	Fidelity Canadian Focused Equity Private Pool	ON	No	
Fidelity Conservative Income Fund		ON	No	
Fidelity Conservative Income Private Pool		ON	No	
Fidelity Conservative Managed Risk Portfolio	Fidelity Multi-Asset Balanced Income Fund	ON	No	
Fidelity Convertible Securities Multi-Asset Base Fund	Fidelity Convertible Securities Investment Trust	ON	No	
Fidelity Corporate Bond Class	Fidelity Corporate Bond Capital Yield Class	ON	No	
Fidelity Corporate Bond Fund		ON	No	
Fidelity Disruptive Automation Class		ON	No	
Fidelity Disruptive Automation Investment Trust		ON	No	
Fidelity Disruptors Class		ON	No	
Fidelity Dividend Class		ON	No	
Fidelity Dividend Fund	Fidelity Canadian Dividend And Income Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Fidelity Dividend Multi-Asset Base Fund	Fidelity Dividend Investment Trust	ON	No	
Fidelity Dividend Plus Class		ON	No	
Fidelity Dividend Plus Fund	Fidelity Income Trust Fund	ON	No	
Fidelity Dividend Plus Multi-Asset Base Fund		ON	No	
Fidelity Emerging Markets Class		ON	No	
Fidelity Emerging Markets Debt Multi-Asset Base Fund	Fidelity Emerging Markets Debt Investment Trust	ON	No	
Fidelity Emerging Markets Equity Income Multi-Asset Base Fund*		ON	No	
Fidelity Emerging Markets Equity Multi-Asset Base Fund	Fidelity Emerging Markets Equity Investment Trust	ON	No	
Fidelity Emerging Markets Fund	Fidelity Emerging Markets Portfolio Fund	ON	No	
Fidelity Emerging Markets Local Currency Debt Multi-Asset Base Fund	Fidelity Emerging Markets Local Currency Debt Investment Trust	ON	No	
Fidelity Europe Class	Fidelity European Growth Class	ON	No	
Fidelity Europe Fund	Fidelity European Growth Fund	ON	No	
Fidelity Event Driven Opportunities Class		ON	No	
Fidelity Event Driven Opportunities Currency Neutral Class*		ON	No	
Fidelity Event Driven Opportunities Fund		ON	No	
Fidelity Far East Class		ON	No	
Fidelity Far East Fund		ON	No	
Fidelity Floating Rate High Income Currency Neutral Fund		ON	No	
Fidelity Floating Rate High Income Currency Neutral Multi-Asset Base*		ON	No	
Fidelity Floating Rate High Income Fund		ON	No	
Fidelity Floating Rate High Income Multi-Asset Base Fund	Fidelity Floating Rate High Income Investment Trust	ON	No	
Fidelity Founders Class		ON	No	
Fidelity Founders Currency Neutral Class		ON	No	
Fidelity Founders Investment Trust		ON	No	
Fidelity Frontier Emerging Markets Fund	Fidelity Latin America Fund	ON	No	
Fidelity Global Asset Allocation Currency Neutral Private Pool		ON	No	
Fidelity Global Asset Allocation Fund	Fidelity Asset Manager Fund	ON	No	
Fidelity Global Asset Allocation Private Pool		ON	No	
Fidelity Global Balanced Class Portfolio	Fidelity Portfolio Class Model 4	ON	No	
Fidelity Global Balanced Portfolio	Fidelity Portfolio Fund Model 4	ON	No	
Fidelity Global Bond Currency Neutral Fund		ON	No	
Fidelity Global Bond Currency Neutral Multi-Asset Base Fund	Fidelity Global Bond Currency Neutral Investment Trust	ON	No	
Fidelity Global Bond Fund		ON	No	
Fidelity Global Bond Multi-Asset Base Fund	Fidelity Global Bond Investment Trust	ON	No	
Fidelity Global Class	Fidelity International Portfolio Class	ON	No	
Fidelity Global Concentrated Equity Class	Fidelity Global Opportunities Class	ON	No	
Fidelity Global Concentrated Equity Currency Neutral Fund		ON	No	
Fidelity Global Concentrated Equity Fund	Fidelity Global Opportunities Fund	ON	No	
Fidelity Global Consumer Industries Class	Fidelity Focus Consumer Industries Class	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Fidelity Global Consumer Industries Fund	Fidelity Focus Consumer Industries Fund	ON	No	
Fidelity Global Core Plus Bond ETF	Fidelity Global Core Plus ETF	ON	No	
Fidelity Global Core Plus Bond ETF Fund	Fidelity Global Core Plus ETF Fund	ON	No	
Fidelity Global Credit Ex-U.S. Currency Neutral Multi-Asset Base Fund		ON	No	
Fidelity Global Credit Ex-U.S. Investment Trust		ON	No	
Fidelity Global Developed Markets Sovereign Bond Index Hedged Multi-As		ON	No	
Fidelity Global Disciplined Equity Class		ON	No	
Fidelity Global Disciplined Equity Currency Neutral Class		ON	No	
Fidelity Global Disciplined Equity Fund		ON	No	
Fidelity Global Dividend Class		ON	No	
Fidelity Global Dividend Fund		ON	No	
Fidelity Global Dividend Investment Trust		ON	No	
Fidelity Global Equity Class Portfolio		ON	No	
Fidelity Global Equity Currency Neutral Private Pool		ON	No	
Fidelity Global Equity Investment Trust		ON	No	
Fidelity Global Equity Portfolio		ON	No	
Fidelity Global Equity Private Pool	Fidelity Global Equity Pool	ON	No	
Fidelity Global Financial Services Class	Fidelity Focus Financial Services Class	ON	No	
Fidelity Global Financial Services Fund	Fidelity Focus Financial Services Fund	ON	No	
Fidelity Global Fund	Fidelity International Portfolio Fund	ON	No	
Fidelity Global Growth and Value Class	Fidelity Core Global Equity Class	ON	No	
Fidelity Global Growth and Value Currency Neutral Class	Fidelity Core Global Equity Currency Neutral Class	ON	No	
Fidelity Global Growth and Value Investment Trust	Fidelity Core Global Equity Investment Trust	ON	No	
Fidelity Global Growth Class Portfolio	Fidelity Portfolio Class Model 6	ON	No	
Fidelity Global Growth Portfolio	Fidelity Portfolio Fund Model 6	ON	No	
Fidelity Global Health Care Class	Fidelity Focus Health Care Class	ON	No	
Fidelity Global Health Care Fund	Fidelity Focus Health Care Fund	ON	No	
Fidelity Global High Yield Multi-Asset Base Fund	Fidelity Global High Yield Investment Trust	ON	No	
Fidelity Global Income Class Portfolio	Fidelity Portfolio Class Model 2	ON	No	
Fidelity Global Income Portfolio	Fidelity Portfolio Fund Model 2	ON	No	
Fidelity Global Inflation-Linked Bond Index Hedged Multi-Asset		ON	No	
Fidelity Global Innovators Class	Fidelity Emerging Technologies Class	ON	No	
Fidelity Global Innovators Currency Neutral Class	Fidelity Emerging Technologies Currency Neutral Class	ON	No	
Fidelity Global Innovators Investment Trust	Fidelity Emerging Technologies Investment Trust	ON	No	
Fidelity Global Intrinsic Value Class		ON	No	
Fidelity Global Intrinsic Value Currency Neutral Class		ON	No	
Fidelity Global Intrinsic Value Fund		ON	No	
Fidelity Global Intrinsic Value Investment Trust	Fidelity Global Small/Mid Cap Equity Investment Trust	ON	No	
Fidelity Global Investment Grade Bond ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Fidelity Global Investment Grade Bond ETF Fund*		ON	No	
Fidelity Global Large Cap Class		ON	No	
Fidelity Global Large Cap Currency Neutral Class		ON	No	
Fidelity Global Large Cap Fund	Fidelity Global Large Cap Equity Fund	ON	No	
Fidelity Global Monthly High Income ETF		ON	No	
Fidelity Global Monthly High Income ETF Fund		ON	No	
Fidelity Global Monthly Income Currency Neutral Fund		ON	No	
Fidelity Global Monthly Income Fund		ON	No	
Fidelity Global Natural Resources Class	Fidelity Focus Natural Resources Class	ON	No	
Fidelity Global Natural Resources Fund	Fidelity Focus Global Natural Resources Fund	ON	No	
Fidelity Global Real Estate Class		ON	No	
Fidelity Global Real Estate Fund		ON	No	
Fidelity Global Real Estate Multi-Asset Base Fund	Fidelity Global Real Estate Investment Trust	ON	No	
Fidelity Global Small Cap Class	Fidelity Global Small Cap Equity Class	ON	No	
Fidelity Global Small Cap Fund	Fidelity Global Small Cap Equity Fund	ON	No	
Fidelity Global Small Cap Opportunities Fund		ON	No	
Fidelity Global Telecommunications Class	Fidelity Focus Telecommunications Class	ON	No	
Fidelity Global Telecommunications Fund	Fidelity Focus Telecommunications Fund	ON	No	
Fidelity Global Value Long/Short Fund		ON	No	
Fidelity Greater Canada Class	Fidelity Canadian Equity Class	ON	No	
Fidelity Greater Canada Fund		ON	No	
Fidelity Growth Class Portfolio	Fidelity Portfolio Class Model 5	ON	No	
Fidelity Growth Portfolio	Fidelity Portfolio Fund Model 5	ON	No	
Fidelity High Income Commercial Real Estate Currency Neutral Multi-		ON	No	
Fidelity High Income Commercial Real Estate Multi-Asset Base Fund	Fidelity High Income Commercial Real Estate Investment Trust	ON	No	
Fidelity Income Allocation Fund	Fidelity Monthly High Income Fund	ON	No	
Fidelity Income Class Portfolio	Fidelity Portfolio Class Model 1	ON	No	
Fidelity Income Portfolio	Fidelity Portfolio Fund Model 1	ON	No	
Fidelity Inflation-Focused Fund		ON	No	
Fidelity Insights Class	Fidelity Global Growth Equity Class	ON	No	
Fidelity Insights Currency Neutral Class	Fidelity Global Growth Equity Currency Neutral Class	ON	No	
Fidelity Insights Currency Neutral Multi-Asset Base Fund		ON	No	
Fidelity Insights Investment Trust	Fidelity Global Growth Equity Investment Trust	ON	No	
Fidelity Insights Systematic Currency Hedged Fund		ON	No	
Fidelity International Concentrated Equity Currency Neutral Fund		ON	No	
Fidelity International Concentrated Equity Fund	Fidelity International Value Fund	ON	No	
Fidelity International Disciplined Equity Class		ON	No	
Fidelity International Disciplined Equity Currency Neutral Class		ON	No	
Fidelity International Disciplined Equity Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Fidelity International Equity Currency Neutral Investment Trust		ON	No	
Fidelity International Equity Currency Neutral Private Pool		ON	No	
Fidelity International Equity Investment Trust		ON	No	
Fidelity International Equity Multi-Asset Base Fund	Fidelity International Equity Central Fund	ON	No	
Fidelity International Equity Private Pool	Fidelity International Equity Pool	ON	No	
Fidelity International Growth Class	Fidelity Overseas Class	ON	No	
Fidelity International Growth Currency Neutral Multi-Asset Base Fund		ON	No	
Fidelity International Growth Fund	Fidelity Overseas Fund	ON	No	
Fidelity International Growth Multi-Asset Base Fund	Fidelity International Growth Investment Trust	ON	No	
Fidelity International High Dividend Index ETF		ON	No	
Fidelity International High Dividend Index ETF Fund		ON	No	
Fidelity International High Quality Index ETF		ON	No	
Fidelity International High Quality Index ETF Fund		ON	No	
Fidelity International Low Volatility Index ETF		ON	No	
Fidelity International Low Volatility Index ETF Fund		ON	No	
Fidelity International Momentum Index ETF		ON	No	
Fidelity International Value Index ETF		ON	No	
Fidelity Investment Grade Total Bond Currency Neutral Fund		ON	No	
Fidelity Investment Grade Total Bond Fund		ON	No	
Fidelity Japan Class	Fidelity Japanese Growth Class	ON	No	
Fidelity Japan Fund	Fidelity Japanese Growth Fund	ON	No	
Fidelity Long-Term Leaders Currency Neutral Fund		ON	No	
Fidelity Long-Term Leaders Fund		ON	No	
Fidelity Long/Short Alternative Fund		ON	No	
Fidelity Market Neutral Alternative Fund		ON	No	
Fidelity Minerals Corp.	Montan Mining Corp.	BC	No	
Fidelity Monthly Income Class	Fidelity Equity and Income Class	ON	No	
Fidelity Monthly Income Fund	Fidelity Diversified Income & Growth Fund	ON	No	
Fidelity Multi-Asset Innovation Fund		ON	No	
Fidelity Multi-Sector Bond Currency Neutral Fund		ON	No	
Fidelity Multi-Sector Bond Fund		ON	No	
Fidelity Multi-Sector Bond Hedged Multi-Asset Base Fund		ON	No	
Fidelity North American Equity Class		ON	No	
Fidelity North American Equity Investment		ON	No	
Fidelity NorthStar Balanced Currency Neutral Fund		ON	No	
Fidelity NorthStar Balanced Fund		ON	No	
Fidelity NorthStar Class	Fidelity North American Equity Class	ON	No	
Fidelity NorthStar Currency Neutral Class		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Fidelity Northstar Fund		ON	No	
Fidelity Premium Fixed Income Private Pool	Fidelity Fixed Income Pool	ON	No	
Fidelity Premium Fixed Income Private Pool Class	Fidelity Premium Fixed Income Capital Yield Private Pool	ON	No	
Fidelity Premium Money Market Private Pool	Fidelity Money Market Private Pool	ON	No	
Fidelity Premium Tactical Fixed Income Private Pool	Fidelity Premium Tactical Fixed Income Capital Yield Private Pool	ON	No	
Fidelity Small Cap America Class		ON	No	
Fidelity Small Cap America Currency Neutral Class		ON	No	
Fidelity Small Cap America Fund		ON	No	
Fidelity Small Cap America Systematic Currency Hedged Fund		ON	No	
Fidelity Special Situations Class		ON	No	
Fidelity Special Situations Fund	Fidelity Canadian Equity Fund	ON	No	
Fidelity Strategic Income Currency Neutral Fund		ON	No	
Fidelity Strategic Income Fund	Fidelity Global Income Fund	ON	No	
Fidelity Sustainable World ETF		ON	No	
Fidelity Sustainable World ETF Fund		ON	No	
Fidelity Systematic Canadian Bond Index ETF		ON	No	
Fidelity Systematic Canadian Bond Index ETF Fund		ON	No	
Fidelity Systematic U.S. High Yield Bond ETF	Fidelity Fundamental High Yield ETF	ON	No	
Fidelity Tactical Credit Fund	Fidelity Tactical Yield Fund	ON	No	
Fidelity Tactical Fixed Income Fund	Fidelity Total Bond Fund	ON	No	
Fidelity Tactical Global Dividend ETF Fund		ON	No	
Fidelity Tactical High Income Currency Neutral Fund		ON	No	
Fidelity Tactical High Income Fund		ON	No	
Fidelity Tactical Strategies Fund		ON	No	
Fidelity Technology Innovators Class	Fidelity Global Technology Class	ON	No	
Fidelity Technology Innovators Fund	Fidelity Global Technology Fund	ON	No	
Fidelity Total Metaverse Index ETF		ON	No	
Fidelity Total Metaverse Index ETF Fund		ON	No	
Fidelity True North Class		ON	No	
Fidelity True North Fund		ON	No	
Fidelity U.S. All Cap Class	Fidelity U.S. Growth Class	ON	No	
Fidelity U.S. All Cap Currency Neutral Class		ON	No	
Fidelity U.S. All Cap Fund	Fidelity U.S. Growth Fund	ON	No	
Fidelity U.S. Bond Currency Neutral Multi-Asset Base Fund*		ON	No	
Fidelity U.S. Bond Multi-Asset Base Fund	Fidelity U.S. Bond Investment Trust	ON	No	
Fidelity U.S. Core Equity Fund		ON	No	
Fidelity U.S. Dividend Currency Neutral Fund		ON	No	
Fidelity U.S. Dividend for Rising Rates Currency Neutral Index ETF		ON	No	
Fidelity U.S. Dividend for Rising Rates Currency Neutral Index ETF	Fidelity U.S. High Dividend Currency Neutral Index ETF	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Fidelity U.S. Dividend for Rising Rates Index ETF		ON	No	
Fidelity U.S. Dividend for Rising Rates Index ETF Fund		ON	No	
Fidelity U.S. Dividend Fund		ON	No	
Fidelity U.S. Dividend Investment Trust		ON	No	
Fidelity U.S. Dividend Private Pool		ON	No	
Fidelity U.S. Dividend Registered Fund	Fidelity U.S. Dividend Portfolio Fund	ON	No	
Fidelity U.S. Dividend Systematic Currency Hedged Fund		ON	No	
Fidelity U.S. Equity Currency Neutral Private Pool		ON	No	
Fidelity U.S. Equity Investment Trust		ON	No	
Fidelity U.S. Equity Private Pool	Fidelity U.S. Equity Pool	ON	No	
Fidelity U.S. Focused Stock Class	Fidelity Growth America Class	ON	No	
Fidelity U.S. Focused Stock Currency Neutral Class		ON	No	
Fidelity U.S. Focused Stock Fund	Fidelity Growth America Fund	ON	No	
Fidelity U.S. Focused Stock Systematic Currency Hedged Fund		ON	No	
Fidelity U.S. Growth and Income Private Pool		ON	No	
Fidelity U.S. Growth Opportunities Class*		ON	No	
Fidelity U.S. Growth Opportunities Investment Trust		ON	No	
Fidelity U.S. Growth Opportunities Systematic Currency Hedged Class		ON	No	
Fidelity U.S. High Dividend Currency Neutral Index ETF	Fidelity U.S. Dividend for Rising Rates Currency Neutral Index ETF	ON	No	
Fidelity U.S. High Dividend Currency Neutral Index ETF Fund		ON	No	
Fidelity U.S. High Dividend Index ETF		ON	No	
Fidelity U.S. High Dividend Index ETF Fund		ON	No	
Fidelity U.S. High Quality Currency Neutral Index ETF		ON	No	
Fidelity U.S. High Quality Currency Neutral Index ETF Fund		ON	No	
Fidelity U.S. High Quality Index ETF		ON	No	
Fidelity U.S. High Quality Index ETF Fund		ON	No	
Fidelity U.S. Low Volatility Currency Neutral Index ETF		ON	No	
Fidelity U.S. Low Volatility Currency Neutral Index ETF Fund		ON	No	
Fidelity U.S. Low Volatility Index ETF		ON	No	
Fidelity U.S. Low Volatility Index ETF Fund		ON	No	
Fidelity U.S. Momentum Currency Neutral Index ETF		ON	No	
Fidelity U.S. Momentum Index ETF		ON	No	
Fidelity U.S. Money Market Fund		ON	No	
Fidelity U.S. Money Market Investment Trust		ON	No	
Fidelity U.S. Monthly Income Currency Neutral Fund		ON	No	
Fidelity U.S. Monthly Income Fund		ON	No	
Fidelity U.S. Multi-Cap Multi-Asset Base Fund	Fidelity U.S. Multi-Cap Investment Trust	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Fidelity U.S. Small/Mid-Cap Equity Multi-Asset Base Fund	Fidelity U.S. Small/Mid Cap Equity Investment Trust	ON	No	
Fidelity U.S. Value Currency Neutral Index ETF		ON	No	
Fidelity U.S. Value Index ETF		ON	No	
Fidelity Women's Leadership Fund		ON	No	
Fidelity Women's Leadership Systematic Currency Hedged Fund		ON	No	
Field Trip Health & Wellness Ltd.		ON	No	
Fiera Capital Corporation	Fiera Sceptre Inc.	QC	No	
Filament Health Corp.		BC	No	
Filo Mining Corp.		BC	No	
Financial 15 Split Corp.		ON	No	
Finning International Inc.	Finning Limited	BC	No	
Firan Technology Group Corporation	Circuit World Corporation/CORPORATION CIRCUIT WORLD	ON	No	
Fire & Flower Holdings Corp.	Cinaport Acquisition Corp. II	ON	No	
FireFox Gold Corp.		BC	No	
Firm Capital American Realty Partners Trust		ON	No	
Firm Capital Mortgage Investment Corporation*		ON	No	
Firm Capital Property Trust		ON	No	
First Asset Canadian Dividend Opportunity Fund		ON	No	
First Asset Utility Plus Fund	Criterion Utility Plus Fund	ON	No	
First Capital Real Estate Investment Trust		ON	No	
First Helium Inc.		BC	No	
First Lithium Minerals Corp.	PetroCorp Group Inc.	AB	No	
First Majestic Silver Corp.		BC	No	
First Mining Gold Corp.	First Mining Finance Corp.	BC	No	
First National Financial Corporation		ON	No	
First Quantum Minerals Ltd.		BC	No	
First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)	First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)	ON	No	
First Trust AlphaDEX European Dividend Index ETF (CAD-Hedged)		ON	No	
First Trust AlphaDEX U.S. Health Care Sector Index ETF		ON	No	
First Trust AlphaDEX U.S. Industrials Sector Index ETF		ON	No	
First Trust AlphaDEX U.S. Technology Sector Index ETF		ON	No	
First Trust Canadian Capital Strength ETF	First Trust Canadian Capital Strength Portfolio	ON	No	
First Trust Cboe Vest U.S. Equity Buffer ETF - August	First Trust Cboe Vest U.S. Equity Buffer ETF – June	ON	No	
First Trust Cboe Vest U.S. Equity Buffer ETF - February		ON	No	
First Trust Cboe Vest U.S. Equity Buffer ETF - May		ON	No	
First Trust Cboe Vest U.S. Equity Buffer ETF - November		ON	No	
First Trust Cloud Computing ETF	First Trust AlphaDEX U.S. Utilities Sector Index ETF	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
First Trust Dow Jones Internet ETF	First Trust AlphaDEX U.S. Consumer Staples Sector Index ETF	ON	No	
First Trust Global Risk Managed Income Index ETF		ON	No	
First Trust Indxx Innovative Transaction and Process ETF		ON	No	
First Trust Indxx NextG ETF	First Trust AlphaDEX U.S. Energy Sector Index ETF	ON	No	
First Trust International Capital Strength ETF		ON	No	
First Trust JFL Fixed Income Core Plus ETF		ON	No	
First Trust JFL Global Equity ETF		ON	No	
First Trust Morningstar Dividend Leaders ETF (CAD-Hedged)	First Trust Dorsey Wright U.S. Sector Rotation Index ETF (CAD-Hedged)	ON	No	
First Trust Nasdaq Cybersecurity ETF	First Trust AlphaDEX U.S. Consumer Discretionary Sector Index ETF	ON	No	
First Trust NASDAQ® Clean Edge® Green Energy ETF	First Trust AlphaDEX U.S. Financial Sector Index ETF	ON	No	
First Trust NYSE Arca Biotechnology ETF	First Trust AlphaDEX U.S. Materials Sector Index ETF	ON	No	
First Trust Senior Loan ETF (CAD-Hedged)		ON	No	
First Trust Tactical Bond Index ETF		ON	No	
First Trust Value Line® Dividend Index ETF (CAD-Hedged)	First Trust AlphaDEX U.S. Dividend Plus ETF (CAD-Hedged)(Ceased)	ON	No	
FirstService Corporation		ON	No	
Fission Uranium Corp.		BC	No	
Flagship Communities Real Estate Investment Trust		ON	No	
Flaherty & Crumrine Investment Grade Preferred Income Fund*	Flaherty & Crumrine Investment Grade Fixed Income Fund	ON	No	
Flex First Plan*		ON	No	
Flow Capital Corp.	LOGiQ Asset Management Inc.	AB	No	
Flower One Holdings Inc.	Theia Resources Ltd.	ON	Yes	1b,1c,1e
Flowr Corporation, The	Needle Capital Corp., The	ON	No	
Flutter Entertainment plc		ON	No	
Flying Nickel Mining Corp.		BC	No	
FMF Capital Group LTD.		ON	No	
FOBI AI Inc.	Loop Insights Inc.	BC	No	
Foraco International S.A.		ON	No	
Foran Mining Corporation		BC	No	
Forbes Energy Services Ltd.		AB	No	
Ford Auto Securitization Trust		ON	No	
Ford Credit Canada Company	Ford Credit Canada Limited	ON	No	
Forge First Conservative Alternative Fund		ON	No	
Forge First Long Short Alternative Fund		ON	No	
Fortaleza Energy Inc.	Alvopetro Inc.	AB	No	
Forte Minerals Corp.		BC	No	
Fortified Trust		ON	No	
Fortis Inc.		ON	No	
FortisAlberta Inc.		AB	No	
FortisBC Energy Inc.	Terasen Gas Inc.	BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
FortisBC Inc.		BC	No	
Fortress Global Enterprises Inc.	Fortress Paper Ltd.	BC	Yes	1a,1b,1c,1d,1e,3
Fortuna Silver Mines Inc.		BC	No	
Fortune Bay Corp.	9617337 Canada Limited	NS	No	
Fortune Minerals Limited		ON	No	
Forza Petroleum Limited	Oryx Petroleum Corporation Limited	AB	No	
Foundation Wealth Diversifier Pool		ON	No	
Foundation Wealth Equity Pool		ON	No	
Foundation Wealth Income Pool		ON	No	
Fracmaster LTD.	Canadian Fracmaster LTD.	AB	Yes	1a,1b
Franchise Services Of North America Inc.		AB	No	
Franco-Nevada Corporation		ON	No	
Franklin ActiveQuant Canadian Corporate Class	Franklin Bissett All Canadian Focus Corporate Class	ON	No	
Franklin ActiveQuant Canadian Fund	Franklin Bissett All Canadian Focus Fund	ON	No	
Franklin ActiveQuant U.S. Corporate Class	Franklin Bissett U.S. Focus Corporate Class	ON	No	
Franklin ActiveQuant U.S. Fund	Franklin Bissett U.S. Focus Fund	ON	No	
Franklin Bissett Canada Plus Equity Fund		ON	No	
Franklin Bissett Canadian Balanced Corporate Class	Bissett Canadian Balanced Corporate Class	ON	No	
Franklin Bissett Canadian Balanced Fund	Bissett Canadian Balanced Fund	ON	No	
Franklin Bissett Canadian Bond Fund		ON	No	
Franklin Bissett Canadian Dividend Corporate Class	Bissett Canadian Dividend Corporate Class	ON	No	
Franklin Bissett Canadian Dividend Fund	Bissett Canadian Dividend Fund	ON	No	
Franklin Bissett Canadian Equity Corporate Class	Bissett Canadian Equity Corporate Class	ON	No	
Franklin Bissett Canadian Equity Fund	Bissett Canadian Equity Fund	ON	No	
Franklin Bissett Canadian Government Bond Fund		ON	No	
Franklin Bissett Core Plus Bond Fund	Franklin Bissett Bond Fund	ON	No	
Franklin Bissett Corporate Bond Fund	Bissett Corporate Bond Fund	ON	No	
Franklin Bissett Dividend Income Corporate Class	Bissett Dividend Income Corporate Class	ON	No	
Franklin Bissett Dividend Income Fund	Bissett Dividend Income Fund	ON	No	
Franklin Bissett Money Market Corporate Class	Franklin Templeton Money Market Corporate Class	ON	No	
Franklin Bissett Money Market Fund	Franklin Templeton Money Market Fund	ON	No	
Franklin Bissett Monthly Income and Growth Fund		ON	No	
Franklin Bissett Short Duration Bond Fund	Franklin Bissett Canadian Short Term Bond Fund	ON	No	
Franklin Bissett Small Cap Fund	Bissett Small Cap Fund	ON	No	
Franklin Bissett Ultra Short Bond Active ETF		ON	No	
Franklin Brandywine Global Sustainable Balanced Fund		ON	No	
Franklin Brandywine Global Sustainable Income Optimiser Active ETF		ON	No	
Franklin Brandywine Global Sustainable Income Optimiser Fund	Franklin Strategic Income Fund	ON	No	
Franklin Canadian Core Equity Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Franklin ClearBridge Sustainable Global Infrastructure		ON	No	
Franklin ClearBridge Sustainable Global Infrastructure Income Fund		ON	No	
Franklin ClearBridge Sustainable International Growth Active ETF		ON	No	
Franklin Clearbridge Sustainable International Growth Fund	Templeton International Stock Fund	ON	No	
Franklin ClearBridge U.S. Sustainability Leaders Fund		ON	No	
Franklin Conservative Income ETF Portfolio		ON	No	
Franklin Core ETF Portfolio		ON	No	
Franklin Emerging Markets Bond Index ETF		ON	No	
Franklin Emerging Markets Core Equity Fund		ON	No	
Franklin FTSE Canada All Cap Index ETF		ON	No	
Franklin FTSE Europe ex U.K. Index ETF		ON	No	
Franklin FTSE Japan Index ETF		ON	No	
Franklin FTSE U.S. Index ETF		ON	No	
Franklin Global Aggregate Bond Fund		ON	No	
Franklin Global Growth Active ETF		ON	No	
Franklin Global Growth Corporate Class	Franklin World Growth Corporate Class	ON	No	
Franklin Global Growth Fund	Franklin World Growth Fund	ON	No	
Franklin Growth ETF Portfolio		ON	No	
Franklin High Income Fund	Franklin Age High Income Fund		No	
Franklin Innovation Active ETF		ON	No	
Franklin Innovation Fund		ON	No	
Franklin International Core Equity Fund		ON	No	
Franklin K2 Alternatives Fund*		ON	No	
Franklin Liberty Canadian Investment Grade Corporate ETF		ON	No	
Franklin Liberty Core Balanced ETF	Franklin Liberty Multi-Asset Total Return ETF	ON	No	
Franklin Liberty Core Plus Bond ETF		ON	No	
Franklin Liberty Global Aggregate Bond ETF (CAD-Hedged)		ON	No	
Franklin Liberty Risk Managed Canadian Equity ETF		ON	No	
Franklin Liberty Short Duration Bond ETF		ON	No	
Franklin Liberty U.S. Investment Grade Corporate ETF (CAD-Hedged)		ON	No	
Franklin LibertyQT Emerging Markets Index ETF		ON	No	
Franklin LibertyQT Global Dividend Index ETF		ON	No	
Franklin LibertyQT International Equity Index ETF		ON	No	
Franklin LibertyQT U.S. Equity Index ETF		ON	No	
Franklin Martin Currie Sustainable Emerging Markets Fund		ON	No	
Franklin Mutual Global Discovery Corporate Class	Mutual Global Discovery Corporate Class	ON	No	
Franklin Mutual Global Discovery Fund	Mutual Global Discovery Fund	ON	No	
Franklin Mutual Global Discovery Fund II*		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Franklin Quotential Balanced Growth Corporate Class Portfolio	Quotential Balanced Growth Corporate Class Portfolio	ON	No	
Franklin Quotential Balanced Growth Portfolio	Quotential Balanced Growth Portfolio	ON	No	
Franklin Quotential Balanced Income Corporate Class Portfolio	Quotential Balanced Income Corporate Class Portfolio	ON	No	
Franklin Quotential Balanced Income Portfolio	Quotential Balanced Income Portfolio	ON	No	
Franklin Quotential Diversified Equity Corporate Class Portfolio	Franklin Quotential Diversified Corporate Class Portfolio	ON	No	
Franklin Quotential Diversified Equity Portfolio	Franklin Quotential Global Growth Portfolio	ON	No	
Franklin Quotential Diversified Income Corporate Class Portfolio	Quotential Diversified Income CorporateClass	ON	No	
Franklin Quotential Diversified Income Portfolio	Quotential Diversified Income Portfolio	ON	No	
Franklin Quotential Diversified Income Portfolio II*		ON	No	
Franklin Quotential Growth Corporate Class Portfolio	Quotential Growth Corporate Class Portfolio	ON	No	
Franklin Quotential Growth Portfolio	Quotential Growth Portfolio	ON	No	
Franklin Royce Global Small Cap Premier Fund	Templeton Global Smaller Companies Fund	ON	No	
Franklin Sustainable Canadian Core Equity Fund		ON	No	
Franklin Sustainable International Core Equity Fund		ON	No	
Franklin Sustainable U.S. Core Equity Fund		ON	No	
Franklin U.S. Core Equity Fund		ON	No	
Franklin U.S. Monthly Income Corporate Class	Franklin Income Corporate Class	ON	No	
Franklin U.S. Monthly Income Fund	Franklin Income Fund	ON	No	
Franklin U.S. Monthly Income Fund II*		ON	No	
Franklin U.S. Monthly Income Hedged Corporate Class	Franklin Income Hedged Corporate Class	ON	No	
Franklin U.S. Opportunities Corporate Class	Franklin Flex Cap Growth Corporate Class	ON	No	
Franklin U.S. Opportunities Fund	Franklin Flex Cap Growth Fund	ON	No	
Franklin U.S. Rising Dividends Corporate Class	Franklin Templeton U.S. Rising Dividends Corporate Class	ON	No	
Franklin U.S. Rising Dividends Fund	Franklin Templeton U.S. Rising Dividends Fund	ON	No	
Franklin U.S. Rising Dividends Hedged Corporate Class		ON	No	
Franklin Western Asset Core Plus Bond Active ETF		ON	No	
Franklin Western Asset Core Plus Bond Fund		ON	No	
Fraser Mackenzie Accelerator Corp.		ON	No	
Freehold Royalties Ltd.		AB	No	
Freeman Gold Corp.	Lodge Resources Inc.	BC	No	
Freshii Inc.		ON	No	
Friedberg Asset Allocation Fund		ON	No	
Friedberg Global-Macro Hedge Fund		ON	No	
FRNT Financial Inc.		ON	No	
Frontenac Mortgage Investment Corporation		ON	No	
Frontera Energy Corporation	Pacific Exploration & Production Corporation	ON	No	
FRONTIER LITHIUM INC.		ON	No	
frontierAlt Oasis Canada Fund		ON	No	
Frontline Gold Corporation	Chrysos Capital Corporation	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
FSD Pharma Inc.	Century Financial Capital Group Inc.	ON	No	
FT Balanced Growth Private Wealth Pool	FT Balanced Growth Pool	ON	No	
FT Balanced Income Private Wealth Pool	FT Balanced Income Pool	ON	No	
FT Growth Private Wealth Pool	FT Growth Pool	ON	No	
Fury Gold Mines Limited		ON	No	
Fusion Pharmaceuticals Inc.		ON	No	
G2 Goldfields Inc.	Sandy Lake Gold Inc.	ON	No	
Gabriel Resources Ltd.		ON	No	
Galaxy Digital Holdings Ltd.		ON	No	
Galiano Gold Inc.	Asanko Gold Inc.	BC	No	
Gamehost Inc.		AB	No	
Gandalf Technologies Inc.		ON	Yes	1a,1b
Garda World Security Corporation		QC	No	
Gatos Silver, Inc.	Sunshine Silver Mining & Refining Corporation	ON	Yes	1a,1c,1d,1e,3
GC One Equity Portfolio		ON	No	
GC One Fixed Income Portfolio		ON	No	
GDI Integrated Facility Services Inc.	Medwell Capital Corp.	QC	No	
GE Capital Canada Funding Company		ON	No	
General Motors Company		ON	No	
Generation Mining Limited		BC	No	
Genesis Land Development Corp.		AB	No	
Genesis Trust II		ON	No	
Genesis Worldwide Inc.		ON	No	
Genoil Inc.		AB	No	
Gensource Potash Corporation		SK	No	
Geodrill Limited		ON	No	
Geopetro Resources Company		AB	Yes	1a,1b
George Weston Limited		ON	No	
Georgian Bancorp Inc.		ON	Yes	1a,1b
GFL Environmental Inc.		ON	No	
Gibson Energy Inc.		AB	No	
GIGA Metals Corporation		BC	No	
Gildan Activewear Inc.			No	
Gitennes Exploration Inc.	Marmora Mineral Products Inc	BC	No	
Giyani Metals Corp.		ON	No	
Glacier Credit Card Trust	Canadian Tire Receivables Trust	ON	No	
Glacier Media Inc.	Glacier Ventures International Corp.	BC	No	
Glass House Brands Inc.	Mercer Park Brand Acquisition Corp.	ON	No	
GLG Life Tech Corporation		BC	No	
Global Alpha Worldwide Growth Fund*		ON	No	
Global Crossing Airlines Group Inc.	Global Crossing Airlines Inc.	BC	Yes	1a,1b,1c,3
Global Dividend Growers Income Fund*		AB	No	
Global Dividend Growth Split Corp.*		ON	No	
Global Equity Allocation Pool		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Global Equity Pool		ON	No	
Global Fixed Income Corporate Class		ON	No	
Global Fixed Income Pool	Assante Global Fixed Income Pool	ON	No	
Global Healthcare Dividend Fund		AB	No	
Global Iman Fund		ON	No	
Global Income Allocation Corporate Class	Enhanced Income Corporate Class	ON	No	
Global Income Allocation Pool	Enhanced Income Pool	ON	No	
Global Innovation Dividend Fund*		AB	No	
Global Managed Volatility Fund		ON	No	
Global Real Estate & E-Commerce Dividend Fund*		AB	No	
Global Stevia Corp.		NS	Yes	1a,1b,1c
Global Telecom & Utilities Income Fund*	Global Advantaged Telecom & Utilities Income Fund	ON	No	
Global Water Resources, Inc.		ON	No	
Global Water Solutions Fund*		ON	No	
Globevest Capital Tactical Covered Options Fund		QC	No	
goeasy Ltd.	easyhome Ltd.	ON	No	
GoGold Resources Inc.		NS	No	
Gold Lion Resources Inc.		BC	No	
Gold Miners Split Corp.*		ON	No	
Gold Mountain Mining Corp.		BC	No	
Gold Reserve Inc.		ON	No	
Gold Resource Corporation		ON	No	
Gold Royalty Corp.		BC	No	
Gold Springs Resource Corp.	TriMetals Mining Inc.	BC	No	
Gold Terra Resource Corp.	TerraX Minerals Inc.	BC	No	
Golden Share Resources Corporation		ON	No	
Goldfarb Corporation, The		ON	No	
GoldMining Inc.		BC	No	
Goldspot Discoveries Corp.	Duckworth Capital Corp.	ON	No	
Golf Town Income Fund		ON	No	
good natured Products Inc.		BC	No	
Goodfood Market Corp.	Mira VII Acquisition Corp.	QC	No	
Goodwood Capital Fund		ON	No	
GQG Partners Global Quality Equity Fund		ON	No	
GQG Partners International Quality Equity Fund		ON	No	
GQG Partners U.S. Quality Equity Fund		ON	No	
Grad & Walker Energy Corporation		AB	Yes	1a,1b
Gran Tierra Energy Inc.		AB	No	
Grand Okanagan Resort Limited Partnership		AB	Yes	1a,1b,1c,1d,1e,3
Granite Creek Copper Ltd.		BC	No	
Granite Real Estate Investment Trust		ON	No	
Granite REIT Holdings Limited Partnership		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Granite REIT Inc.		ON	No	
Gray Wolf Capital Corporation*	Diversified Monthly Income Corporation	ON	Yes	1a,1b,1c,1e,3
Great Basin Gold Limited		BC	No	
Great Panther Mining Limited	Great Panther Silver Limited	BC	Yes	1b,1c,1e
Great-West Lifeco Inc.		MB	No	
Greater Toronto Airports Authority		ON	No	
Green Growth Brands Inc.	Xanthic Biopharma Inc.	ON	No	
Green Impact Partners Inc.		AB	No	
Green Organic Dutchman Holdings Ltd., The		ON	No	
Green Thumb Industries Inc.	Bayswater Uranium Corporation	BC	No	
Greenbrook TMS Inc.		ON	No	
GreenFirst Forest Products Inc. (formerly, Itasca Capital Ltd.)		ON	No	
GreenSpace Brands Inc.	Aumento IV Capital Corporation	ON	No	
GreenWise Balanced Portfolio		QC	No	
GreenWise Conservative Portfolio		QC	No	
GreenWise Growth Portfolio		QC	No	
Greyhound Canada Transportation Corp.		AB	Yes	1a,1b
Greyvest Capital Inc.	Greyvest Financial Services Inc	ON	No	
Grosvenor Resource Corporation	Reva Resources Corporation	BC	No	
Grown Rogue International Inc.		ON	No	
Growth 100 Fund	Growth 100 Fund	ON	No	
Growth 80/20 Fund	Balanced Growth Plus Fund		No	
GrowthWorks Canadian Fund Ltd.*	Growthworks WV Canadian Fund Inc.	ON	Yes	1a,1b,1c,1d,3
GT Group Telecom Inc.		ON	No	
Guardian Canadian Bond ETF		ON	No	
Guardian Canadian Bond Fund	Vega Canadian Bond Fund	ON	No	
Guardian Canadian Equity Fund	Vector Canadian Equity Fund	ON	No	
Guardian Canadian Equity Income Fund	Guardian Equity Income Fund	ON	No	
Guardian Canadian Equity Select Fund		ON	No	
Guardian Canadian Focused Equity Fund		ON	No	
Guardian Canadian Growth Equity Fund	Vega Canadian Growth Equity Fund	ON	No	
Guardian Canadian Sector Controlled Equity Fund		ON	No	
Guardian Canadian Short-Term Investment Fund		ON	No	
Guardian Directed Equity Path ETF		ON	No	
Guardian Directed Equity Path Portfolio	Guardian SteadyPace Equity Fund	ON	No	
Guardian Directed Premium Yield ETF		ON	No	
Guardian Directed Premium Yield Portfolio	Guardian SteadyFlow Equity Fund	ON	No	
Guardian Emerging Markets Equity Fund		ON	No	
Guardian Fixed Income Select Fund	Guardian Private Wealth Bond Fund	ON	No	
Guardian Fundamental All Country Equity ETF		ON	No	
Guardian Fundamental Emerging Markets Equity ETF		ON	No	
Guardian Fundamental Global Equity Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Guardian Global Dividend Growth Fund		ON	No	
Guardian Global Equity Fund	Vega Global Equity Fund	ON	No	
Guardian High Yield Bond Fund	Guardian High Yield Bond Fund	ON	No	
Guardian i3 Global Quality Growth ETF		ON	No	
Guardian i3 Global REIT ETF		ON	No	
Guardian i3 US Quality Growth ETF		ON	No	
Guardian International Equity Fund	Vega International Equity Fund	ON	No	
Guardian International Equity Select Fund		ON	No	
Guardian Investment Grade Corporate Bond Fund		ON	No	
Guardian Managed Balanced Portfolio	Guardian Balanced Fund	ON	No	
Guardian Managed Growth Portfolio		ON	No	
Guardian Managed Income & Growth Portfolio		ON	No	
Guardian Managed Income Portfolio		ON	No	
Guardian Risk Managed Conservative Portfolio		ON	No	
Guardian Short Duration Bond Fund		ON	No	
Guardian Strategic Income Fund		ON	No	
Guardian U.S. Equity All Cap Growth Fund		ON	No	
Guardian U.S. Equity Fund	Vega American Equity Fund	ON	No	
Guardian U.S. Equity Select Fund		ON	No	
GuardPath™ Managed Decumulation 2042 Fund		ON	No	
GuardPath™ Modern Tontine 2042 Trust		ON	No	
Gungnir Resources Inc.	Anglo Swiss Resources Inc.	BC	No	
GURU Organic Energy Corp. (formerly Mira X Acquisition Corp.)		QC	No	
H&R Real Estate Investment Trust		ON	No	
H2O Innovation Inc.		QC	No	
Haemacure Corporation		QC	No	
Haivision Systems Inc.		QC	No	
Halo Collective Inc.	Halo Labs Inc.	ON	No	
Hamilton Australian Bank Equal-Weight Index ETF		ON	No	
Hamilton Canadian Bank Mean Reversion Index ETF		ON	No	
Hamilton Enhanced Canadian Bank ETF	Hamilton Canadian Bank 1.25x Leverage ETF	ON	No	
Hamilton Enhanced Canadian Financials ETF		ON	No	
Hamilton Enhanced Multi-Sector Covered Call ETF		ON	No	
Hamilton Enhanced U.S. Covered Call ETF		ON	No	
Hamilton Enhanced Utilities ETF		ON	No	
Hamilton Financials Innovation ETF		ON	No	
Hamilton Global Financials ETF		ON	No	
Hamilton Thorne Ltd.		ON	No	
Hamilton U.S. Financials Dividend Index ETF		ON	No	
Hamilton U.S. Mid/Small-Cap Financials ETF		ON	No	
Hampton Financial Corporation		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Hanfeng Evergreen Inc.		ON	Yes	1b,1c,1e,3
Hank Payments Corp.	Nobelium Tech Corp.	ON	No	
Hanwei Energy Services Corp.		BC	Yes	1a,1c,1d,1e,3
Hardwoods Distribution Inc.		BC	No	
Harmony Energy Technologies Corporation		QC	Yes	1a
Harvest Banks & Buildings Income Fund		ON	No	
Harvest Brand Leaders Enhanced Income ETF		ON	No	
Harvest Brand Leaders Plus Income ETF	Brand Leaders Plus Income ETF	ON	No	
Harvest Canadian Enhanced Income Leaders ETF		ON	No	
Harvest Canadian Equity Enhanced Income Leaders ETF		ON	No	
Harvest Canadian Equity Income Leaders ETF		ON	No	
Harvest Canadian Income & Growth Fund		ON	No	
Harvest Clean Energy ETF		ON	No	
Harvest Diversified Monthly Income ETF		ON	No	
Harvest Energy Leaders Plus Income ETF	Energy Leaders Plus Income ETF	ON	No	
Harvest Equal Weight Global Utilities Enhanced Income ETF		ON	No	
Harvest Equal Weight Global Utilities Income ETF		ON	No	
Harvest ESG Equity Income Index ETF		ON	No	
Harvest Global Gold Giants Index ETF		ON	No	
Harvest Global REIT Leaders Income ETF	Global REIT Income Leaders ETF	ON	No	
Harvest Healthcare Leaders Enhanced Income ETF		ON	No	
Harvest Healthcare Leaders Income ETF	Healthcare Leaders Income ETF	ON	No	
Harvest One Cannabis Inc.		BC	No	
Harvest Operations Corp.	Storm Energy LTD.	AB	No	
Harvest Tech Achievers Enhanced Income ETF		ON	No	
Harvest Tech Achievers Growth & Income ETF	Tech Achievers Growth & Income ETF	ON	No	
Harvest Travel & Leisure Index ETF		ON	No	
Harvest US Bank Leaders Income ETF		ON	No	
Harvest US Equity Plus Income ETF	US Equity Plus Income ETF	ON	No	
Harvest US Investment Grade Bond Plus ETF		ON	No	
HAVN Life Sciences Inc.		BC	Yes	1b,1c,1e
Hazelview Global Real Estate Fund	Timbercreek Global Real Estate Income Fund	ON	No	
Headwater Exploration Inc.	Corridor Resources Inc.	AB	No	
Healthcare Special Opportunities Fund*		ON	No	
Heating Oil Partners Income Fund		ON	Yes	1a,1b,1c,1e,3
Hecla Mining Company		BC	No	
Helical Corporation Inc., The	Enervision Incorporated	NS	Yes	1a,3,4
Helios Fairfax Partners Corporation	Fairfax Africa Holdings Corporation	ON	No	
Helius Medical Technologies, Inc.		BC	Yes	1b,1c,1e
Hempfusion Wellness Inc.		BC	Yes	1a,1c,1d,1e,3
Heritage Cannabis Holdings Corp.	Umbral Energy Corp.	BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Heritage Global Inc.		ON	No	
Heroux-Devtek Inc.	Heroux Inc.	QC	No	
HEXO Corp.	Hydrotheque Corporation, The	QC	No	
High Interest Savings Account Fund	High Interest Savings Account ETF	ON	No	
High Liner Foods Incorporated	HLG Holdings, Inc. (Ignore)	NS	No	
High Tide Inc.		AB	No	
HIVE Blockchain Technologies Ltd.		BC	No	
HLS Therapeutics Inc.		ON	No	
HMZ Metals Inc.		ON	Yes	1a,1b,1c,1d,1e,3
Hollinger Inc.		ON	Yes	1a,1b,3
Home Capital Group Inc.		ON	No	
Home Products Inc.	Contour Consumer Products Inc.	ON	Yes	1a,1b,1c,1d,1e,3
Homeserve Technologies Inc.	Microforum Inc.	ON	Yes	1b,1c,1e
Horizons Absolute Return Global Currency ETF		ON	No	
Horizons Active A.I. Global Equity ETF		ON	No	
Horizons Active Cdn Bond ETF		ON	No	
Horizons Active Cdn Dividend ETF	Horizons Dividend ETF	ON	No	
Horizons Active Cdn Municipal Bond ETF		ON	No	
Horizons Active Corporate Bond ETF	Horizons Corporate Bond ETF	ON	No	
Horizons Active Emerging Markets Bond ETF		ON	No	
Horizons Active Emerging Markets Dividend ETF		ON	No	
Horizons Active ESG Corporate Bond ETF		ON	No	
Horizons Active Floating Rate Senior Loan ETF		ON	No	
Horizons Active Global Dividend ETF	Horizons Global Dividend ETF	ON	No	
Horizons Active Global Fixed Income ETF	Horizons Active Yield Matched Duration ETF	ON	No	
Horizons Active High Yield Bond ETF	Horizons High Yield Bond ETF	ON	No	
Horizons Active Hybrid Bond and Preferred Share ETF	Horizons Active Floating Rate Preferred Share ETF	ON	No	
Horizons Active Preferred Share ETF	Horizons Preferred Share ETF	ON	No	
Horizons Active Ultra-Short Term Investment Grade Bond ETF	Horizons Active Floating Rate Bond ETF	ON	No	
Horizons Active Ultra-Short Term US Investment Grade Bond ETF	Horizons Active U.S. Floating Rate Bond (USD) ETF	ON	No	
Horizons Active US Dividend ETF		ON	No	
Horizons AlphaPro Gartman Fund*		ON	No	
Horizons AlphaPro Income Plus Fund*	Horizons AlphaPro Inflation/Deflation Protection Fund	ON	No	
Horizons AlphaPro Tactical Bond ETF*	Horizons AlphaPro Fiera Tactical Bond Fund	ON	No	
Horizons Balanced TRI ETF Portfolio		ON	No	
Horizons Big Data & Hardware Index ETF	Horizons Blockchain Technology & Hardware Index ETF	ON	No	
Horizons Canadian Utility Services High Dividend Index ETF		ON	No	
Horizons Carbon Credits ETF		ON	No	
Horizons Cash Maximizer ETF		ON	No	
Horizons Cdn High Dividend Index ETF		ON	No	
Horizons Cdn Insider Index ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Horizons Cdn Select Universe Bond ETF		ON	No	
Horizons China High Dividend Yield Index ETF		ON	No	
Horizons Conservative TRI ETF Portfolio		ON	No	
Horizons Copper Producers Index ETF		ON	No	
Horizons Crude Oil ETF	Horizons NYMEX Crude Oil ETF	ON	No	
Horizons Emerging Markets Equity Index ETF		ON	No	
Horizons Emerging Markets Leaders ETF		ON	No	
Horizons Enhanced Income Energy ETF	Horizons AlphaPro Enhanced Income Energy ETF	ON	No	
Horizons Enhanced Income Equity ETF	Horizons AlphaPro Enhanced Income Equity ETF	ON	No	
Horizons Enhanced Income Financials ETF	Horizons AlphaPro Enhanced Income Financials ETF	ON	No	
Horizons Enhanced Income Gold Producers ETF	Horizons AlphaPro Enhanced Income Gold Producers ETF	ON	No	
Horizons Enhanced Income International Equity ETF		ON	No	
Horizons Enhanced Income US Equity (USD) ETF		ON	No	
Horizons Equal Weight Canada Banks Index ETF		ON	No	
Horizons Equal Weight Canada REIT Index ETF		ON	No	
Horizons Europe 50 Index ETF		ON	No	
Horizons Global BBIG Technology ETF		ON	No	
Horizons Global Contrarian Fund*	Horizons Mondiale Fund	ON	No	
Horizons Global Hydrogen Index ETF		ON	No	
Horizons Global Lithium Producers Index ETF		ON	No	
Horizons Global Metaverse Index ETF		ON	No	
Horizons Global Semiconductor Index ETF		ON	No	
Horizons Global Sustainability Leaders Index ETF		ON	No	
Horizons Global Uranium Index ETF		ON	No	
Horizons Global Vaccines and Infectious Diseases Index ETF		ON	No	
Horizons Gold ETF	Horizons COMEX Gold ETF	ON	No	
Horizons Gold Yield ETF		ON	No	
Horizons Growth TRI ETF Portfolio		ON	No	
Horizons GX Cybersecurity Index ETF		ON	No	
Horizons GX Telemedicine and Digital Health Index ETF		ON	No	
Horizons High Interest Savings ETF		ON	No	
Horizons Industry 4.0 Index ETF		ON	No	
Horizons Inovestor Canadian Equity Index ETF		ON	No	
Horizons Intl Developed Markets Equity Index ETF		ON	No	
Horizons Laddered Canadian Preferred Share Index ETF		ON	No	
Horizons Managed Global Opportunities ETF		ON	No	
Horizons Marijuana Life Sciences Index ETF	Horizons Medical Marijuana Life Sciences ETF	ON	No	
Horizons NASDAQ-100® Index ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Horizons Natural Gas ETF	Horizons NYMEX Natural Gas ETF	ON	No	
Horizons Natural Gas Yield ETF		ON	No	
Horizons North American Infrastructure Development Index ETF		ON	No	
Horizons Pipelines & Energy Services Index ETF	Horizons Canadian Midstream Oil & Gas Index ETF	ON	No	
Horizons Psychedelic Stock Index ETF		ON	No	
Horizons ReSolve Adaptive Asset Allocation ETF		ON	No	
Horizons Robotics and Automation Index ETF		ON	No	
Horizons S&P 500 CAD Hedged Index ETF		ON	No	
Horizons S&P 500® Index ETF	Horizons BetaPro S&P 500 Index (C\$ Hedged) ETF	ON	No	
Horizons S&P Green Bond Index ETF		ON	No	
Horizons S&P/TSX 60 Index ETF	Horizons BetaPro S&P/TSX 60 Index ETF	ON	No	
Horizons S&P/TSX Capped Composite Index ETF		ON	No	
Horizons S&P/TSX Capped Energy Index ETF		ON	No	
Horizons S&P/TSX Capped Financials Index ETF		ON	No	
Horizons Seasonal Rotation ETF	Horizons AlphaPro Seasonal Rotation ETF	ON	No	
Horizons Silver ETF	Horizons COMEX Silver ETF	ON	No	
Horizons Tactical Absolute Return Bond ETF		ON	No	
Horizons US 7-10 Year Treasury Bond ETF		ON	No	
Horizons US Dollar Currency ETF	Horizons U.S. Dollar Currency ETF	ON	No	
Horizons US Large Cap Index ETF		ON	No	
Horizons US Marijuana Index ETF		ON	No	
Horizons USD Cash Maximizer ETF		ON	No	
Horizons USD High Interest Savings ETF		ON	No	
Horizonte Minerals PLC		ON	No	
Hot Chili Limited		ON	No	
HSBC AsiaPacific Fund	Hongkong Bank Asian Growth Fund	BC	No	
HSBC Bank Canada	Hongkong Bank Of Canada	BC	No	
HSBC BRIC Equity Fund		BC	No	
HSBC Canadian Balanced Fund	Hongkong Bank Balanced Fund	BC	No	
HSBC Canadian Bond Fund	HSBC Canadian Bond Fund	BC	No	
HSBC Canadian Bond Pooled Fund	Canadian Bond Component	ON	No	
HSBC Canadian Dividend Pooled Fund	HSBC Canadian Dividend Income Pooled Fund	ON	No	
HSBC Canadian Equity Pooled Fund	Canadian Equity Component	ON	No	
HSBC Canadian Money Market Fund	HSBC Canadian Money Market Fund	BC	No	
HSBC Canadian Money Market Pooled Fund	Canadian Money Market Component	ON	No	
HSBC Canadian Short/Mid Bond Fund		BC	No	
HSBC Canadian Small Cap Equity Pooled Fund	HSBC Small Cap Growth Pooled Fund	ON	No	
HSBC Chinese Equity Fund		BC	No	
HSBC Dividend Fund	HSBC Dividend Income Fund	BC	No	
HSBC Emerging Markets Debt Fund		BC	No	
HSBC Emerging Markets Debt Pooled Fund		BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
HSBC Emerging Markets Equity Index Fund		BC	No	
HSBC Emerging Markets Fund	Hongkong Bank Emerging Markets Fund	BC	No	
HSBC Emerging Markets Pooled Fund		BC	No	
HSBC Equity Fund	Hongkong Bank Equity Fund	BC	No	
HSBC European Fund	Hongkong Bank European Growth Fund	BC	No	
HSBC Global Corporate Bond Fund		BC	No	
HSBC Global Equity Fund	Hongkong Bank Global Equity Fund	BC	No	
HSBC Global Equity Volatility Focused Fund		BC	No	
HSBC Global High Yield Bond Pooled Fund	HSBC U.S. High Yield Bond Pooled Fund	ON	No	
HSBC Global Inflation Linked Bond Pooled Fund		BC	No	
HSBC Global Real Estate Equity Pooled Fund		BC	No	
HSBC Indian Equity Fund		BC	No	
HSBC International Equity Index Fund		BC	No	
HSBC International Equity Pooled Fund	International Equity Component	ON	No	
HSBC Monthly Income Fund		BC	No	
HSBC Mortgage Fund	Hongkong Bank Mortgage Fund	BC	No	
HSBC Mortgage Pooled Fund		ON	No	
HSBC Small Cap Growth Fund	Hongkong Bank Small Cap Growth Fund	BC	No	
HSBC Sustainable Balanced Fund		BC	No	
HSBC U.S. Dollar Money Market Fund	Hongkong Bank U.S.Dollar Money Market Fund	BC	No	
HSBC U.S. Dollar Monthly Income Fund		BC	No	
HSBC U.S. Equity Fund	HSBC U.S. Equity Fund	BC	No	
HSBC U.S. Equity Index Fund		BC	No	
HSBC U.S. Equity Pooled Fund	HSBC U.S. Equity Pooled Fund	ON	No	
HSBC Wealth Compass Aggressive Growth Fund		BC	No	
HSBC Wealth Compass Balanced Fund		BC	No	
HSBC Wealth Compass Conservative Fund		BC	No	
HSBC Wealth Compass Growth Fund		BC	No	
HSBC Wealth Compass Moderate Conservative Fund		BC	No	
HSBC World Selection Diversified Aggressive Growth Fund	HSBC LifeMap Aggressive Growth Portfolio	BC	No	
HSBC World Selection Diversified Balanced Fund	HSBC LifeMap Balanced Portfolio	BC	No	
HSBC World Selection Diversified Conservative Fund	HSBC LifeMap Conservative Portfolio	BC	No	
HSBC World Selection Diversified Growth Fund	HSBC LifeMap Growth Portfolio	BC	No	
HSBC World Selection Diversified Moderate Conservative Fund	HSBC LifeMap Moderate Conservative Portfolio	BC	No	
Hudbay Minerals Inc.	HudBay Minerals Inc.	ON	No	
Hut 8 Mining Corp.	Oriana Resources Corporation	ON	No	
Hydro One Inc.		ON	No	
Hydro One Limited		ON	No	
i-80 Gold Corp.		BC	No	
i3 Energy plc		AB	No	
IA Clarington Canadian Balanced Class		QC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
IA Clarington Canadian Balanced Fund	Clarington Canadian Balanced Fund	QC	No	
IA Clarington Canadian Conservative Equity Class		QC	No	
IA Clarington Canadian Conservative Equity Fund	IA Canadian Conservative Equity Fund	QC	No	
IA Clarington Canadian Dividend Fund	Clarington Canadian Dividend Fund	QC	No	
IA Clarington Canadian Leaders Class		QC	No	
IA Clarington Canadian Small Cap Class		QC	No	
IA Clarington Canadian Small Cap Fund	Clarington Canadian Small Cap Fund	QC	No	
IA Clarington Core Plus Bond Fund		QC	No	
IA Clarington Dividend Growth Class		QC	No	
IA Clarington Emerging Markets Bond Fund*		QC	No	
IA Clarington Floating Rate Income Fund		QC	No	
IA Clarington Focused Balanced Class		QC	No	
IA Clarington Focused Balanced Fund		QC	No	
IA Clarington Focused Canadian Equity Class		QC	No	
IA Clarington Global Bond Fund*		QC	No	
IA Clarington Global Equity Exposure Fund	BNP Paribas Global Equity Exposure Fund	QC	No	
IA Clarington Global Equity Fund	IA Clarington Global Dividend Fund	QC	No	
IA Clarington Global Multi-Asset Fund	IA Clarington Global Growth & Income Fund	QC	No	
IA Clarington Global Opportunities Class	IA Clarington Global Equity Class	QC	No	
IA Clarington Global Opportunities Fund	IA Clarington Global Equity Fund	QC	No	
IA Clarington Global Risk-Managed Income Portfolio		QC	No	
IA Clarington Global Value Fund	R Global Value Fund	QC	No	
IA Clarington Global Yield Opportunities Fund*		QC	No	
IA Clarington Inhance Balanced SRI Portfolio		QC	No	
IA Clarington Inhance Bond SRI Fund		QC	No	
IA Clarington Inhance Canadian Equity SRI Class		QC	No	
IA Clarington Inhance Conservative SRI Portfolio		QC	No	
IA Clarington Inhance Global Equity SRI Class		QC	No	
IA Clarington Inhance Global Equity SRI Fund		QC	No	
IA Clarington Inhance Growth SRI Portfolio		QC	No	
IA Clarington Inhance High Growth SRI Portfolio		QC	No	
IA Clarington Inhance Moderate SRI Portfolio	IA Clarington Inhance Conservative SRI Portfolio	QC	No	
IA Clarington Inhance Monthly Income SRI Fund		ON	No	
IA Clarington International Equity Fund*		QC	No	
IA Clarington Loomis Global Allocation Class	IA Clarington Global Allocation Class	QC	No	
IA Clarington Loomis Global Allocation Fund	IA Clarington Global Allocation Fund	QC	No	
IA Clarington Loomis Global Equity Opportunities Fund		QC	No	
IA Clarington Loomis Global Multisector Bond Fund		QC	No	
IA Clarington Loomis U.S. All Cap Growth Fund		QC	No	
IA Clarington Money Market Fund	IA Money Market Fund	QC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
IA Clarington Monthly Income Balanced Fund	R Monthly Income Balanced Fund	QC	No	
IA Clarington North American Opportunities Class		QC	No	
IA Clarington Real Return Bond Fund	Sarbit Real Return Bond Trust	ON	No	
IA Clarington Strategic Corporate Bond Fund		ON	No	
IA Clarington Strategic Equity Income Class	Distinction Monthly Income Class	QC	No	
IA Clarington Strategic Equity Income Fund	IA Clarington Dividend Income Fund	QC	No	
IA Clarington Strategic Income Fund	IA Clarington Canadian Income Fund	QC	No	
IA Clarington Strategic U.S. Growth & Income Fund	Distinction Monthly Income Portfolio	QC	No	
IA Clarington Tactical Income Class		QC	No	
IA Clarington Target Click 2025 Fund	Clarington Target Click 2025 Fund	QC	No	
IA Clarington Target Click 2030 Fund		QC	No	
IA Clarington Thematic Innovation Class	IA Clarington Focused U.S. Equity Class	QC	No	
IA Clarington U.S. Dividend Growth Fund	IA Clarington American Fund	QC	No	
IA Clarington U.S. Dividend Growth Registered Fund*		ON	No	
IA Clarington U.S. Dollar Floating Rate Income Fund		ON	No	
IA Clarington U.S. Equity Class	IA Clarington Sarbit U.S. Equity Class (Unhedged)	QC	No	
IA Clarington U.S. Equity Currency Neutral Fund	IA Clarington Sarbit U.S. Equity Fund	QC	No	
IA Clarington Yield Opportunities Fund*		ON	No	
iA Financial Corporation Inc.		QC	No	
IA Wealth Balanced Portfolio	IA Clarington Balanced Portfolio	QC	No	
IA Wealth Conservative Portfolio	IA Clarington Conservative Portfolio	ON	No	
IA Wealth Core Bond Pool	IA Clarington Bond Fund	QC	No	
IA Wealth Enhanced Bond Pool		QC	No	
IA Wealth Growth Portfolio	IA Clarington Growth Portfolio	QC	No	
IA Wealth High Growth Portfolio	IA Clarington Maximum Growth Portfolio	QC	No	
IA Wealth Moderate Portfolio	IA Clarington Moderate Portfolio	QC	No	
IAMGOLD Corporation	Iamgold International African Mining GOLD Corporation	ON	No	
iAnthus Capital Holdings, Inc.		BC	No	
Iat Air Cargo Facilities Income Fund		BC	No	
Ibex Technologies Inc.	Continental Pharma Cryosan Inc.		No	
IBI Group Inc.		ON	No	
IBI Income Fund		ON	No	
ICL Global Equity Fund	Integra Newton Global Equity Fund	ON	No	
ICPEI Holdings Inc.	EFH Holdings Inc.	ON	No	
Ids Intelligent Detection Systems Inc.		ON	Yes	1a,1b
iFabric Corp.		ON	No	
IG Beutel Goodman Canadian Balanced Fund	Beutel Goodman Diversified Fund	MB	No	
IG Beutel Goodman Canadian Equity Class			No	
IG Beutel Goodman Canadian Equity Fund	Beutel Goodman Equity Fund	MB	No	
IG Beutel Goodman Canadian Small Cap Fund	Beutel Goodman Small Cap Equity Fund	MB	No	
IG BlackRock International Equity Fund		MB	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
IG CI Canadian Balanced Fund	IG AGF Canadian Balanced Fund	MB	No	
IG Climate Action Portfolio - Global Equity		MB	No	
IG Climate Action Portfolio - Global Equity Balanced		MB	No	
IG Climate Action Portfolio - Global Fixed Income Balanced		MB	No	
IG Climate Action Portfolio - Global Neutral Balanced		MB	No	
IG Core Portfolio - Balanced	Allegro Moderate Portfolio	MB	No	
IG Core Portfolio - Balanced Growth	Allegro Moderate Aggressive Portfolio	MB	No	
IG Core Portfolio - Global Income	Investors Global Fixed Income Flex Portfolio	MB	No	
IG Core Portfolio - Growth	Allegro Aggressive Portfolio	MB	No	
IG Core Portfolio - Income	Investors Fixed Income Flex Portfolio	MB	No	
IG Core Portfolio - Income Balanced	Allegro Moderate Conservative Portfolio	MB	No	
IG Core Portfolio - Income Focus	Allegro Conservative Portfolio	MB	No	
IG Core Portfolio Class - Balanced	Allegro Balanced Portfolio Class	MB	No	
IG Core Portfolio Class - Balanced Growth	Allegro Balanced Growth Portfolio Class	MB	No	
IG Core Portfolio Class - Balanced Growth II	Allegro Balanced Growth Canada Focus Portfolio Class	MB	No	
IG Core Portfolio Class - Growth	Allegro Growth Portfolio Class	MB	No	
IG Core Portfolio Class - Growth II	Allegro Growth Portfolio Class II	MB	No	
IG Core Portfolio Class - Income Balanced	Allegro Income Balanced Portfolio Class	MB	No	
IG FI Canadian Equity Class		MB	No	
IG FI Canadian Equity Class II	IG Mackenzie Low Volatility Canadian Equity Class	MB	No	
IG Fi Canadian Equity Fund		MB	No	
IG Franklin Bissett Canadian Equity Class	IG Bissett Canadian Equity Class	MB	No	
IG Franklin Bissett Canadian Equity Class II	Investors Core Canadian Equity Class	MB	No	
IG Franklin Bissett Canadian Equity Fund	IG Bissett Canadian Equity Fund	MB	No	
IG Irish Life Low Volatility Global Equity Fund	Investors Low Volatility Global Equity Fund	MB	No	
IG JPMorgan Emerging Markets Class	IG Mackenzie Emerging Markets Class	MB	No	
IG JPMorgan Emerging Markets Fund		MB	No	
IG Mackenzie Betterworld SRI Class	IG Mackenzie Summa SRI Class	MB	No	
IG Mackenzie Betterworld SRI Fund	IG Mackenzie Summa SRI Fund	MB	No	
IG Mackenzie Canadian Corporate Bond Fund	Investors Canadian Corporate Bond Fund	MB	No	
IG Mackenzie Canadian Dividend & Income Equity Fund	IG Mackenzie Canadian Equity Income Fund	MB	No	
IG Mackenzie Canadian Equity Class	IG Mackenzie Quebec Enterprise Class	MB	No	
IG Mackenzie Canadian Equity Fund	IG MacKenzie Dividend Growth Fund	MB	No	
IG Mackenzie Canadian Money Market Class	Investors Canadian Money Market Class	MB	No	
IG Mackenzie Canadian Money Market Fund	Investors Canadian Money Market Fund	MB	No	
IG Mackenzie Canadian Small/Mid Cap Class	Investors Canadian Small/Mid Cap Class	MB	No	
IG Mackenzie Canadian Small/Mid Cap Class II	Investors Canadian Small Cap Class II	MB	No	
IG Mackenzie Canadian Small/Mid Cap Class III	IG Fiera Canadian Small Cap Class	MB	No	
IG Mackenzie Canadian Small/Mid Cap Fund	Investors Canadian Small Cap Growth Fund	MB	No	
IG Mackenzie Canadian Small/Mid Cap Fund II	IG Fiera Canadian Small Cap Fund	MB	No	
IG Mackenzie Dividend Class	Investors Dividend Class	MB	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
IG Mackenzie Dividend Fund	Investors Dividend Fund	MB	No	
IG Mackenzie European Equity Class	Investors European Equity Class	MB	No	
IG Mackenzie European Equity Fund	Investors European Equity Fund	MB	No	
IG Mackenzie European Mid-Cap Equity Class	Investors European Mid-Cap Equity Class	MB	No	
IG Mackenzie European Mid-Cap Equity Fund	Investors European Mid-Cap Equity Fund	MB	No	
IG Mackenzie Floating Rate Income Fund		MB	No	
IG Mackenzie Global Bond Fund	Investors Global Bond Fund	MB	No	
IG Mackenzie Global Class	Investors Global Class	MB	No	
IG Mackenzie Global Class II	IG Mackenzie Cundill Global Value Class	MB	No	
IG Mackenzie Global Class III	IG AGF Global Equity Class	MB	No	
IG Mackenzie Global Class IV	IG Irish Life Low Volatility Global Equity Class	MB	No	
IG Mackenzie Global Consumer Companies Class	Investors Global Consumer Companies Class	MB	No	
IG Mackenzie Global Dividend Fund	Investors Global Dividend Fund	MB	No	
IG Mackenzie Global Financial Services Class	Investors Global Financial Services Class	MB	No	
IG Mackenzie Global Financial Services Fund	Investors Global Financial Services Fund	MB	No	
IG Mackenzie Global Fund	Investors Global Fund	MB	No	
IG Mackenzie Global Fund II	IG AGF Global Equity Fund	MB	No	
IG Mackenzie Global Health Care Class	Investors Global Health Care Class	MB	No	
IG Mackenzie Global Infrastructure Class	Investors Global Infrastructure Class	MB	No	
IG Mackenzie Global Natural Resources Class	Investors Global Natural Resources Class	MB	No	
IG Mackenzie Global Natural Resources Fund	Investors Canadian Natural Resource Fund	MB	No	
IG Mackenzie Global Precious Metals Class		MB	No	
IG Mackenzie Global Science & Technology Class	Investors Global Science & Technology Class	MB	No	
IG Mackenzie Global Science & Technology Fund	Investors Global Science & Technology Fund	MB	No	
IG Mackenzie High Yield Fixed Income Fund	IG Mackenzie Canadian High Yield Income Fund	MB	No	
IG MacKenzie Income Fund	IG Maxxum Income Fund	MB	No	
IG Mackenzie International Small Cap Class	Investors International Small Cap Class	MB	No	
IG Mackenzie International Small Cap Fund	Investors International Small Cap Fund	MB	No	
IG MacKenzie Ivy European Class		MB	No	
IG Mackenzie Ivy European Class II	Investors International Equity Class	MB	No	
IG Mackenzie Ivy European Class III	IG Templeton International Equity Class	MB	No	
IG Mackenzie Ivy European Fund	IG Scudder European Growth Fund	MB	No	
IG MacKenzie Ivy Foreign Equity Class		MB	No	
IG Mackenzie Low Volatility Canadian Equity Fund	Investors Low Volatility Canadian Equity Fund	MB	No	
IG Mackenzie Mortgage and Short Term Income Fund	Investors Mortgage and Short Term Income Fund	MB	No	
IG Mackenzie Mutual of Canada	Investors Mutual of Canada	MB	No	
IG Mackenzie North American Equity Class	Investors North American Equity Class	MB	No	
IG Mackenzie North American Equity Class II	Investors North American Equity Class II	MB	No	
IG Mackenzie North American Equity Class III	IG MacKenzie Canadian Equity Growth Class	ON	No	
IG Mackenzie North American Equity Class IV	Investors Canadian Growth Class	MB	No	
IG Mackenzie North American Equity Class V	Investors North American Equity Class V	MB	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
IG Mackenzie North American Equity Fund	Investors North American Equity Fund	MB	No	
IG Mackenzie Pacific International Class	Investors Pacific International Class	MB	No	
IG Mackenzie Pacific International Fund	Investors Pacific International Fund	MB	No	
IG Mackenzie Pan Asian Equity Class	Investors Pan Asian Growth Class	MB	No	
IG Mackenzie Pan Asian Equity Class II	Investors Greater China Class	MB	No	
IG Mackenzie Pan Asian Equity Fund	Investors Pan Asian Growth Fund	MB	No	
IG Mackenzie Quebec Enterprise Fund	Investors Quebec Enterprise Fund	MB	No	
IG Mackenzie Real Property Fund	Investors Real Property Fund	MB	No	
IG Mackenzie Strategic Income Fund	IG Mackenzie Sentinel Strategic Income Fund	MB	No	
IG Mackenzie U.S. Dividend Registered Fund	Investors U.S. Dividend Registered Fund	MB	No	
IG Mackenzie U.S. Dollar Fund - Global Equity		MB	No	
IG Mackenzie U.S. Dollar Fund - Global Equity Balanced		MB	No	
IG Mackenzie U.S. Dollar Fund - Global Fixed Income Balanced		MB	No	
IG Mackenzie U.S. Dollar Fund - Global Neutral Balanced		MB	No	
IG Mackenzie U.S. Equity Class	IG Mackenzie Core U.S. Equity Class	MB	No	
IG Mackenzie U.S. Equity Class II	IG Mackenzie Core U.S. Equity Class II	MB	No	
IG Mackenzie U.S. Equity Class III	IG Mackenzie Core U.S. Equity Class III	MB	No	
IG Mackenzie U.S. Equity Fund	IG Mackenzie Core U.S. Equity Fund	MB	No	
IG Mackenzie U.S. Money Market Fund	Investors U.S. Money Market Fund	MB	No	
IG Mackenzie U.S. Opportunities Class	Investors U.S. Opportunities Class	MB	No	
IG Mackenzie U.S. Opportunities Class II	IG Aristotle U.S. Small Cap Class	MB	No	
IG Mackenzie U.S. Opportunities Fund	Investors U.S. Opportunities Fund	MB	No	
IG Managed Growth Portfolio - Global Neutral Balanced		MB	No	
IG Managed Payout Portfolio	Alto Monthly Income Portfolio	MB	No	
IG Managed Payout Portfolio with Enhanced Growth	Alto Monthly Income and Enhanced Growth Portfolio	MB	No	
IG Managed Payout Portfolio with Growth	Alto Monthly Income and Growth Portfolio	MB	No	
IG Managed Risk Portfolio - Balanced	Maestro Balanced Portfolio	MB	No	
IG Managed Risk Portfolio - Growth Focus	Maestro Growth Focused Portfolio	MB	No	
IG Managed Risk Portfolio - Income Balanced	Maestro Income Balanced Portfolio	MB	No	
IG Managed Risk Portfolio - Income Focus	Maestro Income Focus Portfolio	MB	No	
IG Managed Risk Portfolio Class - Balanced	Maestro Balanced Portfolio Class	MB	No	
IG Managed Risk Portfolio Class - Growth Focus	Maestro Growth Focused Portfolio Class	MB	No	
IG Managed Risk Portfolio Class - Income Balanced	Maestro Income Balanced Portfolio Class	MB	No	
IG PIMCO Global Bond Fund		MB	No	
IG Putnam U.S. Growth Class		MB	No	
IG Putnam U.S. Growth Class II	IG AGF U.S. Growth Class	MB	No	
IG Putnam U.S. Growth Fund		MB	No	
IG Putnam U.S. Growth Fund II	IG AGF U.S. Growth Fund	MB	No	
IG Putnam U.S. High Yield Income Fund		MB	No	
IG T. Rowe Price U.S. Large Cap Equity Class	IG FI U.S. Large Cap Equity Class	MB	No	
IG T. Rowe Price U.S. Large Cap Equity Fund	IG FI U.S. Large Cap Equity Fund	MB	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
IG U.S. Taxpayer Portfolio - Global Equity		MB	No	
IG U.S. Taxpayer Portfolio - Global Equity Balanced		MB	No	
IG U.S. Taxpayer Portfolio - Global Fixed Income Balanced		MB	No	
IG U.S. Taxpayer Portfolio - Global Neutral Balanced		MB	No	
IGM Financial Inc.	Investors Group Inc.	MB	No	
IM Cannabis Corp.		BC	No	
Image Sculpting International Inc.		ON	No	
IMarketing Solutions Group Inc.	Xentel DM Incorporated	AB	No	
IMAX Corporation		ON	No	
imaxx Canadian Bond Fund	Imaxx Canadian Fixed Income Fund	ON	No	
imaxx Canadian Dividend Plus Fund	imaxx Canadian Dividend Fund	ON	No	
imaxx Canadian Fixed Pay Fund		ON	No	
imaxx Equity Growth Fund	imaxx Canadian Equity Growth Fund	ON	No	
imaxx Global Fixed Pay Fund	imaxx Global Equity Growth Fund	ON	No	
imaxx Short Term Bond Fund	imaxx Money Market Fund	ON	No	
ImmunoPrecise Antibodies Ltd.		BC	No	
Impax Energy Services Income Trust*		AB	Yes	1a,1b,1c,1d,1e,3
Imperial Canadian Bond Pool	CIBC Trust Canadian Bond Pool	ON	No	
Imperial Canadian Diversified Income Pool	Imperial Canadian Dividend Pool	ON	No	
Imperial Canadian Dividend Income Pool		ON	No	
Imperial Canadian Equity Pool	CIBC Trust Canadian Equity Pool	ON	No	
Imperial Emerging Economies Pool		ON	No	
Imperial Equity High Income Pool	Imperial Canadian Income Trust Pool	ON	No	
Imperial Global Equity Income Pool		ON	No	
Imperial Helium Corp.		AB	No	
Imperial International Bond Pool		ON	No	
Imperial International Equity Pool	CIBC Trust International Equity Pool	ON	No	
Imperial Money Market Pool	CIBC Trust Money Market Pool	ON	No	
Imperial Oil Limited		ON	No	
Imperial Overseas Equity Pool	Tal Private International Equity Fund	ON	No	
Imperial Short-Term Bond Pool	Imperial Short-Term Bond Fund	ON	No	
Imperial U.S. Equity Pool	CIBC Trust U.S. Equity Pool	ON	No	
IMRIS Inc.		ON	Yes	1b,1c,1e
IMV Inc.	ImmunoVaccine Inc.	NS	No	
Income 100 Fund	Conservative Income Fund		No	
Income 20/80 Fund	Diversified Income Fund		No	
Income 40/60 Fund	Balanced Income Fund		No	
Income Balanced Fund	Moderate Fund	ON	No	
Income Financial Trust*	International Financial Services Yield Trust	ON	No	
INDEXPLUS Income Fund		AB	No	
Indigo Books & Music Inc.	Chapters Inc.		No	
Indiva Limited		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Industrial Alliance Insurance and Financial Services Inc.	Industrial-Alliance Life Insurance Company	QC	No	
INEO Tech Corp.		BC	No	
Information Services Corporation		SK	No	
Innergex Renewable Energy Inc.		QC	No	
InnoCan Pharma Corporation		AB	No	
Innovative Composites International Inc.		ON	No	
Inovalis Real Estate Investment Trust		ON	No	
InPlay Oil Corp.	Anderson Energy Inc.	AB	No	
Inscape Corporation	Office Specialty Inc.	ON	No	
Intact Financial Corporation	ING Canada Inc.	ON	No	
Integra Balanced Fund	Icm Balanced Fund	ON	No	
Integra Bond Fund	Icm Bond Fund	ON	No	
Integra Canadian Value Growth Fund	Icm Canadian Value Growth Fund	ON	No	
Integra International Equity Fund	Icm International Equity Fund	ON	No	
Integra Resources Corp.	Mag Copper Limited	BC	No	
Integra U.S. Value Growth Fund	Icm U.S. Value Growth Fund	ON	No	
IntelliPharmaCeutics International Inc.		ON	No	
Inter Pipeline Ltd.		AB	No	
Intercure Ltd.		ON	Yes	1a,1c,1d,1e,3
Interfor Corporation	International Forest Products Limited	BC	No	
Interlock Consolidated Enterprises Inc.	Dunfrazier Gold Explorations Inc	ON	Yes	1a,1b,3
Intermap Technologies Corporation		AB	No	
International Battery Metals Ltd.	Rheingold Exploration Corp.	BC	Yes	1a,1c,1e,3
International Clean Power Dividend Fund		ON	No	
International Datacasting Corporation		ON	No	
International Equity Alpha Corporate Class		ON	No	
International Equity Alpha Pool		ON	No	
International Equity Fund	EAFE Equity Fund	ON	No	
International Equity Growth Corporate Class		ON	No	
International Equity Growth Pool	Assante International Equity Growth Pool	ON	No	
International Equity Value Corporate Class		ON	No	
International Equity Value Currency Hedged Corp. Class		ON	No	
International Equity Value Currency Hedged Pool		ON	No	
International Equity Value Pool	Optima Strategy International Equity Value Pool	ON	No	
International Financial Income and Growth Trust*		ON	No	
InterRent Real Estate Investment Trust		ON	No	
Intouch Insight Ltd.	In-Touch Survey Systems Ltd.	ON	No	
Inverpower Controls LTD.		ON	No	
Invesco 1-10 Year Laddered Investment Grade Corporate Bond Index ETF	PowerShares 1-10 Year Laddered Investment Grade Corporate Bond	ON	No	
Invesco 1-3 Year Laddered Floating Rate Note Index ETF	PowerShares 1-3 Year Laddered Floating Rate Note Index ETF	ON	No	
Invesco 1-5 Year Laddered All Government Bond Index ETF	PowerShares 1-5 Year Laddered All Government Bond Index ETF	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Invesco 1-5 Year Laddered Corporate Bond Index ETF Fund	PowerShares 1-5 Year Laddered Corporate Bond Index Fund	ON	No	
Invesco 1-5 Year Laddered Investment Grade Corporate Bond Index ETF	PowerShares 1-5 Year Laddered Investment Grade Corp Bond Index ETF	ON	No	
Invesco Active Multi-Sector Credit Fund	Invesco Advantage Bond Fund	ON	No	
Invesco Allocation Fund	Invesco Trimark Dialogue Allocation Fund	ON	No	
Invesco Balanced ETF Portfolio	Balanced Portfolio	ON	No	
Invesco Balanced Portfolio		ON	No	
Invesco Balanced-Risk Allocation Pool		ON	No	
Invesco Canada Money Market Fund	AIM Canada Money Market Fund	ON	No	
Invesco Canadian Class	Trimark Canadian Class	ON	No	
Invesco Canadian Core Plus Bond Fund	Invesco Canadian Bond Fund	ON	No	
Invesco Canadian Dividend Index ETF	PowerShares Canadian Dividend Index ETF	ON	No	
Invesco Canadian Dividend Index ETF Class	PowerShares Canadian Dividend Index Class	ON	No	
Invesco Canadian Fund	Trimark Canadian Fund	ON	No	
Invesco Canadian Plus Dividend Class	Trimark Canadian Plus Dividend Class	ON	No	
Invesco Canadian Preferred Share Index ETF Class	PowerShares Canadian Preferred Share Index Class	ON	No	
Invesco Canadian Preferred Share Index ETF	PowerShares Canadian Preferred Share Index ETF	ON	No	
Invesco Canadian Premier Balanced Class	Invesco Core Canadian Balanced Class	ON	No	
Invesco Canadian Premier Balanced Fund	Invesco Canadian Balanced Fund	ON	No	
Invesco Canadian Real Return Bond Index Fund	PowerShares Real Return Bond Index Fund	ON	No	
Invesco Canadian Short-Term Bond Fund	Invesco Short-Term Bond Fund	ON	No	
Invesco Conservative ETF Portfolio	Conservative Portfolio	ON	No	
Invesco Conservative Portfolio		ON	No	
Invesco Diversified Yield Class	Trimark Diversified Yield Class	ON	No	
Invesco Emerging Markets Class	Trimark Emerging Markets Class	ON	No	
Invesco Emerging Markets Fund	Invesco Indo-Pacific Fund	ON	No	
Invesco EQV Canadian Premier Equity Class	Invesco Canadian Premier Growth Class	ON	No	
Invesco EQV Canadian Premier Equity Fund	Invesco Canadian Premier Growth Fund	ON	No	
Invesco EQV European Equity Class	Invesco European Growth Class	ON	No	
Invesco EQV International Equity Class	Invesco International Growth Class	ON	No	
Invesco EQV International Equity Fund	Invesco International Growth Fund	ON	No	
Invesco ESG Canadian Core Plus Bond ETF	Invesco Tactical Bond ETF	ON	No	
Invesco ESG Canadian Core Plus Bond ETF Fund	Invesco Tactical Bond ETF Fund	ON	No	
Invesco ESG Global Bond ETF		ON	No	
Invesco ESG NASDAQ 100 Index ETF		ON	No	
Invesco ESG NASDAQ Next Gen 100 Index ETF		ON	No	
Invesco Europlus Fund	Trimark Europlus Fund	ON	No	
Invesco Floating Rate Income Fund	Trimark Floating Rate Income Fund	ON	No	
Invesco FTSE RAFI Canadian Index ETF	PowerShares FTSE RAFI Canadian Fundamental Index ETF	ON	No	
Invesco FTSE RAFI Canadian Index ETF Class	PowerShares FTSE RAFI Canadian Fundamental Index Class	ON	No	
Invesco FTSE RAFI Canadian Small-Mid Index ETF	PowerShares FTSE RAFI Canadian Small-Mid Fundamental Index ETF	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Invesco FTSE RAFI Emerging Markets ETF Class	PowerShares FTSE RAFI Emerging Markets Fundamental Class	ON	No	
Invesco FTSE RAFI Global Small-Mid ETF	PowerShares FTSE RAFI Global Small-Mid Fundamental ETF	ON	No	
Invesco FTSE RAFI Global+ ETF Fund	PowerShares FTSE RAFI Global+ Fundamental Fund	ON	No	
Invesco FTSE RAFI Global+ Index ETF	PowerShares FTSE RAFI Global+ Fundamental Index ETF	ON	No	
Invesco FTSE RAFI U.S. ETF Fund	PowerShares FTSE RAFI U.S. Fundamental Fund	ON	No	
Invesco FTSE RAFI U.S. Index ETF	PowerShares FTSE RAFI U.S. Fundamental Index ETF	ON	No	
Invesco FTSE RAFI U.S. Index ETF II	PowerShares FTSE RAFI U.S. Fundamental Index ETF II	ON	No	
Invesco Fundamental High Yield Corporate Bond Index ETF	Invesco Long Term Government Bond Index ETF	ON	No	
Invesco Global Balanced Class	Trimark Global Balanced Class	ON	No	
Invesco Global Balanced ESG ETF Fund		ON	No	
Invesco Global Balanced Fund	Trimark Global Balanced Fund	ON	No	
Invesco Global Bond Fund		ON	No	
Invesco Global Class	Invesco Global Growth Class	ON	No	
Invesco Global Companies Fund	Trimark Fund	ON	No	
Invesco Global Diversified Income Fund	Trimark Global Diversified Income Fund	ON	No	
Invesco Global Dividend Achievers ETF Fund	PowerShares Global Dividend Achievers Fund	ON	No	
Invesco Global Dividend Class	Trimark Global Dividend Class	ON	No	
Invesco Global Dividend Income Fund*		ON	No	
Invesco Global Equity Income Advantage Fund		ON	No	
Invesco Global Focus Class	Invesco Global Endeavour Class	ON	No	
Invesco Global Focus Fund	Invesco Global Endeavour Fund	ON	No	
Invesco Global High Yield Bond Fund	Trimark Global High Yield Bond Fund	ON	No	
Invesco Global Monthly Income Fund*		ON	No	
Invesco Global Opportunities Class	Invesco Global Small Companies Class	ON	No	
Invesco Global Real Estate Fund		ON	No	
Invesco Global Select Balanced Fund		ON	No	
Invesco Global Select Equity Class	Invesco Global Diversified Companies Class	ON	No	
Invesco Global Select Equity Fund	Invesco Global Diversified Companies Fund	ON	No	
Invesco Global Shareholder Yield ETF	PowerShares Global Shareholder Yield ETF	ON	No	
Invesco Growth ETF Portfolio	Growth Portfolio	ON	No	
Invesco Growth Portfolio		ON	No	
Invesco High Growth ETF Portfolio	High Growth Portfolio	ON	No	
Invesco High Growth Portfolio		ON	No	
Invesco Income Growth Fund	Trimark Income Growth Fund	ON	No	
Invesco Intactive 2023 Portfolio	Invesco Trimark Retirement Payout 2023 Portfolio	ON	No	
Invesco Intactive 2028 Portfolio	Invesco Trimark Retirement Payout 2028 Portfolio	ON	No	
Invesco Intactive 2033 Portfolio	Invesco Trimark Retirement Payout 2033 Portfolio	ON	No	
Invesco Intactive 2038 Portfolio	Invesco Trimark Retirement Payout 2038 Portfolio	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Invesco Intactive Balanced Growth Portfolio	Invesco Trimark Dialogue Growth With Income Portfolio	ON	No	
Invesco Intactive Balanced Growth Portfolio Class		ON	No	
Invesco Intactive Balanced Income Portfolio	Invesco Trimark Dialogue Income With Growth Portfolio	ON	No	
Invesco Intactive Balanced Income Portfolio Class		ON	No	
Invesco Intactive Diversified Income Portfolio	Invesco Trimark Dialogue Income Portfolio	ON	No	
Invesco Intactive Diversified Income Portfolio Class		ON	No	
Invesco Intactive Growth Portfolio	Invesco Trimark Dialogue Growth Portfolio	ON	No	
Invesco Intactive Growth Portfolio Class		ON	No	
Invesco Intactive Maximum Growth Portfolio	Invesco Trimark Dialogue Long-Term Growth Portfolio	ON	No	
Invesco Intactive Maximum Growth Portfolio Class		ON	No	
Invesco International Companies Class	Trimark International Companies Class	ON	No	
Invesco International Companies Fund	Trimark International Companies Fund	ON	No	
Invesco LadderRite U.S. 0-5 Year Corporate Bond Index ETF	PowerShares LadderRite U.S. 0-5 Yr Corp Bond Index ETF	ON	No	
Invesco Long Term Government Bond Index ETF	PowerShares Ultra Liquid Long Term Government Bond Index ETF	ON	No	
Invesco Low Volatility Portfolio ETF	PowerShares Low Volatility Portfolio ETF	ON	No	
Invesco Main Street U.S. Small Cap Class	Invesco U.S. Small Companies Class	ON	No	
Invesco Moderate ETF Portfolio	Moderate Portfolio	ON	No	
Invesco Moderate Portfolio		ON	No	
Invesco Monthly Income ETF Portfolio	PowerShares Monthly Income Fund	ON	No	
Invesco NASDAQ 100 Equal Weight Index ETF		ON	No	
Invesco NASDAQ 100 Index ETF	Invesco QQQ Index ETF	ON	No	
Invesco NASDAQ 100 Index ETF Fund		ON	No	
Invesco NASDAQ Next Gen 100 Index ETF		ON	No	
Invesco NASDAQ Next Gen 100 Index ETF Fund		ON	No	
Invesco Pure Canadian Equity Class	Invesco Canadian Opportunity Class	ON	No	
Invesco Pure Canadian Equity Fund	Invesco Canadian Endeavour Fund	ON	No	
Invesco S&P 500 Equal Weight Index ETF*		ON	No	
Invesco S&P 500 ESG Index ETF		ON	No	
Invesco S&P 500 ESG Index ETF Fund		ON	No	
Invesco S&P 500 ESG Tilt Index ETF		ON	No	
Invesco S&P 500 High Dividend Low Volatility Index ETF	PowerShares S&P 500 High Dividend Low Volatility Index ETF	ON	No	
Invesco S&P 500 Low Volatility Index ETF	PowerShares S&P 500 Low Volatility Index ETF	ON	No	
Invesco S&P 500 Low Volatility Index ETF Fund	PowerShares U.S. Low Volatility Index Class	ON	No	
Invesco S&P 500 Momentum Index ETF	Invesco DWA Global Momentum Index ETF	ON	No	
Invesco S&P Emerging Markets Low Volatility Index ETF	PowerShares S&P Emerging Markets Low Volatility Index ETF	ON	No	
Invesco S&P Europe 350 Equal Weight Index ETF*		ON	No	
Invesco S&P Global ex. Canada High Dividend Low Volatility Index ETF	PowerShares S&P Global ex. Canada High Dividend Low Volatility	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Invesco S&P International Developed ESG Index ETF		ON	No	
Invesco S&P International Developed ESG Tilt Index ETF		ON	No	
Invesco S&P International Developed Low Volatility Index ETF	PowerShares S&P International Developed Low Volatility Index ETF	ON	No	
Invesco S&P US Total Market ESG Index ETF		ON	No	
Invesco S&P US Total Market ESG Tilt Index ETF		ON	No	
Invesco S&P/TSX 60 ESG Tilt Index ETF		ON	No	
Invesco S&P/TSX Composite ESG Index ETF Class		ON	No	
Invesco S&P/TSX Composite ESG Index ETF*		ON	No	
Invesco S&P/TSX Composite ESG Tilt Index ETF		ON	No	
Invesco S&P/TSX Composite Low Volatility Index ETF	PowerShares S&P/TSX Composite Low Volatility Index ETF	ON	No	
Invesco S&P/TSX Composite Low Volatility Index ETF Class	PowerShares Canadian Low Volatility Index Class	ON	No	
Invesco S&P/TSX REIT Income Index ETF	PowerShares S&P/TSX REIT Income Index ETF	ON	No	
Invesco Select Balanced Fund	Trimark Select Balanced Fund	ON	No	
Invesco Select Canadian Equity Fund	Trimark Select Canadian Growth Fund	ON	No	
Invesco Senior Loan Index ETF	PowerShares Senior Loan Index ETF	ON	No	
Invesco Strategic Yield Fund	Investco Intactive Strategic Yield Portfolio	ON	No	
Invesco U.S. Companies Class	Trimark U.S. Companies Class	ON	No	
Invesco U.S. Companies Fund	Trimark U.S. Companies Fund	ON	No	
Invesco U.S. High Yield Bond Index Fund	PowerShares High Yield Corporate Bond Index Fund	ON	No	
Invesco U.S. Money Market Fund	Trimark U.S. Money Market Fund	ON	No	
Invesque Inc.	Mainstreet Health Investments Inc.	ON	No	
Investment Grade Managed Duration Income Fund*		ON	No	
Investors Cornerstone Portfolio	Investors Cornerstone II Portfolio	MB	No	
Investors Growth Plus Portfolio		MB	No	
Investors Growth Portfolio		MB	No	
Investors Income Plus Portfolio		MB	No	
Investors Retirement Growth Portfolio		MB	No	
Investors Retirement Plus Portfolio		MB	No	
Investors Syndicate Limited		MB	No	
Invictus MD Strategies Corp.		BC	Yes	1a,1b,1c,1e,3
Ion Energy Ltd.		ON	No	
Iona Energy Inc.		AB	Yes	1a,1b,1c,1e
IPC Canadian Equity		ON	No	
IPC Conservative Income Portfolio	Counsel High Income Portfolio	ON	No	
IPC Essentials Balanced Portfolio	IPC Balanced Essentials Portfolio	ON	No	
IPC Essentials Equity Portfolio		ON	No	
IPC Essentials ESG Balanced Portfolio	IPC ESG Balanced Essentials Portfolio	ON	No	
IPC Essentials Growth Portfolio	IPC Growth Essentials Portfolio	ON	No	
IPC Essentials Income Portfolio	IPC Income Essentials Portfolio	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
IPC Focus Balanced Portfolio		ON	No	
IPC Focus Conservative Portfolio		ON	No	
IPC Focus Equity Portfolio		ON	No	
IPC Focus Growth Portfolio		ON	No	
IPC Global Income & Growth Portfolio		ON	No	
IPC Monthly Income Portfolio	Counsel Monthly Income Portfolio	ON	No	
IPC Multi-Factor Canadian Equity		ON	No	
IPC Multi-Factor International Equity		ON	No	
IPC Multi-Factor U.S. Equity		ON	No	
IPC Private Wealth Visio Balanced Growth Pool		ON	No	
IPC Private Wealth Visio Balanced Income Pool		ON	No	
IPC Private Wealth Visio Balanced Pool		ON	No	
IPC Private Wealth Visio Core Fixed Income		ON	No	
IPC Private Wealth Visio Growth Pool		ON	No	
IPC Private Wealth Visio Income Pool		ON	No	
IPC Private Wealth Visio North American Equity		ON	No	
IPC Private Wealth Visio North American Equity High Income		ON	No	
iProfile Active Allocation Private Pool I		MB	No	
iProfile Active Allocation Private Pool II		MB	No	
iProfile Active Allocation Private Pool III		MB	No	
iProfile Active Allocation Private Pool IV		MB	No	
iProfile Alternatives Private Pool		MB	No	
iProfile Canadian Equity Private Class	iProfile Canadian Equity Class	MB	No	
iProfile Canadian Equity Private Pool	iProfile Canadian Equity Pool	MB	No	
iProfile Emerging Markets Private Class	iProfile Emerging Markets Class	MB	No	
iProfile Emerging Markets Private Pool	iProfile Emerging Markets Pool	MB	No	
iProfile ETF Private Pool		MB	No	
iProfile Fixed Income Private Pool	iProfile Fixed Income Pool	MB	No	
iProfile International Equity Private Class	iProfile International Equity Class	MB	No	
iProfile International Equity Private Pool	iProfile International Equity Pool	MB	No	
iProfile Low Volatility Private Pool		MB	No	
iProfile Portfolio - Global Equity		MB	No	
iProfile Portfolio - Global Equity Balanced		MB	No	
iProfile Portfolio - Global Fixed Income Balanced		MB	No	
iProfile Portfolio - Global Neutral Balanced		MB	No	
iProfile U.S. Equity Private Class	iProfile U.S. Equity Class	MB	No	
iProfile U.S. Equity Private Pool	iProfile U.S. Equity Pool	MB	No	
Irish Life - IG Low Volatility Global Equity Pool*		MB	No	
Irving Resources Inc.		BC	No	
ISE Limited		ON	No	
iShares 0-5 Year TIPS Bond Index ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
iShares 0-5 Year TIPS Bond Index ETF (CAD-Hedged)		ON	No	
iShares 1-10 Year Laddered Corporate Bond Index ETF	iShares 1-10 Yr Laddered Corporate Bond Index Fund	ON	No	
iShares 1-10 Year Laddered Government Bond Index ETF	iShares 1-10 Yr Laddered Government Bond Index Fund	ON	No	
iShares 1-5 Year Laddered Corporate Bond Index Fund	Claymore 1-5 Yr Laddered Corporate Bond ETF	ON	No	
iShares 1-5 year Laddered Government Bond Index ETF	iShares 1-5 Yr Laddered Government Bond Index Fund	ON	No	
iShares 1-5 Year U.S. IG Corporate Bond Index ETF		ON	No	
iShares 1-5 Year U.S. IG Corporate Bond Index ETF (CAD-Hedged)		ON	No	
iShares Canadian Financial Monthly Income ETF	iShares Canadian Financial Monthly Income Fund	ON	No	
iShares Canadian Fundamental Index ETF	iShares Canadian Fundamental Index Fund	ON	No	
iShares Canadian Growth Index ETF	iShares Dow Jones Canada Select Growth Index Fund	ON	No	
iShares Canadian HYBRID Corporate Bond Index ETF	iShares DEX HYBRID Bond Index Fund	ON	No	
iShares Canadian Real Return Bond Index ETF	iShares DEX Real Return Bond Index Fund	ON	No	
iShares Canadian Select Dividend Index ETF	iShares Dow Jones Canada Select Dividend Index Fund	ON	No	
iShares Canadian Value Index ETF	iShares Dow Jones Canada Select Value Index Fund	ON	No	
iShares China Index ETF	iShares China Index Fund	ON	No	
iShares Conservative Short Term Strategic Fixed Income ETF*		ON	No	
iShares Conservative Strategic Fixed Income ETF*		ON	No	
iShares Convertible Bond Index ETF	iShares Advantaged Convertible Bond Index Fund	ON	No	
iShares Core Balanced ETF Portfolio	iShares Balanced Income CorePortfolio TM Fund	ON	No	
iShares Core Canadian Corporate Bond Index ETF	iShares Canadian Corporate Bond Index ETF	ON	No	
iShares Core Canadian Government Bond Index ETF	iShares Canadian Government Bond Index ETF	ON	No	
iShares Core Canadian Long Term Bond Index ETF	iShares Canadian Long Term Bond Index ETF	ON	No	
iShares Core Canadian Short Term Bond Index ETF	iShares Canadian Short Term Bond Index ETF	ON	No	
iShares Core Canadian Short Term Corporate Bond Index ETF	iShares Core Canadian Short Term Corporate + Maple Bond Index ETF	ON	No	
iShares Core Canadian Universe Bond Index ETF	iShares Canadian Universe Bond Index ETF	ON	No	
iShares Core Conservative Balanced ETF Portfolio		ON	No	
iShares Core Equity ETF Portfolio		ON	No	
iShares Core Growth ETF Portfolio	iShares Balanced Growth CorePortfolio TM Fund	ON	No	
iShares Core Income Balanced ETF Portfolio		ON	No	
iShares Core MSCI All Country World ex Canada Index ETF		ON	No	
iShares Core MSCI Canadian Quality Dividend Index ETF*		ON	No	
iShares Core MSCI EAFE IMI Index ETF	iShares MSCI EAFE IMI Index ETF	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
iShares Core MSCI EAFE IMI Index ETF (CAD-Hedged)		ON	No	
iShares Core MSCI Emerging Markets IMI Index ETF	iShares MSCI Emerging Markets IMI Index ETF	ON	No	
iShares Core MSCI Global Quality Dividend Index ETF		ON	No	
iShares Core MSCI Global Quality Dividend Index ETF (CAD-Hedged)		ON	No	
iShares Core MSCI US Quality Dividend Index ETF		ON	No	
iShares Core MSCI US Quality Dividend Index ETF (CAD-Hedged)		ON	No	
iShares Core S&P 500 Index ETF	iShares S&P 500 Index ETF	ON	No	
iShares Core S&P 500 Index ETF (CAD-Hedged)	iShares S&P 500 Index Fund (CAD-Hedged)	ON	No	
iShares Core S&P U.S. Total Market Index ETF	iShares Core S&P U.S. Total market Index ETF	ON	No	
iShares Core S&P U.S. Total Market Index ETF (CAD-Hedged)		ON	No	
iShares Core S&P/TSX Capped Composite Index ETF	iShares S&P/TSX Capped Composite Index Fund	ON	No	
iShares Cybersecurity and Tech Index ETF		ON	No	
iShares Diversified Monthly Income ETF	iShares Diversified Monthly Income Fund	ON	No	
iShares Emerging Markets Fundamental Index ETF	iShares Broad Emerging Markets Fund	ON	No	
iShares Equal Weight Banc & Lifeco ETF	iShares Equal Weight Banc & Lifeco Fund	ON	No	
iShares ESG Advanced 1-5 Year Canadian Corporate Bond Index ETF		ON	No	
iShares ESG Advanced Canadian Corporate Bond Index ETF		ON	No	
iShares ESG Advanced MSCI Canada Index ETF	iShares ESG MSCI Canada Advanced Index ETF	ON	No	
iShares ESG Advanced MSCI EAFE Index ETF	iShares ESG MSCI EAFE Advanced Index ETF	ON	No	
iShares ESG Advanced MSCI USA Index ETF	iShares ESG MSCI USA Advanced Index ETF	ON	No	
iShares ESG Aware Canadian Aggregate Bond Index ETF	iShares ESG Canadian Aggregate Bond Index ETF	ON	No	
iShares ESG Aware Canadian Short Term Bond Index ETF	iShares ESG Canadian Short Term Bond Index ETF	ON	No	
iShares ESG Aware MSCI Canada Index ETF	iShares ESG MSCI Canada Index ETF	ON	No	
iShares ESG Aware MSCI EAFE Index ETF	iShares ESG MSCI EAFE Index ETF	ON	No	
iShares ESG Aware MSCI Emerging Markets Index ETF	iShares ESG MSCI Emerging Markets Index ETF	ON	No	
iShares ESG Aware MSCI USA Index ETF	iShares ESG MSCI USA Index ETF	ON	No	
iShares ESG Balanced ETF Portfolio		ON	No	
iShares ESG Conservative Balanced ETF Portfolio		ON	No	
iShares ESG Equity ETF Portfolio		ON	No	
iShares ESG Growth ETF Portfolio		ON	No	
iShares ESG MSCI Canada Leaders Index ETF		ON	No	
iShares ESG MSCI EAFE Leaders Index ETF		ON	No	
iShares ESG MSCI USA Leaders Index ETF		ON	No	
iShares Exponential Technologies Index ETF		ON	No	
iShares Floating Rate Index ETF	iShares DEX Floating Rate Note Index Fund	ON	No	
iShares Genomics Immunology and Healthcare Index ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
iShares Global Agriculture Index ETF	iShares Global Agriculture Index Fund	ON	No	
iShares Global Clean Energy Index ETF		ON	No	
iShares Global Government Bond Index ETF (CAD-Hedged)	RBC Global Government Bond (CAD Hedged) Index ETF	ON	No	
iShares Global Healthcare Index ETF (CAD-Hedged)	iShares S&P Global Healthcare Index Fund (CAD-Hedged)	ON	No	
iShares Global Infrastructure Index ETF	iShares Global Infrastructure Index Fund	ON	No	
iShares Global Monthly Dividend Index ETF (CAD-Hedged)	iShares Global Monthly Advantaged Dividend Index Fund	ON	No	
iShares Global Real Estate Index ETF	iShares Global Real Estate Index Fund	ON	No	
iShares Global Water Index ETF	iShares S&P Global Water Index ETF	ON	No	
iShares Gold Bullion ETF	Claymore Gold Bullion ETF	ON	No	
iShares High Quality Canadian Bond Index ETF	iShares Core High Quality Canadian Bond Index ETF	ON	No	
iShares India Index ETF	iShares CNX Nifty India Index ETF	ON	No	
iShares International Fundamental Index ETF	iShares International Fundamental Index Fund	ON	No	
iShares J.P. Morgan USD Emerging Markets Bond Index ETF (CAD-Hedged)	iShares J.P. Morgan USD Emerging Markets Bond Index Fund (CAD-Hedged)	ON	No	
iShares Jantzi Social Index ETF	iShares Jantzi Social Index Fund	ON	No	
iShares Japan Fundamental Index ETF (CAD-Hedged)	iShares Japan Fundamental Index Fund (CAD-Hedged)	ON	No	
iShares MSCI EAFE Index ETF (CAD-Hedged)	iShares MSCI EAFE Index Fund (CAD-Hedged)	ON	No	
iShares MSCI Emerging Markets Index ETF	iShares MSCI Emerging Markets Index Fund	ON	No	
iShares MSCI Europe IMI Index ETF		ON	No	
iShares MSCI Europe IMI Index ETF (CAD-Hedged)		ON	No	
iShares MSCI Min Vol Canada Index ETF	iShares Edge MSCI Min Vol Canada Index ETF	ON	No	
iShares MSCI Min Vol EAFE Index ETF	iShares Edge MSCI Min Vol EAFE Index ETF	ON	No	
iShares MSCI Min Vol EAFE Index ETF (CAD-Hedged)	iShares Edge MSCI Min Vol EAFE Index ETF (CAD-Hedged)	ON	No	
iShares MSCI Min Vol Emerging Markets Index ETF	iShares Edge MSCI Min Vol Emerging Markets Index ETF	ON	No	
iShares MSCI Min Vol Global Index ETF	iShares Edge MSCI Min Vol Global Index ETF	ON	No	
iShares MSCI Min Vol Global Index ETF (CAD-Hedged)	iShares Edge MSCI Min Vol Global Index ETF (CAD-Hedged)	ON	No	
iShares MSCI Min Vol USA Index ETF	iShares Edge MSCI Min Vol USA Index ETF	ON	No	
iShares MSCI Min Vol USA Index ETF (CAD-Hedged)	iShares Edge MSCI Min Vol USA Index ETF (CAD-Hedged)	ON	No	
iShares MSCI Multifactor Canada Index ETF	iShares Edge MSCI Multifactor Canada Index ETF	ON	No	
iShares MSCI Multifactor EAFE Index ETF	iShares Edge MSCI Multifactor EAFE Index ETF	ON	No	
iShares MSCI Multifactor EAFE Index ETF (CAD-Hedged)	iShares Edge MSCI Multifactor EAFE Index ETF (CAD-Hedged)	ON	No	
iShares MSCI Multifactor USA Index ETF	iShares Edge MSCI Multifactor USA Index ETF	ON	No	
iShares MSCI Multifactor USA Index ETF (CAD-Hedged)	iShares Edge MSCI Multifactor USA Index ETF (CAD-Hedged)	ON	No	
iShares MSCI USA Momentum Factor Index ETF		ON	No	
iShares MSCI USA Quality Factor Index ETF		ON	No	
iShares MSCI USA Value Factor Index ETF		ON	No	
iShares MSCI World Index ETF	iShares MSCI World Index Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
iShares NASDAQ 100 Index ETF (CAD-Hedged)	iShares NASDAQ 100 Index Fund (CAD-Hedged)	ON	No	
iShares Premium Money Market ETF	iShares Premium Money Market Fund	ON	No	
iShares S&P Global Consumer Discretionary Index ETF (CAD-Hedged)	iShares S&P Global Consumer Discretionary Index Fund (CAD-Hedged)	ON	No	
iShares S&P Global Industrials Index ETF (CAD-Hedged)	iShares S&P Global Industrials Index Fund (CAD-Hedged)	ON	No	
iShares S&P U.S. Mid-Cap Index ETF		ON	No	
iShares S&P U.S. Mid-Cap Index ETF (CAD-Hedged)		ON	No	
iShares S&P U.S. Small-Cap Index ETF		ON	No	
iShares S&P U.S. Small-Cap Index ETF (CAD-Hedged)		ON	No	
iShares S&P/TSX 60 Index ETF	iShares S&P/TSX 60 Index Fund	ON	No	
iShares S&P/TSX Canadian Dividend Aristocrats Index Fund	Claymore S&P/TSX Canadian Dividend ETF	ON	No	
iShares S&P/TSX Canadian Preferred Share Index Fund	Claymore S&P/TSX CDN Preferred Share ETF	ON	No	
iShares S&P/TSX Capped Consumer Staples Index ETF	iShares S&P/TSX Capped Consumer Staples Index Fund	ON	No	
iShares S&P/TSX Capped Energy Index ETF	iShares S&P/TSX Capped Energy Index Fund	ON	No	
iShares S&P/TSX Capped Financials Index ETF	iShares S&P/TSX Capped Financials Index Fund	ON	No	
iShares S&P/TSX Capped Information Technology Index ETF	iShares S&P/TSX Capped Information Technology Index Fund	ON	No	
iShares S&P/TSX Capped Materials Index ETF	iShares S&P/TSX Capped Materials Index Fund	ON	No	
iShares S&P/TSX Capped REIT Index ETF	iShares S&P/TSX Capped REIT Index Fund	ON	No	
iShares S&P/TSX Capped Utilities Index ETF	iShares S&P/TSX Capped Utilities Index Fund	ON	No	
iShares S&P/TSX Completion Index ETF	iShares S&P/TSX Completion Index Fund	ON	No	
iShares S&P/TSX Composite High Dividend Index ETF	iShares Core S&P/TSX Composite High Dividend Index ETF	ON	No	
iShares S&P/TSX Global Base Metals Index ETF	iShares S&P/TSX Global Base Metals Index Fund	ON	No	
iShares S&P/TSX Global Gold Index ETF	iShares S&P/TSX Global Gold Index Fund	ON	No	
iShares S&P/TSX North American Preferred Stock Index ETF (CAD-Hedged)	iShares S&P/TSX North American Preferred Stock Index Fund (CAD-Hedged)	ON	No	
iShares S&P/TSX SmallCap Index ETF	iShares S&P/TSX SmallCap Index Fund	ON	No	
iShares Short Duration High Income ETF (CAD-Hedged)	iShares Advanatged Short Duration High Income ETF (CAD-Hedged)	ON	No	
iShares Short Term High Quality Canadian Bond Index ETF	iShares Core Short Term High Quality Canadian Bond Index ETF	ON	No	
iShares Short Term Strategic Fixed Income ETF		ON	No	
iShares Silver Bullion ETF	BlackRock Silver Bullion Fund	ON	No	
iShares U.S. Aggregate Bond Index ETF		ON	No	
iShares U.S. Aggregate Bond Index ETF (CAD-Hedged)		ON	No	
iShares U.S. High Dividend Equity Index ETF		ON	No	
iShares U.S. High Dividend Equity Index ETF (CAD-Hedged)	iShares U.S. High Dividend Equity Index Fund (CAD-Hedged)	ON	No	
iShares U.S. High Yield Bond Index ETF(CAD-Hedged)	iShares U.S. High Yield Bond Index Fund (CAD-Hedged)	ON	No	
iShares U.S. High Yield Fixed Income Index ETF (CAD-Hedged)	iShares Advantaged U.S. High Yield Bond Index ETF	ON	No	
iShares U.S. IG Corporate Bond Index ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
iShares U.S. IG Corporate Bond Index ETF (CAD-Hedged)	iShares U.S. IG Corporate Bond Index Fund (CAD-Hedged)	ON	No	
iShares U.S. Small Cap Index ETF (CAD-Hedged)	iShares Russell 2000 Index Fund (CAD-Hedged)	ON	No	
iShares US Dividend Growers Index ETF (CAD-Hedged)	iShares S&P US Dividend Growers Index ETF (CAD-Hedged)	ON	No	
iShares US Fundamental Index ETF	iShares US Fundamental Index Fund	ON	No	
Itemus Inc.	Vengold Inc.	BC	No	
Iti Education Corporation (Cease Traded)		NS	Yes	1a
Ivaco Inc.		QC	Yes	1a,1b,3
Ivanhoe Electric Inc.		BC	No	
Ivanhoe Energy Inc.	Black Sea Energy LTD.	BC	No	
Ivanhoe Mines Ltd.	Ivanplats Limited	BC	No	
Jade Power Trust	Blockchain Power Trust	ON	Yes	1a,1c,1e,3
Jaguar Mining Inc.		ON	No	
Jamieson Wellness Inc.		ON	No	
Jayden Resources Inc.	Pinnacle Mines Ltd.	BC	No	
Jeotex Inc.	Jeotex Corporation	ON	No	
Jervois Global Limited	Jervois Mining Limited	BC	No	
JFT Strategies Fund*		ON	No	
Jinhua Capital Corporation		AB	No	
John Deere Canada Funding Inc.		ON	No	
Johnson & Johnson (JNJ) Yield Shares		ON	No	
Johnson Controls International plc	Tyco International LTD.	US	No	
Journey Energy Inc.		AB	No	
JPMorgan - IG Emerging Markets Pool		MB	No	
JPMorgan - IG U.S. Equity Long/Short Pool*		MB	No	
JPMorgan Chase (JPM) Yield Shares		ON	No	
Jumbo Petroleum Corporation	Jumbo Development Corporation	AB	Yes	1a,1b,1c,1e,3
June 2021 Investment Grade Bond Pool*		ON	No	
Juniper Income Fund*	Capstone Cash Management Fund	ON	Yes	1a,1b,1c,3
Jura Energy Corporation	Proprietary Industries Inc.	AB	No	
Jushi Holdings Inc.		ON	No	
Just Kitchen Holdings Corp.		BC	No	
K-Bro Linen Inc.		AB	No	
K.B. Recycling Industries Ltd.		ON	No	
Karnalyte Resources Inc.		AB	No	
Karora Resources Inc.	Royal Nickel Corporation	ON	No	
Katapult Technology Corp.		BC	No	
Keg Royalties Income Fund, The		BC	No	
Kelt Exploration Ltd.		AB	No	
Kew Media Group Inc.		ON	No	
Keyera Corp.		AB	No	
Khiron Life Sciences Corp.		BC	No	
Kiboko Gold Inc.		BC	No	
Killam Apartment Limited Partnership		NS	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Killam Apartment Real Estate Investment Trust		NS	No	
Kinaxis Inc.		ON	No	
Kingsway Financial Services Inc.		ON	No	
Kinross Gold Corporation	CMP Resources LTD	ON	No	
Kits Eyecare Ltd.		BC	No	
Kiwetinohk Energy Corp.	Kiwetinohk Resources Corp.	AB	No	
kneat.com, inc.	Fortune Bay Corp.	NS	No	
Knight Therapeutics Inc.		QC	No	
Knowledge House Inc.	Knowledge House Publishing Limited	NS	Yes	1a
Kolombo Technologies LTD.		QC	No	
Kontrol Technologies Corp.		ON	No	
KORE Mining Ltd.		BC	No	
KP Tissue Inc.		ON	No	
Kraken Robotics Inc.		BC	No	
Krystal Bond Inc.		ON	Yes	1a,1c,1d,3
Kure Technologies, Inc.	Unique Broadband Systems Inc.	ON	Yes	1a,1c,1e,3
KWESST Micro Systems Inc.		BC	No	
KWG Resources Inc.		QC	No	
LAB Research Inc.		QC	No	
Labrador Iron Mines Holdings Limited		ON	No	
Labrador Iron Ore Royalty Corporation		ON	No	
Lake Louise Limited Partnership		MB	No	
Lakeview Hotel Investment Corp.		MB	No	
Landmark Global Financial Corporation	Landmark Corporation	ON	No	
Lanesborough Real Estate Investment Trust		MB	No	
Langdon Canadian Smaller Companies Portfolio		ON	No	
Langdon Global Smaller Companies Portfolio		ON	No	
Lantronix, Inc.		BC	No	
Laramide Resources Ltd.		ON	No	
Largo Inc.	Largo Resources Ltd.	ON	No	
Laurentian Bank of Canada		QC	No	
Lava Systems Inc.		ON	Yes	1a,1b
Lavras Gold Corp.		ON	No	
Lazard Defensive Global Dividend Fund	Lazard Global Managed Volatility Fund	ON	No	
Lazard Global Balanced Income Fund		ON	No	
Lazard Global Compounders Fund	Greystone Global Equity Fund	ON	No	
Lazard International Compounders Fund		ON	No	
LDIC North American Small Business Fund (Corporate Class)		ON	No	
Leaf Mobile Inc.		BC	No	
Legacy Education Savings Plan*	Global Educational Trust Plan	ON	No	
Legend Power Systems Inc.		BC	No	
LeoNovus Inc.		ON	No	
Levitee Labs Inc.		BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
LexaGene Holdings Inc.	Wolfeye Resource Corp.	BC	No	
LGC Skyrota Wind Energy Corp.	Zenith Industries Corp.	BC	No	
Li-Metal Corp.	Eurotin Inc.	ON	No	
Libero Copper & Gold Corporation		BC	No	
Liberty Defense Holdings, Ltd.		BC	No	
Liberty Gold Corp.	Pilot Gold Inc.	BC	No	
Life & Banc Split Corp.*		ON	No	
Lifeist Wellness Inc.	Namaste Technologies Inc.	BC	No	
LifeSpeak Inc.		ON	No	
Lightspeed Commerce Inc.	Lightspeed POS Inc.	QC	No	
Lightstream Resources Limited	PetroBakken Energy Ltd.	AB	No	
Lightstream Resources Ltd.	Lightstream Resources Ltd.	AB	No	
Liminal BioSciences Inc.	Prometic Life Sciences Inc.	QC	No	
Linamar Corporation		ON	No	
Lincluden Balanced Fund		ON	No	
Lingo Media Corporation	Lingo Media Inc.	ON	No	
Lithium Americas Corp.	Western Lithium Canada Corporation	BC	No	
Livent Inc.	Live Entertainment Of Canada Inc.	ON	Yes	1a,1b,3
Loblaw Companies Limited	Loblaws Companies Limited	ON	No	
Lodge At Kananaskis Limited Partnership, The		AB	Yes	3
Loewen Group Inc., The		BC	Yes	1a
Logiq, Inc.		ON	Yes	1a,1c,1d,1e,3
Loncor Gold Inc.	Loncor Resources Inc.	ON	No	
Long Duration Bond Fund	Primus Capital Advisors Canadian Long Duration Bond	ON	No	
Longevity Pension Fund		ON	No	
Loop Energy Inc.		BC	No	
Lorica Canadian Fixed Income Fund*	Marquest Canadian Fixed Income Fund	ON	No	
Los Andes Copper Ltd.		BC	No	
LQwD FinTech Corp.	Interlapse Technologies Corp.	BC	No	
LSC Communications, Inc.		ON	Yes	1a,1c,1e,3
Lucky Minerals Inc.		BC	No	
lululemon athletica inc.	lululemon athletica inc.	BC	No	
Lumiera Health Inc.	Mondias Natural Products Inc.	QC	No	
Lundin Gold Inc.		BC	No	
Lundin Mining Corporation	South Atlantic Ventures LTD.	BC	No	
Lupaka Gold Corp.		BC	No	
Luxxfolio Holdings Inc.	AX1 Capital Corp	BC	Yes	1a,1c,1e,3
LWP Capital Inc.	Legumex Walker Inc.	MB	No	
LXRandCo, Inc.	Gibraltar Growth Corporation	ON	No	
Lydian International Limited	Dawson Creek Capital Corp.	ON	No	
Lysander Balanced Income Fund	Lysander-Triasima Balanced Income Fund	ON	No	
Lysander TDV Fund		ON	No	
Lysander-Canso Balanced Fund	Lysander Balanced Fund	ON	No	
Lysander-Canso Bond Fund	Lysander Bond Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Lysander-Canso Broad Corporate Bond Fund		ON	No	
Lysander-Canso Corporate Treasury ActivETF		ON	No	
Lysander-Canso Corporate Treasury Fund		ON	No	
Lysander-Canso Corporate Value Bond Fund	Lysander Corporte Value Bond Fund	ON	No	
Lysander-Canso Credit Opportunities Fund		ON	No	
Lysander-Canso Equity Fund	Lysander Equity Fund	ON	No	
Lysander-Canso Floating Rate ActivETF		ON	No	
Lysander-Canso Short Term and Floating Rate Fund	Lysander Short Term and Floating Rate Fund	ON	No	
Lysander-Canso U.S. Corporate Treasury Fund		ON	No	
Lysander-Canso U.S. Credit Fund	Lysander U.S. Credit Fund	ON	No	
Lysander-Canso U.S. Short Term and Floating Rate Fund		ON	No	
Lysander-Crusader Equity Income Fund	Crusader Equity Income Fund	ON	No	
Lysander-Fulcra Corporate Securities Fund		ON	No	
Lysander-Patient Capital Equity Fund	Lysander-18 Asset Management Canadian Equity Fund	ON	No	
Lysander-Seamark Balanced Fund		ON	No	
Lysander-Seamark Total Equity Fund		ON	No	
Lysander-Slater Preferred Share ActivETF		ON	No	
Lysander-Slater Preferred Share Dividend Fund		ON	No	
Lysander-Triasima All Country Equity Fund		ON	No	
Lysander-Triasima All Country Long/Short Equity Fund		ON	No	
M Split Corp.*		ON	No	
M3 Capital Corp.		AB	No	
M8 Entertainment Inc.	MDP Worldwide Entertainment Inc.	QC	Yes	1a,1b,1c,1e,3
MacDonald Mines Exploration Limited		QC	No	
Mackenzie - IG Canadian Bond Pool		MB	No	
Mackenzie - IG Canadian Corporate Bond Pool*		MB	No	
Mackenzie - IG Canadian Equity Income Pool*		MB	No	
Mackenzie - IG Canadian Equity Pool		MB	No	
Mackenzie - IG Equity Hedge Pool		MB	No	
Mackenzie - IG Equity Pool	Investors Group Equity Pool	MB	No	
Mackenzie - IG Global Bond Pool*		MB	No	
Mackenzie - IG Global Credit Opportunities Pool*		MB	No	
Mackenzie - IG Global Inflation-Linked Pool	IG Mackenzie Global Inflation-Linked Pool	MB	No	
Mackenzie - IG Income Pool	Investors Group Income Pool	MB	No	
Mackenzie - IG International Equity Pool		MB	No	
Mackenzie - IG Low Volatility Canadian Equity Pool*		MB	No	
Mackenzie - IG Low Volatility Emerging Markets Equity Pool	IG Mackenzie Low Volatility Emerging Markets Equity Pool	MB	No	
Mackenzie - IG Low Volatility U.S. Equity Pool		MB	No	
Mackenzie - IG U.S. Equity Pool		MB	No	
Mackenzie All China Equity Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Mackenzie Alternative Enhanced Yield Fund*	Mackenzie Alternative Income Fund	ON	No	
Mackenzie Anti-Benchmark Global High Yield Fund		ON	No	
Mackenzie Anti-Benchmark Global Investment Grade Fund*		ON	No	
Mackenzie Asian Small-Mid Cap Fund*	Mackenzie Asian Opportunities Fund	ON	No	
Mackenzie Balanced Allocation ETF		ON	No	
Mackenzie Balanced ETF Portfolio		ON	No	
Mackenzie Betterworld Canadian Equity Fund		ON	No	
Mackenzie Betterworld Global Equity Fund		ON	No	
Mackenzie Bluewater Canadian Growth Balanced Fund	MacKenzie Canadian Growth Balanced Fund	ON	No	
Mackenzie Bluewater Canadian Growth Fund	MacKenzie Canadian Growth Fund	ON	No	
Mackenzie Bluewater Global Growth Fund	Mackenzie Global Growth Fund	ON	No	
Mackenzie Bluewater Global Innovative Growth Fund		ON	No	
Mackenzie Bluewater US Growth Fund	Mackenzie US Growth Fund	ON	No	
Mackenzie Broad Risk Premia Collection Fund		ON	No	
Mackenzie Canadian Aggregate Bond Index ETF*		ON	No	
Mackenzie Canadian All Corporate Bond Index ETF*		ON	No	
MacKenzie Canadian Bond Fund	MacKenzie Sentinel Bond Fund	ON	No	
Mackenzie Canadian Dividend Fund	Mackenzie Canadian Large Cap Dividend Fund	ON	No	
Mackenzie Canadian Dividend Fund II		ON	No	
Mackenzie Canadian Equity Fund	Mackenzie Canadian All Cap Value Fund	ON	No	
Mackenzie Canadian Equity Index ETF*		ON	No	
Mackenzie Canadian Growth Balanced Fund II		ON	No	
Mackenzie Canadian Growth Fund II		ON	No	
Mackenzie Canadian Large Cap Equity Index ETF*		ON	No	
Mackenzie Canadian Money Market Fund	MacKenzie Sentinel Money Market Fund	ON	No	
Mackenzie Canadian Short Term Fixed Income ETF		ON	No	
Mackenzie Canadian Short Term Income Fund	MacKenzie Sentinel Short-Term Income Fund	ON	No	
Mackenzie Canadian Short-Term Bond Index ETF*		ON	No	
Mackenzie Canadian Small Cap Fund	Mackenzie Canadian Small Cap Value Fund	ON	No	
Mackenzie Canadian Sustainable Bond Fund		ON	No	
Mackenzie China A-Shares CSI 300 Index ETF*		ON	No	
Mackenzie China Bond Fund		ON	No	
Mackenzie ChinaAMC Bond Fund		ON	No	
Mackenzie ChinaAMC Multi-Asset Fund		ON	No	
Mackenzie Conservative Allocation ETF		ON	No	
Mackenzie Conservative ETF Portfolio		ON	No	
Mackenzie Conservative Income ETF Portfolio		ON	No	
Mackenzie Core Plus Canadian Fixed Income ETF		ON	No	
Mackenzie Core Plus Global Fixed Income ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Mackenzie Corporate Bond Fund	MacKenzie Sentinel Corporate Bond Fund	ON	No	
Mackenzie Corporate Knights Global 100 Index ETF		ON	No	
Mackenzie Corporate Knights Global 100 Index Fund		ON	No	
Mackenzie Credit Absolute Return Fund		ON	No	
MacKenzie Cundill Canadian Balanced Fund	Cundill Canadian Balanced Fund	ON	No	
MacKenzie Cundill Canadian Security Fund	Cundill Canadian Security Fund	ON	No	
MacKenzie Cundill Value Fund	Cundill Value Fund	ON	No	
Mackenzie Cundill Value Fund II		ON	No	
Mackenzie Developed ex-North America Aggregate Bond Index ETF		ON	No	
Mackenzie Developed Markets Real Estate Index ETF		ON	No	
Mackenzie Diversified Alternatives Fund		ON	No	
Mackenzie Emerging Markets Bond Index ETF (CAD-Hedged)		ON	No	
Mackenzie Emerging Markets Equity Index ETF		ON	No	
Mackenzie Emerging Markets Fund		ON	No	
Mackenzie Emerging Markets Fund II		ON	No	
Mackenzie Emerging Markets Large Cap Fund		ON	No	
Mackenzie Emerging Markets Local Currency Bond Index ETF		ON	No	
Mackenzie Emerging Markets Opportunities Fund	Symmetry Global Equity Fund	ON	No	
Mackenzie Emerging Markets Small Cap Fund		ON	No	
Mackenzie Enhanced Equity Risk Premia Fund		ON	No	
Mackenzie Enhanced Fixed Income Risk Premia Fund		ON	No	
Mackenzie European Small-Mid Cap Fund*	Mackenzie European Opportunities Fund	ON	No	
Mackenzie Floating Rate Income ETF	Mackenzie Global Women's Leadership ETF	ON	No	
Mackenzie Floating Rate Income Fund		ON	No	
Mackenzie FuturePath Canadian Balanced Fund		ON	No	
Mackenzie FuturePath Canadian Core Bond Fund		ON	No	
Mackenzie FuturePath Canadian Core Fund		ON	No	
Mackenzie FuturePath Canadian Core Plus Bond Fund		ON	No	
Mackenzie FuturePath Canadian Dividend Fund		ON	No	
Mackenzie FuturePath Canadian Equity Balanced Fund		ON	No	
Mackenzie FuturePath Canadian Fixed Income Portfolio		ON	No	
Mackenzie FuturePath Canadian Growth Fund		ON	No	
Mackenzie FuturePath Canadian Money Market Fund		ON	No	
Mackenzie FuturePath Canadian Sustainable Equity Fund		ON	No	
Mackenzie FuturePath Global Balanced Fund		ON	No	
Mackenzie FuturePath Global Core Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Mackenzie FuturePath Global Core Plus Bond Fund		ON	No	
Mackenzie FuturePath Global Equity Balanced Fund		ON	No	
Mackenzie FuturePath Global Equity Balanced Portfolio		ON	No	
Mackenzie FuturePath Global Equity Portfolio		ON	No	
Mackenzie FuturePath Global Fixed Income Balanced Portfolio		ON	No	
Mackenzie FuturePath Global Growth Fund		ON	No	
Mackenzie FuturePath Global Neutral Balanced Portfolio		ON	No	
Mackenzie FuturePath Global Value Fund		ON	No	
Mackenzie FuturePath International Equity Fund		ON	No	
Mackenzie FuturePath Monthly Income Balanced Portfolio		ON	No	
Mackenzie FuturePath Monthly Income Conservative Portfolio		NS	No	
Mackenzie FuturePath Monthly Income Growth Portfolio		ON	No	
Mackenzie FuturePath US Core Fund		ON	No	
Mackenzie FuturePath US Growth Fund		ON	No	
Mackenzie FuturePath US Value Fund		ON	No	
Mackenzie Global China Fund		ON	No	
Mackenzie Global Credit Opportunities Fund*		ON	No	
Mackenzie Global Dividend Fund	Mackenzie Universal Global Infrastructure Fund	ON	No	
Mackenzie Global Energy Opportunities Long/Short Fund	Mackenzie Global Energy Resource Long/Short Fund	ON	No	
Mackenzie Global Equity Fund	Mackenzie Global Concentrated Equity Fund	ON	No	
Mackenzie Global Equity Income Fund*		ON	No	
Mackenzie Global Fixed Income Allocation ETF		ON	No	
Mackenzie Global Green Bond Fund		ON	No	
Mackenzie Global Growth Balanced Fund*		ON	No	
Mackenzie Global High Yield Fixed Income ETF		ON	No	
Mackenzie Global Inflation-Linked Fund*		ON	No	
Mackenzie Global Infrastructure Index ETF		ON	No	
Mackenzie Global Long/Short Equity Alpha Fund	Mackenzie Global Long/Short Equity Fund	ON	No	
Mackenzie Global Low Volatility Equity Fund*		ON	No	
Mackenzie Global Macro Fund		ON	No	
Mackenzie Global Resource Fund	Mackenzie Canadian Resource Fund	ON	No	
Mackenzie Global Resource Fund II		ON	No	
Mackenzie Global Small-Mid Cap Equity Fund	Mackenzie Global Small Cap Fund	ON	No	
Mackenzie Global Small-Mid Cap Fund	Mackenzie Global Opportunities Fund	ON	No	
Mackenzie Global Strategic Income Fund	MacKenzie Global Diversified Income Fund	ON	No	
Mackenzie Global Sustainable Balanced Fund	Mackenzie Global Sustainability and Impact Balanced Fund	ON	No	
Mackenzie Global Sustainable Bond ETF		ON	No	
Mackenzie Global Sustainable Bond Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Mackenzie Global Sustainable Dividend Index ETF		ON	No	
Mackenzie Global Sustainable High Yield Bond Fund		ON	No	
Mackenzie Global Tactical Bond Fund		ON	No	
Mackenzie Global Tactical Investment Grade Bond Fund		ON	No	
Mackenzie Global Women's Leadership ETF	Mackenzie Global Leadership Impact ETF	ON	No	
Mackenzie Global Women's Leadership Fund	Mackenzie Global Leadership Impact Fund	ON	No	
Mackenzie Gold Bullion Fund		ON	No	
Mackenzie Greenchip Global Environmental All Cap Fund	Mackenzie Global Environmental Equity Fund	ON	No	
Mackenzie Greenchip Global Environmental Balanced Fund	Mackenzie Greenchip Global Balanced Fund	ON	No	
Mackenzie Greenchip Global Environmental Equity Fund		ON	No	
Mackenzie Growth Allocation ETF		ON	No	
Mackenzie Growth ETF Portfolio		ON	No	
MacKenzie Income Fund	MacKenzie Sentinel Income Fund	ON	No	
Mackenzie Inflation-Focused Fund	Mackenzie Multi-Asset Inflation-Focused Fund	ON	No	
Mackenzie International Dividend Fund*		ON	No	
Mackenzie International Equity Index ETF (CAD-Hedged)*		ON	No	
Mackenzie International Equity Index ETF*		ON	No	
Mackenzie International Quantitative Large Cap Fund*		ON	No	
Mackenzie International Quantitative Small Cap Fund*		ON	No	
Mackenzie Investment Grade Floating Rate Fund		ON	No	
Mackenzie Ivy Canadian Balanced Fund	MacKenzie Ivy Growth and Income Fund	ON	No	
Mackenzie Ivy Canadian Balanced Fund II		ON	No	
MacKenzie Ivy Canadian Fund	Ivy Canadian Fund	ON	No	
Mackenzie Ivy European Fund		ON	No	
Mackenzie Ivy Foreign Equity Currency Neutral Fund		ON	No	
MacKenzie Ivy Foreign Equity Fund	Ivy Foreign Equity Fund	ON	No	
Mackenzie Ivy Foreign Equity Fund II		ON	No	
MacKenzie Ivy Global Balanced Fund	MacKenzie Universal World Asset Allocation Fund	ON	No	
Mackenzie Ivy Global Balanced Fund II		ON	No	
Mackenzie Ivy Global Equity ETF		ON	No	
Mackenzie Ivy International Fund	Mackenzie International Growth Fund	ON	No	
Mackenzie Ivy International Fund II		ON	No	
MacKenzie Master Limited Partnership*		ON	No	
Mackenzie Maximum Diversification All World Developed ex North	Mackenzie High Diversification International Equity Fund	ON	No	
Mackenzie Maximum Diversification All World Developed ex North America		ON	No	
Mackenzie Maximum Diversification All World Developed Index ETF		ON	No	
Mackenzie Maximum Diversification All World Developed Index Fund	Mackenzie High Diversification Global Equity Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Mackenzie Maximum Diversification Canada Index ETF		ON	No	
Mackenzie Maximum Diversification Canada Index Fund		ON	No	
Mackenzie Maximum Diversification Developed Europe Index ETF		ON	No	
Mackenzie Maximum Diversification Developed Europe Index Fund	Mackenzie High Diversification European Equity Fund	ON	No	
Mackenzie Maximum Diversification Emerging Markets Index ETF		ON	No	
Mackenzie Maximum Diversification Emerging Markets Index Fund	Mackenzie High Diversification Emerging Markets Equity Fund	ON	No	
Mackenzie Maximum Diversification Global Multi-Asset Fund		ON	No	
Mackenzie Maximum Diversification US Index ETF		ON	No	
Mackenzie Maximum Diversification US Index Fund	Mackenzie High Diversification US Equity Fund	ON	No	
Mackenzie Moderate Growth ETF Portfolio		ON	No	
Mackenzie Monthly Income Balanced Portfolio		ON	No	
Mackenzie Monthly Income Conservative Portfolio		ON	No	
Mackenzie Monthly Income Growth Portfolio		ON	No	
Mackenzie Multi-Strategy Absolute Return Fund		ON	No	
Mackenzie North American Balanced Fund		ON	No	
Mackenzie North American Corporate Bond Fund	Mackenzie Sentinel North American Corporate Bond Fund	ON	No	
Mackenzie North American Equity Fund		ON	No	
Mackenzie Northleaf Private Credit Interval Fund		ON	No	
Mackenzie Portfolio Completion ETF		ON	No	
Mackenzie Precious Metals Fund		ON	No	
Mackenzie Private Canadian Focused Equity Pool*		ON	No	
Mackenzie Private Equity Replication Fund*		ON	No	
Mackenzie Private Global Conservative Income Balanced Pool*		ON	No	
Mackenzie Private Global Equity Pool*		ON	No	
Mackenzie Private Global Fixed Income Pool*		ON	No	
Mackenzie Private Global Income Balanced Pool		ON	No	
Mackenzie Private Income Balanced Pool	Mackenzie Private Canadian Income Balanced Pool	ON	No	
Mackenzie Private US Equity Pool*		ON	No	
Mackenzie Sovereign Bond Fund*		ON	No	
Mackenzie Strategic Bond Fund		ON	No	
Mackenzie Strategic Income Fund	Mackenzie Sentinel Strategic Income Fund	ON	No	
Mackenzie Strategic Income Fund II		ON	No	
Mackenzie Tax-Managed Global Equity Fund		ON	No	
Mackenzie U.S. Aggregate Bond Index ETF (CAD-Hedged)		ON	No	
Mackenzie Unconstrained Bond ETF		ON	No	
Mackenzie Unconstrained Fixed Income Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Mackenzie US All Cap Growth Fund	Mackenzie US Large Cap Growth Fund	ON	No	
Mackenzie US Core Equity Fund*		ON	No	
Mackenzie US Dividend Fund		ON	No	
Mackenzie US High Yield Bond Index ETF (CAD-Hedged)*		ON	No	
Mackenzie US Investment Grade Corporate Bond Index ETF (CAD-Hedged)		ON	No	
Mackenzie US Large Cap Equity Index ETF (CAD-Hedged)*		ON	No	
Mackenzie US Large Cap Equity Index ETF*		ON	No	
Mackenzie US Mid Cap Opportunities Currency Neutral Fund		ON	No	
Mackenzie US Mid Cap Opportunities Fund	Mackenzie US Opportunities Fund	ON	No	
Mackenzie US Quantitative Large Cap Fund*		ON	No	
Mackenzie US Quantitative Small Cap Fund*		ON	No	
Mackenzie US Small Cap Fund		ON	No	
Mackenzie US Small-Mid Cap Growth Currency Neutral Fund		ON	No	
Mackenzie US Small-Mid Cap Growth Fund		ON	No	
Mackenzie US TIPS Index ETF (CAD-Hedged)		ON	No	
Mackenzie USD Global Strategic Income Fund		ON	No	
Mackenzie USD Global Tactical Bond Fund		ON	No	
Mackenzie USD Ultra Short Duration Income Fund		ON	No	
Mackenzie USD US Mid Cap Opportunities Fund		ON	No	
Madison Pacific Properties Inc.	Princeton Mining Corporation	BC	No	
MAG Silver Corp.		BC	No	
Magellan Aerospace Corporation	Fleet Aerospace Corporation	ON	No	
MagIndustries Corp.		ON	No	
Magna International Inc.		ON	No	
Magna Mining Inc.	CT Developers Ltd.	ON	No	
Magnet Forensics Inc.		ON	No	
Magnum Hunter Resources Corporation		AB	Yes	1a,1b,1c,1d,1e,3
Major Drilling Group International Inc.		NB	No	
Mandalay Resources Corporation		ON	No	
Manitok Energy Inc.		AB	No	
Manufacturers Life Insurance Company, The		ON	No	
Manulife Asia Equity Class		ON	No	
Manulife Balanced Dividend ETF Bundle		ON	No	
Manulife Balanced Equity Private Pool		ON	No	
Manulife Balanced Income Private Trust		ON	No	
Manulife Balanced Portfolio		ON	No	
Manulife Bond Fund	AIC Bond Fund	ON	No	
Manulife Canadian Balanced Fund		ON	No	
Manulife Canadian Balanced Private Pool		ON	No	
Manulife Canadian Bond Fund	Manulife Mawer Canadian Bond Fund	ON	No	
Manulife Canadian Dividend Growth Fund	Standard Life Canadian Dividend Growth Fund	QC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Manulife Canadian Equity Class	Manulife Fundamental Equity Class	ON	No	
Manulife Canadian Equity Private Pool		ON	No	
Manulife Canadian Growth and Income Private Trust		ON	No	
Manulife Canadian Investment Class	Manulife Mawer Canadian Equity Class	ON	No	
Manulife Canadian Investment Fund		ON	No	
Manulife Canadian Unconstrained Bond Fund	Manulife Canadian Bond Plus Fund	ON	No	
Manulife Canadian Universe Bond Fund	Elliott & Page Canadian Universe Bond Fund	ON	No	
Manulife China Class	Manulife China Opportunities Class	ON	No	
Manulife Climate Action Balanced Fund		ON	No	
Manulife Climate Action Bond Fund		ON	No	
Manulife Climate Action Class		ON	No	
Manulife Climate Action Fund		ON	No	
Manulife Conservative Portfolio		ON	No	
Manulife Corporate Bond Fund	Elliott & Page Corporate Bond Fund	ON	No	
Manulife Corporate Fixed Income Private Trust		ON	No	
Manulife Covered Call U.S. Equity Class	Manulife U.S. Opportunities Class	ON	No	
Manulife Covered Call U.S. Equity Fund	Manulife Value Fund	ON	No	
Manulife Diversified Investment Fund	Manulife Mawer Diversified Investment Fund	ON	No	
Manulife Dividend Income Class		ON	No	
Manulife Dividend Income Fund		ON	No	
Manulife Dividend Income Plus Class	Manulife Canadian Focused Class	NU	No	
Manulife Dividend Income Plus Fund	Manulife Canadian Focused Fund	ON	No	
Manulife Dividend Income Private Pool		ON	No	
Manulife Dollar-Cost Averaging Fund		ON	No	
Manulife EAFE Equity Fund	Manulife International Focused Fund	ON	No	
Manulife Emerging Markets Fund	Standard Life Emerging Markets Dividend Fund	QC	No	
Manulife Finance (Delaware), L.P.		US	No	
Manulife Financial Corporation		ON	No	
Manulife Fundamental Balanced Class	Manulife Canadian Equity Balanced Class	ON	No	
Manulife Fundamental Dividend Class	Manulife Canadian Dividend Income Class	QC	No	
Manulife Fundamental Dividend Fund	Manulife Canadian Dividend Income Fund	QC	No	
Manulife Fundamental Equity Fund	Manulife Canadian Stock Fund	ON	No	
Manulife Fundamental Income Class	Manulife Canadian Monthly Income Class	ON	No	
Manulife Fundamental Income Fund	Manulife Canadian Monthly Income Fund	ON	No	
Manulife Global All Cap Focused Fund	Manulife Global Dividend Income Fund	ON	No	
Manulife Global Balanced Fund		ON	No	
Manulife Global Balanced Private Trust		ON	No	
Manulife Global Core Plus Bond Fund		ON	No	
Manulife Global Dividend Class		ON	No	
Manulife Global Dividend Fund		ON	No	
Manulife Global Dividend Growth Class	Standard Life Global Dividend Growth Class	QC	No	
Manulife Global Dividend Growth Fund	Standard Life Global Dividend Growth Fund	QC	No	
Manulife Global Equity Class	Manulife Mawer Global Equity Class	ON	No	
Manulife Global Equity Private Pool		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Manulife Global Fixed Income Private Trust		ON	No	
Manulife Global Franchise Class	Manulife Global Equity Unconstrained Class	QC	No	
Manulife Global Franchise Fund	Manulife Global Equity Unconstrained Fund	QC	No	
Manulife Global Listed Infrastructure Class	Manulife Global Infrastructure Class	ON	No	
Manulife Global Listed Infrastructure Fund	Manulife Global Infrastructure Fund	ON	No	
Manulife Global Managed Volatility Portfolio		ON	No	
Manulife Global Real Estate Unconstrained Fund	Standard Life Global Real Estate Fund	QC	No	
Manulife Global Small Cap Balanced Fund		ON	No	
Manulife Global Small Cap Fund	Manulife Mawer Global Small Cap Fund	ON	No	
Manulife Global Strategic Balanced Yield Fund		ON	No	
Manulife Global Thematic Opportunities Class		ON	No	
Manulife Global Thematic Opportunities Fund		ON	No	
Manulife Global Unconstrained Bond Fund	Manulife Global Tactical Credit Fund	ON	No	
Manulife Growth Opportunities Fund	Elliott & Page Growth Opportunities Fund	ON	No	
Manulife Growth Portfolio		ON	No	
Manulife International Equity Private Trust		ON	No	
Manulife Moderate Portfolio		ON	No	
Manulife Money Market Fund	Manulife Money Private Trust	ON	No	
Manulife Monthly High Income Class	AIC Canadian Balanced Corporate Class	ON	No	
Manulife Monthly High Income Fund	Elliott & Page Monthly High Income Fund	ON	No	
Manulife Multifactor Canadian Large Cap Index ETF		ON	No	
Manulife Multifactor Canadian SMID Cap Index ETF		ON	No	
Manulife Multifactor Developed International Index ETF		ON	No	
Manulife Multifactor Emerging Markets Index ETF		ON	No	
Manulife Multifactor U.S. Large Cap Index ETF		ON	No	
Manulife Multifactor U.S. Mid Cap Index ETF		ON	No	
Manulife Multifactor U.S. Small Cap Index ETF		ON	No	
Manulife Simplicity Balanced Portfolio	E&P Manulife Balanced Asset Allocation Portfolio	ON	No	
Manulife Simplicity Conservative Portfolio		ON	No	
Manulife Simplicity Global Balanced Portfolio		ON	No	
Manulife Simplicity Growth Portfolio	E&P Manulife Maximum Growth Asset Allocation Portfolio	ON	No	
Manulife Simplicity Moderate Portfolio		ON	No	
Manulife Smart Core Bond ETF		ON	No	
Manulife Smart Corporate Bond ETF		ON	No	
Manulife Smart Defensive Equity ETF		ON	No	
Manulife Smart Dividend ETF		ON	No	
Manulife Smart International Defensive Equity ETF		ON	No	
Manulife Smart International Dividend ETF		ON	No	
Manulife Smart Short-Term Bond ETF		ON	No	
Manulife Smart U.S. Defensive Equity ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Manulife Smart U.S. Dividend ETF		ON	No	
Manulife Strategic Balanced Yield Fund		ON	No	
Manulife Strategic Dividend Bundle		ON	No	
Manulife Strategic Income Fund	Elliott & Page Strategic Income Fund	ON	No	
Manulife Strategic Investment Grade Global Bond Fund		ON	No	
Manulife Tactical Income Fund	Standard Life Tactical Income Fund	ON	No	
Manulife Tax-Managed Growth Fund	Manulife Mawer Tax-Managed Growth Fund	ON	No	
Manulife U.S. All Cap Equity Class		ON	No	
Manulife U.S. All Cap Equity Fund		ON	No	
Manulife U.S. Balanced Private Trust	Manulife Balanced Private Trust	ON	No	
Manulife U.S. Balanced Value Private Trust		ON	No	
Manulife U.S. Dividend Income Class	Manulife U.S. Dividend Class	ON	No	
Manulife U.S. Dividend Income Fund	Standard Life U.S. Dividend Growth Fund	ON	No	
Manulife U.S. Dollar Strategic Balanced Yield Fund		ON	No	
Manulife U.S. Dollar Strategic Income Fund		ON	No	
Manulife U.S. Dollar U.S. All Cap Equity Fund		ON	No	
Manulife U.S. Dollar U.S. Dividend Income Fund		ON	No	
Manulife U.S. Dollar U.S. Equity Fund		ON	No	
Manulife U.S. Dollar U.S. Mid-Cap Equity Fund		ON	No	
Manulife U.S. Equity Fund	Manulife Mawer U.S. Equity Fund	ON	No	
Manulife U.S. Equity Private Pool		ON	No	
Manulife U.S. Mid-Cap Equity Fund		ON	No	
Manulife U.S. Monthly High Income Fund		ON	No	
Manulife U.S. Opportunities Fund	AIC American Focused Fund	ON	No	
Manulife U.S. Unconstrained Bond Fund	Manulife U.S. Tactical Credit Fund	ON	No	
Manulife Value Balanced Class		ON	No	
Manulife Value Balanced Fund		ON	No	
Manulife World Investment Class	Manulife Mawer World Investment Class	ON	No	
Manulife World Investment Fund		ON	No	
Manulife Yield Opportunities Fund		ON	No	
Maple Gold Mines Ltd.	Aurvista Gold Corporation	QC	No	
Maple Leaf Foods Inc.	Canada Packers Inc. (Prior To Sept.20/91)	ON	No	
Maple Leaf Income Class		BC	No	
Maple Leaf Resource Class		BC	No	
Maple Leaf Short Duration 2019 Flow-Through Limited Partnership*		BC	No	
Maple Leaf Short Duration 2019-II Flow-Through Limited Partnership*		BC	No	
Maple Leaf Short Duration 2019-II Flow-Through Limited Partnership*		BC	No	
Maple Leaf Short Duration 2020 Flow-Through Limited Partnership		BC	No	
Maple Leaf Short Duration 2020 Flow-Through Limited Partnership		BC	No	
Maple Leaf Short Duration 2020-II Flow-Through Limited Partnership		BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Maple Leaf Short Duration 2020-II Flow-Through Limited Partnership		BC	No	
Maple Leaf Short Duration 2021 Flow-Through Limited Partnership		BC	No	
Maple Leaf Short Duration 2021 Flow-Through Limited Partnership		BC	Yes	1a,1c,3
Maple Leaf Short Duration 2021-II Flow-Through Limited Partnership		BC	No	
Maple Leaf Short Duration 2021-II Flow-Through Limited Partnership	Maple Leaf Short Duration 2021-II Flow-Through Limited Partnership	BC	No	
Maple Leaf Short Duration 2022 Flow-Through Limited Partnership*		BC	No	
Maple Leaf Short Duration 2022 Flow-Through Limited Partnership*		BC	No	
Maple Leaf Short Duration 2022-II Flow-Through Limited Partnership*		BC	No	
Maple Leaf Short Duration 2022-II Flow-Through Limited Partnership*		BC	No	
Marathon Gold Corporation		ON	No	
Marathon Oil Corporation		AB	No	
Marimaca Copper Corp.	Coro Mining Corp.	BC	No	
Marquest Mutual Funds Inc. - Explorer Series Fund	Pathway Multi Series Fund Inc. - Explorer Series Fund	ON	No	
Marquest Mutual Funds Inc. - Flex Dividend and Income Growth	Pathway Multi Series Fund Inc.-Flex Dividend and Income Growth Series	ON	No	
Marquis Balanced Class Portfolio		ON	No	
Marquis Balanced Growth Class Portfolio		ON	No	
Marquis Balanced Growth Portfolio	Radiant Growth Portfolio	ON	No	
Marquis Balanced Income Portfolio	Marquis Diversified All Income Portfolio	ON	No	
Marquis Balanced Portfolio	Marquis Multipartners Growth Portfolio	ON	No	
Marquis Equity Portfolio	Radiant All Equity Portfolio	ON	No	
Marquis Growth Portfolio	Marquis Multipartners Equity Portfolio	ON	No	
Marquis Institutional Balanced Growth Portfolio	Marquis Diversified Growth Portfolio	ON	No	
Marquis Institutional Balanced Portfolio	Marquis Diversified Balanced Portfolio	ON	No	
Marquis Institutional Bond Portfolio	Marquis Canadian Bond Pool	ON	No	
Marquis Institutional Canadian Equity Portfolio	Marquis Enhanced Canadian Equity Pool	ON	No	
Marquis Institutional Equity Portfolio	Marquis Diversified All Equity Portfolio	ON	No	
Marquis Institutional Global Equity Portfolio	Marquis Global Equity Pool	ON	No	
Marquis Institutional Growth Portfolio	Marquis Diversified High Growth Portfolio	ON	No	
Marret High Yield Strategies Fund*		ON	No	
Marret Multi-Strategy Income Fund*		ON	No	
Marret Short Duration High Yield Fund		ON	No	
Marwest Apartment Real Estate Investment Trust		MB	No	
Matco Balanced Fund		AB	No	
Matco Canadian Equity Class		AB	No	
Matco Canadian Equity Income Fund	Matco Canadian Equity Fund	AB	No	
Matco Fixed Income Fund		AB	No	
Matco Global Equity Class		AB	No	
Matco Global Equity Fund		AB	No	
Matco Small Cap Class		AB	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Matco Small Cap Fund		AB	No	
Matrix 2013 Short Duration National Class*		ON	Yes	1a,1c,1d,3
Matrix 2013 Short Duration Québec Class*		ON	Yes	1a,1c,1d,3
Matrix Asset Management Inc.		BC	No	
Mattel, Inc.		ON	No	
Maudore Minerals Ltd.		QC	No	
MAV Beauty Brands Inc.		ON	No	
Maverix Metals Inc.		BC	No	
Mawer Balanced Fund	Mawer Canadian Balanced Retirement Savings Fund	ON	No	
Mawer Canadian Bond Fund	Canadian Bond Fund (Mawer Investment Management Funds)	ON	No	
Mawer Canadian Equity Fund	Canadian Equity Fund (Mawer Investment Management FUN)	ON	No	
Mawer Canadian Money Market Fund	Canadian Money Market Fund (Mawer Investment Manageme	ON	No	
Mawer EAFE Large Cap Fund		AB	No	
Mawer Emerging Markets Equity Fund*		ON	No	
Mawer Global Balanced Fund		AB	No	
Mawer Global Bond Fund		AB	No	
Mawer Global Equity Fund		AB	No	
Mawer Global Small Cap Fund		ON	No	
Mawer International Equity Fund	Mawer World Investment Fund	ON	No	
Mawer New Canada Fund	New Canada Fund (Mawer Investment Management Funds)	ON	No	
Mawer Tax Effective Balanced Fund	Mawer Canadian Diversified Investment Fund	ON	No	
Mawer U.S. Equity Fund	U.S. Equity Fund (Mawer Investment Management Funds)	ON	No	
Mawer U.S. Mid Cap Equity Fund		AB	No	
Maxam Arbitrage Fund		BC	No	
Maxam Diversified Strategies Fund		BC	No	
Maxar Technologies Inc.		ON	No	
Mayfair Gold Corp.		BC	No	
MBN Corporation*	OilSands Canada Corporation	ON	No	
MCAN Mortgage Corporation	McAp Inc.	ON	No	
McElvaine Value Fund	McElvaine Investment Trust, The	BC	No	
McEwen Mining Inc.	US Gold Corporation	ON	No	
MCI Onehealth Technologies Inc.		ON	No	
mCloud Technologies Corp.	Universal mCloud Corp	BC	No	
MCP Exchangeco Inc.		ON	No	
MD American Growth Fund	MD US Large Cap Growth Fund	ON	No	
MD American Value Fund	MD US Large Cap Value Fund	ON	No	
MD Bond Fund		ON	No	
MD Canadian Equity Fund	MD Select Fund	ON	No	
MD Dividend Growth Fund	MD Income & Growth Fund	ON	No	
MD Equity Fund		ON	No	
MD Fossil Fuel Free Bond Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
MD Fossil Fuel Free Equity Fund		ON	No	
MD Growth Investments Limited		ON	No	
MD International Growth Fund			No	
MD International Value Fund			No	
MD Money Fund		ON	No	
MD Precision Balanced Growth Portfolio	MD Balanced Growth Portfolio	ON	No	
MD Precision Balanced Income Portfolio		ON	No	
MD Precision Canadian Balanced Growth Fund	MD Balanced Fund	ON	No	
MD Precision Canadian Moderate Growth Fund	MD Dividend Income Fund	ON	No	
MD Precision Conservative Portfolio	MD Conservative Portfolio	ON	No	
MD Precision Maximum Growth Portfolio	MD Maximum Growth Portfolio	ON	No	
MD Precision Moderate Balanced Portfolio	MD Moderate Balanced Portfolio	ON	No	
MD Precision Moderate Growth Portfolio		ON	No	
MD Short-Term Bond Fund	MD Bond and Mortgage Fund	ON	No	
MD Strategic Opportunities Fund		ON	No	
MD Strategic Yield Fund		ON	No	
MDA Ltd.		ON	No	
mdf commerce inc.	Mediagrif Interactive Technologies Inc.	QC	No	
MDPIM Bond Pool	MDPIM Canadian Long Term Bond Pool	ON	No	
MDPIM Canadian Equity Pool	MD Canadian Tax Managed Pool	ON	No	
MDPIM Dividend Pool		ON	No	
MDPIM Emerging Markets Equity Pool		ON	No	
MDPIM International Equity Index Pool*		ON	No	
MDPIM International Equity Pool		ON	No	
MDPIM S&P 500 Index Pool*		ON	No	
MDPIM S&P/TSX Capped Composite Index Pool*		ON	No	
MDPIM Short-Term Bond Pool	MDPIM Canadian Bond Pool	ON	No	
MDPIM Strategic Opportunities Pool		ON	No	
MDPIM Strategic Yield Pool		ON	No	
MDPIM US Equity Pool	MD US Tax Managed Pool	ON	No	
Med-Chem Health Care Limited		ON	Yes	1a,1b
Medexus Pharmaceuticals Inc.		QC	No	
Medical Facilities Corporation		ON	No	
Medicure Inc.		AB	No	
Medipattern Corporation, The		ON	No	
MediPharm Labs Corp.	POCML 4 Inc.	ON	No	
MedMen Enterprises Inc.	Ladera Ventures Corp.	BC	No	
MedMira Inc.		NS	No	
Medx Health Corp.		ON	No	
MEG Energy Corp.		AB	No	
Mega Uranium Ltd.		ON	No	
Melcor Real Estate Investment Trust		AB	No	
Melodia 100 Percent Equity Growth Portfolio		QC	No	
Melodia Aggressive Growth Portfolio	Diapason High Growth Portfolio	QC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Melodia Balanced Growth Portfolio	Diapason Growth Portfolio	QC	No	
Melodia Conservative Income Portfolio	Diapason Conservative Portfolio	QC	No	
Melodia Diversified Growth Portfolio	Diapason Balanced Growth Portfolio	QC	No	
Melodia Diversified Income Portfolio	Diapason Retirement Portfolio F (Growth)	QC	No	
Melodia Maximum Growth Portfolio	Diapason Maximum Growth Portfolio	QC	No	
Melodia Moderate Growth Portfolio	Diapason Balanced Income Portfolio	QC	No	
Melodia Moderate Income Portfolio	Diapason Retirement Portfolio D (Balanced Income)	QC	No	
Melodia Very Conservative Income Portfolio	Diapason Retirement Portfolio B (Conservative)	QC	No	
Mene Inc.		ON	No	
Mentor Networks Inc.		NS	Yes	1a,1b
Mercator Minerals Ltd.		BC	No	
Merida Merger Corp. I		ON	No	
Meritage American Equity Portfolio		ON	No	
Meritage Balanced Income Portfolio		ON	No	
Meritage Balanced Portfolio		ON	No	
Meritage Canadian Equity Class Portfolio		QC	No	
Meritage Canadian Equity Portfolio		ON	No	
Meritage Conservative Income Portfolio		ON	No	
Meritage Conservative Portfolio		ON	No	
Meritage Diversified Fixed Income Portfolio		QC	No	
Meritage Global Balanced Portfolio	Meritage Global and Income Growth Portfolio	QC	No	
Meritage Global Conservative Portfolio*		QC	No	
Meritage Global Equity Class Portfolio		QC	No	
Meritage Global Equity Portfolio		ON	No	
Meritage Global Growth Class Portfolio*		QC	No	
Meritage Global Growth Plus Class Portfolio	Meritage Global Dynamic Growth Class Portfolio	QC	No	
Meritage Global Growth Plus Portfolio	Meritage Global Dynamic Growth Portfolio	QC	No	
Meritage Global Growth Portfolio*		QC	No	
Meritage Global Moderate Portfolio*		QC	No	
Meritage Growth Class Portfolio		QC	No	
Meritage Growth Income Portfolio	Meritage Growth Income Portfolio	ON	No	
Meritage Growth Plus Class Portfolio	Meritage Dynamic Growth Class Portfolio	QC	No	
Meritage Growth Plus Income Portfolio	Meritage Dynamic Growth Income Portfolio	ON	No	
Meritage Growth Plus Portfolio	Meritage Dynamic Growth Portfolio	ON	No	
Meritage Growth Portfolio		ON	No	
Meritage International Equity Portfolio		ON	No	
Meritage Moderate Income Portfolio		ON	No	
Meritage Moderate Portfolio		ON	No	
Meritage Tactical ETF Balanced Portfolio*		QC	No	
Meritage Tactical ETF Equity Portfolio		QC	No	
Meritage Tactical ETF Fixed Income Portfolio		QC	No	
Meritage Tactical ETF Growth Portfolio*		QC	No	
Meritage Tactical ETF Moderate Portfolio*		QC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Metalla Royalty & Streaming Ltd.		BC	No	
Metalo Manufacturing Inc.	Muskkrat Minerals Incorporated	ON	No	
MetaWorks Platforms, Inc.	CurrencyWorks Inc.	BC	No	
Meteor Creek Resources Inc.	Double Down Resource LTD.	ON	No	
Methanex Corporation	Ocelot Industries Limited	BC	No	
Metro Inc.	Metro-Richelieu Inc.	QC	No	
Microbix Biosystems Inc.		ON	No	
Microsoft (MSFT) Yield Shares		ON	No	
Midas Capital Corporation	Midas Minerals Inc	ON	Yes	1a
Middlefield American Core Dividend ETF		AB	No	
Middlefield Can-Global REIT Income Fund*	Can-Global REIT Income Fund	AB	No	
Middlefield Canadian Dividend Growers Class	Middlefield Canadian Dividend Growth Class	ON	No	
Middlefield Global Agriculture Class		ON	No	
Middlefield Global Dividend Growers Class		AB	No	
Middlefield Global Energy Transition Class		AB	No	
Middlefield Global Infrastructure Fund		ON	No	
Middlefield Global Real Asset Fund*		AB	No	
Middlefield Global Real Estate Class	Middlefield Real Estate Class	ON	No	
Middlefield Global Sustainable Energy Class	Middlefield Global Energy Class	ON	No	
Middlefield Health & Wellness ETF		AB	No	
Middlefield Healthcare & Life Sciences ETF	Middlefield Healthcare & Life Sciences Dividend Fund	AB	No	
Middlefield High Interest Income Class	Middlefield Short-Term Income Class	ON	No	
Middlefield Income Plus Class		ON	No	
Middlefield Innovation Dividend Class		AB	No	
Middlefield Innovation Dividend ETF		AB	No	
Middlefield REIT INDEXPLUS ETF		AB	No	
Middlefield Sustainable Global Dividend ETF		AB	No	
Middlefield Sustainable Infrastructure Dividend ETF		AB	No	
Middlefield U.S. Dividend Growers Class	Middlefield US Dividend Growth Class	AB	No	
Millennial Precious Metals Corp.		ON	No	
Minco Silver Corporation		BC	No	
Mind Cure Health Inc.		BC	No	
Mind Medicine (MindMed) Inc.	Broadway Gold Mining Ltd.	ON	No	
Mindready Solutions Inc.		QC	Yes	1a,3
Mindset Pharma Inc.		ON	No	
Minera IRL Limited		ON	No	
Mineros S.A.		ON	No	
MINT Income Fund*	Middlefield High Income Trust (Mint)	ON	No	
Minto Apartment Real Estate Investment Trust		ON	No	
Mirabela Nickel Limited		BC	No	
Mirati Therapeutics, Inc.		QC	No	
Mispec Resources Inc.		ON	Yes	1a
Mistango River Resources Inc.	GLR Resources Inc	BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
MJardin Group, Inc.	Sumtra Diversified Inc.	ON	Yes	1a,1c,1e,3
MLD Core Fund	MLD Global Income Fund	ON	No	
MNP Petroleum Corporation	Manas Petroleum Corporation	BC	No	
Mogo Inc.	Difference Capital Financial Inc.	ON	No	
Molson Coors Beverage Company	Molson Coors Brewing Company	QC	No	
Molycorp, Inc.		ON	No	
Monarch Mining Corporation		QC	No	
Monarch Resources Limited		ON	No	
Moneda LatAm Corporate Bond Fund*		ON	No	
Money Market Fund	Prime Credit Money Market Fund		No	
Monogen, Inc.	Oxbow Equities Corp.	QC	Yes	1a,1b,1c,1d,1e,3
Montage Gold Corp.		BC	No	
Morguard Corporation	Acktion Corporation	ON	No	
Morguard North American Residential Real Estate Investment Trust		ON	No	
Morguard Real Estate Investment Trust		ON	No	
Morien Resources Corp.	Advanced Primary Minerals Corporation	NS	No	
Morningstar Aggressive Portfolio		ON	No	
Morningstar Balanced Portfolio		ON	No	
Morningstar Conservative Portfolio		ON	No	
Morningstar Growth Portfolio		ON	No	
Morningstar Moderate Portfolio		ON	No	
Morningstar Strategic Canadian Equity Fund		ON	No	
Mosaic Group Inc.		ON	Yes	1a,1b,3
Mount Logan Capital Inc.	Marret Resource Corp.	ON	No	
Mountain Province Diamonds Inc.		ON	No	
MRF 2019 Resource Limited Partnership*		AB	No	
MRF 2020 Resource Limited Partnership*		AB	No	
MRF 2021 Resource Limited Partnership		AB	No	
MRF 2022 Resource Limited Partnership		AB	No	
Mullen Group Ltd.		AB	No	
Multi-Asset Fixed Income	Russell Multi-Asset Fixed Income	ON	No	
Multi-Asset Fixed Income Class	Russell Multi-Asset Fixed Income Class	ON	No	
Multi-Asset Growth & Income Strategy	Multi-Asset Growth & Income	ON	No	
Multi-Asset Growth & Income Strategy Class	Multi-Asset Growth & Income Class	ON	No	
Multi-Asset Growth Strategy	Russell Multi-Asset Growth Strategy	ON	No	
Multi-Asset Growth Strategy Class	Russell Multi-Asset Growth Strategy Class Portfolio	ON	No	
Multi-Asset Income Strategy	LifePoints Balanced Income Portfolio	ON	No	
Multi-Asset Income Strategy Class	LifePoints Balanced Income Class	ON	No	
Multi-Asset International Equity	Multi-Asset Equity Completion	ON	No	
Mulvihill Enhanced Yield Canadian Bank ETF		ON	No	
Mulvihill Premium Yield Fund*		ON	No	
Mulvihill Premium Yield Plus ETF		ON	No	
Murchison Minerals Ltd.	Manicouagan Minerals Inc.	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
MustGrow Biologics Corp.		SK	No	
Mydecine Innovations Group Inc.		BC	No	
Nabors Industries Ltd.		AB	No	
NADG NNN Real Estate Investment Trust		ON	No	
Namibia Critical Metals Inc.	Namibia Rare Earths Inc.	NS	No	
Nanalysis Scientific Corp.		AB	No	
Nano One Materials Corp.		BC	No	
NanoXplore Inc.		QC	No	
Natcore Technology Inc.	Syracuse Capital Corp.	BC	No	
National Bank Balanced Diversified Fund	National Bank Aggressive Diversified Fund	QC	No	
National Bank Conservative Diversified Fund		QC	No	
National Bank Growth Diversified Fund	National Bank Intrepid Diversified Fund	QC	No	
National Bank Moderate Diversified Fund		QC	No	
National Bank of Canada		QC	No	
National Bank Secure Diversified Fund		QC	No	
National Fibretech Inc.		ON	Yes	1a,1b
Nautilus Minerals Inc.		BC	No	
Nav Canada		ON	No	
Navitrak International Corporation		NS	Yes	1a,1b,1c
NBI Active Canadian Preferred Shares ETF		QC	No	
NBI Active Global Equity Fund		QC	No	
NBI Active International Equity ETF		QC	No	
NBI Active International Equity Fund		QC	No	
NBI Active U.S. Equity ETF		QC	No	
NBI Balanced Portfolio*		QC	No	
NBI Bond Fund	National Bank Bond Fund	QC	No	
NBI Canadian All Cap Equity Fund	National Bank Canadian All Cap Equity Fund	QC	No	
NBI Canadian Bond Index Fund		QC	No	
NBI Canadian Bond Private Portfolio		QC	No	
NBI Canadian Diversified Bond Private Portfolio		QC	No	
NBI Canadian Dividend Income ETF		QC	No	
NBI Canadian Equity Fund	National Bank Canadian Equity Fund	QC	No	
NBI Canadian Equity Growth Fund	National Bank Canadian Equity Growth Fund	QC	No	
NBI Canadian Equity Index Fund		QC	No	
NBI Canadian Equity Private Portfolio		QC	No	
NBI Canadian Family Business ETF		QC	No	
NBI Canadian High Conviction Equity Private Portfolio		QC	No	
NBI Canadian Index Fund	National Bank Canadian Index Fund	QC	No	
NBI Canadian Preferred Equity Private Portfolio*		QC	No	
NBI Canadian Small Cap Equity Private Portfolio*		QC	No	
NBI Conservative Portfolio*		QC	No	
NBI Corporate Bond Fund	National Bank Corporate Bond Fund	QC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
NBI Corporate Bond Private Portfolio		QC	No	
NBI Diversified Emerging Markets Equity Fund*	NBI Emerging Markets Equity Private Portfolio	QC	No	
NBI Dividend Fund	National Bank Dividend Fund	QC	No	
NBI Equity Income Private Portfolio		QC	No	
NBI Equity Portfolio*		QC	No	
NBI Floating Rate Income Fund	National Bank Floating Rate Income Fund	QC	No	
NBI Global Balanced Growth Fund*		ON	No	
NBI Global Bond Fund	National Bank Global Bond Fund	QC	No	
NBI Global Diversified Equity Fund	National Bank Global Diversified Equity Fund	QC	No	
NBI Global Equity Fund	National Bank Global Equity Fund	QC	No	
NBI Global Private Equity ETF		QC	No	
NBI Global Real Assets Income ETF		QC	No	
NBI Global Real Assets Income Fund*		QC	No	
NBI Global Tactical Bond Fund	National Bank Global Tactical Bond Fund	QC	No	
NBI Growth Portfolio*		QC	No	
NBI High Yield Bond ETF		QC	No	
NBI High Yield Bond Fund	National Bank High Yield Bond Fund	QC	No	
NBI High Yield Bond Private Portfolio*		QC	No	
NBI Income Fund	National Bank Income Fund	QC	No	
NBI International Currency Neutral Index Fund	National Bank International Currency Neutral Index Fund	QC	No	
NBI International Equity Index Fund		QC	No	
NBI International Equity Private Portfolio*		QC	No	
NBI International High Conviction Equity Private Portfolio		QC	No	
NBI International Index Fund	National Bank International Index Fund	QC	No	
NBI Jarislowsky Fraser Select Balanced Fund	Jarislowsky Fraser Select Balanced Fund	QC	No	
NBI Jarislowsky Fraser Select Canadian Equity Fund	Jarislowsky Fraser Select Canadian Equity Fund	QC	No	
NBI Jarislowsky Fraser Select Income Fund	Jarislowsky Fraser Select Income Fund	QC	No	
NBI Liquid Alternatives ETF		QC	No	
NBI Moderate Portfolio*		QC	No	
NBI Money Market Fund	National Bank Money Market Fund	QC	No	
NBI Multiple Asset Class Private Portfolio		QC	No	
NBI Municipal Bond Plus Private Portfolio*		QC	No	
NBI Non-Traditional Capital Appreciation Private Portfolio*		QC	No	
NBI Non-Traditional Fixed Income Private Portfolio*		QC	No	
NBI North American Dividend Private Portfolio		QC	No	
NBI Precious Metals Fund	National Bank Precious Metals Fund	QC	No	
NBI Preferred Equity Fund	National Bank Preferred Equity Fund	QC	No	
NBI Preferred Equity Income Fund	National Bank Preferred Equity Income Fund	QC	No	
NBI Quebec Growth Fund	National Bank Quebec Growth Fund	QC	No	
NBI Real Assets Private Portfolio*		QC	No	
NBI Resource Fund	National Bank Resource Fund	QC	No	
NBI Science and Technology Fund	National Bank Science and Technology Fund	QC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
NBI Secure Portfolio*		QC	No	
NBI Small Cap Fund	National Bank Small Cap Fund	QC	No	
NBI SmartBeta Canadian Equity Fund*		QC	No	
NBI SmartBeta Global Equity Fund*		QC	No	
NBI SmartData International Equity Fund	National Bank Consensus International Equity Fund	QC	No	
NBI SmartData U.S. Equity Fund	National Bank Consensus American Equity Fund	QC	No	
NBI Strategic U.S. Income and Growth Fund*	National Bank Strategic U.S. Income and Growth Fund	QC	No	
NBI Sustainable Canadian Bond ETF		QC	No	
NBI Sustainable Canadian Bond Fund		QC	No	
NBI Sustainable Canadian Corporate Bond ETF		QC	No	
NBI Sustainable Canadian Equity ETF		QC	No	
NBI Sustainable Canadian Equity Fund		QC	No	
NBI Sustainable Canadian Short Term Bond ETF		QC	No	
NBI Sustainable Global Equity ETF		QC	No	
NBI Sustainable Global Equity Fund		QC	No	
NBI Tactical Equity Private Portfolio*		QC	No	
NBI Tactical Mortgage & Income Fund	National Bank Mortgage Fund	QC	No	
NBI U.S. Bond Private Portfolio*		QC	No	
NBI U.S. Currency Neutral Index Fund	National Bank U.S. Currency Neutral Index Fund	QC	No	
NBI U.S. Dividend Fund	National Bank U.S. Dividend Fund	QC	No	
NBI U.S. Equity Fund	National Bank U.S. Equity Fund	QC	No	
NBI U.S. Equity Index Fund		QC	No	
NBI U.S. Equity Private Portfolio		QC	No	
NBI U.S. High Conviction Equity Private Portfolio		QC	No	
NBI U.S. Index Fund	National Bank U.S. Index Fund	QC	No	
NBI Unconstrained Fixed Income ETF*		QC	No	
NBI Unconstrained Fixed Income Fund*		QC	No	
NBI Westwood Emerging Markets Fund	Westwood Emerging Markets Fund	QC	No	
Nbtel Inc.	New Brunswick Telephone Company, Limited	NB	Yes	1a
NCM Balanced Income Portfolio		AB	No	
NCM Conservative Income Portfolio		AB	No	
NCM Core Canadian*	Norrep Core Canadian Pool	AB	No	
NCM Core Global*	Norrep Core Global Pool	AB	No	
NCM Core International	NCM Core American	AB	No	
NCM Global Income Growth Class	Norrep Global Income Growth Class	AB	No	
NCM Growth and Income Portfolio		AB	No	
NCM Income Growth Class	Norrep Income Growth Class	AB	No	
NCM Norrep Fund	Norrep Fund	AB	No	
NCM Short Term Income Fund	Norrep Short Term Income Fund	AB	No	
NCM Small Companies Class	Norrep II Class	AB	No	
Nearctic Nickel Mines Inc.	Ungava Minerals Corp.	QC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Neatt Corporation		ON	Yes	1a,1b
NEI Balanced Private Portfolio		ON	No	
NEI Balanced Yield Portfolio	NEI Global Strategic Yield Fund	ON	No	
NEI Canadian Bond Fund	Ethical Income Fund	BC	No	
NEI Canadian Dividend Fund	NEI Northwest Canadian Dividend Fund	BC	No	
NEI Canadian Equity Pool		ON	No	
NEI Canadian Equity RS Fund	NEI Ethical Canadian Equity Fund	BC	No	
NEI Canadian Small Cap Equity Fund	NEI Northwest Specialty Equity Fund	BC	No	
NEI Canadian Small Cap Equity RS Fund	NEI Ethical Special Equity Fund	BC	No	
NEI Clean Infrastructure Fund		ON	No	
NEI Conservative Yield Portfolio*		ON	No	
NEI Emerging Markets Fund	NEI Northwest Emerging Markets Fund	BC	No	
NEI Environmental Leaders Fund*		ON	No	
NEI ESG Canadian Enhanced Index Fund	NEI Jantzi Social Index® Fund	ON	No	
NEI Fixed Income Pool		ON	No	
NEI Global Dividend RS Fund	NEI Ethical Global Dividend Fund	BC	No	
NEI Global Equity Fund	NEI Northwest Global Equity Fund	BC	No	
NEI Global Equity Pool		ON	No	
NEI Global Equity RS Fund	NEI Ethical Global Equity Fund	BC	No	
NEI Global High Yield Bond Fund	NEI Northwest Specialty Global High Yield Bond Fund	BC	No	
NEI Global Impact Bond Fund		ON	No	
NEI Global Sustainable Balanced Fund	NEI Balanced RS Fund	BC	No	
NEI Global Total Return Bond Fund		ON	No	
NEI Global Value Fund*		ON	No	
NEI Growth & Income Fund	NEI Growth & Income Fund	BC	No	
NEI Growth & Income Fund	NEI Northwest Growth and Income Fund	BC	No	
NEI Growth Private Portfolio		ON	No	
NEI Income & Growth Private Portfolio		ON	No	
NEI Income Private Portfolio		ON	No	
NEI International Equity Fund	Meritas International Equity Fund	ON	No	
NEI International Equity RS Fund	NEI Ethical International Equity Fund	BC	No	
NEI Money Market Fund	Northwest Money Market Fund	BC	No	
NEI Select Balanced Portfolio	NEI Select Canadian Balanced Portfolio	ON	No	
NEI Select Balanced RS Portfolio	NEI Ethical Select Balanced Portfolio	ON	No	
NEI Select Growth & Income Portfolio	OceanRock Growth & Income Portfolio	BC	No	
NEI Select Growth & Income RS Portfolio	Meritas Growth & Income Portfolio	ON	No	
NEI Select Growth Portfolio	NEI Select Canadian Growth Portfolio	ON	No	
NEI Select Growth RS Portfolio	NEI Ethical Select Growth Portfolio	ON	No	
NEI Select Income & Growth Portfolio	NEI Select Conservative Portfolio	ON	No	
NEI Select Income & Growth RS Portfolio	NEI Ethical Select Conservative Portfolio	BC	No	
NEI Select Income Portfolio	OceanRock Income Portfolio	BC	No	
NEI Select Income RS Portfolio	NEI Ethical Select Income Portfolio	BC	No	
NEI Select Maximum Growth Portfolio	NEI Select Global Maximum Growth Portfolio	ON	No	
NEI Select Maximum Growth RS Portfolio	Meritas Maximum Growth Portfolio	BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
NEI Tactical Yield Portfolio	NEI Northwest Tactical Yield Fund	ON	No	
NEI U.S. Dividend Fund	NEI Northwest U.S. Dividend Fund	ON	No	
NEI U.S. Equity Fund	OceanRock U.S. Equity Fund	BC	No	
NEI U.S. Equity RS Fund	NEI Ethical U.S. Equity Fund	BC	No	
Neighbourly Pharmacy Inc.		ON	No	
Neo Performance Materials Inc.		ON	No	
Neptune Wellness Solutions Inc.	Neptune Technologies & Bioresources Inc.	QC	Yes	1b,1c,1e
NervGen Pharma Corp.		BC	No	
Neutral Balanced Fund	Balanced Fund	ON	No	
Nevada Copper Corp.		BC	No	
Nevada Vanadium Mining Corp.		BC	No	
New Commerce Split Fund*		ON	No	
New Found Gold Corp.		BC	No	
New Gold Inc.		BC	No	
New Leaf Ventures Inc.		BC	No	
New Pacific Metals Corp.		BC	No	
New Zealand Energy Corp.		BC	No	
Newcore Gold Ltd.		BC	No	
Newfoundland Power Inc.	Newfoundland Light & Power Co. LTD.	QC	No	
NewGen Alternative Income Fund		ON	No	
NewGen Credit Strategies Fund		ON	No	
NewGen Focused Alpha Fund		ON	No	
Newkidco International Inc.	Softquad International Inc.	ON	Yes	1a,1b,3
Newmont Corporation	Newmont Goldcorp Corporation	ON	No	
Newstrike Brands Ltd.	Newstrike Resources Ltd.	ON	No	
Nexa Resources S.A.	VM Holding S.A.	ON	No	
Nexe Innovations Inc.		BC	No	
Nexera Energy Inc.	Emerald Bay Energy Inc.	AB	No	
NexGen Energy Ltd.		BC	No	
Nexient Learning Inc.	Crossoff Incorporated	NS	Yes	1b,1c,1e
NexJ Health Holdings Inc.		ON	No	
NexLiving Communities Inc.	NexLiving Communities Inc.	NS	No	
NexPoint Hospitality Trust		ON	No	
Next Edge Bio-Tech Plus Fund		ON	No	
Next Edge Biotech and Life Sciences Opportunities Fund*		ON	No	
Next Edge Strategic Metals and Commodities Fund	Next Edge Strategic Metals and Opportunities Fund	ON	No	
Nextech AR Solutions Corp.		BC	No	
Nextleaf Solutions Ltd.		BC	No	
NextPoint Financial Inc.	NextPoint Acquisition Corp.	ON	Yes	1a,1c,1d,1e,3
Nexus Industrial REIT	Nexus Real Estate Investment Trust	AB	No	
NFI Group Inc.	New Flyer Industries Inc.	ON	No	
NG Energy International Corp.		BC	No	
NHC Communications Inc.		QC	Yes	1a,1b,1c,1d,3

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Nickel 28 Capital Corp.	Conic Metals Corp.	ON	No	
Nighthawk Gold Corp.		ON	No	
Niko Resources Ltd.		AB	No	
Ninepoint 2019 Flow-Through Limited Partnership*		ON	No	
Ninepoint 2019 Short Duration Flow-Through Limited Partnership*		ON	No	
Ninepoint 2020 Flow-Through Limited Partnership - National Class*		ON	No	
Ninepoint 2020 Flow-Through Limited Partnership - Quebec Class*		ON	No	
Ninepoint 2020 Short Duration Flow-Through Limited Partnership*		ON	No	
Ninepoint 2021 Flow-Through Limited Partnership - National Class		ON	No	
Ninepoint 2021 Flow-Through Limited Partnership - Quebec Class		ON	No	
Ninepoint 2021 Short Duration Flow-Through Limited Partnership		ON	No	
Ninepoint 2022 Flow-Through Limited Partnership - National Class*		ON	No	
Ninepoint 2022 Flow-Through Limited Partnership - Quebec Class*		ON	No	
Ninepoint 2022 Short Duration Flow-Through Limited Partnership*		ON	No	
Ninepoint Alternative Credit Opportunities Fund		ON	No	
Ninepoint Alternative Health Fund	UIT Alternative Health Fund	ON	No	
Ninepoint Bitcoin ETF*		ON	No	
Ninepoint Carbon Credit ETF		ON	No	
Ninepoint Concentrated Canadian Equity Fund	Sprott Concentrated Canadian Equity Fund	ON	No	
Ninepoint Convertible Securities Fund		ON	No	
Ninepoint Diversified Bond Class	Sprott Diversified Bond Class	ON	No	
Ninepoint Diversified Bond Fund	Sprott Diversified Bond Fund	ON	No	
Ninepoint Energy Fund	Sprott Energy Fund	ON	No	
Ninepoint Energy Income Fund		ON	No	
Ninepoint Focused Global Dividend Class*	Sprott Focused Global Dividend Class	ON	No	
Ninepoint Focused Global Dividend Fund		ON	No	
Ninepoint FX Strategy Fund		ON	No	
Ninepoint Global Infrastructure Fund	Sprott Global Infrastructure Fund	ON	No	
Ninepoint Global Real Estate Fund	Sprott Global Real Estate Fund	ON	No	
Ninepoint Gold and Precious Minerals Fund	Sprott Gold and Precious Minerals Fund	ON	No	
Ninepoint Gold Bullion Fund	Sprott Gold Bullion Fund	ON	No	
Ninepoint High Interest Savings Fund	Ninepoint Short-Term Bond Fund	ON	No	
Ninepoint International Small Cap Fund*	Sprott International Small Cap Fund	ON	No	
Ninepoint Resource Class	Sprott Resource Class	ON	No	
Ninepoint Resource Fund		ON	No	
Ninepoint Resource Fund Class		ON	No	
Ninepoint Return Advantaged U.S. Equity Index Class	Ninepoint Enhanced U.S. Equity Class	ON	No	
Ninepoint Return Advantaged U.S. Equity Index Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Ninepoint Risk Advantaged U.S. Equity Index Class	Ninepoint Enhanced Equity Class	ON	No	
Ninepoint Risk Advantaged U.S. Equity Index Fund		ON	No	
Ninepoint Silver Bullion Fund*	Sprott Silver Bullion Fund	ON	No	
Ninepoint Silver Equities Class	Sprott Silver Equities Class	ON	No	
Ninepoint Silver Equities Fund		ON	No	
Ninepoint Target Income Fund		ON	No	
NL2 Capital Inc.		NS	No	
NMX Residual Liabilities Inc.		QC	No	
Nobilis Health Corp.	Northstar Healthcare Inc.	ON	Yes	1a,1b,1c,1d,1e,3
Noranda Income Fund		ON	No	
Norrep Short Duration 2013 Flow-Through Limited Partnership		AB	No	
Nortel Networks Corporation	New Nortel Inc.	ON	Yes	1a,1b,1c,1d,1e,3
Nortel Networks Limited	Nortel Networks Corporation	ON	Yes	1a,1b,1c,1d,1e,3
North American Construction Group Ltd.	North American Energy Partners Inc.	AB	No	
North American Financial 15 Split Corp.*	Financial 15 Split Corp. II	ON	No	
North American Tungsten Corporation Ltd.		BC	Yes	1a,1c,1e,3
North Growth Canadian Equity Fund		BC	No	
North Growth U.S. Equity Advisor Fund		BC	No	
North West Company Inc., The		MB	No	
Northaven Resources Corp.	Diamond International Exploration Inc.	BC	No	
Northern Dynasty Minerals Ltd.		BC	No	
Northern Graphite Corporation		ON	No	
NorthIsle Copper and Gold Inc.		BC	No	
Northland Power Inc.		ON	No	
Northland Resources SE	Northland Resources S.A.	ON	Yes	3
Northland Systems Training Inc.		ON	Yes	1a,1b,3
Northstar Gold Corp.		ON	No	
Northview Fund	Northview Canadian High Yield Residential Fund	ON	No	
Northwater Market-Neutral Trust	Newcastle Market-Neutral Trust		No	
Northwest Canadian Bond Fund	Maestral Canadian Bond Fund	BC	No	
NorthWest Healthcare Properties Real Estate Investment Trust		ON	No	
Northwest Quadrant All Equity Portfolio		BC	No	
Northwest Quadrant Conservative Portfolio		BC	No	
Northwest Quadrant Global Equity Portfolio		BC	No	
Northwest Quadrant Global Growth Portfolio	Northwest Quadrant Global Portfolio	BC	No	
Northwest Quadrant Growth Portfolio		BC	No	
Northwest Quadrant Income Portfolio	Northwest Quadrant Monthly Income Portfolio	BC	No	
NorZinc Ltd.		BC	No	
Nouveau Monde Graphite Inc.	New-World Mining Enterprises Inc.	QC	No	
Nova Cannabis Inc.		AB	No	
Nova Gas Transmission LTD.	Nova Corporation Of Alberta	AB	No	
Nova Leap Health Corp.		NS	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Nova Net Lease REIT		ON	No	
Nova Royalty Corp.		BC	No	
Nova Scotia Power Incorporated		NS	No	
NovaGold Resources Inc.	Novacan Mining Resources (1985) Limited	BC	No	
Novanta Inc.	GSI Group Inc.	NB	No	
Novo Resources Corp.		BC	No	
NowVertical Group Inc.		ON	No	
NS Partners International Equity Focus Fund		ON	No	
Nu-Life Corp.	Natraceuticals Inc.	ON	Yes	1a,1b,1c,1d,1e,3
Numinus Wellness Inc.		BC	Yes	1d,3
Nutrien Ltd.		SK	No	
Nuvei Corporation		QC	No	
NuVista Energy Ltd.		AB	No	
Nuvo Pharmaceuticals Inc.	Nuvo Research Inc.	ON	No	
Obsidian Energy Ltd.	Penn West Petroleum Ltd.	AB	No	
OceanaGold Corporation		ON	No	
Oil Optimization Inc.		AB	No	
Oilsands Quest Inc.		AB	No	
Old API Wind-down Ltd. (Cease Traded)	Aralez Pharmaceuticals Inc.	BC	Yes	1a,1b,1c,1e,3
Old PSG Wind-down Ltd.	Performance Sports Group Ltd.	ON	Yes	1a,1c,1e,3
Oncolytics Biotech Inc.		AB	No	
ONE Financial All-Weather Profit Canada Fund		ON	Yes	1a,1b,1c,1d,3
ONE Financial All-Weather Profit Commodities Fund		ON	Yes	1a,1b,1c,1d,3
ONE Financial All-Weather Profit Conservative Growth 2022 Protected		ON	Yes	1a,1b,1c,1d,3
ONE Financial All-Weather Profit Emerging Markets Fund		ON	Yes	1a,1b,1c,1d,3
ONE Financial All-Weather Profit Europe & Asia Fund		ON	Yes	1a,1b,1c,1d,3
ONE Financial All-Weather Profit Global Diversified Fund		ON	Yes	1a,1b,1c,1d,3
ONE Financial All-Weather Profit Global Diversified Growth Fund		ON	Yes	1a,1b,1c,1d,3
ONE Financial All-Weather Profit Growth & Income Balanced Fund		ON	Yes	1a,1b,1c,1d,3
ONE Financial All-Weather Profit Monthly ROC Income 2022 Protected		ON	Yes	1a,1b,1c,1d,3
ONE Financial All-Weather Profit Monthly Tax-Efficient Bond Fund		ON	Yes	1a,1b,1c,1d,3
ONE Financial All-Weather Profit Tax-Efficient Short-term Savings Fund		ON	Yes	1a,1b,1c,1d,3
ONE Financial All-Weather Profit U.S. Fund		ON	Yes	1a,1b,1c,1d,3
ONE Financial Real Property Development Trust (2008-1)		ON	No	
ONE Financial Real Property Income Fund (2008-1)		ON	No	
One Signature Financial Corporation	July Resources Corporation	ON	Yes	1a,1b,3
ONEnergy Inc.	Look Communications Inc.	ON	No	
OneSoft Solutions Inc.		AB	No	
Onex Corporation		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Ontario Power Generation Inc.		ON	No	
Opawica Explorations Inc.		BC	Yes	1a,1c,1e,3
Open Text Corporation		ON	No	
Opsens Inc.		QC	No	
Optimi Health Corp.		BC	No	
Optiva Inc.	Redknee Solutions Inc.	ON	No	
Orange	France Telecom	QC	No	
Orbit Garant Drilling Inc.		QC	No	
Orbite Technologies Inc.	Orbite Aluminae Inc.	QC	No	
Orbus Pharma Inc.	Bovar Inc.	AB	No	
Orea Mining Corp.	Columbus Gold Corporation	BC	No	
Orezone Gold Corporation		ON	No	
OrganiGram Holdings Inc.	Inform Exploration Corp.	NB	No	
Organto Foods Inc.		BC	No	
Orla Mining Ltd.		BC	No	
Ortho Regenerative Technologies Inc.		QC	No	
Orvana Minerals Corp.		ON	No	
Osino Resources Corp.	Romulus Resources Ltd.	BC	No	
Osisko Gold Royalties Ltd.		QC	No	
Osisko Green Acquisition Limited		ON	No	
Outcrop Silver & Gold Corporation	Outcrop Gold Corp.	BC	No	
Outdoorpartner Media Corporation		ON	No	
Ovintiv Inc.		AB	No	
Pacific Northern Gas LTD.		BC	No	
Padlock Partners UK Fund I		ON	No	
Padlock Partners UK Fund II		ON	No	
Padlock Partners UK Fund III		ON	No	
Palladium One Mining Inc.		BC	No	
Palliser Oil & Gas Corporation		AB	No	
Palos Equity Income Fund		QC	No	
Pan American Silver Corp.		BC	No	
Pan Pacific Strategies Corp.	Regent Rock Development Inc.	ON	Yes	1a,1b,3
Parallel Energy Trust		AB	No	
Paramount Resources Ltd.		AB	No	
Parex Resources Inc.		AB	No	
Park Lawn Corporation		ON	No	
Parkit Enterprise Inc.	Greenscape Capital Group Inc.	BC	No	
Parkland Corporation	Parkland Fuel Corporation	AB	No	
Partners Value Investments Inc.	Partners Value Fund Inc.	ON	No	
Partners Value Investments LP		ON	No	
Partners Value Split Corp.*	BAM Split Corp.	ON	No	
Pasofino Gold Limited	Pasofino Gold Corporation	ON	No	
Patagonia Gold Corp.	Hunt Mining Corp.	AB	No	
Patriot One Technologies Inc.		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Pay Linx Financial Corporation		AB	No	
Paycore Minerals Inc.	Aardvark Capital Corp.	ON	No	
Payfare Inc.		ON	No	
PC 1 Corp.		ON	No	
PC Chips Corporation	Hookup Communication Corporation	ON	Yes	1a,1b,3
PCJ Absolute Return II Fund*		ON	No	
Pegasus Gold Inc.		BC	No	
Pelangio Exploration Inc.		ON	No	
Pembina Pipeline Corporation		AB	No	
Pembroke American Growth Fund Inc.	GBC American Growth Fund Inc., The	QC	No	
Pembroke Canadian All Cap Pooled Fund		QC	No	
PEMBROKE CANADIAN BALANCED FUND	Pembroke Growth and Income Fund	QC	No	
Pembroke Canadian Bond Fund	GBC Canadian Bond Fund, The	QC	No	
Pembroke Canadian Growth Fund	GBC Canadian Growth Fund, The	QC	No	
PEMBROKE CONCENTRATED FUND		QC	No	
Pembroke Corporate Bond Fund	GBC Corporate Bond Fund, The	QC	No	
Pembroke Global Balanced Fund	GBC Global Balanced Fund, The	QC	No	
Pembroke International Growth Fund	GBC International Growth Fund, The	QC	No	
Pembroke Money Market Fund	GBC Money Market Fund, The	QC	No	
Pender Alternative Absolute Return Fund		BC	No	
Pender Alternative Arbitrage Fund		BC	No	
Pender Alternative Arbitrage Plus Fund		BC	No	
Pender Alternative Multi-Strategy Income Fund	Vertex Value Fund	BC	No	
Pender Alternative Special Situations Fund		BC	No	
Pender Bond Universe Fund*		BC	No	
Pender Corporate Bond Fund		BC	No	
Pender Emerging Markets Impact Fund		BC	No	
Pender Enhanced Income Fund	Vertex Enhanced Income Fund	BC	No	
Pender Growth Fund Inc.		BC	No	
Pender Small Cap Opportunities Fund		BC	No	
Pender Small/Mid Cap Dividend Fund*		BC	No	
Pender Strategic Growth and Income Fund	Pender Balanced Fund	BC	No	
Pender US All Cap Equity Fund		BC	No	
Pender Value Fund		BC	No	
PENN Entertainment Inc.	Penn National Gaming, Inc.	ON	No	
Peregrine Systems, Inc.		BC	Yes	1a,1b,3
Perk Labs Inc.	Glance Technologies Inc.	BC	No	
Perpetua Resources Corp.	Midas Gold Corp.	BC	No	
Perpetual Energy Inc.		AB	No	
Perseus Mining Limited		BC	No	
Personas Social Incorporated	Peeks Social Ltd.	ON	Yes	1a,1c,1e,3
PESA Corporation	QuStream Corporation	ON	No	
Pet Valu Holdings Ltd.		ON	No	
Petro-Victory Energy Corp.		AB	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
PetroTal Corp.	Sterling Resources Ltd.	AB	No	
Petrowest Corporation		AB	No	
Peyto Exploration & Development Corp.		AB	No	
PharmaCielo Ltd.		ON	No	
Phenom Resources Corp.	First Vanadium Corp.	BC	No	
Phillips, Hager & North \$U.S. Money Market Fund		BC	No	
Phillips, Hager & North Balanced Fund		BC	No	
Phillips, Hager & North Balanced Pension Trust		BC	No	
Phillips, Hager & North Bond Fund		BC	No	
Phillips, Hager & North Canadian Equity Fund		BC	No	
Phillips, Hager & North Canadian Equity Pension Trust		BC	No	
Phillips, Hager & North Canadian Equity Plus Pension Trust		BC	No	
Phillips, Hager & North Canadian Equity Underlying Fund		ON	No	
Phillips, Hager & North Canadian Equity Underlying Fund II	Phillips, Hager & North Canadian Equity Underlying Fund II	ON	No	
Phillips, Hager & North Canadian Equity Value Class		ON	No	
Phillips, Hager & North Canadian Equity Value Fund		BC	No	
Phillips, Hager & North Canadian Growth Fund	Phillips Hager & North Canadian Equity Plus Fund	BC	No	
Phillips, Hager & North Canadian Income Fund		BC	No	
Phillips, Hager & North Canadian Money Market Fund		BC	No	
Phillips, Hager & North Conservative Equity Income Fund		ON	No	
Phillips, Hager & North Conservative Pension Trust		ON	No	
Phillips, Hager & North Currency-Hedged Overseas Equity Fund		BC	No	
Phillips, Hager & North Currency-Hedged U.S. Equity Fund		BC	No	
Phillips, Hager & North Dividend Income Fund		BC	No	
Phillips, Hager & North Global Equity Fund		BC	No	
Phillips, Hager & North Growth Pension Trust		ON	No	
Phillips, Hager & North High Yield Bond Fund		BC	No	
Phillips, Hager & North Inflation -Linked Bond Fund		BC	No	
Phillips, Hager & North LifeTime 2015 Fund		ON	No	
Phillips, Hager & North LifeTime 2020 Fund		ON	No	
Phillips, Hager & North LifeTime 2025 Fund		ON	No	
Phillips, Hager & North LifeTime 2030 Fund		ON	No	
Phillips, Hager & North LifeTime 2035 Fund		ON	No	
Phillips, Hager & North LifeTime 2040 Fund		ON	No	
Phillips, Hager & North LifeTime 2045 Fund		ON	No	
Phillips, Hager & North LifeTime 2050 Fund		ON	No	
Phillips, Hager & North LifeTime 2060 Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Phillips, Hager & North Long Inflation-linked Bond Fund		ON	No	
Phillips, Hager & North Monthly Income Class		ON	No	
Phillips, Hager & North Monthly Income Fund		BC	No	
Phillips, Hager & North Overseas Equity Class		ON	No	
Phillips, Hager & North Overseas Equity Fund		BC	No	
Phillips, Hager & North Short Term Bond & Mortgage Fund		BC	No	
Phillips, Hager & North Small Float Fund		BC	No	
Phillips, Hager & North Total Return Bond Fund		BC	No	
Phillips, Hager & North U.S. Dividend Income Fund		BC	No	
Phillips, Hager & North U.S. Equity Fund		BC	No	
Phillips, Hager & North U.S. Growth Fund	PH & N North American Equity Fund	BC	No	
Phillips, Hager & North U.S. Multi-Style All-Cap Equity Class		ON	No	
Phillips, Hager & North U.S. Multi-Style All-Cap Equity Fund		BC	No	
Phillips, Hager & North Vintage Fund		BC	No	
PHX Energy Services Corp.		AB	No	
Picton Mahoney Fortified Active Extension Alternative Fund		ON	No	
Picton Mahoney Fortified Alpha Alternative Fund		ON	No	
Picton Mahoney Fortified Arbitrage Alternative Fund	Vertex Liquid Alternative Fund	BC	No	
Picton Mahoney Fortified Arbitrage Plus Alternative Fund	Vertex Liquid Alternative Fund Plus	BC	No	
Picton Mahoney Fortified Core Bond Fund		ON	No	
Picton Mahoney Fortified Equity Fund		ON	No	
Picton Mahoney Fortified Income Alternative Fund		ON	No	
Picton Mahoney Fortified Income Fund		ON	No	
Picton Mahoney Fortified Long Short Alternative Fund		ON	No	
Picton Mahoney Fortified Market Neutral Alternative Fund		ON	No	
Picton Mahoney Fortified Multi-Asset Fund		ON	No	
Picton Mahoney Fortified Multi-Strategy Alternative Fund		ON	No	
Picton Mahoney Fortified Special Situations Alternative Fund		ON	No	
Picton Mahoney Tactical Income Fund*		ON	No	
Pieridae Energy Limited		AB	No	
PIMCO - IG Climate Bond Pool		MB	No	
PIMCO - IG Global Bond Pool		MB	No	
PIMCO Canadian Total Return Bond Fund		ON	No	
PIMCO Climate Bond Fund (Canada)*		ON	No	
PIMCO Diversified Multi-Asset Fund (Canada)		ON	No	
PIMCO ESG Income Fund (Canada)*		ON	No	
PIMCO Flexible Global Bond Fund (Canada)	PIMCO Global Advantage Strategy Bond Fund (Canada)	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
PIMCO Global Income Opportunities Fund		ON	No	
PIMCO Global Short Maturity Fund (Canada)*		ON	No	
PIMCO Investment Grade Credit Fund (Canada)		ON	No	
PIMCO Low Duration Monthly Income Fund (Canada)*		ON	No	
PIMCO Managed Conservative Bond Pool		ON	No	
PIMCO Managed Core Bond Pool		ON	No	
PIMCO Monthly Income Fund (Canada)		ON	No	
PIMCO Multi-Sector Income Fund*		ON	No	
PIMCO Tactical Income Fund*		ON	No	
PIMCO Tactical Income Opportunities Fund		ON	No	
PIMCO Unconstrained Bond Fund (Canada)		ON	No	
Pine Trail Real Estate Investment Trust	Pine Trail Capital Trust	AB	No	
Pinnacle Balanced Portfolio	Pinnacle Conservative Balanced Growth Portfolio	ON	No	
Pipestone Energy Corp.		AB	No	
Pivotree Inc.		ON	No	
Pizza Pizza Royalty Corp.		ON	No	
PK Core Fund		ON	No	
Plaintree Systems Inc.		ON	No	
Planet 13 Holdings Inc.*	Carpincho Capital Inc.	ON	No	
Planet Based Foods Global Inc.	Digital Buyer Technologies Corp.	BC	No	
Plant-Based Investment Corp.	Cannabis Growth Opportunity Corporation	ON	Yes	3
Platinex Inc.		ON	No	
Platinova A/S	Isco, Inuit Service Company A/S	ON	Yes	1a
Platinova Resources Ltd.		ON	Yes	1a,1b,3
Platinum Group Metals Ltd.		BC	No	
Playmaker Capital Inc.		ON	No	
Plaza Retail REIT		NB	No	
Plurilock Security Inc.		BC	No	
Poda Holdings, Inc.	Poda Lifestyle and Wellness Ltd.	BC	No	
Poda Technologies Ltd.		BC	No	
POET Technologies Inc.		ON	No	
Point Loma Resources Ltd.	First Mountain Exploration Ltd.	AB	No	
Polaris Renewable Energy Inc.	Polaris Infrastructure Inc.	BC	No	
Pollard Banknote Limited		MB	No	
Polymet Mining Corp.		ON	No	
Ponderosa Fund*		BC	No	
PopReach Corporation		ON	No	
Portex Minerals Inc.	Strategic Resource Acquisition Corporation	ON	No	
Portland 15 of 15 Alternative Fund	Portland Global Dividend Fund	ON	No	
Portland Canadian Balanced Fund		ON	No	
Portland Energy Opportunities Alternative Fund		ON	No	
Portland Global Alternative Fund	Portland Global Banks Fund	ON	No	
Portland Global Balanced Fund	Portland Global Income Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Portland Life Sciences Alternative Fund		ON	No	
Portland North American Alternative Fund		ON	No	
Poseidon Concepts Corp.	Open Range Energy Corp.	AB	No	
Postmedia Network Canada Corp.		ON	No	
Power Corporation of Canada		QC	No	
Power Financial Corporation		QC	No	
Power Sustainable China Ascent Fund		ON	No	
Poynt Corporation (Default)	Poynt Corporation (Default)	AB	Yes	1a,1c,1e,3
Prairie Provident Resources Inc.		AB	No	
PrairieSky Royalty Ltd.		AB	No	
Precious Metals and Mining Trust*		ON	No	
Precision Assessment Technology Corporation	Conor Pacific Group Inc.	BC	No	
Precision Drilling Corporation		AB	No	
Premier Health of America Inc.		QC	No	
Premium Brands Holdings Corporation		BC	No	
Premium Income Corporation		ON	No	
Preo Software Inc.		AB	No	
Primaris Real Estate Investment Trust		ON	No	
Prime Dividend Corp.*		ON	No	
Primerica Balanced Yield Fund	Primerica Conservative Growth Fund	ON	No	
Primerica Canadian Balanced Growth Fund	Primerica Growth Fund	ON	No	
Primerica Canadian Money Market Fund	Primerica Canadian Money Market Portfolio Fund	ON	No	
Primerica Global Balanced Growth Fund	Primerica Moderate Growth Fund	ON	No	
Primerica Global Equity Fund	Primerica Aggressive Growth Fund	ON	No	
Primerica Income Fund	Primerica Canadian Income Portfolio Fund	ON	No	
Primo Water Corporation	Cott Corporation	QC	No	
Printera Corporation	PMG Financial Inc.	ON	No	
Priszm Income Fund	Priszm Canadian Income Fund	ON	No	
Pro Real Estate Investment Trust		QC	No	
Probe Exploration Inc.		AB	Yes	1a,1b
Probity Mining 2019-II Short Duration Flow-Through Limited Partnership*		BC	No	
Probity Mining 2019-II Short Duration Flow-Through Limited Partnership*		BC	No	
Probity Mining 2019-II Short Duration Flow-Through Limited Partnership*		BC	No	
Probity Mining 2020 Short Duration Flow-Through Limited Partnership		BC	No	
Probity Mining 2020 Short Duration Flow-Through Limited Partnership		BC	No	
Probity Mining 2020 Short Duration Flow-Through Limited Partnership		BC	No	
Probity Mining 2020-II Short Duration Flow-Through Limited Partnership		BC	No	
Probity Mining 2020-II Short Duration Flow-Through Limited Partnership		BC	No	
Probity Mining 2020-II Short Duration Flow-Through Limited Partnership		BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Probity Mining 2021-II Short Duration Flow-Through Limited Partnership	Probity Mining 2021-II Short Duration Flow-Through Limited Partnership	BC	No	
Probity Mining 2021-II Short Duration Flow-Through Limited Partnership		BC	No	
Probity Mining 2021-II Short Duration Flow-Through Limited Partnership		BC	No	
Probity Mining 2022 Short Duration Flow-Through Limited Partnership*		BC	No	
Probity Mining 2022 Short Duration Flow-Through Limited Partnership*		BC	No	
Probity Mining 2022 Short Duration Flow-Through Limited Partnership*		BC	No	
Probity Mining 2022-II Short Duration Flow-Through Limited Partnership*		BC	No	
Probity Mining 2022-II Short Duration Flow-Through Limited Partnership*		BC	No	
Probity Mining 2022-II Short Duration Flow-Through Limited Partnership*		BC	No	
Production Enhancement Group Inc.		US	Yes	3
Profound Medical Corp.	Mira IV Acquisition Corp.	ON	No	
ProMIS Neurosciences Inc.		ON	No	
Propel Holdings Inc.		ON	No	
Provigo Inc.	Univa Inc Effective 94may25	QC	Yes	1a,1b,3
PsyBio Therapeutics Corp.		BC	No	
Psyence Group Inc.	GDV Resources Inc.	ON	No	
Pulse Oil Corp.		BC	No	
Pulse Seismic Inc.	Pulse Data Inc.	AB	Yes	1a,1b,3
Pure Extracts Technologies Corp.	Big Sky Petroleum Corporation	MB	No	
Pure Gold Mining Inc.		BC	No	
Purepoint Uranium Group Inc.		ON	No	
Purpose Behavioural Opportunities Fund	Redwood Behavioural Opportunities Fund	ON	No	
Purpose Best Ideas Fund		ON	No	
Purpose Biotech ETF		ON	No	
Purpose Bitcoin ETF*		ON	No	
Purpose Bitcoin Yield ETF		ON	No	
Purpose Canadian Equity Growth Fund	Redwood Equity Growth Fund	ON	No	
Purpose Canadian Financial Income Fund		ON	No	
Purpose Canadian Income Growth Fund	Redwood Income Growth Fund	ON	No	
Purpose Canadian Preferred Share Fund	Redwood Canadian Preferred Share Fund	ON	No	
Purpose Cash Management Portfolio		ON	No	
Purpose Conservative Income Fund		ON	No	
Purpose Core Dividend Fund		ON	No	
Purpose Core Equity Income Fund	Redwood Core Income Equity Fund	ON	No	
Purpose Credit Opportunities Fund		ON	No	
Purpose Credit Yield Plus Fund		ON	No	
Purpose Crypto Opportunities ETF		ON	No	
Purpose Diversified Real Asset Fund	Purpose Diversified Inflation Fund	ON	No	
Purpose Duration Hedged Real Estate Fund		ON	No	
Purpose Emerging Markets Dividend Fund	Redwood Emerging Markets Dividend Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Purpose Enhanced Dividend Fund		ON	No	
Purpose Enhanced Premium Yield Fund	Purpose MLP & Infrastructure Income Fund	ON	No	
Purpose Ether ETF		ON	No	
Purpose Ether Yield ETF		ON	No	
Purpose Floating Rate Income Fund	Redwood Floating Rate Income Fund	ON	No	
Purpose Global Bond Class	Purpose Managed Duration Investment Grade Bond Fund	ON	No	
Purpose Global Bond Fund	Purpose Tactical Investment Grade Bond Fund	ON	No	
Purpose Global Climate Opportunities Fund*		ON	No	
Purpose Global Innovators Fund	Redwood Global Opportunities Fund	ON	No	
Purpose Global Resource Fund	Redwood Resource Growth & Income Fund	ON	No	
Purpose Gold Bullion Fund		ON	No	
Purpose High Interest Savings ETF		ON	No	
Purpose International Dividend Fund		ON	No	
Purpose International Tactical Hedged Equity Fund		ON	No	
Purpose Marijuana Opportunities Fund	Marijuana Opportunities Fund	ON	No	
Purpose Money Market Fund*		ON	No	
Purpose Monthly Income Fund		ON	No	
Purpose Monthly Yield Plus Fund		ON	No	
Purpose Multi-Asset Income Fund	Redwood High Income Fund	ON	No	
Purpose Multi-Strategy Market Neutral Fund		ON	No	
Purpose Premium Money Market Fund		ON	No	
Purpose Premium Yield Fund		ON	No	
Purpose Select Equity Fund		ON	No	
Purpose Silver Bullion Fund	Silver Bullion Trust	ON	No	
Purpose Silver Bullion Fund*	Silver Bullion Trust	ON	No	
Purpose Special Opportunities Fund	Redwood Special Opportunities Fund	ON	Yes	1a,1c,3
Purpose Strategic Yield Fund	Redwood Strategic Yield Fund	ON	No	
Purpose Structured Equity Growth Fund*		ON	No	
Purpose Structured Equity Yield Plus Portfolio		ON	No	
Purpose Structured Equity Yield Portfolio II*		ON	No	
Purpose Structured Equity Yield Portfolio*		ON	No	
Purpose Tactical Asset Allocation Fund	Redwood Tactical Asset Allocation Fund	ON	No	
Purpose Tactical Hedged Equity Fund	Purpose Market-Hedged Equity Fund	ON	No	
Purpose Total Return Bond Fund		ON	No	
Purpose U.S. Preferred Share Fund	Redwood U.S. Preferred Share Fund	ON	No	
Purpose US Cash ETF	Purpose US Cash Fund	ON	No	
Purpose US Dividend Fund		ON	No	
Putnam - IG High Yield Income Pool		MB	No	
Putnam - IG Sustainable Leaders Pool		MB	No	
Putnam - IG U.S. Growth Pool		MB	No	
PyroGenesis Canada Inc.		QC	No	
Q4 Inc.		ON	No	
Quarterhill Inc.	Wi-Lan Inc.	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Quebecor Inc.		QC	No	
Queen's Road Capital Investment Ltd.	Queen's Road Capital Ltd.	BC	No	
Queensway Financial Holdings Limited		ON	Yes	1a,1b
Questerre Energy Corporation		AB	No	
Questrade Russell 1000 Equal Weight US Industrials Index ETF Hedged to		ON	No	
Quipt Home Medical Corp.		BC	No	
Quisitive Technology Solutions, Inc.	Nebo Capital Corp	ON	No	
Quorum Expansion Capital Fund Inc.*		ON	Yes	1a,1b,1c,3
Qwest Energy 2004 Financial Corp. (CEASED TO BE RI)		BC	No	
Qwest Energy 2005 Financial Corp. (CEASED TO BE RI)		BC	No	
Radiant Technologies Inc.		AB	No	
Rakovina Therapeutics Inc.		BC	No	
Rand A Technology Corporation		ON	No	
Rare Element Resources Ltd.		BC	No	
Ravensource Fund	First Asia Income Fund, The		No	
Rayonier Advanced Materials Inc.		QC	Yes	1b,1c,1e
RB Energy Inc.	Canada Lithium Corp.	ON	No	
RBC \$U.S. Core Bond Pool		ON	No	
RBC \$U.S. Core Plus Bond Pool		ON	No	
RBC \$U.S. Global Balanced Portfolio		ON	No	
RBC \$U.S. Global Bond Fund		ON	No	
RBC \$U.S. High Yield Bond Fund		ON	No	
RBC \$U.S. Investment Grade Corporate Bond Fund		ON	No	
RBC \$U.S. Money Market Fund	Royal \$U.S. Money Market Fund	QC	No	
RBC \$U.S. Short Term Income Class*		ON	No	
RBC \$U.S. Short-Term Corporate Bond Fund*		ON	No	
RBC \$U.S. Short-Term Government Bond Fund		ON	No	
RBC \$U.S. Strategic Income Bond Fund*		ON	No	
RBC 1-5 Year Laddered Canadian Bond ETF		ON	No	
RBC 1-5 Year Laddered Corporate Bond ETF		ON	No	
RBC 6-10 Year Laddered Canadian Corporate Bond ETF*		ON	No	
RBC Asia Pacific ex-Japan Equity Fund		ON	No	
RBC Asian Equity Fund	Royal Asian Equity Fund	QC	No	
RBC Balanced Fund	Royal Balanced Fund	ON	No	
RBC Balanced Growth & Income Class		ON	No	
RBC Balanced Growth & Income Fund		ON	No	
RBC BlueBay Global Diversified Income (CAD Hedged) ETF*		ON	No	
RBC Bond Fund	Royal Bond Fund	QC	No	
RBC Canadian Bank Yield Index ETF		ON	No	
RBC Canadian Bond Index Fund	RBC Advisor Canadian Bond Fund	ON	No	
RBC Canadian Discount Bond ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
RBC Canadian Dividend Class		ON	No	
RBC Canadian Dividend Covered Call ETF		ON	No	
RBC Canadian Dividend Fund	Royal Dividend Fund	ON	No	
RBC Canadian Equity Class		ON	No	
RBC Canadian Equity Fund	Royal Canadian Equity Fund		No	
RBC Canadian Equity Income Class		ON	No	
RBC Canadian Equity Income Fund	RBC Canadian Diversified Income Trust Fund	ON	No	
RBC Canadian Equity Index ETF Fund		ON	No	
RBC Canadian Government Bond Index Fund	RBC Canadian Bond Index Fund	ON	No	
RBC Canadian Index Fund	Royal Canadian Index Fund		No	
RBC Canadian Mid-Cap Equity Class		ON	No	
RBC Canadian Money Market Fund	Royal Canadian Money Market Fund	ON	No	
RBC Canadian Preferred Share ETF		ON	No	
RBC Canadian Short-Term Income Fund	Royal Canadian Short-Term Income Fund	ON	No	
RBC Canadian Small & Mid-Cap Resources Fund		ON	No	
RBC Canadian T-Bill Fund	Royal Canadian T-Bill Fund	ON	No	
RBC China Equity Fund*		ON	No	
RBC Conservative Bond Pool		ON	No	
RBC Conservative Growth & Income Fund*		ON	No	
RBC Core Bond Pool		ON	No	
RBC Core Plus Bond Pool		ON	No	
RBC Emerging Markets Balanced Fund*		ON	No	
RBC Emerging Markets Bond Fund		ON	No	
RBC Emerging Markets Bond Fund (CAD Hedged)		ON	No	
RBC Emerging Markets Dividend Fund		ON	No	
RBC Emerging Markets Equity Class		ON	No	
RBC Emerging Markets Equity Focus Fund*		ON	No	
RBC Emerging Markets Equity Fund	RBC Emerging Markets Fund	ON	No	
RBC Emerging Markets Equity Index ETF Fund		ON	No	
RBC Emerging Markets ex-China Dividend Fund		ON	No	
RBC Emerging Markets Foreign Exchange Fund		ON	No	
RBC Emerging Markets Multi-Strategy Equity Fund		ON	No	
RBC Emerging Markets Small-Cap Equity Fund		ON	No	
RBC European Dividend Fund	RBC European Equity Income Fund	ON	No	
RBC European Equity Class		ON	No	
RBC European Equity Fund	Royal European Equity Fund	QC	No	
RBC European Mid-Cap Equity Fund		ON	No	
RBC Global All-Equity Portfolio		ON	No	
RBC Global Balanced Fund	RBC Balanced Growth Fund	ON	No	
RBC Global Balanced Portfolio		ON	No	
RBC Global Bond & Currency Fund*		ON	No	
RBC Global Bond Fund	Royal Global Bond Fund	QC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
RBC Global Bond Index ETF Fund		ON	No	
RBC Global Choices All-Equity Portfolio		ON	No	
RBC Global Choices Balanced Portfolio		ON	No	
RBC Global Choices Conservative Portfolio		ON	No	
RBC Global Choices Growth Portfolio		ON	No	
RBC Global Choices Very Conservative Portfolio		ON	No	
RBC Global Conservative Portfolio		ON	No	
RBC Global Corporate Bond Fund			No	
RBC Global Dividend Growth Currency Neutral Fund*		ON	No	
RBC Global Dividend Growth Fund	RBC Global Titans Fund	ON	No	
RBC Global Energy Fund	RBC Energy Fund	QC	No	
RBC Global Equity Class		ON	No	
RBC Global Equity Focus Currency Neutral Fund*		ON	No	
RBC Global Equity Focus Fund		ON	No	
RBC Global Equity Fund		ON	No	
RBC Global Equity Index ETF Fund		ON	No	
RBC Global Equity Leaders Currency Neutral Fund		ON	No	
RBC Global Equity Leaders Fund*		ON	No	
RBC Global Growth & Income Fund*		ON	No	
RBC Global Growth Portfolio		ON	No	
RBC Global High Yield Bond Fund	RBC Global High Yield Fund	ON	No	
RBC Global Precious Metals Fund	RBC Precious Metals Fund	QC	No	
RBC Global Resources Class		ON	No	
RBC Global Resources Fund	RBC Global Resources Sector Fund		No	
RBC Global Technology Fund	RBC Global Technology Sector Fund		No	
RBC Global Very Conservative Portfolio		ON	No	
RBC High Yield Bond Fund		ON	No	
RBC International Dividend Growth Fund	RBC DS International Focus Fund	ON	No	
RBC International Equity Class		ON	No	
RBC International Equity Currency Neutral Fund		ON	No	
RBC International Equity Fund	Royal International Equity Fund	ON	No	
RBC International Index Currency Neutral Fund	RBC International RSP Index Fund		No	
RBC Japanese Equity Fund		ON	No	
RBC Life Science and Technology Fund	Royal Life Science And Technology Fund		No	
RBC Managed Payout Solution	RBC Cash Flow Portfolio	ON	No	
RBC Managed Payout Solution - Enhanced	RBC Enhanced Cash Flow Portfolio	ON	No	
RBC Managed Payout Solution - Enhanced Plus	RBC Tax Managed Return Fund	ON	No	
RBC Monthly Income Bond Fund		ON	No	
RBC Monthly Income Fund	Royal Monthly Income Fund		No	
RBC North American Growth Fund	RBC Canadian Growth Fund	ON	No	
RBC North American Value Class		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
RBC North American Value Fund	RBC North American Value Fund		No	
RBC O'Shaughnessy All-Canadian Equity Fund		ON	No	
RBC O'Shaughnessy Canadian Equity Fund	O'Shaughnessy Canadian Equity Fund		No	
RBC O'Shaughnessy Global Equity Fund			No	
RBC O'Shaughnessy International Equity Fund			No	
RBC O'Shaughnessy U.S. Growth Fund	O'Shaughnessy U.S. Growth Fund		No	
RBC O'Shaughnessy U.S. Growth Fund II		ON	No	
RBC O'Shaughnessy U.S. Value Fund	O'Shaughnessy U.S. Value Fund		No	
RBC O'Shaughnessy U.S. Value Fund (Unhedged)		ON	No	
RBC PH&N Short Term Canadian Bond ETF		ON	No	
RBC Premium \$U.S. Money Market Fund		ON	No	
RBC Premium Money Market Fund	Royal Premium Money Market Fund		No	
RBC Private Canadian Corporate Bond Pool	RBC Private Corporate Bond Pool	ON	No	
RBC Private Canadian Dividend Pool	RBC Private Dividend Pool	ON	No	
RBC Private Canadian Equity Pool		ON	No	
RBC Private Canadian Mid-Cap Equity Pool		ON	No	
RBC Private EAFE Equity Pool		ON	No	
RBC Private Fundamental Canadian Equity Pool	RBC Private Canadian Growth and Income Equity Pool	ON	No	
RBC Private Global Growth Equity Pool		ON	No	
RBC Private Income Pool		ON	No	
RBC Private Overseas Equity Pool		ON	No	
RBC Private Short-Term Income Pool		ON	No	
RBC Private U.S. Growth Equity Pool		ON	No	
RBC Private U.S. Large-Cap Core Equity Currency Neutral Pool	RBC Private U.S. Large Cap Equity Currency Neutral Pool	ON	No	
RBC Private U.S. Large-Cap Core Equity Pool	RBC Private U.S. Large Cap Equity Pool	ON	No	
RBC Private U.S. Large-Cap Value Equity Currency Neutral Pool	RBC Private U.S. Value Equity Currency Neutral Pool	ON	No	
RBC Private U.S. Large-Cap Value Equity Pool	RBC Private U.S. Value Equity Pool	ON	No	
RBC Private U.S. Small-Cap Equity Pool		ON	No	
RBC Private World Equity Pool		ON	No	
RBC Quant Canadian Dividend Leaders ETF		ON	No	
RBC Quant Canadian Equity Leaders ETF		ON	No	
RBC Quant EAFE Dividend Leaders (CAD Hedged) ETF		ON	No	
RBC Quant EAFE Dividend Leaders ETF		ON	No	
RBC Quant EAFE Equity Leaders (CAD Hedged) ETF		ON	No	
RBC Quant EAFE Equity Leaders ETF		ON	No	
RBC Quant Emerging Markets Dividend Leaders ETF		ON	No	
RBC Quant Emerging Markets Equity Leaders ETF		ON	No	
RBC Quant European Dividend Leaders (CAD Hedged) ETF		ON	No	
RBC Quant European Dividend Leaders ETF		ON	No	
RBC Quant Global Infrastructure Leaders ETF*		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
RBC Quant Global Real Estate Leaders ETF*		ON	No	
RBC Quant U.S. Dividend Leaders (CAD Hedged) ETF		ON	No	
RBC Quant U.S. Dividend Leaders ETF		ON	No	
RBC Quant U.S. Equity Leaders (CAD Hedged) ETF		ON	No	
RBC Quant U.S. Equity Leaders ETF		ON	No	
RBC QUBE All Country World Equity Fund		ON	No	
RBC QUBE Canadian Equity Fund		ON	No	
RBC QUBE Global Equity Fund		ON	No	
RBC QUBE Low Volatility All Country World Equity Fund		ON	No	
RBC QUBE Low Volatility Canadian Equity Class		ON	No	
RBC QUBE Low Volatility Canadian Equity Fund	Phillips Hager & North QUBE Low Volatility Canadian Equity Fund	ON	No	
RBC QUBE Low Volatility Emerging Markets Equity Fund*		ON	No	
RBC QUBE Low Volatility Global Equity Class		ON	No	
RBC QUBE Low Volatility Global Equity Currency Neutral Fund*		ON	No	
RBC QUBE Low Volatility Global Equity Fund		ON	No	
RBC QUBE Low Volatility U.S. Equity Class		ON	No	
RBC QUBE Low Volatility U.S. Equity Currency Neutral Fund*		ON	No	
RBC QUBE Low Volatility U.S. Equity Fund		ON	No	
RBC QUBE U.S. Equity Fund		ON	No	
RBC Retirement 2020 Portfolio*		ON	No	
RBC Retirement 2025 Portfolio*		ON	No	
RBC Retirement 2030 Portfolio*		ON	No	
RBC Retirement 2035 Portfolio*		ON	No	
RBC Retirement 2040 Portfolio*		ON	No	
RBC Retirement 2045 Portfolio*		ON	No	
RBC Retirement 2050 Portfolio*		ON	No	
RBC Retirement 2055 Portfolio		ON	No	
RBC Retirement 2060 Portfolio		ON	No	
RBC Retirement Income Solution*		ON	No	
RBC Select Aggressive Growth Portfolio			No	
RBC Select Balanced Portfolio	Royal Select Balanced Portfolio	QC	No	
RBC Select Choices Aggressive Growth Portfolio	Royal Select Choices Aggressive Growth Portfolio		No	
RBC Select Choices Balanced Portfolio	Royal Select Choices Balanced Portfolio		No	
RBC Select Choices Conservative Portfolio	Royal Select Choices Conservative Portfolio		No	
RBC Select Choices Growth Portfolio	Royal Select Choices Growth Portfolio		No	
RBC Select Conservative Portfolio	Royal Select Conservative Portfolio	QC	No	
RBC Select Growth Portfolio	Royal Select Growth Portfolio	QC	No	
RBC Select Very Conservative Portfolio		ON	No	
RBC Short Term Income Class		ON	No	
RBC Short Term U.S. Corporate Bond ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
RBC Short-Term Global Bond Fund		ON	No	
RBC Strategic Global Dividend Leaders ETF*		ON	No	
RBC Strategic Global Equity Leaders ETF*		ON	No	
RBC Strategic Income Bond Fund	RBC Monthly Income High Yield Bond Fund	ON	No	
RBC Target 2021 Corporate Bond Index ETF		ON	No	
RBC Target 2022 Corporate Bond Index ETF		ON	No	
RBC Target 2023 Corporate Bond Index ETF		ON	No	
RBC Target 2024 Corporate Bond Index ETF		ON	No	
RBC Target 2025 Corporate Bond Index ETF		ON	No	
RBC Target 2025 Education Fund			No	
RBC Target 2026 Corporate Bond Index ETF		ON	No	
RBC Target 2027 Corporate Bond Index ETF		ON	No	
RBC Target 2028 Corporate Bond Index ETF		ON	No	
RBC Target 2029 Corporate Bond Index ETF		ON	No	
RBC Target 2030 Education Fund		ON	No	
RBC Target 2035 Education Fund		ON	No	
RBC Target 2040 Education Fund		ON	No	
RBC Trend Canadian Equity Fund*		ON	No	
RBC U.S. Banks Yield (CAD Hedged) Index ETF		ON	No	
RBC U.S. Banks Yield Index ETF		ON	No	
RBC U.S. Dividend Class		ON	No	
RBC U.S. Dividend Covered Call ETF		ON	No	
RBC U.S. Dividend Currency Neutral Fund*		ON	No	
RBC U.S. Dividend Fund	RBC North American Dividend Fund	ON	No	
RBC U.S. Equity Class		ON	No	
RBC U.S. Equity Currency Neutral Fund			No	
RBC U.S. Equity Fund	Royal U.S. Equity Fund	QC	No	
RBC U.S. Equity Index ETF Fund		ON	No	
RBC U.S. Equity Value Class		ON	No	
RBC U.S. Equity Value Fund		ON	No	
RBC U.S. Index Currency Neutral Fund	RBC U.S. RSP Index Fund		No	
RBC U.S. Index Fund	Royal U.S. Index Fund		No	
RBC U.S. Mid-Cap Growth Equity Currency Neutral Fund	RBC U.S. Mid-Cap Equity Currency Neutral Fund	ON	No	
RBC U.S. Mid-Cap Growth Equity Fund	RBC U.S. Mid-Cap Equity Fund	QC	No	
RBC U.S. Mid-Cap Value Equity Class		ON	No	
RBC U.S. Mid-Cap Value Equity Fund		ON	No	
RBC U.S. Monthly Income Fund	RBC \$U.S. Income Fund	ON	No	
RBC U.S. Small-Cap Core Equity Class		ON	No	
RBC U.S. Small-Cap Core Equity Fund		ON	No	
RBC U.S. Small-Cap Value Equity Fund*		ON	No	
RBC Vision Balanced Fund	RBC Jantzi Balanced Fund	ON	No	
RBC Vision Bond Fund	PH&N Community Values Bond Fund	BC	No	
RBC Vision Canadian Equity Fund	RBC Jantzi Canadian Equity Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
RBC Vision Fossil Fuel Free Balanced Fund		ON	No	
RBC Vision Fossil Fuel Free Bond Fund		ON	No	
RBC Vision Fossil Fuel Free Emerging Markets Equity Fund		ON	No	
RBC Vision Fossil Fuel Free Global Equity Fund		ON	No	
RBC Vision Fossil Fuel Free Short-Term Bond Fund		ON	No	
RBC Vision Global Equity Fund	RBC Jantzi Global Equity Fund	ON	No	
RBC Vision QUBE Fossil Fuel Free Low Volatility Canadian Equity Fund		ON	No	
RBC Vision Women's Leadership MSCI Canada Index ETF		ON	No	
Rci Corporation		ON	Yes	1a,1b
RDX Technologies Corporation (Default)	Ridgeline Energy Services Inc.	AB	Yes	1a,1c,1e,3
RE Royalties Ltd. (formerly Baetis Ventures Ltd.)	RE Royalties Ltd.	BC	No	
Real Del Monte Mining Corporation	Consolidated Nevada Goldfields Corporation	BC	No	
Real Estate Investment Corporate Class		ON	No	
Real Estate Investment Pool	Assante Real Estate Investment Pool	ON	No	
Real Estate Split Corp.	Real Estate & E-Commerce Split Corp.	ON	No	
Real Matters Inc.		ON	No	
Real Return Bond Fund	Primus Real Return Bond Fund		No	
Realia Properties Inc.	TitanStar Properties Inc.	BC	No	
REALnorth Opportunities Fund		BC	No	
Reconnaissance Energy Africa Ltd.		BC	No	
Red Eagle Mining Corporation		BC	No	
Red Light Holland Corp.	Added Capital Inc.	ON	No	
Red Pine Exploration Inc.		ON	No	
Red White & Bloom Brands Inc.	Tidal Royalty Corp.	BC	No	
RediShred Capital Corp.		ON	No	
Reef Resources Ltd.		AB	Yes	1a,1c,1e,3
Reko International Group Inc.		ON	No	
Renaissance Canadian All-Cap Equity Fund		ON	No	
Renaissance Canadian Balanced Fund	Renaissance Canadian Balanced Value Fund	ON	No	
Renaissance Canadian Bond Fund	Talvest Bond Fund	QC	No	
Renaissance Canadian Core Value Fund	Merrill LYNCH Canadian Core Value 1999 Fund	ON	No	
Renaissance Canadian Dividend Fund	Renaissance Canadian Dividend Income Fund	ON	No	
Renaissance Canadian Equity Private Pool	Frontiers Canadian Equity Pool	ON	No	
Renaissance Canadian Fixed Income Private Pool	Frontiers Canadian Fixed Income Pool	ON	No	
Renaissance Canadian Growth Fund	Merrill LYNCH Canadian Growth Fund	ON	No	
Renaissance Canadian Monthly Income Fund	Renaissance Canadian Income Trust Fund	ON	No	
Renaissance Canadian Small-Cap Fund	Merrill LYNCH Canadian Small Cap Fund	ON	No	
Renaissance China Plus Fund	Talvest China Plus Fund	ON	No	
Renaissance Corporate Bond Fund	Renaissance Corporate Bond Capital Yield Fund	ON	No	
Renaissance Diversified Income Fund	Renaissance Diversified Income Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Renaissance Emerging Markets Equity Private Pool	Frontiers Emerging Markets Equity Pool	ON	No	
Renaissance Emerging Markets Fund	Renaissance Developing Capital Markets Fund	ON	No	
Renaissance Equity Income Private Pool	Frontiers Equity Income Pool	ON	No	
Renaissance Flexible Yield Fund		ON	No	
Renaissance Floating Rate Income Fund		QC	No	
Renaissance Global Bond Fund	Talvest Global Bond Fund	ON	No	
Renaissance Global Bond Private Pool	Frontiers Global Bond Pool	ON	No	
Renaissance Global Equity Private Pool		ON	No	
Renaissance Global Focus Fund	Renaissance Global Sectors Fund	ON	No	
Renaissance Global Growth Currency Neutral Fund		ON	No	
Renaissance Global Growth Fund	Merrill LYNCH Global Growth Fund		No	
Renaissance Global Health Care Fund	Talvest Global Health Care Fund	ON	No	
Renaissance Global Infrastructure Currency Neutral Fund		ON	No	
Renaissance Global Infrastructure Fund		ON	No	
Renaissance Global Markets Fund	Talvest Global Markets Fund	QC	No	
Renaissance Global Real Estate Currency Neutral Fund		ON	No	
Renaissance Global Real Estate Fund		ON	No	
Renaissance Global Science & Technology Fund	Talvest Global Science & Technology Fund	ON	No	
Renaissance Global Small-Cap Fund	Talvest Global Small Cap Fund	ON	No	
Renaissance High Income Fund	Renaissance Millenium High Income Fund	ON	No	
Renaissance High-Yield Bond Fund	Renaissance Corporate Bond Capital Yield Fund	ON	No	
Renaissance International Dividend Fund	Renaissance International Index Fund	ON	No	
Renaissance International Equity Currency Neutral Fund		ON	No	
Renaissance International Equity Fund	Renaissance International Equity Fund	ON	No	
Renaissance International Equity Private Pool	Frontiers International Equity Pool	ON	No	
Renaissance Money Market Fund	Talvest Money Market Fund	QC	No	
Renaissance Multi-Asset Global Balanced Income Private Pool		ON	No	
Renaissance Multi-Asset Global Balanced Private Pool		ON	No	
Renaissance Multi-Sector Fixed Income Private Pool		ON	No	
Renaissance Optimal Conservative Income Portfolio		QC	No	
Renaissance Optimal Global Equity Portfolio	Renaissance Global Multi Management Fund	ON	No	
Renaissance Optimal Growth & Income Portfolio		QC	No	
Renaissance Optimal Income Portfolio		ON	No	
Renaissance Optimal Inflation Opportunities Portfolio		ON	No	
Renaissance Real Assets Private Pool		ON	No	
Renaissance Real Return Bond Fund	Renaissance Canadian Real Return Bond Fund	QC	No	
Renaissance Short-Term Income Fund	Renaissance Canadian Income Fund	ON	No	
Renaissance U.S. Dollar Corporate Bond Fund		QC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Renaissance U.S. Dollar Diversified Income Fund		QC	No	
Renaissance U.S. Equity Currency Neutral Private Pool	Frontiers U.S. Equity Currency Neutral Pool	ON	No	
Renaissance U.S. Equity Fund	Renaissance U.S. Index Fund	ON	No	
Renaissance U.S. Equity Growth Currency Neutral Fund		ON	No	
Renaissance U.S. Equity Growth Fund	Renaissance U.S. Fundamental Growth FUND	ON	No	
Renaissance U.S. Equity Income Fund		QC	No	
Renaissance U.S. Equity Private Pool	Frontiers U.S. Equity Pool	ON	No	
Renaissance U.S. Equity Value Fund	Renaissance U.S. Basic Value Fund		No	
Renaissance U.S. Money Market Fund	Merrill LYNCH U.S. Money Market Fund	ON	No	
Renaissance Ultra Short-Term Income Private Pool	Frontiers Canadian Short Term Income Pool	ON	No	
ReQuest Income Trust		AB	No	
Resolute Forest Products Inc.		QC	No	
Restaurant Brands International Inc.		ON	No	
Restaurant Brands International Limited Partnership		ON	No	
Resverlogix Corp.		AB	No	
Retrocom Growth Fund Inc.*		ON	Yes	1a,1b,1c,3
Reunion Neuroscience Inc.	Field Trip Health Ltd.	ON	No	
Revitalist Lifestyle and Wellness Ltd.		BC	No	
Revival Gold Inc.		ON	No	
Revive Therapeutics Ltd.	Mercury Capital II Limited	ON	No	
Reyna Silver Corp.	Century Metals Inc.	ON	No	
RF Capital Group Inc.	GMP Capital Inc.	ON	No	
RGP Alternative Income Portfolio		QC	No	
RGP Global Sector Class		QC	No	
RGP Global Sector Fund		QC	No	
RGP Impact Fixed Income Portfolio		QC	No	
Richelieu Hardware LTD.		QC	No	
Richtree Inc.		ON	Yes	1a,1b,3
Ridgewood Canadian Bond Fund	Mulvihill Canadian Bond Fund	ON	No	
Ridgewood Canadian Investment Grade Bond Fund*		ON	No	
Ridgewood Tactical Yield Fund		ON	No	
Rio2 Limited		BC	No	
Riocan Real Estate Investment Trust	Counsel Real Estate Investment Trust	ON	No	
Ritchie Bros. Auctioneers Incorporated		BC	No	
RIV Capital Inc.	Canopy Rivers Inc.	ON	No	
Rivalry Corp.		ON	No	
Rock Tech Lithium Inc.		BC	No	
Rockefeller - IG Climate Solutions Pool		MB	No	
Rocky Mountain Brands, Inc.	Healing Waters Inc.	ON	No	
Rocky Mountain Liquor Inc.	Humber Capital Corporation	ON	No	
Rodinia Oil Corp.		AB	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Rogers Communications Inc.		ON	No	
Rogers Sugar Inc.		BC	No	
Roman Corporation Limited		ON	No	
Roots Corporation		ON	No	
Royal Bank of Canada		QC	No	
Royal Gold, Inc.		ON	No	
Royal Helium Ltd.		SK	No	
Royal Road Minerals Limited		ON	No	
Roycefield Resources LTD.	Guinness Gold Resources Limited	ON	Yes	1a
RP Alternative Global Bond Fund*		ON	No	
RP Strategic Income Plus Fund*		ON	No	
Rritual Superfoods Inc.		BC	No	
Rubellite Energy Inc.		AB	No	
Rubicon Organics Inc.		BC	No	
Russel Metals Inc.	Federal Industries Limited	ON	No	
Russell Investments Balanced	LifePoints Balanced Portfolio	ON	No	
Russell Investments Balanced Class	LifePoints Balanced Class Portfolio	ON	No	
Russell Investments Balanced Growth	LifePoints Balanced Growth Portfolio	ON	No	
Russell Investments Balanced Growth Class	LifePoints Balanced Growth Class	ON	No	
Russell Investments Canadian Cash Fund	Russell Canadian Cash Fund	ON	No	
Russell Investments Canadian Dividend Class	Russell Canadian Dividend Class	ON	No	
Russell Investments Canadian Dividend Pool	Russel Canadian Dividend Pool	ON	No	
Russell Investments Canadian Equity Class	Russell Canadian Equity Class	ON	No	
Russell Investments Canadian Equity Pool	Russell Canadian Equity Pool	ON	No	
Russell Investments Canadian Fixed Income Fund	Russell Canadian Fixed Income Fund	ON	No	
Russell Investments Conservative Income	Russell LifePoints Conservative Income Portfolio	ON	No	
Russell Investments Conservative Income Class	Russell LifePoints Conservative Income Class Portfolio	ON	No	
Russell Investments Diversified Monthly Income	Russell Diversified Monthly Income Portfolio	ON	No	
Russell Investments Diversified Monthly Income Class	Russell Diversified Monthly Income Class Portfolio	ON	No	
Russell Investments Emerging Markets Equity Class	Russell Emerging Markets Equity Class	ON	No	
Russell Investments Emerging Markets Equity Pool	Russell Emerging Markets Equity Pool	ON	No	
Russell Investments ESG Global Equity Pool	Russell Investments ESG Global Equity Fund	ON	No	
Russell Investments Fixed Income Class	Russell Fixed Income Class	ON	No	
Russell Investments Fixed Income Pool	Russell Fixed Income Pool	ON	No	
Russell Investments Focused Canadian Equity Class	Russell Focused Canadian Equity Class	ON	No	
Russell Investments Focused Canadian Equity Pool	Russell Focused Canadian Equity Pool	ON	No	
Russell Investments Focused Global Equity Class	Russell Focused Global Equity Class	ON	No	
Russell Investments Focused Global Equity Pool	Russell Focused Global Equity Pool	ON	No	
Russell Investments Focused US Equity Class	Russell Focused US Equity Class	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Russell Investments Focused US Equity Pool	Russell Focused US Equity Pool	ON	No	
Russell Investments Global Credit Class	Russell Investments Global High Income Bond Class	ON	No	
Russell Investments Global Credit Pool	Russell Investments Global High Income Bond Pool	ON	No	
Russell Investments Global Equity Class	Russell Global Equity Class	ON	No	
Russell Investments Global Equity Pool	Russell Global Equity Pool	ON	No	
Russell Investments Global Infrastructure Class	Russell Global Infrastructure Class	ON	No	
Russell Investments Global Infrastructure Pool	Russell Global Infrastructure Pool	ON	No	
Russell Investments Global Real Estate Pool	Russell Global Real Estate Pool	ON	No	
Russell Investments Global Smaller Companies Class	Russell Global Smaller Companies Class	ON	No	
Russell Investments Global Smaller Companies Pool	Russell Global Smaller Companies Pool	ON	No	
Russell Investments Global Unconstrained Bond Class	Russell Global Unconstrained Bond Class	ON	No	
Russell Investments Global Unconstrained Bond Pool	Russell Global Unconstrained Bond Pool	ON	No	
Russell Investments Income Essentials	Russell Income Essentials Portfolio	ON	No	
Russell Investments Income Essentials Class	Russell Income Essentials Class Portfolio	ON	No	
Russell Investments Inflation Linked Bond Fund	Russell Inflation Linked Bond Fund	ON	No	
Russell Investments Long-Term Growth	LifePoints Long-Term Growth	ON	No	
Russell Investments Long-Term Growth Class	Lifepoints Long-Term Growth Class	ON	No	
Russell Investments Money Market Class	Russell Money Market Class	ON	No	
Russell Investments Money Market Pool	Russell Money Market Pool	ON	No	
Russell Investments Multi-Factor Canadian Equity Pool		ON	No	
Russell Investments Multi-Factor Global Balanced		ON	No	
Russell Investments Multi-Factor International Equity Pool		ON	No	
Russell Investments Multi-Factor US Equity Pool		ON	No	
Russell Investments Overseas Equity Class	Russell Overseas Equity Class	ON	No	
Russell Investments Overseas Equity Fund	Russell Overseas Equity Fund	ON	No	
Russell Investments Overseas Equity Pool	Russell Overseas Equity Pool	ON	No	
Russell Investments Real Assets	Russell Real Assets Portfolio	ON	No	
Russell Investments Short Term Income Class	Russell Short Term Income Class	ON	No	
Russell Investments Short Term Income Pool	Russell Short Term Income Pool	ON	No	
Russell Investments US Equity Class	Russell US Equity Class	ON	No	
Russell Investments US Equity Pool	Russell US Equity Pool	ON	No	
Russell Investments Yield Opportunities Pool*		ON	No	
RYU Apparel Inc.		BC	Yes	1b,1c,1e
S Split Corp.*		ON	No	
S2 Minerals Inc.		ON	No	
Sabina Gold & Silver Corp.		BC	No	
Saco Smartvision Inc.	Teknor Industries Computers Inc.	QC	No	
Sagen MI Canada Inc.	Genworth MI Canada Inc.	ON	No	
Sagicor Financial Company Ltd.	Alignvest Acquisition II Corporation	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Salazar Resources Limited	Consolidated Kookaburra Resources LTD.	BC	No	
Samco Gold Limited		ON	Yes	1b,1c,1e
San Gold Corporation		MB	Yes	1b
Sanatana Resources Inc.	Sanatana Diamonds Inc	BC	No	
Sandstorm Gold Ltd.	Sandstorm Resources Ltd.	BC	No	
Sangoma Technologies Corporation		ON	No	
Santacruz Silver Mining Ltd.		BC	No	
Saputo Inc.	Saputo Group Inc	QC	No	
Sarama Resources Ltd.		BC	No	
Saturn Oil & Gas Inc.		AB	No	
Savaria Corporation		QC	No	
Scaffold Connection Corporation	Pioneer Metals Corporation	AB	Yes	1a,1b,3
Sceptre Income & High Growth Trust*		ON	No	
Scotia Aria Conservative Build Portfolio	Scotia Aria Conservative Build Portfolio	ON	No	
Scotia Aria Conservative Defend Portfolio	Scotia Aria Conservative Core Portfolio	ON	No	
Scotia Aria Conservative Pay Portfolio		ON	No	
Scotia Aria Equity Build Portfolio*		ON	No	
Scotia Aria Equity Defend Portfolio*		ON	No	
Scotia Aria Equity Pay Portfolio*		ON	No	
Scotia Aria Moderate Build Portfolio		ON	No	
Scotia Aria Moderate Defend Portfolio	Scotia Aria Moderate Core Portfolio	ON	No	
Scotia Aria Moderate Pay Portfolio		ON	No	
Scotia Aria Progressive Build Portfolio		ON	No	
Scotia Aria Progressive Defend Portfolio	Scotia Aria Progressive Core Portfolio	ON	No	
Scotia Aria Progressive Pay Portfolio		ON	No	
Scotia Balanced Opportunities Fund	Scotia Canadian Tactical Asset Allocation Fund	ON	No	
Scotia Bond Fund		ON	No	
Scotia Canadian Balanced Fund	National Trust Balanced Fund	ON	No	
Scotia Canadian Bond Index Fund		ON	No	
Scotia Canadian Bond Index Tracker ETF		ON	No	
Scotia Canadian Dividend Class		ON	No	
Scotia Canadian Dividend Fund	National Trust Dividend Fund	ON	No	
Scotia Canadian Equity Blend Class		ON	No	
Scotia Canadian Equity Fund	Scotia Canadian Blue Chip Fund	ON	No	
Scotia Canadian Growth Fund	Scotia Excelsior Canadian Growth Fund	ON	No	
Scotia Canadian Income Fund	National Trust Canadian Bond Fund	ON	No	
Scotia Canadian Index Fund	Scotia Canadian Stock Index Fund	ON	No	
Scotia Canadian Large Cap Equity Index Tracker ETF		ON	No	
Scotia Canadian Small Cap Fund	National Trust Special Equity Fund	ON	No	
Scotia CanAm Index Fund	Scotia Canam Stock Index Fund	ON	No	
Scotia Conservative Fixed Income Portfolio	Scotia Conservative Fixed Income Fund	ON	No	
Scotia Diversified Monthly Income Fund		ON	No	
Scotia Dividend Balanced Fund	Scotia Dividend Income Fund	ON	No	
Scotia European Fund	Scotia European Growth Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Scotia Global Balanced Fund		ON	No	
Scotia Global Bond Fund	Scotia Canglobal Income Fund	ON	No	
Scotia Global Dividend Class		ON	No	
Scotia Global Dividend Fund		ON	No	
Scotia Global Equity Fund	Scotia Global Opportunities Fund	ON	No	
Scotia Global Growth Fund	Scotia International Growth Fund	ON	No	
Scotia Global Small Cap Fund	Capital Global Small Companies Fund	ON	No	
Scotia Income Advantage Fund		ON	No	
Scotia INNOVA Balanced Growth Portfolio		ON	No	
Scotia INNOVA Balanced Growth Portfolio Class		ON	No	
Scotia INNOVA Balanced Income Portfolio		ON	No	
Scotia INNOVA Balanced Income Portfolio Class		ON	No	
Scotia INNOVA Growth Portfolio		ON	No	
Scotia INNOVA Growth Portfolio Class		ON	No	
Scotia INNOVA Income Portfolio		ON	No	
Scotia INNOVA Income Portfolio Class		ON	No	
Scotia INNOVA Maximum Growth Portfolio		ON	No	
Scotia INNOVA Maximum Growth Portfolio Class		ON	No	
Scotia International Equity Blend Class		ON	No	
Scotia International Equity Fund	Scotia International Value Fund	ON	No	
Scotia International Equity Index Tracker ETF		ON	No	
Scotia International Index Fund	Scotia International Stock Index Fund	ON	No	
Scotia Low Carbon Canadian Fixed Income Fund		ON	No	
Scotia Low Carbon Global Balanced Fund		ON	No	
Scotia Low Carbon Global Equity Fund		ON	No	
Scotia Money Market Fund	Scotia Excelsior Money Market Fund	ON	No	
Scotia Mortgage Income Fund	Scotia Excelsior Mortgage Fund	ON	No	
Scotia Nasdaq Index Fund		ON	No	
Scotia Partners Balanced Growth Portfolio	Scotia Partners Balanced Income & Growth Portfolio	ON	No	
Scotia Partners Balanced Growth Portfolio Class*		ON	No	
Scotia Partners Balanced Income Portfolio	Scotia Partners Income & Modest Growth Portfolio	ON	No	
Scotia Partners Balanced Income Portfolio Class*		ON	No	
Scotia Partners Growth Portfolio	Scotia Partners Moderate Growth Portfolio	ON	No	
Scotia Partners Growth Portfolio Class*		ON	No	
Scotia Partners Income Portfolio	Scotia Partners Diversified Income Portfolio	ON	No	
Scotia Partners Maximum Growth Portfolio	Scotia Partners Aggressive Growth Portfolio	ON	No	
Scotia Partners Maximum Growth Portfolio Class		ON	No	
Scotia Premium T-Bill Fund	Scotia Excelsior Premium T-Bill Fund	ON	No	
Scotia Private American Core-Plus Bond Pool	Scotia Private American Core-Plus Bond Fund	ON	No	
Scotia Private Canadian Core Bond Pool*		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Scotia Private Canadian Corporate Bond Pool	Scotia Canadian Corporate Bond Fund	ON	No	
Scotia Private Canadian Equity Pool	Scotia Canadian Equity Fund	ON	No	
Scotia Private Canadian Growth Pool	Pinnacle Canadian Growth Equity Fund	ON	No	
Scotia Private Canadian Mid Cap Pool	Pinnacle Canadian Mid Cap Equity Fund	ON	No	
Scotia Private Canadian Preferred Share Pool		ON	No	
Scotia Private Canadian Small Cap Pool	Pinnacle Canadian Small Cap Equity Fund	ON	No	
Scotia Private Canadian Value Pool	Pinnacle Canadian Value Equity Fund	ON	No	
Scotia Private Diversified International Equity Pool*		ON	No	
Scotia Private Emerging Markets Pool	Pinnacle Emerging Markets Equity Fund	ON	No	
Scotia Private Floating Rate Income Pool*	Scotia Floating Rate Income Fund	ON	No	
Scotia Private Global Equity Pool	Pinnacle Global Equity Fund	ON	No	
Scotia Private Global High Yield Pool		ON	No	
Scotia Private Global Infrastructure Pool		ON	No	
Scotia Private Global Low Volatility Equity Pool		ON	No	
Scotia Private Global Real Estate Pool	Pinnacle Global Real Estate Securities Fund	ON	No	
Scotia Private High Yield Income Pool	Pinnacle High Yield Income Fund	ON	No	
Scotia Private Income Pool	Pinnacle Income Fund	ON	No	
Scotia Private International Core Equity Pool	Scotia Private International Equity Core Pool	ON	No	
Scotia Private International Equity Pool	Pinnacle International Equity Fund	ON	No	
Scotia Private International Small to Mid Cap Value Pool	Pinnacle International Small to Mid Cap Value Equity Fund	ON	No	
Scotia Private North American Dividend Pool	Scotia Private North American Equity Pool	ON	No	
Scotia Private Premium Payout Pool	Scotia Private Options Income Pool	ON	No	
Scotia Private Real Estate Income Pool		ON	No	
Scotia Private Short Term Bond Pool	Scotia Short Term Bond Fund	ON	No	
Scotia Private Short-Mid Government Bond Pool	Scotia Short -Mid Government Bond Fund	ON	No	
Scotia Private Strategic Balanced Pool	Pinnacle Strategic Balanced Fund	ON	No	
Scotia Private Total Return Bond Pool		ON	No	
Scotia Private U.S. Dividend Pool		ON	No	
Scotia Private U.S. Large Cap Growth Pool	Pinnacle American Large Cap Growth Equity Fund	ON	No	
Scotia Private U.S. Mid Cap Value Pool	Pinnacle American Mid Cap Value Equity Fund	ON	No	
Scotia Private U.S. Value Pool	Pinnacle American Value Equity Fund	ON	No	
Scotia Resource Fund	Scotia Excelsior Precious Metals Fund	ON	No	
Scotia Responsible Investing Canadian Bond Index ETF		ON	No	
Scotia Responsible Investing Canadian Equity Index ETF		ON	No	
Scotia Responsible Investing International Equity Index ETF		ON	No	
Scotia Responsible Investing U.S. Equity Index ETF		ON	No	
Scotia Schools Trust (Cease Traded)		NS	Yes	1b
Scotia Selected Balanced Growth Portfolio	Scotia Selected Balanced Income & Growth Portfolio	ON	No	
Scotia Selected Balanced Income Portfolio	Scotia Selected Income & Modest Growth Portfolio	ON	No	
Scotia Selected Growth Portfolio	Scotia Selected Moderate Growth Portfolio	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Scotia Selected Income Portfolio		ON	No	
Scotia Selected Maximum Growth Portfolio	Scotia Selected Aggressive Growth Portfolio	ON	No	
Scotia Strategic Canadian Equity ETF Portfolio		ON	No	
Scotia Strategic Fixed Income ETF Portfolio		ON	No	
Scotia Strategic International Equity ETF Portfolio		ON	No	
Scotia Strategic U.S. Equity ETF Portfolio		ON	No	
Scotia T-Bill Fund	Scotia Excelsior T-Bill Fund	ON	No	
Scotia U.S. \$ Balanced Fund		ON	No	
Scotia U.S. \$ Bond Fund	Scotia Canam U.S. \$ Income Fund	ON	No	
Scotia U.S. \$ Money Market Fund	Scotia Canam U.S. \$ Money Market Fund	ON	No	
Scotia U.S. Dividend Fund		ON	No	
Scotia U.S. Equity Blend Class		ON	No	
Scotia U.S. Equity Fund	Scotia U.S. Blue Chip Fund	ON	No	
Scotia U.S. Equity Index Tracker ETF		ON	No	
Scotia U.S. Index Fund	Scotia American Stock Index Fund	ON	No	
Scotia U.S. Opportunities Fund	Scotia U.S. Value Fund	ON	No	
Scotia Wealth Credit Absolute Return Pool		ON	No	
Scotia Wealth High Yield Bond Pool	Scotia Private High Yield Bond Pool	ON	No	
Scotia Wealth World Infrastructure Pool		ON	No	
Scotiabank Capital Trust			No	
SDX Energy plc		AB	No	
Seabridge Gold Inc.		ON	No	
Sears Canada Inc.		ON	No	
Sectorwise Balanced Portfolio		QC	No	
Sectorwise Conservative Portfolio		QC	No	
Sectorwise Growth Portfolio		QC	No	
Secure Energy Services Inc.		AB	No	
Sedibelo Resources Limited	Sedibelo Platinum Mines Limited	ON	No	
Select 20i80e Managed Portfolio Corporate Class			No	
Select 30i70e Managed Portfolio Corporate Class		ON	No	
Select 40i60e Managed Portfolio Corporate Class			No	
Select 50i50e Managed Portfolio Corporate Class			No	
Select 60i40e Managed Portfolio Corporate Class			No	
Select 70i30e Managed Portfolio Corporate Class			No	
Select 80i20e Managed Portfolio Corporate Class		ON	No	
Select Canadian Equity Managed Fund*		ON	No	
Select International Equity Managed Fund		ON	No	
Select U.S. Equity Managed Fund		ON	No	
SemBioSys Genetics Inc.		AB	Yes	1a,1b,1c,1e,3
Semi-Tech Corporation	International Semi-Tech Microelectronics Inc.	ON	Yes	1a,1b
Senior Secured Floating Rate Loan Fund*		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Sentry All Cap Income Class	Sentry Diversified Equity Class	ON	No	
Sentry All Cap Income Fund	Sentry Diversified Income Fund	ON	No	
Sentry Balanced Income Portfolio	Sentry Income Portfolio	ON	No	
Sentry Balanced Yield Private Pool Class		ON	No	
Sentry Canadian Core Fixed Income Private Trust		ON	No	
Sentry Canadian Equity Income Private Pool Class		ON	No	
Sentry Canadian Equity Income Private Trust		ON	No	
Sentry Canadian Income Class	Sentry Select Canadian Income Class	ON	No	
Sentry Canadian Income Fund	Sentry Select Canadian Income Fund	ON	No	
Sentry Conservative Balanced Income Class		ON	No	
Sentry Conservative Balanced Income Fund	Sentry Conservative Income Fund	ON	No	
Sentry Conservative Income Portfolio		ON	No	
Sentry Defensive Income Portfolio		ON	No	
Sentry Energy Private Trust		ON	No	
Sentry Global Balanced Yield Private Pool Class		ON	No	
Sentry Global Core Fixed Income Private Trust		ON	No	
Sentry Global Equity Income Private Pool Class		ON	No	
Sentry Global High Yield Fixed Income Private Trust		ON	No	
Sentry Global Infrastructure Private Trust		ON	No	
Sentry Global Investment Grade Private Pool Class		ON	No	
Sentry Global Real Estate Private Trust		ON	No	
Sentry Growth and Income Portfolio		ON	No	
Sentry Growth Portfolio		ON	No	
Sentry International Equity Income Private Pool Class		ON	No	
Sentry International Equity Income Private Trust		ON	No	
Sentry Precious Metals Class	Sentry Precious Metals Growth Class	ON	No	
Sentry Precious Metals Private Trust		ON	No	
Sentry Real Growth Pool Class		ON	No	
Sentry Real Income 1941-45 Class		ON	No	
Sentry Real Income 1946-50 Class		ON	No	
Sentry Real Income 1951-55 Class		ON	No	
Sentry Real Long Term Income Pool Class		ON	No	
Sentry Real Long Term Income Trust		ON	No	
Sentry Real Mid Term Income Pool Class		ON	No	
Sentry Real Mid Term Income Trust		ON	No	
Sentry Real Short Term Income Pool Class		ON	No	
Sentry Real Short Term Income Trust		ON	No	
Sentry Resource Opportunities Class	Sentry Canadian Resource Class	ON	No	
Sentry Select Primary Metals Corp.*		ON	No	
Sentry Small/Mid Cap Income Class		ON	No	
Sentry Small/Mid Cap Income Fund	Sentry Small Cap Income Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Sentry U.S. Equity Income Currency Neutral Private Pool Class		ON	No	
Sentry U.S. Equity Income Private Pool Class		ON	No	
Sentry U.S. Equity Income Private Trust		ON	No	
Sentry U.S. Growth and Income Class		ON	No	
Sentry U.S. Growth and Income Corporate Class	CI American Equity Corporate Class	ON	No	
Sentry U.S. Growth and Income Currency Neutral Class*		ON	No	
Sentry U.S. Growth and Income Fund		ON	No	
Serinus Energy plc	Serinus Energy Inc.	AB	No	
Sernova Corp.		ON	No	
Serval Integrated Energy Services	Serval Growth Fund	AB	No	
ShaMaran Petroleum Corp.	Bayou Bend Petroleum Ltd.	BC	No	
Shaw Communications Inc.	Shaw Cablesystems Inc	AB	No	
ShawCor Ltd.		ON	No	
Sheen Resources Ltd.	Pencari Resource Corporation	BC	Yes	3
Sherritt International Corporation		ON	No	
Shopify Inc.		ON	No	
Shoreline Energy Corp.		AB	Yes	1a,1b,1c,3
Short Term Bond Fund		ON	No	
Short Term Income Corporate Class		ON	No	
Short Term Income Pool	Optima Strategy Fund - Short Term Investment Section	ON	No	
Short Term Investment Fund		ON	No	
Sienna Senior Living Inc.	Leisureworld Senior Care Corporation	ON	No	
Sierra Wireless, Inc.		BC	No	
Sigma Lithium Corporation		BC	No	
Signal Gold Inc.	Anaconda Mining Inc.	ON	No	
Signature Corporate Bond Class	Sentry Corporate Bond Class	ON	No	
Signature Global Infrastructure Fund	Sentry Global Infrastructure Fund	ON	No	
Signature Global REIT Class	Sentry Global REIT Class	ON	No	
Signature Global REIT Fund	Sentry Global REIT Fund	ON	No	
Signature High Yield Bond Class	Sentry Global High Yield Bond Class	ON	No	
Signature Systematic Yield Pool*	Signature Option Income Pool	ON	No	
Silver Bear Resources Plc		ON	Yes	1a,1c,1d,1e,3
Silver Elephant Mining Corp.	Prophecy Development Corp.	BC	No	
Silver Mountain Resources Inc.		ON	No	
Silver Spike III Acquisition Corp.		ON	No	
Silver Spruce Resources Inc.	First Labrador Acquisitions Inc.	NS	No	
Silver Tiger Metals Inc.	Oceanus Resources Corporation	NS	No	
Silvercorp Metals Inc.		BC	No	
SilverCrest Metals Inc.		BC	No	
Sintana Energy Inc.	Mobius Resources Inc.	ON	No	
Sionna Canadian Equity Fund	Brandes Sionna Canadian Equity Fund	ON	No	
Sionna Opportunities Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Sionna Strategic Income Fund	Sionna Canadian Balanced Fund	ON	No	
Sir Royalty Income Fund		ON	No	
Sirius XM Holdings Inc.		ON	No	
Sixth Wave Innovations Inc.	Atom Energy Inc.	NS	No	
Sixty Six Capital Inc.	Hydro66 Holdings Corp.	BC	No	
Skeena Resources Limited		BC	No	
SKG Interactive Inc.	Sikaman Gold Resources Limited	ON	Yes	1a,1b,3
Skye Bioscience, Inc.		BC	No	
SLANG Worldwide Inc.	Fire Cannabis Inc.	ON	No	
Slate Grocery REIT	Slate Retail REIT	ON	No	
Slate Office REIT	FAM Real Estate Investment Trust	BC	No	
Slater Steel Inc.	Slater Industries Inc.	ON	No	
Sleep Country Canada Holdings Inc.		ON	No	
SLMsoft Inc.	Slmsoft.Com Inc.	ON	No	
Small Pharma Inc.		BC	No	
SmartBe Canadian Quantitative Momentum Index ETF		ON	No	
SmartBe Canadian Quantitative Value Index ETF		ON	No	
SmartBe Global Value Momentum Trend Index ETF		AB	No	
SmartBe U.S. Quantitative Momentum Index ETF		ON	No	
SmartBe U.S. Quantitative Value Index ETF		ON	No	
SmartCentres Real Estate Investment Trust	Smart Real Estate Investment Trust	ON	No	
SNC-Lavalin Group Inc.	SNC Group Inc., The	QC	No	
SNDL Inc.	Sundial Growers Inc.	AB	No	
Sobeys Inc.	Sobeys Canada Inc.	NS	No	
Societerra 100 per cent Equity Portfolio		QC	No	
SocieTerra Balanced Portfolio		QC	No	
SocieTerra Conservative Portfolio	SocieTerra Secure Market Portfolio	QC	No	
SocieTerra Fixed Income Portfolio		QC	No	
SocieTerra Growth Portfolio	Desjardins Ethical Canadian Balanced Fund	QC	No	
SocieTerra Maximum Growth Portfolio	SocieTerra Growth Plus Portfolio	QC	No	
SocieTerra Moderate Portfolio		QC	No	
Softchoice Corporation		ON	No	
Solaris Resources Inc.	Solaris Copper Inc.	BC	No	
Solitario Zinc Corp.	Solitario Exploration & Royalty Corp.	ON	No	
Solution Financial Inc.	Shelby Ventures Inc.	BC	No	
Sona Nanotech Inc.	Stockport Exploration Inc.	NS	No	
Sonde Resources Corp.	Canadian Superior Energy Inc.	ON	No	
SOPerior Fertilizer Corp.	Potash Ridge Corporation	ON	No	
Source Energy Services Ltd.		AB	No	
Source Rock Royalties Ltd.		AB	No	
Southern Energy Corp.		AB	No	
Southern Pacific Resource Corp.		AB	Yes	1a,1b,1c,1d,1e

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
SouthGobi Resources Ltd.	SouthGobi Energy Resources Ltd.	BC	No	
Spackman Equities Group Inc.	Centiva Capital Inc.	ON	No	
Spark Power Group Inc.	Canaccord Genuity Acquisition Corp.	ON	No	
Spartan Delta Corp.	Return Energy Inc.	AB	No	
Specialty Foods Group Income Fund		ON	Yes	1a,1b,1c,1d,1e,3
Spectra7 Microsystems Inc.	Chrysalis Capital VIII Corporation	ON	No	
Spectral Medical Inc.	Spectral Diagnostics Inc.	ON	No	
Spin Master Corp.		ON	No	
Spinrite Income Fund		ON	No	
Spire Global, Inc.		ON	No	
Springbok Ventures Inc.	Stikine Energy Corp.	BC	Yes	1a,1b,1e
Sprott Inc.		ON	No	
Sprott Physical Gold and Silver Trust*		ON	No	
Sprott Physical Gold Trust		ON	No	
Sprott Physical Platinum and Palladium Trust*		ON	No	
Sprott Physical Silver Trust*		ON	No	
Sprott Physical Uranium Trust		ON	No	
Spyglass Resources Corp.		AB	Yes	1a,1c,1e,3
Srai Capital Corp. (DEFAULT)		BC	Yes	1a,1c,1e,3
SRG Mining Inc.	SRG Graphite Inc.	QC	No	
SSC Security Services Corp.	Input Capital Corp.	SK	No	
SSR Mining Inc.	Silver Standard Resources Inc	BC	No	
St. Augustine Gold and Copper Limited	Ratel Gold Limited	ON	No	
Stack Capital Group Inc.		ON	No	
Stage Capital Corp.	Stage Holdco Ltd.	BC	No	
Stagwell Inc.	MDC Partners Inc.	ON	No	
Stampede Drilling Inc.		AB	No	
Standard Lithium Ltd.		BC	No	
Standard Mercantile Acquisition Corp.	Trez Capital Senior Mortgage Investment Corporation	BC	No	
Standard Uranium Ltd.		BC	No	
Stantec Inc.	Stanley Technology Group Inc.	AB	No	
Star Diamond Corporation	Shore Gold Inc.	SK	No	
Star Royalties Ltd.		ON	No	
Starlight Global Infrastructure Fund		ON	No	
Starlight Global Real Estate Fund		ON	No	
Starlight Private Global Real Assets Trust*	Starlight Hybrid Global Real Assets Trust	ON	No	
Starlight U.S. Multi-Family (No. 2) Core Plus Fund		ON	No	
Starlight U.S. Residential Fund		ON	No	
Starlight Western Canada Multi-Family (No. 2) Fund*		ON	No	
Stelco Holdings Inc.		ON	No	
Stella-Jones Inc.		QC	No	
Stem Holdings, Inc.		ON	No	
STEP Energy Services Ltd.		AB	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Steppe Gold Ltd.		ON	No	
Sterling Shoes Inc.		BC	No	
Stingray Group Inc.	Stingray Digital Group Inc.	QC	No	
Stone American Dividend Growth Fund	Marquest American Dividend Growth Fund	ON	No	
Stone Covered Call Canadian Bank Plus Fund	Marquest Covered Call Canadian Banks Plus Fund	ON	No	
Stone Dividend Growth Class	Stone & Co. Dividend Growth Class Canada	ON	No	
Stone Dividend Yield Hog Fund	Stone Monthly Pay Fund	ON	No	
Stone Global Balanced Fund	Stone & Co. Flagship Growth & Income Fund Canada	ON	No	
Stone Global ESG Strategy Fund	Stone Global Strategy Fund	ON	No	
Stone Global Growth Fund	Stone & Co. Flagship Global Growth Fund	ON	No	
Stone Global Sustainability Fund	Stone Europlus Fund	ON	No	
Stone Growth Fund	Stone & Co. Flagship Stock Fund Canada	ON	No	
Stone Small Companies Fund	Marquest Small Companies Fund	BC	No	
StoneCastle Global Tactical Asset Allocation Fund		ON	No	
StorageVault Canada Inc.		ON	No	
Stornoway Diamond Corporation	Northern Empire Minerals LTD.	BC	No	
Strategic Oil & Gas Ltd.		AB	No	
SugarBud Craft Growers Corp.	Relentless Resources Ltd.	AB	Yes	1b,1c,1e
Sulliden Mining Capital Inc.		ON	No	
Summit Industrial Income REIT	Proventure Income Fund	ON	No	
Sun Life Assurance Company Of Canada		ON	No	
Sun Life BlackRock Canadian Composite Equity Fund	Sun Life BlackRock Canadian Equity Index Fund	ON	No	
Sun Life BlackRock Canadian Equity Fund		ON	No	
Sun Life BlackRock Canadian Universe Bond Fund	Sun Life BlackRock Canadian Bond Index Fund	ON	No	
Sun Life Capital Trust		ON	No	
Sun Life Core Advantage Credit Private Pool		ON	No	
Sun Life Crescent Specialty Credit Private Pool		ON	No	
Sun Life Dynamic Equity Income Fund		ON	No	
Sun Life Dynamic Strategic Yield Fund		ON	No	
Sun Life Excel Emerging Markets Fund	Sun Life Schroder Emerging Markets Fund	ON	No	
Sun Life Excel High Income Fund	Excel High Income Fund	ON	No	
Sun Life Excel India Balanced Fund	Excel India Balanced Fund	ON	No	
Sun Life Excel India Fund	Excel India Fund	ON	No	
Sun Life Excel New India Leaders Fund	Excel New India Leaders Fund	ON	No	
Sun Life Financial Inc.	Sun Life Financial Services Of Canada Inc.	ON	No	
Sun Life Global Dividend Private Pool		ON	No	
Sun Life Global Tactical Yield Private Pool		ON	No	
Sun Life Granite Balanced Class	Sun Life Managed Balanced Class	ON	No	
Sun Life Granite Balanced Growth Class	Sun Life Managed Balanced Growth Class	ON	No	
Sun Life Granite Balanced Growth Portfolio	Sun Life Managed Balanced Growth Portfolio	ON	No	
Sun Life Granite Balanced Portfolio	Sun Life Managed Balanced Portfolio	ON	No	
Sun Life Granite Conservative Class	Sun Life Managed Conservative Class	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Sun Life Granite Conservative Portfolio	Sun Life Managed Conservative Portfolio	ON	No	
Sun Life Granite Enhanced Income Portfolio	Sun Life Managed Enhanced Income Portfolio	ON	No	
Sun Life Granite Growth Class	Sun Life Managed Growth Class	ON	No	
Sun Life Granite Growth Portfolio	Sun Life Managed Growth Portfolio	ON	No	
Sun Life Granite Income Portfolio	Sun Life Managed Income Portfolio	ON	No	
Sun Life Granite Moderate Class	Sun Life Managed Moderate Class	ON	No	
Sun Life Granite Moderate Portfolio	Sun Life Managed Moderate Portfolio	ON	No	
Sun Life Granite Tactical Completion Fund		ON	No	
Sun Life JPMorgan International Equity Fund		ON	No	
Sun Life KBI Sustainable Infrastructure Private Pool		ON	No	
Sun Life MFS Canadian Bond Fund	Sun Life MFS McLean Budden Canadian Bond Fund	ON	No	
Sun Life MFS Canadian Equity Fund	Sun Life MFS Canadian Equity Growth Fund	ON	No	
Sun Life MFS Dividend Income Fund	Sun Life MFS McLean Budden Dividend Income Fund	ON	No	
Sun Life MFS Global Growth Class	Sun Life MFS McLean Budden Global Growth Class	ON	No	
Sun Life MFS Global Growth Fund	Sun Life MFS McLean Budden Global Growth Fund	ON	No	
Sun Life MFS Global Total Return Fund	Sun Life MFS McLean Budden Global Total Return Fund	ON	No	
Sun Life MFS Global Value Fund	Sun Life MFS McLean Budden Global Value Fund	ON	No	
Sun Life MFS International Opportunities Class	Sun Life MFS International Growth Class	ON	No	
Sun Life MFS International Opportunities Fund	Sun Life MFS International Growth Fund	ON	No	
Sun Life MFS International Value Fund	Sun Life MFS McLean Budden International Value Fund	ON	No	
Sun Life MFS Low Volatility Global Equity Fund		ON	No	
Sun Life MFS Low Volatility International Equity Fund		ON	No	
Sun Life MFS U.S. Equity Fund	Sun Life MFS McLean Budden U.S. Equity Fund	ON	No	
Sun Life MFS U.S. Growth Class	Sun Life MFS McLean Budden U.S. Growth Class	ON	No	
Sun Life MFS U.S. Growth Fund	Sun Life MFS McLean Budden U.S. Growth Fund	ON	No	
Sun Life MFS U.S. Mid Cap Growth Fund		ON	No	
Sun Life MFS U.S. Value Fund	Sun Life MFS McLean Budden U.S. Value Fund	ON	No	
Sun Life Milestone 2025 Fund		ON	No	
Sun Life Milestone 2030 Fund		ON	No	
Sun Life Milestone 2035 Fund		ON	No	
Sun Life Milestone Global Equity Fund		ON	No	
Sun Life Money Market Class		ON	No	
Sun Life Money Market Fund		ON	No	
Sun Life Multi-Strategy Bond Fund	Sun Life Beutel Goodman Canadian Bond Fund	ON	No	
Sun Life NWQ Flexible Income Fund		ON	No	
Sun Life Opportunistic Fixed Income Private Pool*	Sun Life Opportunistic Fixed Income Fund	ON	No	
Sun Life Real Assets Private Pool	Sun Life Real Assets Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Sun Life Schroder Global Mid Cap Fund	Sun Life Sentry Global Mid Cap Fund	ON	No	
Sun Life Tactical Balanced ETF Portfolio*		ON	No	
Sun Life Tactical Conservative ETF Portfolio*		ON	No	
Sun Life Tactical Equity ETF Portfolio*		ON	No	
Sun Life Tactical Fixed Income ETF Portfolio*		ON	No	
Sun Life Tactical Growth ETF Portfolio*		ON	No	
Sun Life U.S. Core Fixed Income Fund	Sun Life Ryan Labs U.S. Core Fixed Income Fund	ON	No	
Sun Residential Real Estate Investment Trust		ON	No	
Sun-Times Media Group, Inc.	Hollinger International Inc.	ON	No	
Suncor Energy Inc.		AB	No	
Sunstone Opportunity (2006) Debenture Fund*	Sunstone Opportunity Fund (2006) Debenture Fund	BC	No	
Sunstone Opportunity (2006) Realty Trust*		BC	No	
Sunstone Opportunity (2007) Mortgage Fund		BC	Yes	1a,1c,1e,3
Sunstone Opportunity (2007) Realty Trust		BC	Yes	1a,1c,1e,3
Sunstone Opportunity Fund (2008) Limited Partnership*		BC	No	
Sunstone U.S. (No. 2) L.P.		BC	No	
Superior Gold Inc.		ON	No	
Superior Plus Corp.	Ballard Power Systems Inc.	AB	No	
Supreme Cannabis Company, Inc., The		ON	No	
Supremex Inc.		QC	No	
Surge Energy Inc.	Zapata Energy Corporation	AB	No	
Sustainable Agriculture & Wellness Dividend Fund		ON	No	
Sustainable Balanced 40/60 Fund		ON	No	
Sustainable Balanced 60/40 Fund		ON	No	
Sustainable Growth 100 Fund		ON	No	
Sustainable Growth 80/20 Fund		ON	No	
Sustainable Income 100 Fund		ON	No	
Sustainable Income 20/80 Fund		ON	No	
Sustainable Infrastructure Dividend Fund		AB	No	
Sustainable Innovation & Health Dividend Fund*		AB	No	
Sustainable Power & Infrastructure Split Corp.		ON	No	
Sustainable Real Estate Dividend Fund*		ON	No	
Swisher Hygiene Inc.	Coolbrands International Inc.	ON	No	
Swiss Water Decaffeinated Coffee Inc.	Ten Peaks Coffee Company Inc.	BC	No	
Sylla Gold Corp.	Atlantic Industrial Minerals Incorporated	NS	No	
Symmetry Balanced Portfolio	Symmetry One Registered Balanced Portfolio	ON	No	
Symmetry Balanced Portfolio II		ON	No	
Symmetry Canadian Bond Fund*		ON	No	
Symmetry Canadian Equity Fund		ON	No	
Symmetry Comprehensive Equity Fund		ON	No	
Symmetry Conservative Income Portfolio	Symmetry One Registered Ultra Conservative Portfolio Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Symmetry Conservative Income Portfolio II		ON	No	
Symmetry Conservative Portfolio	Symmetry One Registered Conservative Portfolio	ON	No	
Symmetry Conservative Portfolio II		ON	No	
Symmetry Equity Portfolio		ON	No	
Symmetry Fixed Income Portfolio	Symmetry Registered Fixed Income Pool	ON	No	
Symmetry Global Bond Fund		ON	No	
Symmetry Growth Portfolio	Symmetry One Registered Growth Portfolio	ON	No	
Symmetry Low Volatility Fund		ON	No	
Symmetry Moderate Growth Portfolio	Symmetry One Registered Moderate Growth Portfolio	ON	No	
Symmetry Moderate Growth Portfolio II		ON	No	
Symmetry US Equity Fund		ON	No	
Symphony Floating Rate Senior Loan Fund*		ON	No	
Synergy CHC Corp. (Ceased)	Synergy CHC Corp.	NS	No	
Synodon Inc.		AB	No	
T. Rowe Price - IG Global Impact Pool		MB	No	
T. Rowe Price - IG U.S. Equity Pool		MB	No	
Taal Distributed Information Technologies Inc.		ON	No	
Tactical Sovereign Bond Fund	Caldwell Income Fund	ON	No	
TAG Oil Ltd		BC	No	
Tahera Diamond Corporation	Tahera Corporation	ON	Yes	1a,1b,1c,1e,3
Taiga Building Products LTD. (Formerly TAIGA Forest PRODUCTS LTD.)	Taiga Forest Products LTD.	BC	No	
Taiga Motors Corporation	Canaccord Genuity Growth II Corp.	ON	No	
Talon Metals Corp.		ON	No	
Tamarack Valley Energy Ltd.		AB	No	
Tangerine Balanced ETF Portfolio		ON	No	
Tangerine Balanced Growth ETF Portfolio		ON	No	
Tangerine Balanced Growth Portfolio	ING DIRECT Streetwise Balanced Growth Portfolio	ON	No	
Tangerine Balanced Growth SRI Portfolio		ON	No	
Tangerine Balanced Income ETF Portfolio		ON	No	
Tangerine Balanced Income Portfolio	ING DIRECT Streetwise Balanced Income Portfolio	ON	No	
Tangerine Balanced Income SRI Portfolio		ON	No	
Tangerine Balanced Portfolio	ING DIRECT Streetwise Balanced Portfolio	ON	No	
Tangerine Balanced SRI Portfolio		ON	No	
Tangerine Dividend Portfolio		ON	No	
Tangerine Equity Growth ETF Portfolio		ON	No	
Tangerine Equity Growth Portfolio	ING DIRECT Streetwise Equity Growth Portfolio	ON	No	
Tangerine Equity Growth SRI Portfolio		ON	No	
Tantalus Systems Holding Inc. (formerly RiseTech Capital Corp.)		BC	No	
Taseko Mines Limited		BC	No	
TC Energy Corporation	TransCanada Corporation	AB	No	
TCT Logistics Inc.	Prime-Link Group LTD.	AB	Yes	1a,1b

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
TD Active Global Enhanced Dividend ETF		ON	No	
TD Active Global Equity Growth ETF		ON	No	
TD Active Global Income ETF		ON	No	
TD Active Global Infrastructure Equity ETF		ON	No	
TD Active Global Real Estate Equity ETF		ON	No	
TD Active Preferred Share ETF		ON	No	
TD Active U.S. Enhanced Dividend ETF		ON	No	
TD Active U.S. High Yield Bond ETF		ON	No	
TD Advantage Balanced Growth Portfolio	TD Advanatge Balanced Portfolio	ON	No	
TD Advantage Balanced Income Portfolio	TD Advantage Conservative Portfolio	ON	No	
TD Advantage Balanced Portfolio	TD Advantage Moderate Portfolio	ON	No	
TD Alternative Risk Focused Pool		ON	No	
TD Alternative Risk Reduction Pool		ON	No	
TD Balanced Growth Fund	Green Line Balanced Growth Fund	ON	No	
TD Balanced Index Fund	Canada Trust Balanced Index Fund		No	
TD Canadian Aggregate Bond Index ETF		ON	No	
TD Canadian Blue Chip Dividend Fund	TD Private Canadian Blue Chip Dividend Fund	ON	No	
TD Canadian Bond Fund	Green Line Canadian Bond Fund	ON	No	
TD Canadian Bond Index Fund	Canada Trust Canadian Bond Index Fund		No	
TD Canadian Core Plus Bond Fund			No	
TD Canadian Corporate Bond Fund	TD Private Canadian Corporate Bond Fund	ON	No	
TD Canadian Diversified Yield Fund	TD Private Canadian Diversified Yield Fund	ON	No	
TD Canadian Equity Class		ON	No	
TD Canadian Equity Fund	Green Line Canadian Equity Fund	ON	No	
TD Canadian Equity Index ETF	TD S&P/TSX Capped Composite Index ETF	ON	No	
TD Canadian Equity Pool		ON	No	
TD Canadian Equity Pool Class		ON	No	
TD Canadian Index Fund	Green Line Canadian Index Fund		No	
TD Canadian Large-Cap Equity Fund	TD Private Canadian Blue Chip Equity Fund	ON	No	
TD Canadian Long Term Federal Bond ETF		ON	No	
TD Canadian Low Volatility Class		ON	No	
TD Canadian Low Volatility Fund		ON	No	
TD Canadian Low Volatility Pool		ON	No	
TD Canadian Money Market Fund	Green Line Canadian Money Market Fund	ON	No	
TD Canadian Small-Cap Equity Class		ON	No	
TD Canadian Small-Cap Equity Fund	TD Special Equity Fund		No	
TD China Income & Growth Fund	TD Asian Growth Fund	ON	No	
TD Comfort Aggressive Growth Portfolio	TD Comfort Equity Portfolio	ON	No	
TD Comfort Balanced Growth Portfolio	TD Comfort Balanced Portfolio	ON	No	
TD Comfort Balanced Income Portfolio	TD Comfort Conservative Portfolio	ON	No	
TD Comfort Balanced Portfolio	TD Comfort Moderate Portfolio	ON	No	
TD Comfort Conservative Income Portfolio		ON	No	
TD Comfort Growth Portfolio		ON	No	
TD Diversified Monthly Income Fund	TD Monthly High Income Fund		No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
TD Dividend Growth Class		ON	No	
TD Dividend Growth Fund	Green Line Dividend Fund	ON	No	
TD Dividend Income Class		ON	No	
TD Dividend Income Fund	Canada Trust Dividend Income Fund		No	
TD Dow Jones Industrial Average Index Fund	Green Line Dow Jones Industrial Average Index Fund		No	
TD Emerald Balanced Fund	Emerald Balanced Fund	ON	No	
TD Emerald Canadian Bond Index Fund	Emerald Canadian Bond Index Fund	ON	No	
TD Emerald Canadian Equity Index Fund	Emerald Canadian Equity Index Fund	ON	No	
TD Emerald Canadian Short Term Investment Fund	Emerald Canadian Short Term Investment Fund	ON	No	
TD Emerald Canadian Treasury Management - Government Of Canada Fund	TD Canadian Treasury Management - Government Of CANAD	ON	No	
TD Emerald Canadian Treasury Management Fund	TD Canadian Treasury Management Fund	ON	No	
TD Emerald International Equity Index Fund	Emerald International Equity Index Fund	ON	No	
TD Emerald U.S. Market Index Fund	Emerald U.S. Market Index Fund	ON	No	
TD Emerging Markets Class		ON	No	
TD Emerging Markets Fund	Green Line Emerging Markets Fund	ON	No	
TD European Index Fund	Green Line European Index Fund		No	
TD Fixed Income Pool		ON	No	
TD Fundsmart Managed Aggressive Growth Portfolio	TD Fundsmart Managed Aggressive Growth RSP Portfolio	ON	No	
TD Fundsmart Managed Balanced Growth Portfolio	TD Fundsmart Managed Balanced Growth RSP Portfolio	ON	No	
TD Fundsmart Managed Income & Moderate Growth Portfolio	TD Fundsmart Managed Income & Moderate Growth RSP Por	ON	No	
TD Global Balanced Opportunities Fund	TD Target Return Balanced Fund	ON	No	
TD Global Carbon Credit Index ETF		ON	No	
TD Global Conservative Opportunities Fund	TD Target Return Conservative Fund	ON	No	
TD Global Core Plus Bond Fund	TD Global Bond Fund	ON	No	
TD Global Entertainment & Communications Fund	TD Entertainment & Communications Fund	ON	No	
TD Global Equity Focused Fund		ON	No	
TD Global Equity Income Balanced Pool		ON	No	
TD Global Equity Income Pool		ON	No	
TD Global Equity Pool		ON	No	
TD Global Equity Pool Class		ON	No	
TD Global Healthcare Leaders Index ETF		ON	No	
TD Global Income Fund		ON	No	
TD Global Low Volatility Class		ON	No	
TD Global Low Volatility Fund		ON	No	
TD Global Tactical Monthly Income Fund	TD Strategic Yield Fund	ON	No	
TD Global Technology Innovators Index ETF		ON	No	
TD Global Technology Leaders Index ETF		ON	No	
TD Global Unconstrained Bond Fund		ON	No	
TD Health Sciences Fund	Green Line Health Sciences Fund		No	
TD High Yield Bond Fund	TD High Yield Income Fund	ON	No	
TD Income Advantage Portfolio		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
TD Income Builder ETF		ON	No	
TD Income Opportunities Pool	TD World Bond Pool	ON	No	
TD International Equity CAD Hedged Index ETF		ON	No	
TD International Equity Index ETF		ON	No	
TD International Index Currency Neutral Fund	TD International RSP Index Fund		No	
TD International Index Fund	Canada Trust International Equity Index Fund		No	
TD International Stock Class	TD International Growth Class	ON	No	
TD International Stock Fund	TD Private International Stock Fund	ON	No	
TD Managed Aggressive Growth ETF Portfolio*		ON	No	
TD Managed Aggressive Growth Portfolio	TD Managed Aggressive Growth RSP Portfolio	ON	No	
TD Managed Balanced Growth ETF Portfolio*		ON	No	
TD Managed Balanced Growth Portfolio	TD Managed Balanced Growth RSP Portfolio	ON	No	
TD Managed Income & Moderate Growth ETF Portfolio		ON	No	
TD Managed Income & Moderate Growth Portfolio	TD Managed Income & Moderate Growth RSP Portfolio	ON	No	
TD Managed Income ETF Portfolio*		ON	No	
TD Managed Income Portfolio	TD Managed Income RSP Portfolio	ON	No	
TD Managed Index Aggressive Growth Portfolio	TD Managed Index Aggressive Growth RSP Portfolio	ON	No	
TD Managed Index Balanced Growth Portfolio	TD Managed Index Balanced Growth RSP Portfolio	ON	No	
TD Managed Index Income & Moderate Growth Portfolio	TD Managed Index Income & Moderate Growth RSP Portfol	ON	No	
TD Managed Index Income Portfolio	TD Managed Index Income RSP Portfolio	ON	No	
TD Managed Index Maximum Equity Growth Portfolio	TD Managed Index Maximum Equity Growth RSP Portfolio	ON	No	
TD Managed Maximum Equity Growth ETF Portfolio*		ON	No	
TD Managed Maximum Equity Growth Portfolio	TD Managed Maximum Equity Growth RSP Portfolio	ON	No	
TD Monthly Income Fund	Canada Trust Monthly Income Fund		No	
TD Morningstar ESG Canada Corporate Bond Index ETF		ON	No	
TD Morningstar ESG Canada Equity Index ETF		ON	No	
TD Morningstar ESG International Equity Index ETF		ON	No	
TD Morningstar ESG U.S. Corporate Bond Index ETF		ON	No	
TD Morningstar ESG U.S. Equity Index ETF		ON	No	
TD Nasdaq Index Fund	TD Nasdaq RSP Index Fund		No	
TD North American Dividend Fund	TD U.S. Equity Fund	ON	No	
TD North American Small-Cap Equity Fund		ON	No	
TD North American Sustainability Balanced Fund		ON	No	
TD North American Sustainability Bond Fund		ON	No	
TD North American Sustainability Equity Fund		ON	No	
TD One-Click Aggressive ETF Portfolio*		ON	No	
TD One-Click Conservative ETF Portfolio*		ON	No	
TD One-Click Moderate ETF Portfolio*		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
TD Opportunities Pool		ON	No	
TD Precious Metals Fund	Green Line Precious Metals Fund	ON	No	
TD Premium Money Market Fund	Green Line Premium Money Market Fund		No	
TD Q Canadian Dividend ETF		ON	No	
TD Q Canadian Low Volatility ETF		ON	No	
TD Q Global Dividend ETF		ON	No	
TD Q Global Multifactor ETF		ON	No	
TD Q International Low Volatility ETF	TD Systematic International Equity Low Volatility ETF	ON	No	
TD Q U.S. Low Volatility ETF		ON	No	
TD Q U.S. Small-Mid-Cap Equity ETF		ON	No	
TD Real Return Bond Fund	Green Line Real Return Bond Fund	ON	No	
TD Resource Fund	Green Line Resource Fund	ON	No	
TD Retirement Balanced Portfolio		ON	No	
TD Retirement Conservative Portfolio		ON	No	
TD Risk Management Pool		ON	No	
TD Risk Reduction Pool - US\$*		ON	No	
TD Risk Reduction Pool*	TD Stability Pool	ON	No	
TD Science & Technology Fund	Green Line Science & Technology Fund	ON	No	
TD Select Short Term Corporate Bond Ladder ETF	TD Active Short Term Corporate Bond Ladder ETF	ON	No	
TD Select U.S. Short Term Corporate Bond Ladder ETF	TD Active U.S. Short Term Corporate Bond Ladder ETF	ON	No	
TD Short Term Bond Fund	TD Short Term Monthly Income Fund	ON	No	
TD Short Term Investment Class		ON	No	
TD Tactical Monthly Income Class		ON	No	
TD Tactical Monthly Income Fund		ON	No	
TD Tactical Pool		ON	No	
TD Tactical Pool Class		ON	No	
TD U.S. Blue Chip Equity Fund	Green Line U.S. Blue Chip Equity Fund		No	
TD U.S. Corporate Bond Fund	TD Private U.S. Corporate Bond Fund	ON	No	
TD U.S. Dividend Growth Fund		ON	No	
TD U.S. Equity CAD Hedged Index ETF	TD S&P 500 CAD Hedged Index ETF	ON	No	
TD U.S. Equity Focused Currency Neutral Fund	Epoch U.S. Blue Chip Equity Currency Neutral Fund	ON	No	
TD U.S. Equity Focused Fund	Epoch U.S. Blue Chip Equity Fund	ON	No	
TD U.S. Equity Index ETF	TD S&P 500 Index ETF	ON	No	
TD U.S. Equity Pool	TD U.S. Equity Portfolio	ON	No	
TD U.S. Index Currency Neutral Fund	TD U.S. RSP Index Fund		No	
TD U.S. Index Fund	Green Line U.S. Index Fund	ON	No	
TD U.S. Long Term Treasury Bond ETF		ON	No	
TD U.S. Low Volatility Fund		ON	No	
TD U.S. Mid-Cap Growth Class		ON	No	
TD U.S. Mid-Cap Growth Fund	Green Line U.S. Mid-Cap Growth Fund	ON	No	
TD U.S. Money Market Fund	Green Line U.S. Money Market Fund	ON	No	
TD U.S. Monthly Income Fund		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
TD U.S. Monthly Income Fund - C\$		ON	No	
TD U.S. Quantitative Equity Fund	TD Amerigrowth RSP Fund	ON	No	
TD U.S. Small-Cap Equity Fund	Green Line U.S. Small-Cap Equity Fund		No	
TD Ultra Short Term Bond Fund		ON	No	
TD US\$ Retirement Portfolio		ON	No	
TDb Split Corp.		ON	No	
TDb Split Corp. (Do Not Use)*	TDb Split Corp.	ON	No	
Teal Valley Health Inc.	Radiant Health Care Inc.	BC	Yes	1a,1c,3
TearLab Corporation	Occulogix, Inc	ON	Yes	1a,1b,1c,1e,3
Teck Resources Limited	Teck Cominco Limited	BC	No	
TECSYS Inc.		QC	No	
Tee-Comm Electronics Inc.		ON	Yes	1a,1b
Teleglobe Inc.	Memotec Data Inc	QC	Yes	1a,1b,3
Telesat Corporation		ON	No	
Telesat Partnership LP		ON	No	
Telescene Film Group Inc.		QC	Yes	1a,1b
Telus Communications (B.C.) Inc.	BC Tel_- Jan 1, 2000	BC	No	
Telus Communications Inc.	Agt Limited	AB	No	
Telus Corporation	BCT.Telus Communications Inc.	BC	No	
Telus Corporation (Profile #1114)			No	
TELUS International (Cda) Inc.		BC	No	
Temas Resources Corp.		BC	No	
Templeton EAFE Developed Markets Fund	Bissett International Equity Fund	ON	No	
Templeton Emerging Markets Corporate Class	Templeton Emerging Markets Tax Class	ON	No	
Templeton Emerging Markets Fund		ON	No	
Templeton Global Balanced Fund	Templeton Global Income Fund	ON	No	
Templeton Global Bond Fund	Templeton Global Income Fund	ON	No	
Templeton Global Bond Fund (Hedged)		ON	No	
Templeton Global Smaller Companies Corporate Class	Templeton Global Smaller Companies Tax Class	ON	No	
Templeton Global Smaller Companies Fund II*		ON	No	
Templeton Growth Corporate Class	Templeton Growth Tax Class	ON	No	
Templeton Growth Fund		ON	No	
Templeton Growth Fund, Ltd.	Templeton Growth Fund, LTD.	ON	No	
Templeton International Stock Corporate Class	Templeton International Stock Tax Class	ON	No	
Templeton International Stock Fund II*		ON	No	
TeraGo Inc.		ON	No	
Terra Energy Corp.		AB	No	
Terra Firma Capital Corporation		ON	No	
TerraVest Industries Inc.	TerraVest Capital Inc.	AB	No	
Tesla (TSLA) Yield Shares		ON	No	
Tethys Petroleum Limited		AB	Yes	1a,1b,1c,1e,3
Tetra Bio-Pharma Inc.	GrowPros Cannabis Ventures Inc.	ON	No	
TFI International Inc.	TransForce Inc.	QC	No	
TGOD Acquisition Corporation		BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
The Ether Fund		ON	No	
The Lion Electric Company		QC	No	
The Planting Hope Company Inc.		BC	No	
The Real Brokerage Inc.		ON	No	
The TDL Group Corp.	Tim Hortons ULC	ON	No	
Theralase Technologies Inc.		ON	No	
Theratechnologies Inc.		QC	No	
Think Research Corporation	AIM4 Ventures Inc.	ON	No	
Thinkific Labs Inc.		BC	No	
Thomson Reuters Corporation	Thomson Corporation, The	ON	No	
Three Valley Copper Corp.	SRHI Inc.	ON	No	
ThreeD Capital Inc.	Brownstone Energy Inc.	ON	No	
Threegold Resources Inc.		QC	No	
Thunder Energy Inc.		AB	No	
Tidewater Midstream and Infrastructure Ltd.		AB	No	
Tidewater Renewables Ltd.		AB	No	
Tier One Silver Inc.		BC	No	
Tilray Brands Inc.	Tilray, Inc.	BC	No	
Timbercreek Financial Corp.*		ON	No	
Times Three Wireless Inc.		AB	No	
Tinka Resources Limited		BC	No	
Titan Mining Corporation		BC	No	
Titanium Transportation Group Inc.		ON	No	
TLC Vision Corporation	TLC Laser Eye Centers Inc.	ON	No	
TMX Group Limited		ON	No	
Tokens.com Corp.	Coin Hodl Inc.	ON	No	
Tony G Co-Investment Holdings Ltd.	Braingrid Limited	ON	Yes	1a,1c,1e,3
Top 10 Canadian Financial Trust*	Digital World Trust	ON	No	
Top 10 Split Trust*	First Premium U.S. Income Trust	ON	No	
Top 20 U.S. Dividend Trust*		ON	No	
Topaz Energy Corp.		AB	No	
Topicus.com Inc.		ON	No	
Torex Gold Resources Inc.	Gleichen Resources Ltd.	ON	No	
Toromont Industries LTD.		AB	No	
Toronto Hydro Corporation		ON	No	
Toronto-Dominion Bank, The		ON	No	
Total Energy Services Inc.	Biomerge Industries Ltd.	BC	No	
Touchstone Exploration Inc.	Petrobank Energy and Resources Ltd	AB	No	
Tourmaline Oil Corp.		AB	No	
TPCO Holding Corp.	Subversive Capital Acquisition Corp.	ON	No	
TR Finance LLC		ON	No	
Tradex Bond Fund	Tradex Security Fund	ON	No	
Tradex Equity Fund Limited	Tradex Investment Fund Limited	ON	No	
Tradex Global Equity Fund	Tradex Emerging Markets Country Fund	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Transalta Corporation		AB	No	
TransAlta Renewables Inc.		AB	No	
Transat A.T. Inc.	Transat A.T. Inc.	QC	No	
Transcanada Pipelines Limited		AB	No	
TransCanada Trust		AB	No	
Transcontinental Inc.	G.T.C. Transcontinental Group LTD.	QC	No	
Travelbyus.Com LTD.		ON	No	
Tree Island Steel Ltd.		BC	No	
Trevali Mining Corporation		BC	Yes	1b,1c,1e
Tribe Property Technologies Inc.		BC	No	
Trican Well Service Ltd.		AB	No	
Tricon Residential Inc.	Tricon Capital Group Inc.	ON	No	
Trillion Energy International Inc.	Park Place Energy Inc.	BC	No	
Trilogy International Partners Inc.	Alignvest Acquisition Corporation	ON	No	
Trilogy Metals Inc.	NovaCopper Inc.	BC	No	
Triple Flag Precious Metals Corp.		ON	No	
TriStar Gold Inc.		BC	No	
TriSummit Utilities Inc.	AltaGas Canada Inc.	AB	No	
Trisura Group Ltd.		ON	No	
Troilus Gold Corp.	Pitchblack Resources Ltd.	ON	No	
True North Commercial Real Estate Investment Trust		ON	No	
Trulieve Cannabis Corp.	Schyan Exploration Inc.	ON	No	
TruX Exogenous Risk Pool		ON	No	
Tucows Inc.		ON	No	
Turnkey E&P Inc.		AB	No	
Turquoise Hill Resources Ltd.	Ivanhoe Mines Ltd.	BC	No	
TVA Group Inc.		QC	No	
TWC Enterprises Limited	ClubLink Enterprises Limited	ON	No	
Twin Butte Energy LTD.	Altarex Corp.	AB	No	
U.S. Banks Income & Growth Fund*		ON	No	
U.S. Dollar Cash Management Fund	AIM U.S. Dollar Cash Management Fund	ON	No	
U.S. Financials Income Fund*		ON	No	
U.S. Gold Corp.		ON	No	
U.S. High Yield Bond Fund		ON	No	
U.S. Large Cap Index Fund	S&P 500 Synthetic Fund	ON	No	
U.S. Large Company Equity Fund	Primus Capital Advisors U.S. Large Company Equity Fun		No	
U.S. Small Company Equity Fund	Primus Capital Advisors U.S. Small Company Equity Fun		No	
U3O8 Corp.		ON	No	
Ucore Rare Metals Inc.	Ucore Uranium Inc.	NS	No	
Uni-Select Inc.		QC	No	
UniDoc Health Corp.		BC	No	
United Keno Hill Mines Limited		ON	Yes	1a,1b
United Lithium Corp.		BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
UnitedHealth Group (UNH) Yield Shares		ON	No	
Ur-Energy Inc.		ON	No	
Uranium Energy Corp.		BC	No	
Uranium Royalty Corp.		BC	No	
Urbana Corporation*		ON	No	
UrtheCast Corp.		BC	No	
US Equity Alpha Corporate Class		ON	No	
US Equity Alpha Pool		ON	No	
US Equity Growth Corporate Class		ON	No	
US Equity Growth Pool	Assante U.S. Equity Growth Pool	ON	No	
US Equity Small Cap Corporate Class		ON	No	
US Equity Small Cap Pool		ON	No	
US Equity Value Corporate Class		ON	No	
US Equity Value Currency Hedged Corporate Class		ON	No	
US Equity Value Currency Hedged Pool		ON	No	
US Equity Value Pool	Optima Strategy International Fund - US Equity Sectio	ON	No	
US Financial 15 Split Corp.*		ON	No	
US High Interest Savings Account Fund		ON	No	
VAALCO Energy, Inc.		AB	No	
Vail Resorts, Inc.		BC	No	
Valens Company Inc., The	Valens Groworks Corp.	BC	No	
Valeo Pharma Inc.		QC	No	
Valero Energy Corporation		ON	No	
Valeura Energy Inc.		AB	No	
Valour Inc.	DeFi Technologies Inc.	ON	No	
Vanguard All-Equity ETF Portfolio		ON	No	
Vanguard Balanced ETF Portfolio		ON	No	
Vanguard Canadian Aggregate Bond Index ETF		ON	No	
Vanguard Canadian Corporate Bond Index ETF		ON	No	
Vanguard Canadian Government Bond Index ETF		ON	No	
Vanguard Canadian Long-Term Bond Index ETF		ON	No	
Vanguard Canadian Short-Term Bond Index ETF		ON	No	
Vanguard Canadian Short-Term Corporate Bond Index ETF		ON	No	
Vanguard Conservative ETF Portfolio		ON	No	
Vanguard Conservative Income ETF Portfolio		ON	No	
Vanguard FTSE Canada All Cap Index ETF		ON	No	
Vanguard FTSE Canada Index ETF	Vanguard MSCI Canada Index ETF	ON	No	
Vanguard FTSE Canadian Capped REIT Index ETF		ON	No	
Vanguard FTSE Canadian High Dividend Yield Index ETF		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Vanguard FTSE Developed All Cap ex North America Index ETF (Cad-hedged)		ON	No	
Vanguard FTSE Developed All Cap ex North America Index ETF*		ON	No	
Vanguard FTSE Developed All Cap ex U.S. Index ETF	Vanguard FTSE Developed ex North America Index ETF	ON	No	
Vanguard FTSE Developed All Cap ex U.S. Index ETF (CAD hedged)	Vanguard FTSE Developed ex North America Index ETF (CAD-hedged)	ON	No	
Vanguard FTSE Developed Asia Pacific All Cap Index ETF	Vanguard FTSE Developed Asia Pacific Index ETF	ON	No	
Vanguard FTSE Developed Europe All Cap Index ETF	Vanguard FTSE Developed Europe Index ETF	ON	No	
Vanguard FTSE Developed ex North America High Dividend Yield Index ETF*		ON	No	
Vanguard FTSE Emerging Markets All Cap Index ETF	Vanguard FTSE Emerging Markets Index ETF	ON	No	
Vanguard FTSE Global All Cap ex Canada Index ETF	Vanguard FTSE All-World ex Canada Index ETF	ON	No	
Vanguard Global Aggregate Bond Index ETF (CAD-hedged)*		ON	No	
Vanguard Global Balanced Fund		ON	No	
Vanguard Global Credit Bond Fund		ON	No	
Vanguard Global Dividend Fund		ON	No	
Vanguard Global Equity Fund		ON	No	
Vanguard Global ex-U.S. Aggregate Bond Index ETF (CAD-hedged)	Vanguard Global ex-U.S. Aggregate Bond Index ETF	ON	No	
Vanguard Global Minimum Volatility ETF		ON	No	
Vanguard Global Momentum Factor ETF		ON	No	
Vanguard Global Value Factor ETF		ON	No	
Vanguard Growth ETF Portfolio		ON	No	
Vanguard International Growth Fund		ON	No	
Vanguard Retirement Income ETF Portfolio*		ON	No	
Vanguard S&P 500 Index ETF		ON	No	
Vanguard S&P 500 Index ETF (CAD-hedged)		ON	No	
Vanguard U.S. Aggregate Bond Index ETF (CAD-hedged)		ON	No	
Vanguard U.S. Dividend Appreciation Index ETF		NU	No	
Vanguard U.S. Dividend Appreciation Index ETF (CAD-hedged)		ON	No	
Vanguard U.S. Total Market Index ETF		ON	No	
Vanguard U.S. Total Market Index ETF (CAD-hedged)	Vanguard U.S. Tactical Market Index ETF (CAD-hedged)	ON	No	
Vanguard Windsor U.S. Value Fund	Vanguard U.S. Value Windsor Fund	ON	No	
VBI Vaccines Inc.	SciVac Therapeutics Inc.	BC	No	
Vecima Networks Inc.	Vcom Inc.	BC	No	
Velan Inc.		QC	No	
Venator Alternative Income Fund		ON	No	
Venator Founders Alternative Fund		ON	No	
VentureLink Innovation Fund Inc.*		ON	No	
Verano Holdings Corp. (formerly Majesta Minerals Inc.)	Verano Holdings Corp.	AB	No	
Verde AgriTech Ltd.		ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Veritas Absolute Return Fund		ON	No	
Veritas Canadian Equity Fund		ON	No	
Veritas Next Edge Premium Yield Fund*		ON	No	
Vermilion Energy Inc.		AB	No	
VersaBank	Pacific & Western Bank of Canada	ON	No	
VerticalScope Holdings Inc.		ON	No	
Very Good Food Company Inc., The	Very Good Butchers Inc., The	BC	No	
VEXT Science, Inc.	Vapen MJ Ventures Corporation	BC	No	
Viavi Solutions Inc.	JDS Uniphase Corporation	ON	Yes	1a,1b,3
Victoria Gold Corp.		ON	No	
Victory Square Technologies Inc.	Fantasy 6 Sports Inc.	BC	Yes	1a,1c,1e,3
Village Farms International, Inc.		BC	No	
Vintage Wine Estates, Inc.	Bespoke Capital Acquisition Corp.	ON	No	
VIQ Solutions Inc.		ON	No	
Virginia Hills Oil Corp.		AB	No	
Visa Inc.		ON	Yes	1b,1c,1e,3
Visible Gold Mines Inc.		QC	No	
Vision Alternative Income Fund		ON	No	
Vista Gold Corp.	Granges Inc.	BC	No	
VIVO Cannabis Inc.	ABcann Global Corporation	ON	No	
Vizsla Copper Corp.		BC	No	
Vizsla Silver Corp.		BC	No	
VM Hotel Acquisition Corp.		ON	No	
Voleo Trading Systems Inc.	Logan Resources Ltd.	BC	No	
VOTI Detection Inc.	Steamsand Capital Corp.	QC	No	
Vox Royalty Corp.	AIM3 Ventures Inc.	ON	No	
Voxtur Analytics Corp.	iLOOKABOUT Corp.	ON	No	
Voyager Digital Ltd.		ON	Yes	1a,1c,1d,1e,3
Voyageur Mineral Explorers Corp.	Copper Reef Mining Corporation	MB	No	
VPI Canadian Balanced Pool	VPI Dixon Mitchell Canadian Balanced Pool	ON	No	
VPI Canadian Equity Pool	VPI Cardinal Canadian Equity Pool	MB	No	
VPI Corporate Bond Pool		MB	No	
VPI Dividend Growth Pool*		MB	No	
VPI Foreign Equity Pool	VPI Cardinal Foreign Equity Pool	MB	No	
VPI Global Equity Pool		MB	No	
VPI Income Pool	VPI Canadian Income Pool	MB	No	
VPI Mortgage Pool		MB	No	
VPI Total Equity Pool		MB	No	
VPI Value Pool	VPI World Equity Pool	ON	No	
Vuzix Corporation		ON	No	
Wajax Corporation		ON	No	
Wallbridge Mining Company Limited		ON	No	
Walton Big Lake Development L.P.		AB	No	
Walton Edgemont Development Corporation		AB	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Walton Ontario Land L.P. 1*		AB	No	
Waratah Alternative Equity Income Fund		ON	No	
Waratah Alternative ESG Fund*		ON	No	
Waste Connections, Inc.	Progressive Waste Solutions Ltd.	ON	No	
Wavefront Global Diversified Investment Class	Exemplar Diversified Portfolio	ON	No	
Waverider Communications Inc.		ON	Yes	1a,1b,1c,1d,1e,3
Way of Will Inc.		ON	Yes	1a,1c,1e,3
Wayland Group Corp.	Maricann Group Inc.	ON	Yes	1a,1c,1e,3
Waypoint All Weather Alternative Fund*	All Weather Fund	ON	No	
Waypoint Alternative Yield Fund*		ON	No	
Wealthsimple Developed Markets ex North America		ON	No	
Wealthsimple North America Socially Responsible Index ETF		ON	No	
Wealthsimple North American Green Bond Index ETF (CAD-Hedged)		ON	No	
Wealthsimple Shariah World Equity Index ETF		ON	No	
WeCommerce Holdings Ltd. (formerly Brachium Capital Corp.)	WeCommerce Holdings Ltd.	BC	No	
WELL Health Technologies Corp.		BC	No	
Wellington - IG Global Equity Hedge Pool*		MB	No	
Wells Fargo Canada Corporation	Wells Fargo Financial Canada Corporation	ON	No	
Wesdome Gold Mines Ltd.		ON	No	
West Fraser Timber Co. LTD.		BC	No	
West Vault Mining Inc.	West Kirkland Mining Inc.	BC	No	
Westaim Corporation, The		ON	No	
Westcoast Energy Inc.		AB	No	
Western Copper and Gold Corporation	Western Copper Corporation	BC	No	
Western Energy Services Corp.		AB	No	
Western Forest Products Inc.		BC	No	
Western Investment Company of Canada Limited, The		AB	No	
Western Plains Petroleum Ltd.	Saha Petroleum Ltd	AB	No	
Western Troy Capital Resources Inc.		ON	No	
Westhaven Gold Corp.		BC	No	
Westphalia Dev. Corp.	Walton Westphalia Development Corporation	AB	No	
Westport Fuel Systems Inc.	Westport Innovations Inc.	ON	No	
Westshore Terminals Investment Corporation		BC	No	
Wheaton Precious Metals Corp.	Silver Wheaton Corp.	BC	No	
Whistler Blackcomb Holdings Inc.	1068877 B.C. Ltd.	BC	No	
White Gold Corp.		ON	No	
White Rose Crafts And Nursery Sales Limited		ON	Yes	1a,1b,3
Whitecap Resources Inc.		AB	No	
Whitehorse Gold Corp.		BC	No	
WildBrain Ltd.	DHX Media Ltd.	NS	No	
Wildpack Beverage Inc.		BC	No	
Willoughby Investment Pool		BC	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Willow Biosciences Inc.		AB	No	
Win Energy Corporation		AB	No	
Winchester Group Inc., The		ON	Yes	1a,1b,3
Wise 100 per cent Equity ETF Portfolio		QC	No	
Wise Balanced ETF Portfolio		QC	No	
Wise Conservative ETF Portfolio		QC	No	
Wise Fixed Income ETF Portfolio		QC	No	
Wise Growth ETF Portfolio		QC	No	
Wise Maximum Growth ETF Portfolio		QC	No	
Wishpond Technologies Ltd.		BC	No	
Wolfden Resources Corporation		ON	No	
Wolverine Energy and Infrastructure Inc.		AB	No	
WonderFi Technologies Inc.		BC	No	
Woodfine Professional Centres Limited Partnership*		BC	No	
Workplace Technology Dividend Fund		ON	No	
World Financial Split Corp.		ON	No	
WSP Global Inc.		QC	No	
X1 Entertainment Group Inc.	X1 Esports and Entertainment Ltd.	BC	No	
Xali Gold Corp.	Candente Gold Corp.	BC	No	
Xebec Adsorption Inc.		QC	Yes	1b,1c,1e
Xerox Corporation		US	No	
XXL Energy Corp.	Exxel Energy Corp.	BC	No	
Yamana Gold Inc.	Yamana Resources Inc.	ON	No	
Yellow Pages Limited		QC	No	
Ynvisible Interactive Inc.	Network Exploration Ltd.	BC	No	
Yorkville American QVR Enhanced Protection Class		ON	No	
Yorkville Canadian QVR Enhanced Protection Class		ON	No	
Yorkville Crypto, Blockchain and FinTech Opportunities Class		ON	No	
Yorkville Dividend Income Class		ON	No	
Yorkville Enhanced Protection Class		ON	No	
Yorkville Focused Growth Class		ON	No	
Yorkville Global Opportunities Class		ON	No	
Yorkville Health Care Opportunities Class		ON	No	
Yorkville International QVR Enhanced Protection Class		ON	No	
Yorkville Optimal Return Bond Class		ON	No	
Young Australian Mines Ltd.	Moly Mines Limited	ON	No	
YourWay Cannabis Brands Inc.	Hollister Biosciences Inc.	BC	Yes	1a,1c,1e,3
YTM Capital Fixed Income Alternative Fund		ON	No	
Zacapa Resources Ltd.		BC	No	
Zedcor Inc.		AB	No	
Zenith Capital Corp.	Zenith Epigenetics Corp.	AB	No	
Zentek Ltd.	ZEN Graphene Solutions Ltd.	ON	No	

Nova Scotia Securities Commission

Issuer Name	Previous Name	Jurisdiction	In Default	Nature of Default
Zeox Corporation	C2C Zeolite Corporation	AB	Yes	1a,1b,1c,3
Zephyr Minerals Ltd.		NS	No	
Zungui Haixi Corporation		ON	No	
Zymeworks ExchangeCo Ltd.		BC	No	
Zymeworks Inc.		BC	No	