

Nova Scotia Securities Commission

Please Note

The default status of a reporting issuer shown on the list is as of the date of the last update indicated. More current information may be obtained by calling 902-424-6859 or 902-424-7059 or by email: NSSC_Corp_Finance@novascotia.ca.

Last Updated on May 4, 2026

| Legend | |
|------------|--|
| 1a. | Annual financial statements |
| 1b. | Interim financial statements |
| 1c. | Annual or interim management's discussion and analysis (MD&A) or annual or interim management report of fund performance (MRFP) |
| 1d. | Annual information form (AIF) |
| 1e. | Certification of annual or interim filings under Multilateral Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings (MI 52-109) |
| 1f. | Proxy materials or a required information circular |
| 1g. | Issuer profile supplement on the System for Electronic Disclosure By Insiders (SEDI) |
| 1h. | Material change report |
| 1i. | Written update after filing a confidential report of a material change |
| 1j. | Business acquisition report |
| 1k. | Annual oil and gas disclosure prescribed by National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities (NI 51-101) or a technical report for a mineral project required under National Instrument 43-101 Standards of Disclosure for Mineral Projects (NI 43-101) |
| 1l. | Mandatory news releases |
| 1m. | Corporate governance disclosure as required by National Instrument 58-101 Disclosure of Corporate Governance Practices |
| 1n. | Audit committee disclosure as required by Multilateral Instrument 52-110 Audit Committees or BC Instrument 52-509 Audit Committees |
| 1o. | Disclosure in an issuer's MD&A relating to disclosure controls and procedures and their effectiveness that is referred to in a certificate filed under MI 52-109 |
| 2a. | The financial statements of the reporting issuer, or the auditor's report accompanying the financial statements, do not comply with the requirements of National Instrument 51-102 Continuous Disclosure Obligations (NI 51-102), National Instrument 81-106 Investment Fund Continuous Disclosure (NI 81-106) or National Instrument 52-107 Acceptable Accounting Principles, Auditing Standards and Reporting Currency |
| 2b. | The reporting issuer has acknowledged that its financial statements, or the auditor's report accompanying the financial statements, may no longer be relied upon |
| 2c. | The reporting issuer's AIF, MD&A, MRFP, information circular or business acquisition reports do not contain information for each of the content items required by NI 51-102 or NI 81-106 |
| 2d. | The reporting issuer's technical disclosure or other reports do not comply with the disclosure requirements of NI 43-101 or NI 51-101 |
| 3. | The reporting issuer has failed to pay a fee required by securities laws |
| 4. | The reporting issuer has failed to comply with any other requirement related to continuous disclosure |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| @rgentum Canadian Equity Portfolio | Champlain Canadian Equity Portfolio | QC | Yes | 1a,1b,1c,1d,3 |
| @rgentum Canadian L/S Equity Portfolio | Merchant Capital Canadian L/S Equity Portfolio | QC | Yes | 1a,1b,1c,1d,3 |
| @rgentum Canadian Performance Portfolio | @Rgentum Canadian Small Company Portfolio | QC | Yes | 1a,1b,1c,1d,3 |
| @rgentum Discovery Portfolio | | QC | Yes | 1a,1b,1c,3 |
| @rgentum Income Porfolio | Champlain Income Portfolio | QC | Yes | 1a,1b,1c,1d,3 |
| @rgentum International Master Portfolio | Champlain International Master Portfolio | QC | Yes | 1a,1b,1c,1d,3 |
| @rgentum Short Term Asset Portfolio | Champlain Short Term Asset Portfolio | QC | Yes | 1a,1b,1c,1d,3 |
| @rgentum U.S. Market Neutral Portfolio | | QC | Yes | 1a,1b,1c,1d,3 |
| @rgentum U.S. Master Portfolio | Champlain U.S. Master Portfolio | QC | Yes | 1a,1b,1c,1d,3 |
| 01 Quantum Inc. | 01 Communique Laboratory Inc. | ON | No | |
| 1317774 B.C. Ltd. | | ON | No | |
| 1608557 Ontario Inc. | Hemosol Corp. | ON | Yes | 1a,1b,1c,1e,3 |
| 1763960 Nova Scotia Limited (Cease Traded) | Grandview Golf & Country Club Limited | NS | Yes | 1a,3 |
| 1832 AM Emerging Markets Equity Pool | Scotia Emerging Markets Equity Fund | ON | No | |
| 1832 AM Fundamental Canadian Equity Pool | Scotia Private Fundamental Canadian Equity Pool | ON | No | |
| 1832 AM Global Credit Pool | Scotia Private Global Credit Pool | ON | No | |
| 1832 AM International Growth Equity Pool | Scotia Private International Growth Equity Pool | ON | No | |
| 1832 AM Investment Grade Canadian Corporate Bond Pool | | ON | No | |
| 1832 AM Quantitative Global Mega Cap Equity Pool | | ON | No | |
| 1832 AM U.S. \$ Investment Grade U.S. Corporate Bond Pool | 1832 AM Investment Grade U.S. Corporate Bond Pool | ON | No | |
| 1832 AM U.S. Core Equity Pool | | ON | No | |
| 1911 Gold Corporation | Havilah Mining Corporation | BC | No | |
| 2028 Investment Grade Bond Trust | | ON | No | |
| 2242749 Ontario Limited | Armtec Infrastructure Inc. | ON | No | |
| 360networks Inc. | Worldwide Fiber Inc. | BC | Yes | 1a,1b |
| 3iQ Bitcoin ETF | 3iQ CoinShares Bitcoin ETF | ON | No | |
| 3iQ Ether Staking ETF | 3iQ Ether ETF | ON | No | |
| 3iQ Solana Staking ETF | | ON | No | |
| 3iQ XRP ETF | | ON | No | |
| 407 International Inc. | 1346292 Ontario Inc. | ON | No | |
| 4504020 Canada Inc. | Railpower Technologies Corp. | QC | No | |
| 48North Cannabis Corp. | | ON | No | |
| 49 North Resources Inc. | 49 North Resource Fund Inc. | SK | No | |
| 4Front Ventures Corp. | | ON | Yes | 1a,1c,1e,3 |
| 5N Plus Inc. | | QC | No | |
| 7936567 CANADA INC. | Stornoway Diamond Corporation | QC | Yes | 1a,1b,1c,1e |
| A2 Gold Corp. | Allegiant Gold Ltd. | BC | No | |
| Abacan Resource Corporation | | AB | Yes | 1a,1b |
| Abacus Mining and Exploration Corporation | | BC | No | |
| Abaxx Technologies Inc. | New Millennium Iron Corp. | ON | No | |
| Abitibi Metals Corp. | | ON | No | |
| Aboriginal Global Investment Fund | | BC | Yes | 1a,1b,3 |

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|---|---|--------------|------------|-------------------|
| Abound Energy Inc. | Zinc8 Energy Solutions Inc. | BC | No | |
| AbrSilver Resource Corp. | | ON | No | |
| abrdrn Asia-Pacific Income Fund VCC | Aberdeen Asia-Pacific Income Investment Company Limited | ON | No | |
| Acadian Timber Corp. | | NB | No | |
| Accelerate Absolute Return Fund | Accelerate Absolute Return Hedge Fund | AB | No | |
| Accelerate Arbitrage Fund | | AB | No | |
| Accelerate Canadian Long Short Equity Fund | Accelerate Enhanced Canadian Benchmark Alternative Fund | AB | No | |
| Accelerate Diversified Credit Income Fund | | AB | No | |
| Accelerate OneChoice Alternative Multi-Asset Fund | Accelerate OneChoice Alternative Portfolio ETF | AB | No | |
| Accord Financial Corp. | | ON | No | |
| ACE Aviation Holdings Inc. | | QC | No | |
| Aclara Resources Inc. | | ON | No | |
| ACT Energy Technologies Ltd. | Cathedral Energy Services Ltd. | AB | No | |
| Action Energy Inc. | | AB | No | |
| Adaltis Inc. | | QC | No | |
| ADCORE Inc. | | ON | No | |
| Addenda Global Balanced Fund | Addenda HumaniT Balanced Growth Fund | QC | No | |
| Addenda Global Diversified Equity Fund | Addenda HumaniT Balanced Moderate Fund | QC | No | |
| Addenda Income Focus Fund | Addenda HumaniT Balanced Fund | QC | No | |
| ADENTRA Inc. | Hardwoods Distribution Inc. | BC | No | |
| Adex Mining Inc. | | ON | No | |
| ADF Group Inc. | | QC | No | |
| Admiral Inc. | | ON | Yes | 1a,1b |
| Advanced Education Savings Plan* | | ON | No | |
| Advanced Micro Devices, Inc. | | ON | No | |
| Advantage Energy Ltd. | Advantage Oil & Gas Ltd. | AB | No | |
| Advantagedge International Inc. | | AB | No | |
| Aecon Group Inc. | | ON | No | |
| Aegis Brands Inc. | Second Cup Ltd., The | ON | No | |
| Aero Energy Limited | Angold Resources Ltd. | BC | No | |
| AFM Hospitality Corporation | Rose Gold Mining Company, Limited | ON | Yes | 1a,1b,3 |
| African Copper PLC | | ON | No | |
| Ag Growth International Inc. | | MB | No | |
| AGF American Growth Class | | ON | No | |
| AGF American Growth Fund | AGF Special U.S. Fund | ON | No | |
| AGF Canadian All Cap Strategic Equity Fund | | ON | No | |
| AGF Canadian Dividend Income Fund | AGFiQ Canadian Dividend Income Fund | ON | No | |
| AGF Canadian Growth Equity Class | AGF Canadian Growth Equity Fund Limited | ON | No | |
| AGF Canadian Growth Equity Fund | | ON | No | |
| AGF Canadian Money Market Class | AGF Short-Term Income Class | ON | No | |
| AGF Canadian Money Market Fund | AGF Money Market Account | ON | No | |
| AGF Canadian Small Cap Fund | AGF Canadian Aggressive All-Cap Fund | ON | No | |
| AGF Canadian Strategic Balanced Fund | | ON | No | |

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|---|------------------------------------|--------------|------------|-------------------|
| AGF Canadian Strategic Bond Fund | | ON | No | |
| AGF China Focus Class | | ON | No | |
| AGF China Focus Fund | | ON | No | |
| AGF Credit Opportunities Fund | | ON | No | |
| AGF Elements Balanced Portfolio | | ON | No | |
| AGF Elements Balanced Portfolio Class | | ON | No | |
| AGF Elements Conservative Portfolio | | ON | No | |
| AGF Elements Conservative Portfolio Class | | ON | No | |
| AGF Elements Global Portfolio | | ON | No | |
| AGF Elements Global Portfolio Class | | ON | No | |
| AGF Elements Growth Portfolio | | ON | No | |
| AGF Elements Growth Portfolio Class | | ON | No | |
| AGF Emerging Markets Class | | ON | No | |
| AGF Emerging Markets ex China Fund | | ON | No | |
| AGF Emerging Markets Fund | AGF Emerging Markets Value Fund | ON | No | |
| AGF Emerging Markets Strategic Equity Fund | | ON | No | |
| AGF Enhanced U.S. Equity Income Fund | | ON | No | |
| AGF Enhanced U.S. Income Plus Fund | | ON | No | |
| AGF European Equity Class | AGF European Growth Class | ON | No | |
| AGF European Equity Fund | | ON | No | |
| AGF Fixed Income Plus Class | | ON | No | |
| AGF Fixed Income Plus Fund | Acuity Fixed Income Fund | ON | No | |
| AGF Floating Rate Income Fund | | ON | No | |
| AGF Global Alternatives Strategic Equity Fund | | ON | No | |
| AGF Global Balanced Growth Portfolio Fund | AGF Balanced Growth Portfolio Fund | ON | No | |
| AGF Global Conservative Portfolio Fund | AGF Conservative Portfolio Fund | ON | No | |
| AGF Global Convertible Bond Fund | | ON | No | |
| AGF Global Corporate Bond Fund | AGF High Yield Bond Fund | ON | No | |
| AGF Global Defensive Portfolio Fund | AGF Defensive Portfolio Fund | ON | No | |
| AGF Global Dividend Class | | ON | No | |
| AGF Global Dividend Fund | | ON | No | |
| AGF Global Dividend Strategic Equity Fund | | ON | No | |
| AGF Global Equity Class | AGF World Equity Class | ON | No | |
| AGF Global Equity Fund | AGF World Companies Fund | ON | No | |
| AGF Global ESG Equity Fund | | ON | No | |
| AGF Global Growth Balanced Fund | AGF Global Strategic Balanced Fund | ON | No | |
| AGF Global Growth Portfolio Fund | AGF Growth Portfolio Fund | ON | No | |
| AGF Global Income Portfolio Fund | AGF Income Portfolio Fund | ON | No | |
| AGF Global Moderate Portfolio Fund | AGF Moderate Portfolio Fund | ON | No | |
| AGF Global Real Assets Class | AGF Global Resources Class | ON | No | |
| AGF Global Real Assets Fund | AGF Precious Metals Fund | ON | No | |
| AGF Global Select Fund | AGF Aggressive Global Stock Fund | ON | No | |
| AGF Global Strategic Equity Fund | | ON | No | |
| AGF Global Sustainable Balanced Class | AGF Diversified Income Class | ON | No | |

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|---|--|--------------|------------|-------------------|
| AGF Global Sustainable Balanced Fund | AGF Diversified Income Fund | ON | No | |
| AGF Global Sustainable Growth Equity ETF | | ON | No | |
| AGF Global Sustainable Growth Equity Fund | AGF Clean Environment Equity Fund | ON | No | |
| AGF Global Unconstrained Strategic Bond Fund | | ON | No | |
| AGF High Interest Savings Account Fund | | ON | No | |
| AGF Management Limited | | ON | No | |
| AGF Monthly Canadian Dividend Income Fund | | ON | No | |
| AGF Multi-Asset Balanced Income Portfolio | AGF Equity Income Fund | ON | No | |
| AGF Multi-Asset Conservative Income Portfolio | AGF Global Yield Fund | ON | No | |
| AGF Multi-Asset Conservative Income Portfolio Class | AGF Global Yield Class | ON | No | |
| AGF Multi-Asset Growth and Income Portfolio | AGF Global Strategic Income Fund | ON | No | |
| AGF North American Dividend Income Class | AGFIQ North American Dividend Income Class | ON | No | |
| AGF North American Dividend Income Fund | AGFIQ North American Dividend Income Fund | ON | No | |
| AGF North American Small-Mid Cap Fund | | ON | No | |
| AGF Systematic Global ESG Factors ETF | AGFIQ Global ESG Factors ETF | ON | No | |
| AGF Systematic Global Infrastructure ETF | AGFIQ Global Infrastructure ETF | ON | No | |
| AGF Total Return Bond Class | | ON | No | |
| AGF Total Return Bond Fund | AGF Global High Yield Bond Fund | ON | No | |
| AGF U.S. Sector Class | AGFIQ U.S. Sector Class | ON | No | |
| AGF U.S. Sector Fund | | ON | No | |
| AGF U.S. Small-Mid Cap Fund | AGF Aggressive U.S. Growth Fund | ON | No | |
| AGF US All Cap Growth Equity Fund | | ON | No | |
| AGF US Equity Enhanced Income Fund* | | ON | No | |
| AGF US Market Neutral Anti-Beta CAD-Hedged ETF | AGFIQ US Market Neutral Anti-Beta CAD-Hedged ETF | ON | No | |
| AGF US Sector Rotation Fund | | ON | No | |
| Agnico Eagle Mines Limited | | ON | No | |
| Agrinam Acquisition Corporation | | ON | Yes | 1b,1c,1e |
| AGT Food and Ingredients Inc. | Alliance Grain Traders Inc. | SK | No | |
| AI Artificial Intelligence Ventures Inc. | ESG Global Impact Capital Inc. | BC | No | |
| AIC Mines Limited | Intrepid Mines Limited | ON | No | |
| AIM5 Ventures Inc. | | ON | No | |
| Aimglobal Technologies Company Inc. | AIM Safety Company Inc. | BC | Yes | 1a,1b,3 |
| Aimia Inc. | Groupe Aeroplan Inc. | ON | No | |
| Air Canada | | QC | No | |
| AIRBOSS OF AMERICA CORP. | | ON | No | |
| Akela Pharma Inc. | | BC | No | |
| Akita Drilling Ltd. | | AB | No | |
| Akrokeri-Ashanti Gold Mines Inc. | | ON | Yes | 1a,1b,3 |
| Alamos Gold Inc. | | ON | No | |
| Alaris Equity Partners Income Trust | | AB | No | |
| Alaska Energy Metals Corporation | Millrock Resources Inc. | BC | No | |
| Aleafia Health Inc. | Canabo Medical Inc. | ON | Yes | 1b,1c,1e |
| Algo Group Inc. | Algo Group Inc. | QC | Yes | 1a,1c,1e,3 |

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|--|---|--------------|------------|-------------------|
| Algoma Central Corporation | | ON | No | |
| Algoma Steel Group Inc. | | ON | No | |
| Algonquin Fixed Income 2.0 Fund | | ON | No | |
| Algonquin Power & Utilities Corp. | Hydrogenics Corporation | ON | No | |
| Alimentation Couche-Tard Inc. | | QC | No | |
| All-Canadian Oil & Gas ETF | | ON | No | |
| Allied Gold Corporation | | ON | No | |
| Allied Properties Real Estate Investment Trust | | ON | No | |
| Almaden Minerals Ltd. | | BC | No | |
| Alpha Cognition Inc. | | BC | No | |
| Alphabet (GOOGL) Yield Shares Purpose ETF | Alphabet (GOOGL) Yield Shares | ON | No | |
| AlphaDelta Canadian Dividend Income Class | AlphaDelta Canadian Growth of Dividend Income Class | BC | No | |
| AlphaDelta Global Dividend Income Class | AlphaDelta Growth of Dividend Income Class | BC | No | |
| Alphanet Telecom Inc. | | ON | Yes | 1a,1b,3 |
| ALSTOM | Alstom (Not A R.I. Yet) | ON | No | |
| ALT House Cannabis Inc. | | BC | Yes | 1a,1c,1e,3 |
| AltaGas Ltd. | | AB | No | |
| AltaLink, L.P. | | AB | No | |
| Altair Gold Explorations Inc. | Lucas Gold Resources Corporation | ON | Yes | 1a,1b,3 |
| Altius Minerals Corporation | | ON | No | |
| Altus Group Limited | | ON | No | |
| Alvopetro Energy Ltd. | | AB | No | |
| Amaroq Ltd. | Amaroq Minerals Ltd. | ON | No | |
| Amazon (AMZN) Yield Shares Purpose ETF | Amazon (AMZN) Yield Shares | ON | No | |
| AMD (AMD) Yield Shares Purpose ETF | | ON | No | |
| American Hotel Income Properties REIT LP | | BC | No | |
| American Pacific Mining Corp. | | BC | No | |
| Americas Gold and Silver Corporation | Americas Silver Corporation | ON | No | |
| Amt International Mining Corporation | | ON | Yes | 1a,1b,3 |
| Anaergia Inc. | | ON | No | |
| AnalytixInsight Inc. | AnalytixInsight Inc. | ON | No | |
| ANB Canada Inc.* | Griffin Skye Corporation | ON | No | |
| Andean Precious Metals Corp. | | ON | No | |
| Anfield Energy Inc. | Anfield Resources Inc. | BC | No | |
| Anthem Citizen Real Estate Development Trust | | BC | No | |
| Antler Gold Inc. | Northwest Arm Capital Inc. | NS | No | |
| Anvil Range Mining Corporation (Cease Traded) | | ON | Yes | 1a,1b |
| Appili Therapeutics Inc. | | NS | No | |
| Apple (AAPL) Yield Shares Purpose ETF | Apple (AAPL) Yield Shares | ON | No | |
| Aptose Biosciences Inc. | Lorus Therapeutics Inc. | ON | No | |
| Aquarius Surgical Technologies Inc. | Aquarius Coatings Inc. | ON | No | |
| Arbutus Biopharma Corporation | Tekmira Pharmaceuticals Corporation | BC | No | |
| ARC Resources Ltd. | | AB | No | |

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|--|--|--------------|------------|-------------------|
| Ares Strategic Mining Inc. | Lithium Energy Products Inc. | BC | No | |
| Aretto Wellness Inc. | Ritual Superfoods Inc. | BC | No | |
| Argent Energy Trust | | AB | No | |
| Argo Corporation | STEER Technologies Inc. | ON | No | |
| Argosy Energy Inc. | | AB | No | |
| ARHT Media Inc. | | ON | Yes | 1b,1c,1e |
| Arimetco International Inc. (Cease Traded) | | ON | Yes | 1a |
| Aris Mining Corporation | GCM Mining Corp. | BC | No | |
| Aris Mining Holdings Corp. | Aris Gold Corporation | BC | No | |
| ARISE Technologies Corporation | | ON | No | |
| Aristotle - IG U.S. Small Cap Equity Pool | | MB | No | |
| Aritzia Inc. | | BC | No | |
| Arizona Metals Corp. | Ring the Bell Capital Corp. | ON | No | |
| Arizona Sonoran Copper Company Inc. | Elim Mining Incorporated | ON | No | |
| Arrow EC Equity Advantage Alternative Fund | | ON | No | |
| Arrow EC Income Advantage Alternative Fund | | ON | No | |
| Arrow Global Multi-Asset Alternative Fund | | ON | No | |
| Arrow Income Alternative Fund | | ON | No | |
| Arrow Long/Short Alternative Fund | | ON | No | |
| Arrow Opportunities Alternative Fund | | ON | No | |
| Artemis Gold Inc. | | BC | No | |
| Arway Corporation | | ON | No | |
| Ascalade Communications Inc. | Ascalade Communications Inc. (Not Ri Yet) | BC | No | |
| Ascend Wellness Holdings, Inc. | Ascend Wellness Holdings, LLC | ON | No | |
| ASEP Corporation | PESA Corporation | ON | No | |
| Asset Management Software Systems Corp. | Moss Resources Inc._Reverse Take-Over 20may2000 | ON | No | |
| ATB Emerging Markets Equity Fund | | AB | No | |
| ATB Global Equity Pool | | AB | No | |
| ATB International Disciplined Equity Fund | | AB | No | |
| ATB International Equity Income Fund | | AB | No | |
| ATB Monthly Income Portfolio | | AB | No | |
| ATB US Large Cap Equity Fund | | AB | No | |
| ATBIS Canadian Equity Pool | | AB | No | |
| ATBIS Fixed Income Pool | | AB | No | |
| ATBIS International Equity Pool | | AB | No | |
| ATBIS U.S. Equity Pool | | AB | No | |
| Atco LTD. | | AB | No | |
| ATERRA Metals Inc. | Cascada Silver Corp. | ON | No | |
| Athabasca Oil Corporation | Athabasca Oil Sands Corp. | AB | No | |
| Atico Mining Corporation | | BC | No | |
| AtkinsRéalis Group Inc. | SNC-Lavalin Group Inc. | QC | No | |
| Atlantic Horizon Capital Corp. | | NB | No | |
| Atlas Energy Corp. | Willow Biosciences Inc. | AB | No | |
| Atmofizer Technologies Inc. | | BC | No | |

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| Atna Resources Ltd. | | BC | No | |
| Aton Resources Inc. | Alexander Nubia International Inc. | BC | No | |
| Atrium Mortgage Investment Corporation | | ON | No | |
| ATS Corporation | ATS Automation Tooling Systems Inc. | ON | No | |
| Aura Minerals Inc. | | ON | No | |
| Aurinia Pharmaceuticals Inc. | Isotechnika Pharma Inc. | AB | No | |
| Aurora Cannabis Inc. | | AB | No | |
| Auspice Diversified Trust | | AB | No | |
| Auspice One Fund Trust | | AB | No | |
| Austral Gold Limited | | ON | No | |
| Australis Capital Inc. | | BC | Yes | 1a,1b,1c,1e,3 |
| AutoCanada Inc. | | AB | No | |
| Automotive Finco Corp. | Augyva Mining Resources Inc. | ON | No | |
| Automotive Properties Real Estate Investment Trust | | ON | No | |
| Auxly Cannabis Group Inc. | Cannabis Wheaton Income Corp | ON | No | |
| Avalon Advanced Materials Inc. | Avalon Rare Metals Inc. | ON | No | |
| Avant Brands Inc. | GTEC Holdings Ltd. | BC | No | |
| Avanti Helium Corp. | Avanti Energy Inc. | AB | No | |
| Avantis CIBC All-Equity Asset Allocation ETF | | ON | No | |
| Avantis CIBC Canadian Equity ETF | | ON | No | |
| Avantis CIBC Emerging Markets Equity ETF | | ON | No | |
| Avantis CIBC Global Small Cap Value ETF | | ON | No | |
| Avantis CIBC International Equity ETF | | ON | No | |
| Avantis CIBC U.S. All-Cap Equity ETF | | ON | No | |
| Avantis CIBC U.S. Large Cap Value ETF | | ON | No | |
| Avantis CIBC U.S. Small Cap Value ETF | | ON | No | |
| AVINO SILVER AND GOLD MINES LTD. | | BC | No | |
| Avisa Diagnostics Inc. | FogChain Corp. | ON | Yes | 1b,1c,1e |
| Axiom All Equity Portfolio | | ON | No | |
| Axiom Balanced Growth Portfolio | | ON | No | |
| Axiom Balanced Income Portfolio | | ON | No | |
| Axiom Canadian Growth Portfolio | | ON | No | |
| Axiom Diversified Monthly Income Portfolio | | ON | No | |
| Axiom Foreign Growth Portfolio | | ON | No | |
| Axiom Global Growth Portfolio | | ON | No | |
| Axiom Long-Term Growth Portfolio | | ON | No | |
| Axo Metals Corp. | AXO COPPER CORP. | NS | No | |
| Axolotl Capital Corp. | | ON | No | |
| Axxent Inc. | Oci Communications Inc. | ON | Yes | 1a,1b |
| AYA GOLD & SILVER INC. | Aya Gold & Silver Inc. | QC | No | |
| Ayr Wellness Inc. | Ayr Strategies Inc. | ON | Yes | 1b,1c,1e |
| Azure Dynamics Corporation | Wild Horse Resources Ltd. | ON | No | |
| B2Gold Corp. | | BC | No | |
| BAC Canada Finance Company | Merrill Lynch Canada Finance Company | ON | No | |

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|---|--|--------------|------------|-------------------|
| Balanced 60/40 Fund | Primus Capital Advisors Balanced Fund | ON | No | |
| Balanced Income Portfolio | | ON | No | |
| Balanced Monthly Income Fund | | ON | No | |
| Ballard Power Systems Inc. | 7076991 Canada Inc. | BC | No | |
| BAM Finance (Canada) Inc. | | ON | No | |
| BAM Finance LLC | | ON | No | |
| Bank of America Corporation | | ON | No | |
| Bank of Montreal | | ON | No | |
| Bank of Nova Scotia, The | | ON | No | |
| Barclays Bank PLC | | ON | No | |
| Barometer Balanced Fund | Barometer Disciplined Leadership Balanced Fund | ON | No | |
| Barometer International Equity Fund | Barometer Global Equity Fund | ON | No | |
| Barometer Tactical Income Growth Fund | Barometer Disciplined Leadership Tactical Income Growth Fund | ON | No | |
| Barrantagh Small Cap Canadian Equity Fund | | ON | No | |
| Barrick Mining Corporation | Barrick Gold Corporation | ON | No | |
| Battery Technologies Inc. | | ON | No | |
| Bausch + Lomb Corporation | | ON | No | |
| Bausch Health Companies Inc. | Valeant Pharmaceuticals International, Inc. | QC | No | |
| Baylin Technologies Inc. | | ON | No | |
| Baytex Energy Corp. | | AB | No | |
| BCE Inc. | | QC | No | |
| Beamscope Canada Inc. | | ON | No | |
| Bear Creek Mining Corporation | | BC | No | |
| Beckett Technologies Corp. | | ON | No | |
| Belgravia Hartford Capital Inc. | Belgravia Capital International Inc. | BC | No | |
| Bell Canada | | QC | No | |
| Belo Sun Mining Corp. | | ON | No | |
| Bengal Energy Ltd. | | AB | No | |
| Berkshire Hathaway (BRK) Yield Shares Purpose ETF | Berkshire Hathaway (BRK) Yield Shares | ON | No | |
| BetaPro -3x Gold Bullion Daily Leveraged Bear Alternative ETF | | ON | No | |
| BetaPro -3x Nasdaq-100 Daily Leveraged Bear Alternative ETF | | ON | No | |
| BetaPro -3x Russell 2000 Daily Leveraged Bear Alternative ETF | | ON | No | |
| BetaPro -3x S&P 500 Daily Leveraged Bear Alternative ETF | | ON | No | |
| BetaPro -3x S&P/TSX 60 Daily Leveraged Bear Alternative ETF | | ON | No | |
| BetaPro -3x Semiconductor Daily Leveraged Bear Alternative ETF | | ON | No | |
| BetaPro -3x Silver Daily Leveraged Bear Alternative ETF | | ON | No | |
| BetaPro -3x US Treasury 20+ Year Daily Leveraged Bear Alternative ETF | | ON | No | |
| BetaPro 3x Gold Bullion Daily Leveraged Bull Alternative ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| BetaPro 3x Nasdaq-100 Daily Leveraged Bull Alternative ETF | | ON | No | |
| BetaPro 3x Russell 2000 Daily Leveraged Bull Alternative ETF | | ON | No | |
| BetaPro 3x S&P 500 Daily Leveraged Bull Alternative ETF | | ON | No | |
| BetaPro 3x S&P/TSX 60 Daily Leveraged Bull Alternative ETF | | ON | No | |
| BetaPro 3x Semiconductor Daily Leveraged Bull Alternative ETF | | ON | No | |
| BetaPro 3x Silver Daily Leveraged Bull Alternative ETF | | ON | No | |
| BetaPro 3x US Treasury 20+ Year Daily Leveraged Bull Alternative ETF | | ON | No | |
| BetaPro Canadian Gold Miners -2x Daily Bear ETF | Horizons BetaPro S&P/TSX Global Gold Bear Plus ETF | ON | No | |
| BetaPro Canadian Gold Miners 2x Daily Bull ETF | Horizons BetaPro S&P/TSX Global Gold Bull Plus ETF | ON | No | |
| BetaPro Crude Oil Inverse Leveraged Daily Bear ETF | BetaPro Crude Oil -1x Daily Bear ETF | ON | No | |
| BetaPro Crude Oil Leveraged Daily Bull ETF | BetaPro Crude Oil Daily Bull ETF | ON | No | |
| BetaPro Gold Bullion -2x Daily Bear ETF | Horizons BetaPro COMEX Gold Bullion Bear Plus ETF | ON | No | |
| BetaPro Gold Bullion 2x Daily Bull ETF | Horizons BetaPro COMEX Gold Bullion Bull Plus ETF | ON | No | |
| BetaPro Inverse Bitcoin ETF | | ON | No | |
| BetaPro Nasdaq-100 Daily Inverse ETF | | ON | No | |
| BetaPro NASDAQ-100® -2x Daily Bear ETF | Horizons BetaPro NASDAQ-100 Bear Plus ETF | ON | No | |
| BetaPro NASDAQ-100® 2x Daily Bull ETF | Horizons BetaPro NASDAQ-100 Bull Plus ETF | ON | No | |
| BetaPro Natural Gas Inverse Leveraged Daily Bear ETF | BetaPro Natural Gas -2x Daily Bear ETF | ON | No | |
| BetaPro Natural Gas Leveraged Daily Bull ETF | BetaPro Natural Gas 2x Daily Bull ETF | ON | No | |
| BetaPro S&P 500 VIX Short-Term Futures™ ETF | Horizons BetaPro S&P 500 VIX Short-Term Futures Index ETF | ON | No | |
| BetaPro S&P 500® -2x Daily Bear ETF | Horizons BetaPro S&P 500 Bear Plus ETF | ON | No | |
| BetaPro S&P 500® 2x Daily Bull ETF | Horizons BetaPro S&P 500 Bull Plus ETF | ON | No | |
| BetaPro S&P 500® Daily Inverse ETF | Horizons BetaPro S&P 500 Inverse ETF | ON | No | |
| BetaPro S&P/TSX 60™ -2x Daily Bear ETF | Horizons BetaPro S&P/TSX 60 Bear Plus ETF | ON | No | |
| BetaPro S&P/TSX 60™ 2x Daily Bull ETF | Horizons BetaPro S&P/TSX 60 Bull Plus ETF | ON | No | |
| BetaPro S&P/TSX 60™ Daily Inverse ETF | Horizons BetaPro S&P/TSX 60 Inverse ETF | ON | No | |
| BetaPro S&P/TSX Capped Energy™ -2x Daily Bear ETF | Horizons BetaPro S&P/TSX Capped Energy Bear Plus ETF | ON | No | |
| BetaPro S&P/TSX Capped Energy™ 2x Daily Bull ETF | Horizons BetaPro S&P/TSX Capped Energy Bull Plus ETF | ON | No | |
| BetaPro S&P/TSX Capped Financials™ -2x Daily Bear ETF | Horizons BetaPro S&P/TSX Capped Financials Bear Plus ETF | ON | No | |
| BetaPro S&P/TSX Capped Financials™ 2x Daily Bull ETF | Horizons BetaPro S&P/TSX Capped Financials Bull Plus ETF | ON | No | |
| BetaPro Silver -2x Daily Bear ETF | Horizons BetaPro COMEX Silver Bear Plus ETF | ON | No | |
| BetaPro Silver 2x Daily Bull ETF | Horizons BetaPro COMEX Silver Bull Plus ETF | ON | No | |
| Better Plant Sciences Inc. | Yield Growth Corp., The | BC | Yes | 1a,1c,1e,3 |
| Betts Cove Minerals Limited | | ON | Yes | 1a |
| Beutel Goodman American Equity Fund | Beutel Goodman American & International Equity Fund | ON | No | |

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|--|--|--------------|------------|-------------------|
| Beutel Goodman Balanced Fund | | ON | No | |
| Beutel Goodman Canadian Dividend Fund | | ON | No | |
| Beutel Goodman Canadian Equity Fund | | ON | No | |
| Beutel Goodman Core Plus Bond Fund | Beutel Goodman Corporate/Provincial Active Bond Fund | ON | No | |
| Beutel Goodman Fundamental Canadian Equity Fund | | ON | No | |
| Beutel Goodman Global Dividend Fund | | ON | No | |
| Beutel Goodman Global Equity Fund | | ON | No | |
| Beutel Goodman Income Fund | | ON | No | |
| Beutel Goodman International Equity Fund | | ON | No | |
| Beutel Goodman Long Term Bond Fund | | ON | No | |
| Beutel Goodman Money Market Fund | | ON | No | |
| Beutel Goodman North American Focused Equity Fund | Beutel Goodman Canadian Intrinsic Fund | ON | No | |
| Beutel Goodman Short Term Bond Fund | | ON | No | |
| Beutel Goodman Small Cap Fund | | ON | No | |
| Beutel Goodman Total World Equity Fund | Beutel Goodman Canadian Equity Plus Fund | ON | No | |
| Beutel Goodman World Focus Equity Fund | | ON | No | |
| Big Banc Split Corp.* | | ON | No | |
| Big Bear Exploration LTD. | | AB | No | |
| Big Pharma Split Corp. | | ON | No | |
| Big Rock Brewery Inc. | | AB | No | |
| BIGG Digital Assets Inc. | | BC | No | |
| BioExx Specialty Proteins Ltd. | | ON | No | |
| Biomind Labs Inc. | Crosswinds Holdings Inc. | ON | No | |
| Birchcliff Energy Ltd. | | AB | No | |
| Birchtech Corp. | Midwest Energy Emissions Corp. | NS | No | |
| Bird Construction Inc. | | ON | No | |
| Bitcoin Treasury Corporation | | ON | No | |
| Bitcoin Well Inc. | | AB | No | |
| Bitfarms Ltd. | | ON | No | |
| Black Diamond Group Limited | | AB | No | |
| Black Iron Inc. | | ON | No | |
| BlackBerry Limited | Research In Motion Limited | ON | No | |
| Blackline Safety Corp. | | AB | No | |
| BlackRock - IG Active Allocation Pool I* | | MB | No | |
| BlackRock - IG Active Allocation Pool II* | | MB | No | |
| BlackRock - IG Active Allocation Pool III* | | MB | No | |
| BlackRock - IG Active Allocation Pool IV | | MB | No | |
| BlackRock - IG International Equity Pool | | MB | No | |
| BlackRock - IG Low Volatility International Equity Pool* | | MB | No | |
| Blackrock Silver Corp. | Blackrock Gold Corp. | BC | No | |
| Blockchain Technologies ETF | | ON | No | |
| BlockchainK2 Corp | Africa Hydrocarbons Inc. | BC | No | |

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|---|---|--------------|------------|-------------------|
| Blue Ant Media Corporation | Boat Rocker Media Inc. | ON | No | |
| Blue Moon Metals Inc | | ON | No | |
| Blue Note Mining Inc. | | QC | No | |
| Blue Ribbon Income Fund* | Citadel Diversified Investment Trust | ON | No | |
| BlueBay \$U.S. Global High Yield Bond Fund (Canada) | | ON | No | |
| BlueBay \$U.S. Global Investment Grade Corporate Bond Fund (Canada) | | ON | No | |
| BlueBay Emerging Markets Bond Fund (Canada) | | ON | No | |
| BlueBay Emerging Markets Corporate Bond Fund | | ON | No | |
| BlueBay Emerging Markets High Yield Corporate Bond Fund (Canada) | | ON | No | |
| BlueBay Emerging Markets Local Currency Bond Fund (Canada) | | ON | No | |
| BlueBay European High Yield Bond Fund (Canada) | | ON | No | |
| BlueBay Global Alternative Bond Fund (Canada)* | | ON | No | |
| BlueBay Global Convertible Bond Fund (Canada) | | ON | No | |
| BlueBay Global High Yield Bond Fund (Canada) | | ON | No | |
| BlueBay Global Investment Grade Corporate Bond Fund (Canada)* | | ON | No | |
| BlueBay Global Monthly Income Bond Fund | | ON | No | |
| BlueBay Global Sovereign Bond Fund (Canada)* | | ON | No | |
| BlueBay High Income Credit Fund | | ON | No | |
| BMG BullionFund | Millennium BullionFund, The | ON | No | |
| BMG Gold BullionFund | | ON | No | |
| BMG Silver BullionFund | | ON | No | |
| BMO AAA CLO ETF* | | ON | No | |
| BMO Aggregate Bond ETF Fund | | ON | No | |
| BMO Aggregate Bond Index ETF | | ON | No | |
| BMO All-Equity ETF | | ON | No | |
| BMO Ascent™ Balanced Portfolio | | ON | No | |
| BMO Ascent™ Conservative Portfolio | | ON | No | |
| BMO Ascent™ Equity Growth Portfolio | | ON | No | |
| BMO Ascent™ Growth Portfolio | | ON | No | |
| BMO Ascent™ Income Portfolio | | ON | No | |
| BMO Asian Growth and Income Fund | BMO Guardian Asian Growth and Income Fund | ON | No | |
| BMO Asset Allocation Fund | First Canadian Asset Allocation Fund | ON | No | |
| BMO Balanced ESG ETF | | ON | No | |
| BMO Balanced ETF | BMO Core Balanced Portfolio ETF | ON | No | |
| BMO Balanced ETF Portfolio | | ON | No | |
| BMO BBB CLO ETF* | | ON | No | |
| BMO BBB Corporate Bond Index ETF | | ON | No | |
| BMO Broad Commodity ETF* | | ON | No | |
| BMO Brookfield Global Real Estate Tech Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| BMO Brookfield Global Renewables Infrastructure Fund | | ON | No | |
| BMO Canadian Bank Income Index ETF | | ON | No | |
| BMO Canadian Banks ETF Fund | | ON | No | |
| BMO Canadian Core Plus US Balanced ETF | | ON | No | |
| BMO Canadian Dividend ETF | | ON | No | |
| BMO Canadian Equity ETF Fund | BMO Equity Index Fund | ON | No | |
| BMO Canadian Equity Fund | BMO Equity Fund | ON | No | |
| BMO Canadian Equity Plus ETF | | ON | No | |
| BMO Canadian High Dividend Covered Call ETF | BMO Canadian High Dividend Covered Call Fund | ON | No | |
| BMO Canadian Income & Growth Fund | | ON | No | |
| BMO Canadian MBS Index ETF | | ON | No | |
| BMO Canadian Small Cap Equity Fund | BMO Special Equity Fund | ON | No | |
| BMO Canadian Smart Alpha Equity Fund | BMO Canadian Large Cap Equity Fund | ON | No | |
| BMO Canadian Stock Selection Fund | | ON | No | |
| BMO Clean Energy ETF Fund | | ON | No | |
| BMO Clean Energy Index ETF | BMO Clean Energy Index Fund | ON | No | |
| BMO Concentrated Global Balanced Fund | BMO Global Diversified Fund | ON | No | |
| BMO Concentrated Global Equity Fund | | ON | No | |
| BMO Conservative ETF | BMO Core Conservative Portfolio ETF | ON | No | |
| BMO Conservative ETF Portfolio | | ON | No | |
| BMO Core Bond Fund | | ON | No | |
| BMO Core Plus Bond Fund | | ON | No | |
| BMO Corporate Bond ETF Fund | | ON | No | |
| BMO Corporate Bond Index ETF | | ON | No | |
| BMO Corporate Discount Bond ETF | | ON | No | |
| BMO Covered Call Canada High Dividend ETF Fund | | ON | No | |
| BMO Covered Call Canadian Banks ETF | BMO Covered Call Canadian Banks Fund | ON | No | |
| BMO Covered Call Canadian Banks ETF Fund | | ON | No | |
| BMO Covered Call Dow Jones Industrial Average Hedged to CAD ETF | | ON | No | |
| BMO Covered Call Energy ETF | BMO Covered Call Energy Fund | ON | No | |
| BMO Covered Call Energy ETF Fund | | ON | No | |
| BMO Covered Call Europe High Dividend ETF Fund | | ON | No | |
| BMO Covered Call Health Care ETF | | ON | No | |
| BMO Covered Call Spread Gold Bullion ETF | | ON | No | |
| BMO Covered Call Spread Gold Bullion ETF Fund | | ON | No | |
| BMO Covered Call Technology ETF | BMO Covered Call Technology Fund | ON | No | |
| BMO Covered Call Technology ETF Fund | | ON | No | |
| BMO Covered Call U.S. High Dividend ETF Fund | | ON | No | |
| BMO Covered Call US Banks ETF | | ON | No | |
| BMO Covered Call Utilities ETF | BMO Covered Call Utilities Fund | ON | No | |
| BMO Covered Call Utilities ETF Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| BMO Crossover Bond Fund | | ON | No | |
| BMO Discount Bond Index ETF | | ON | No | |
| BMO Diversified Income Portfolio | BMO Diversified Income Fund | ON | No | |
| BMO Dividend Fund | First Canadian Dividend Fund | ON | No | |
| BMO Dow Jones Industrial Average Hedged to CAD Index ETF | BMO Dow Jones Diamonds Index ETF | ON | No | |
| BMO Emerging Markets Bond Fund | | ON | No | |
| BMO Emerging Markets Bond Hedged to CAD Index ETF | | ON | No | |
| BMO Emerging Markets Fund | First Canadian Emerging Markets Fund | ON | No | |
| BMO Enterprise Fund | BMO Guardian Enterprise Fund | ON | No | |
| BMO Equal Weight Banks Index ETF | BMO S&P/TSX Equal Weight Banks Index ETF | ON | No | |
| BMO Equal Weight Global Base Metals Hedged to CAD Index ETF | BMO S&P/TSX Equal Weight Global Base Metals Hedged to CAD Index ETF | ON | No | |
| BMO Equal Weight Global Gold Index ETF | BMO S&P/TSX Equal Weight Global Gold Index ETF | ON | No | |
| BMO Equal Weight Industrials Index ETF | BMO S&P/TSX Equal Weight Industrials Index ETF | ON | No | |
| BMO Equal Weight Oil & Gas Index ETF | BMO S&P/TSX Equal Weight Oil & Gas Index ETF | ON | No | |
| BMO Equal Weight REITs Index ETF | | ON | No | |
| BMO Equal Weight US Banks Hedged to CAD Index ETF | | ON | No | |
| BMO Equal Weight US Banks Index ETF | | ON | No | |
| BMO Equal Weight US Health Care Hedged to CAD Index ETF | | ON | No | |
| BMO Equal Weight US Health Care Index ETF | | ON | No | |
| BMO Equal Weight Utilities Index ETF | | ON | No | |
| BMO Equity Growth ETF Portfolio | | ON | No | |
| BMO ESG Corporate Bond Index ETF | | ON | No | |
| BMO ESG US Corporate Bond Hedged to CAD Index ETF | | ON | No | |
| BMO Europe High Dividend Covered Call ETF | BMO Europe High Dividend Covered Call Fund | ON | No | |
| BMO Europe High Dividend Covered Call Hedged to CAD ETF | | ON | No | |
| BMO European Fund | First Canadian European Fund | ON | No | |
| BMO Fixed Income ETF Portfolio | | ON | No | |
| BMO Floating Rate High Yield ETF | | ON | No | |
| BMO Global Agriculture ETF | | ON | No | |
| BMO Global Climate Transition Fund | | ON | No | |
| BMO Global Communications Index ETF | | ON | No | |
| BMO Global Consumer Discretionary Hedged to CAD Index ETF | | ON | No | |
| BMO Global Consumer Staples Hedged to CAD Index ETF | | ON | No | |
| BMO Global Dividend Fund | BMO Global Science & Technology Fund | ON | No | |
| BMO Global Dividend Opportunities Fund | | ON | No | |
| BMO Global Energy Fund | | ON | No | |
| BMO Global Enhanced Income Fund | | ON | No | |
| BMO Global Equity Fund | | ON | No | |
| BMO Global Health Care Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| BMO Global High Dividend Covered Call ETF | | ON | No | |
| BMO Global Income & Growth Fund | | ON | No | |
| BMO Global Infrastructure Fund | BMO Income Trust Fund | ON | No | |
| BMO Global Infrastructure Index ETF | BMO Global Infrastructure ETF | ON | No | |
| BMO Global Innovators Fund | | ON | No | |
| BMO Global Low Volatility ETF Fund | | ON | No | |
| BMO Global Monthly Income Fund | | ON | No | |
| BMO Global Quality ETF Fund | | ON | No | |
| BMO Global REIT Fund | | ON | No | |
| BMO Global Small Cap Fund | BMO Guardian Global Small Cap Fund | ON | No | |
| BMO Global Strategic Bond Fund | BMO Global High Yield Bond Fund | ON | No | |
| BMO Gold Bullion ETF | | ON | No | |
| BMO Gold Bullion ETF Fund | | ON | No | |
| BMO Gold Bullion Hedged to CAD ETF | BMO Gold Bullion Fund | ON | No | |
| BMO Government Bond Index ETF | | ON | No | |
| BMO Greater China Fund | | ON | No | |
| BMO Growth & Income Fund | BMO Guardian Growth & Income Fund | ON | No | |
| BMO Growth ETF | BMO Core Growth Portfolio ETF | ON | No | |
| BMO Growth ETF Portfolio | | ON | No | |
| BMO Growth Opportunities Fund | | ON | No | |
| BMO High Quality Corporate Bond Index ETF | | ON | No | |
| BMO High Yield US Corporate Bond Hedged to CAD Index ETF | BMO High Yield US Corporate Bond Hedged to CAD Index Fund | ON | No | |
| BMO High Yield US Corporate Bond Index ETF | | ON | No | |
| BMO Human Capital Factor US Equity ETF | | ON | No | |
| BMO Income ETF Portfolio | BMO Security ETF Portfolio | ON | No | |
| BMO Inflation Opportunities Fund | | ON | No | |
| BMO International Dividend ETF | | ON | No | |
| BMO International Dividend Hedged to CAD ETF | | ON | No | |
| BMO International Equity ETF Fund | BMO International Index Fund | ON | No | |
| BMO International Equity Fund | | ON | No | |
| BMO International Value Fund | | ON | No | |
| BMO Japan Fund | | ON | No | |
| BMO Japan Index ETF | | ON | No | |
| BMO Junior Gold Index ETF | | ON | No | |
| BMO Laddered Preferred Share Index ETF | BMO S&P/TSX Laddered Preferred Share Index ETF | ON | No | |
| BMO LifeStage Plus 2026 Fund | | ON | No | |
| BMO LifeStage Plus 2030 Fund | | ON | No | |
| BMO Long Corporate Bond Index ETF | | ON | No | |
| BMO Long Federal Bond Index ETF | | ON | No | |
| BMO Long Provincial Bond Index ETF | | ON | No | |
| BMO Long Short Canadian Equity ETF | | ON | No | |
| BMO Long Short U.S. Equity ETF Fund | | ON | No | |
| BMO Long Short US Equity ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| BMO Long-Term US Treasury Bond Index ETF | | ON | No | |
| BMO Low Volatility Canadian Equity ETF | BMO Low Volatility Canadian Equity Fund | ON | No | |
| BMO Low Volatility Canadian Equity ETF Fund | | ON | No | |
| BMO Low Volatility Emerging Markets Equity ETF | | ON | No | |
| BMO Low Volatility International Equity ETF | BMO Low Volatility International Equity Fund | ON | No | |
| BMO Low Volatility International Equity Hedged to CAD ETF | | ON | No | |
| BMO Low Volatility U.S. Equity ETF Fund | | ON | No | |
| BMO Low Volatility US Equity ETF | BMO Low Volatility US Equity Fund | ON | No | |
| BMO Low Volatility US Equity Hedged to CAD ETF | | ON | No | |
| BMO Managed Balanced Portfolio | BMO FundSelect Balanced Portfolio | ON | No | |
| BMO Managed Conservative Portfolio | BMO FundSelect Conservative Portfolio | ON | No | |
| BMO Managed Equity Growth Portfolio | BMO FundSelect Equity Growth Portfolio | ON | No | |
| BMO Managed Growth Portfolio | BMO FundSelect Growth Portfolio | ON | No | |
| BMO Managed Income Portfolio | BMO FundSelect Income Portfolio | ON | No | |
| BMO Market+ All Country World Equity ETF | | ON | No | |
| BMO Market+ Canadian Equity ETF | | ON | No | |
| BMO Market+ Global Equity ETF | | ON | No | |
| BMO Market+ Low Volatility Global Equity ETF | | ON | No | |
| BMO Market+ US Equity ETF | | ON | No | |
| BMO Mid Corporate Bond Index ETF | | ON | No | |
| BMO Mid Federal Bond Index ETF | BMO Canadian Government Bond Index ETF | ON | No | |
| BMO Mid Provincial Bond Index ETF | | ON | No | |
| BMO Mid-Term US IG Corporate Bond Hedged to CAD Index ETF | | ON | No | |
| BMO Mid-Term US IG Corporate Bond Index ETF | | ON | No | |
| BMO Mid-Term US Treasury Bond Index ETF | | ON | No | |
| BMO Money Market Fund | First Canadian Money Market Fund | ON | No | |
| BMO Monthly Dividend Fund Ltd. | BMO Guardian Monthly Dividend Fund Ltd. | ON | No | |
| BMO Monthly High Income Fund II | BMO Guardian Monthly High Income Fund II | ON | No | |
| BMO Monthly Income ETF | | ON | No | |
| BMO Monthly Income Fund | First Canadian Monthly Income Fund | ON | No | |
| BMO Mortgage and Short-Term Income Fund | BMO Mortgage Fund | ON | No | |
| BMO MSCI ACWI Paris Aligned Climate Equity Index ETF | | ON | No | |
| BMO MSCI All Country World High Quality Index ETF | | ON | No | |
| BMO MSCI Canada IMI High Dividend Yield Index ETF | | ON | No | |
| BMO MSCI Canada Selection Equity Index ETF | BMO MSCI Canada ESG Leaders Index ETF | ON | No | |
| BMO MSCI Canada Value Index ETF | | ON | No | |
| BMO MSCI China Selection Equity Index ETF | BMO MSCI China ESG Leaders Index ETF | ON | No | |
| BMO MSCI EAFE Hedged to CAD Index ETF | BMO International Equity Hedged to CAD Index ETF | ON | No | |
| BMO MSCI EAFE High Quality Index ETF | | ON | No | |
| BMO MSCI EAFE Index ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| BMO MSCI EAFE Selection Equity Index ETF | BMO MSCI EAFE ESG Leaders Index ETF | ON | No | |
| BMO MSCI EAFE Small-Mid Cap Index ETF | | ON | No | |
| BMO MSCI Emerging Markets Index ETF | BMO Emerging Markets Equity Index ETF | ON | No | |
| BMO MSCI Europe High Quality Hedged to CAD Index ETF | | ON | No | |
| BMO MSCI Global Selection Equity Index ETF | BMO MSCI Global ESG Leaders Index ETF | ON | No | |
| BMO MSCI India Selection Equity Index ETF | BMO MSCI India ESG Leaders Index ETF | ON | No | |
| BMO MSCI USA Equal Weight Index ETF | | ON | No | |
| BMO MSCI USA High Quality Index ETF | | ON | No | |
| BMO MSCI USA Selection Equity Index ETF | BMO MSCI USA ESG Leaders Index ETF | ON | No | |
| BMO MSCI USA Value Index ETF | | ON | No | |
| BMO Multi-Factor Equity Fund | | ON | No | |
| BMO Nasdaq 100 Equity ETF Fund | | ON | No | |
| BMO Nasdaq 100 Equity Hedged to CAD Index ETF | | ON | No | |
| BMO Nasdaq 100 Equity Index ETF | | ON | No | |
| BMO North American Dividend Fund | BMO Nafta Advantage Fund | ON | No | |
| BMO Precious Metals Fund | First Canadian Precious Metals Fund | ON | No | |
| BMO Preferred Share Fund | | ON | No | |
| BMO Premium Yield ETF | BMO Premium Yield Fund | ON | No | |
| BMO Premium Yield ETF Fund | | ON | No | |
| BMO Private Canadian Bond Portfolio | BMO Private Canadian Short-Mid Bond Portfolio | ON | No | |
| BMO Private Canadian Core Equity Portfolio | BMO Private Canadian Conservative Equity Portfolio | ON | No | |
| BMO Private Canadian Corporate Bond Portfolio | BMO Harris Canadian Corporate Bond Portfolio | ON | No | |
| BMO Private Canadian Income Equity Portfolio | BMO Harris Canadian Income Equity Portfolio | ON | No | |
| BMO Private Canadian Money Market Portfolio | BMO Harris Canadian Money Market Portfolio | ON | No | |
| BMO Private Canadian Special Equity Portfolio | BMO Harris Canadian Special Growth Portfolio | ON | No | |
| BMO Private Diversified Yield Portfolio | BMO Harris Diversified Yield Portfolio | ON | No | |
| BMO Private Emerging Markets Equity Portfolio | BMO Harris Emerging Markets Equity Portfolio | ON | No | |
| BMO Private International Equity Portfolio | BMO Harris International Equity Portfolio | ON | No | |
| BMO Private Strategic Rate Fund I | | ON | No | |
| BMO Private U.S. Equity Portfolio | BMO Harris U.S. Equity Portfolio | ON | No | |
| BMO Private U.S. Growth Equity Portfolio | BMO Private U.S. Growth Portfolio | ON | No | |
| BMO Private U.S. Special Equity Portfolio | BMO Harris U.S. Special Equity Portfolio | ON | No | |
| BMO Real Return Bond Index ETF | | ON | No | |
| BMO Resource Fund | First Canadian Resource Fund | ON | No | |
| BMO Retirement Balanced Portfolio | | ON | No | |
| BMO Retirement Conservative Portfolio | | ON | No | |
| BMO Retirement Income Portfolio | | ON | No | |
| BMO Risk Reduction Equity Fund | | ON | No | |
| BMO Risk Reduction Fixed Income Fund | | ON | No | |
| BMO S&P 500 Hedged to CAD Index ETF | BMO US Equity Hedged to CAD Index ETF | ON | No | |
| BMO S&P 500 Index ETF | | ON | No | |
| BMO S&P US Mid Cap Index ETF | | ON | No | |

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|---|--|--------------|------------|-------------------|
| BMO S&P US Small Cap Index ETF | | ON | No | |
| BMO S&P/TSX 60 Index ETF | | ON | No | |
| BMO S&P/TSX Capped Composite Index ETF | BMO Dow Jones Canada Titans 60 Index ETF | ON | No | |
| BMO SelectTrust® Balanced Portfolio | BMO Guardian Balanced Solution | ON | No | |
| BMO SelectTrust® Conservative Portfolio | BMO Guardian Conservative Solution | ON | No | |
| BMO SelectTrust® Equity Growth Portfolio | BMO Guardian Aggressive Growth Solution | ON | No | |
| BMO SelectTrust® Fixed Income Portfolio | | ON | No | |
| BMO SelectTrust® Growth Portfolio | BMO Guardian Growth Solution | ON | No | |
| BMO SelectTrust® Income Portfolio | BMO SelectTrust Security Portfolio | ON | No | |
| BMO Short Corporate Bond Index ETF | | ON | No | |
| BMO Short Federal Bond Index ETF | | ON | No | |
| BMO Short Provincial Bond Index ETF | | ON | No | |
| BMO Short-Term Bond Index ETF | | ON | No | |
| BMO Short-Term Discount Bond ETF | | ON | No | |
| BMO Short-Term US IG Corporate Bond Hedged to CAD Index ETF | | ON | No | |
| BMO Short-Term US TIPS Index ETF | | ON | No | |
| BMO Short-Term US Treasury Bond Index ETF | | ON | No | |
| BMO SIA Focused Canadian Equity Fund | | ON | No | |
| BMO SIA Focused North American Equity Fund | | ON | No | |
| BMO SPDR Communication Services Select Sector Index ETF | | ON | No | |
| BMO SPDR Consumer Discretionary Select Sector Index ETF | | ON | No | |
| BMO SPDR Consumer Staples Select Sector Index ETF | | ON | No | |
| BMO SPDR Energy Select Sector Index ETF | | ON | No | |
| BMO SPDR Financials Select Sector Index ETF | | ON | No | |
| BMO SPDR Health Care Select Sector Index ETF | | ON | No | |
| BMO SPDR Industrials Select Sector Index ETF | | ON | No | |
| BMO SPDR Materials Select Sector Index ETF | | ON | No | |
| BMO SPDR Real Estate Select Sector Index ETF | | ON | No | |
| BMO SPDR Technology Select Sector Index ETF | | ON | No | |
| BMO SPDR Utilities Select Sector Index ETF | | ON | No | |
| BMO Strategic Equity Yield Fund | | ON | No | |
| BMO Strategic Fixed Income Yield Fund | | ON | No | |
| BMO Sustainable Balanced Portfolio | BMO Principle Balanced Portfolio | ON | No | |
| BMO Sustainable Bond Fund | | ON | No | |
| BMO Sustainable Conservative Portfolio | BMO Principle Conservative Portfolio | ON | No | |
| BMO Sustainable Equity Growth Portfolio | | ON | No | |
| BMO Sustainable Global Balanced Fund | BMO Global Balanced Fund | ON | No | |
| BMO Sustainable Global Multi-Sector Bond Fund | BMO Global Multi-Sector Bond Fund | ON | No | |
| BMO Sustainable Growth Portfolio | BMO Principle Growth Portfolio | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| BMO Sustainable Income Portfolio | BMO Principle Income Portfolio | ON | No | |
| BMO Sustainable Opportunities Canadian Equity Fund | | ON | No | |
| BMO Sustainable Opportunities China Equity Fund | | ON | No | |
| BMO Sustainable Opportunities Global Equity Fund | BMO Fossil Fuel Free Fund | ON | No | |
| BMO Tactical Balanced ETF Fund | | ON | No | |
| BMO Tactical Dividend ETF Fund | BMO Tactical Dividend ETF Portfolio | ON | No | |
| BMO Tactical Global Asset Allocation ETF Fund | | ON | No | |
| BMO Tactical Global Equity ETF Fund | | ON | No | |
| BMO Tactical Global Growth ETF Fund | | ON | No | |
| BMO Target 2027 Canadian Corporate Bond ETF | | ON | No | |
| BMO Target 2028 Canadian Corporate Bond ETF | | ON | No | |
| BMO Target 2029 Canadian Corporate Bond ETF | | ON | No | |
| BMO Target Education 2030 Portfolio | | ON | No | |
| BMO Target Education 2035 Portfolio | | ON | No | |
| BMO Target Education 2040 Portfolio | | ON | No | |
| BMO Target Education 2045 Portfolio | | ON | No | |
| BMO Target Education Income Portfolio | | ON | No | |
| BMO U.S. All Cap Equity Fund | | ON | No | |
| BMO U.S. Corporate Bond Fund | | ON | No | |
| BMO U.S. Dividend Fund | | ON | No | |
| BMO U.S. Dollar Balanced Fund | | ON | No | |
| BMO U.S. Dollar Dividend Fund | | ON | No | |
| BMO U.S. Dollar Equity Index Fund | First Canadian U.S. Dollar Equity Index Fund | ON | No | |
| BMO U.S. Dollar Money Market Fund | First Canadian U.S. Dollar Money Market Fund | ON | No | |
| BMO U.S. Dollar Monthly Income Fund | | ON | No | |
| BMO U.S. Equity ETF Fund | BMO U.S. Equity Index Fund | ON | No | |
| BMO U.S. Equity Fund | BMO U.S. Value Fund | ON | No | |
| BMO U.S. Equity Plus Fund | | ON | No | |
| BMO U.S. High Yield Bond Fund | | ON | No | |
| BMO U.S. Small Cap Fund | | ON | No | |
| BMO Ultra Short-Term Bond ETF | BMO Ultra Short-Term Bond Fund | ON | No | |
| BMO Ultra Short-Term Bond ETF Fund | | ON | No | |
| BMO Ultra Short-Term US Bond ETF | BMO Short-Term US Bond Index ETF | ON | No | |
| BMO US Aggregate Bond Index ETF | | ON | No | |
| BMO US Dividend ETF | | ON | No | |
| BMO US Dividend Growth ETF | | ON | No | |
| BMO US Dividend Hedged to CAD ETF | | ON | No | |
| BMO US Equity Buffer Hedged to CAD ETF – April | | ON | No | |
| BMO US Equity Buffer Hedged to CAD ETF – January | | ON | No | |
| BMO US Equity Buffer Hedged to CAD ETF – July | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| BMO US Equity Buffer Hedged to CAD ETF – October | | ON | No | |
| BMO US Equity Focused ETF | | ON | No | |
| BMO US High Dividend Covered Call ETF | BMO US High Dividend Covered Call Fund | ON | No | |
| BMO US High Dividend Covered Call Hedged to CAD ETF | | ON | No | |
| BMO US Large Cap Disciplined Value ETF | | ON | No | |
| BMO US Preferred Share Hedged to CAD Index ETF | | ON | No | |
| BMO US Preferred Share Index ETF | | ON | No | |
| BMO US Put Write ETF | | ON | No | |
| BMO US Put Write Hedged to CAD ETF | | ON | No | |
| BMO USD Balanced ETF Portfolio | | ON | No | |
| BMO USD Cash Management ETF | | ON | No | |
| BMO USD Conservative ETF Portfolio | | ON | No | |
| BMO USD Income ETF Portfolio | | ON | No | |
| BMO Women in Leadership Fund | | ON | No | |
| BMO World Bond Fund | BMO International Bond Fund | ON | No | |
| BNP Resources Inc. | | AB | No | |
| Boardwalk Real Estate Investment Trust | | AB | No | |
| Bombardier Inc. | | QC | No | |
| Bonterra Energy Corp. | Bonterra Oil & Gas Ltd. | AB | No | |
| Boralex Inc. | | QC | No | |
| Border Petroleum Limited | Border Petroleum Corp. | AB | No | |
| Borealis Mining Company Limited | | BC | No | |
| Boston Pizza Royalties Income Fund | | BC | No | |
| Boyd Group Services Inc. | | MB | No | |
| BQE Water Inc. | BioteQ Environmental Technologies Inc. | BC | No | |
| Bracknell Corporation | | ON | Yes | 1a,1b,3 |
| Bragg Gaming Group Inc. | Breaking Data Corp. | ON | No | |
| Brandes Canadian Equity Fund | | ON | No | |
| Brandes Canadian Money Market Fund | | ON | No | |
| Brandes Corporate Focus Bond Fund | | ON | No | |
| Brandes Emerging Markets Value Fund | Brandes Emerging Markets Equity Fund | ON | No | |
| Brandes Global Equity Fund | | ON | No | |
| Brandes Global Opportunities Fund | | ON | No | |
| Brandes Global Small Cap Equity Fund | | ON | No | |
| Brandes International Equity Fund | | ON | No | |
| Brandes U.S. Equity Fund | | ON | No | |
| Bravo Mining Corp. | | ON | No | |
| Brazil Potash Corp | | ON | No | |
| Breaking Point Developments Inc. | | AB | No | |
| Bri-Chem Corp. | | AB | No | |
| BriaCell Therapeutics Corp. | | BC | No | |
| Bridgehouse Canadian Bond Fund | Greystone Canadian Bond Fund | ON | No | |
| Bridgemarq Real Estate Services Inc. | Brookfield Real Estate Services Inc. | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Bright Minds Biosciences Inc. | | BC | No | |
| Bristol Gate Concentrated Canadian Equity ETF | | ON | No | |
| Bristol Gate Concentrated US Equity ETF | | ON | No | |
| British Columbia Ferry Services Inc. | | BC | No | |
| Brixton Metals Corporation | | BC | No | |
| Bro-X Minerals LTD. | | AB | Yes | 1a |
| Broadcom (AVGO) Yield Shares Purpose ETF | | ON | No | |
| Bromac Resources Ltd. | TGOD Acquisition Corporation | BC | No | |
| Brompton Canadian Cash Flow Kings ETF | | ON | No | |
| Brompton Energy Split Corp.* | Brompton Oil Split Corp. | ON | No | |
| Brompton Enhanced Multi-Asset Income ETF | | ON | No | |
| Brompton European Dividend Growth ETF | European Dividend Growth Fund | ON | No | |
| Brompton Flaherty & Crumrine Enhanced Investment Grade Preferred ETF | | ON | No | |
| Brompton Flaherty & Crumrine Investment Grade Preferred ETF | | ON | No | |
| Brompton Global Cash Flow Kings ETF | | ON | No | |
| Brompton Global Dividend Growth ETF | | ON | No | |
| Brompton Global Equity HighPay ETF | | ON | No | |
| Brompton Global Healthcare Income & Growth ETF | Global Healthcare Income & Growth ETF | ON | No | |
| Brompton Global Infrastructure ETF | Brompton Sustainable Real Assets Dividend ETF | ON | No | |
| Brompton International Cash Flow Kings ETF | | ON | No | |
| Brompton Lifeco Split Corp.* | | ON | No | |
| Brompton North American Financials Dividend ETF | | ON | No | |
| Brompton North American Low Volatility Dividend ETF | | ON | No | |
| Brompton Split Banc Corp.* | | ON | No | |
| Brompton Split Corp. Enhanced Equity Income ETF | Brompton Split Corp. Class A Share ETF | ON | No | |
| Brompton Split Corp. Preferred Share ETF | | ON | No | |
| Brompton Tech Leaders Income ETF | Tech Leaders Income ETF | ON | No | |
| Brompton U.S. Cash Flow Kings ETF | | ON | No | |
| Brompton Wellington Square AAA CLO ETF | | ON | No | |
| Brompton Wellington Square Investment Grade CLO ETF | | ON | No | |
| Brookfield Asset Management Ltd. | | ON | No | |
| Brookfield Capital Finance LLC | | ON | No | |
| Brookfield Corporation | Brookfield Asset Management Inc. | ON | No | |
| Brookfield Finance (Australia) Pty Ltd | | ON | No | |
| Brookfield Finance I (UK) PLC | | ON | No | |
| Brookfield Finance II Inc. | | ON | No | |
| Brookfield Finance II LLC | | ON | No | |
| Brookfield Finance Inc. | | ON | No | |
| Brookfield Finance LLC | | ON | No | |
| Brookfield Global Infrastructure Securities Income Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Brookfield Infrastructure Corporation | | ON | No | |
| Brookfield Infrastructure Corporation Exchange Limited Partnership | | ON | No | |
| Brookfield Infrastructure Finance Limited | | ON | No | |
| Brookfield Infrastructure Finance LLC | | ON | No | |
| Brookfield Infrastructure Finance Pty Ltd | | ON | No | |
| Brookfield Infrastructure Finance ULC | | ON | No | |
| Brookfield Infrastructure Partners Exchange LP | | ON | No | |
| Brookfield Infrastructure Partners L.P. | | ON | No | |
| Brookfield Infrastructure Preferred Equity Inc. | | ON | No | |
| Brookfield Office Properties Inc. | Brookfield Properties Corporation | ON | No | |
| Brookfield Property Finance ULC | | ON | No | |
| Brookfield Property Partners L.P. | | ON | No | |
| Brookfield Property Preferred L.P. | | ON | No | |
| Brookfield Property Split Corp. | | ON | No | |
| Brookfield Renewable Corporation | | ON | No | |
| Brookfield Renewable Partners L.P. | Brookfield Renewable Energy Partners L.P. | ON | No | |
| Brookfield Renewable Partners ULC | Brookfield Renewable Energy Partners ULC | ON | No | |
| Brookfield Renewable Power Preferred Equity Inc. | | ON | No | |
| Brookfield Wealth Solutions Ltd. | Brookfield Reinsurance Ltd. | ON | No | |
| BRP Inc. | | QC | No | |
| BSR Real Estate Investment Trust | | ON | No | |
| BTB Real Estate Investment Trust | | QC | No | |
| BTQ Technologies Corp. | Sonora Gold & Silver Corp. | BC | No | |
| Buchans Resources Limited | | NS | No | |
| Burcon NutraScience Corporation | | BC | No | |
| BZAM LTD. | Green Organic Dutchman Holdings Ltd., The | ON | Yes | 1a,1b,1c,1e,3 |
| C International Income Fund | Cinram International Income Fund | ON | Yes | 1c,1e |
| C21 Investments Inc. | | BC | No | |
| Cabral Gold Inc. | | BC | No | |
| Cae Inc. | Cae Industries Limited | QC | No | |
| Caldwell Canadian Value Momentum Fund | | ON | No | |
| Caldwell North American Fund | Caldwell Balanced Fund | ON | No | |
| Caldwell U.S. Dividend Advantage Fund | | ON | No | |
| Caldwell-Lazard CorePlus Infrastructure Fund | Caldwell CorePlus Infrastructure Fund | ON | No | |
| Calfrac Well Services LTD. | | AB | No | |
| Calian Group Ltd. | Calian Technologies Ltd. | ON | No | |
| Callitas Health Inc. | M Pharmaceutical Inc. | BC | Yes | 1a,1c,1e,3 |
| Calmena Energy Services Inc. | BlackWatch Energy Services Corp. | AB | No | |
| Calyx Ventures Inc. | Calyx Bio-Ventures Inc. | BC | Yes | 3 |
| Cambria Gold Mines Inc. | Ascot Resources Ltd. | BC | No | |
| Cameco Corporation | | SK | No | |
| Campbell Resources Inc. | | QC | No | |
| Canaccord Genuity Group Inc. | Canaccord Financial Inc. | BC | No | |

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|---|---|--------------|------------|-------------------|
| Canacol Energy Ltd. | | AB | Yes | 1a,1c,1d,1e,3 |
| Canada 3000 Inc. | | AB | No | |
| Canada Goose Holdings Inc. | | ON | No | |
| Canada Jetlines Operations Ltd. | | BC | Yes | 1b,1c,1e |
| Canada Life Advanced Portfolio | Canada Life Advanced Folio Fund | ON | No | |
| Canada Life Aggressive Portfolio | Canada Life Aggressive Folio Fund | ON | No | |
| Canada Life Balanced Portfolio | Canada Life Balanced Folio Fund | ON | No | |
| Canada Life Canadian Core Bond Fund | Canada Life Core Bond Fund (Portico) | ON | No | |
| Canada Life Canadian Core Fixed Income Fund | Canada Life Pathways Core Bond Fund | ON | No | |
| Canada Life Canadian Core Plus Bond Fund | Canada Life Core Plus Bond Fund (Portico) | ON | No | |
| Canada Life Canadian Corporate Bond Fund | Canada Life Corporate Bond Fund (Portico) | ON | No | |
| Canada Life Canadian Dividend Fund | | ON | No | |
| Canada Life Canadian Enhanced Equity Income Fund | | ON | No | |
| Canada Life Canadian Fixed Income Balanced Fund | | ON | No | |
| Canada Life Canadian Focused Growth Fund | | ON | No | |
| Canada Life Canadian Focused Small-Mid Cap Fund | Canada Life Canadian Small-Mid Cap Fund | ON | No | |
| Canada Life Canadian Focused Value Fund | Canada Life Canadian Equity Fund (Beutel Goodman) | ON | No | |
| Canada Life Canadian Fundamental Equity Fund | Canada Life Canadian Concentrated Equity Fund | ON | No | |
| Canada Life Canadian Growth Balanced Fund | Canada Life Equity/Bond Fund (GLC) | ON | No | |
| Canada Life Canadian Growth Fund | Canada Life Canadian Growth Fund (GWLIM) | ON | No | |
| Canada Life Canadian Value Balanced Fund | Canada Life Balanced Fund (Beutel Goodman) | ON | No | |
| Canada Life Canadian Value Fund | Canada Life Canadian Value Fund (FGP) | ON | No | |
| Canada Life Capital Trust | | ON | No | |
| Canada Life Conservative Portfolio | Canada Life Conservative Folio Fund | ON | No | |
| Canada Life Diversified Fixed Income Portfolio | Canada Life Diversified Fixed Income Folio Fund | ON | No | |
| Canada Life Diversified Real Assets Fund | | ON | No | |
| Canada Life Emerging Markets Concentrated Equity Fund | Canada Life Pathways Emerging Markets Equity Fund | ON | No | |
| Canada Life Emerging Markets Large Cap Equity Fund | Canada Life Pathways Emerging Markets Large Cap Equity Fund | ON | No | |
| Canada Life ESG U.S. Equity Fund | Canada Life Sustainable U.S. Equity Fund | ON | No | |
| Canada Life Fixed Income Fund | | ON | No | |
| Canada Life Floating Rate Income Fund | | ON | No | |
| Canada Life Foreign Equity Fund | | ON | No | |
| Canada Life Global All Cap Equity Fund | Canada Life Global All Cap Equity Fund (Setanta) | ON | No | |
| Canada Life Global Balanced Fund | | ON | No | |
| Canada Life Global Core Plus Bond Fund | Canada Life Pathways Global Core Plus Bond Fund | ON | No | |
| Canada Life Global Equity and Income Fund | Canada Life Global Growth and Income Fund | ON | No | |
| Canada Life Global Equity Fund | Canada Life Global Low Volatility Fund | ON | No | |
| Canada Life Global Growth Equity Fund | Canada Life Global Growth Equity Fund (T. Rowe Price) | ON | No | |
| Canada Life Global Growth Opportunities Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Canada Life Global High Yield Fixed Income Fund | Canada Life North American High Yield Fixed Income Fund | ON | No | |
| Canada Life Global Inflation-Linked Fixed Income Fund | | ON | No | |
| Canada Life Global Multi-Sector Bond Fund | Canada Life Pathways Global Multi Sector Bond Fund | ON | No | |
| Canada Life Global Resources Fund | | ON | No | |
| Canada Life Global Small-Mid Cap Equity Fund | Canada Life Global Small-Mid Cap Growth Fund | ON | No | |
| Canada Life Global Strategic Income Fund | Canada Life Global Monthly Income Fund (London Capital) | ON | No | |
| Canada Life Global Tactical Fund | Canada Life Pathways Global Tactical Fund | ON | No | |
| Canada Life International Concentrated Equity Fund | Canada Life Pathways International Concentrated Equity Fund | ON | No | |
| Canada Life International Enhanced Equity Income Fund | | ON | No | |
| Canada Life International Equity Fund | Canada Life International Core Equity Fund (JPMorgan) | ON | No | |
| Canada Life International Value Fund | Canada Life Pathways International Equity Fund | ON | No | |
| Canada Life Moderate Portfolio | Canada Life Moderate Folio Fund | ON | No | |
| Canada Life Money Market Fund | Money Market Fund | ON | No | |
| Canada Life Precious Metals Fund | | ON | No | |
| Canada Life Risk Reduction Pool | | ON | No | |
| Canada Life Risk-Managed Balanced Portfolio | | ON | No | |
| Canada Life Risk-Managed Conservative Income Portfolio | | ON | No | |
| Canada Life Risk-Managed Growth Portfolio | | ON | No | |
| Canada Life Strategic Income Fund | | ON | No | |
| Canada Life Sustainable Balanced Portfolio | | ON | No | |
| Canada Life Sustainable Conservative Portfolio | | ON | No | |
| Canada Life Sustainable Emerging Markets Equity Fund | | ON | No | |
| Canada Life Sustainable Global Bond Fund | | ON | No | |
| Canada Life Sustainable Global Equity Fund | | ON | No | |
| Canada Life Sustainable Growth Portfolio | | ON | No | |
| Canada Life U.S. All Cap Growth Fund | | ON | No | |
| Canada Life U.S. Carbon Transition Equity Fund | | ON | No | |
| Canada Life U.S. Concentrated Equity Fund | Canada Life Pathways U.S. Concentrated Equity Fund | ON | No | |
| Canada Life U.S. Dividend Fund | Canada Life US Dividend Fund (GWLIM) | ON | No | |
| Canada Life U.S. Enhanced Equity Income Fund | | ON | No | |
| Canada Life U.S. Small-Mid Cap Growth Fund | | ON | No | |
| Canada Life U.S. Value Fund | Canada Life US Value Fund (Putnam) | ON | No | |
| Canada Life Unconstrained Fixed Income Fund | | ON | No | |
| Canadian Airlines Corporation | Pwa Corporation | AB | Yes | 1a |
| Canadian Apartment Properties Real Estate Investment Trust | | ON | No | |
| Canadian Banc Corp.* | Prime Rate Plus Corp | ON | No | |
| Canadian Dollar Cash Management Fund | AIM Canadian Dollar Cash Management Fund | ON | No | |

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|--|--|--------------|------------|-------------------|
| Canadian Equity Alpha Corporate Class | | ON | No | |
| Canadian Equity Alpha Pool | | ON | No | |
| Canadian Equity Fund | Primus Capital Advisors Canadian Equity Fund | ON | No | |
| Canadian Equity Growth Corporate Class | | ON | No | |
| Canadian Equity Growth Pool | Assante Canadian Equity Growth Pool | ON | No | |
| Canadian Equity Managed Class | | ON | No | |
| Canadian Equity Managed Pool | | ON | No | |
| Canadian Equity Small Cap Corporate Class | | ON | No | |
| Canadian Equity Small Cap Pool | Assante Canadian Equity Small Cap Pool | ON | No | |
| Canadian Equity Value Corporate Class | | ON | No | |
| Canadian Equity Value Pool | Optima Strategy Fund - Canadian Equity Value Pool | ON | No | |
| Canadian Fixed Income Corporate Class | | ON | No | |
| Canadian Fixed Income Fund | Primus Capital Advisors Canadian Fixed Income Fund | ON | No | |
| Canadian Fixed Income Pool | Optima Strategy Fund - Canadian Fixed Income Section | ON | No | |
| Canadian General Investments, Limited* | | ON | No | |
| Canadian Imperial Bank of Commerce | | ON | No | |
| Canadian Large Cap Leaders Split Corp.* | | ON | No | |
| Canadian Life Companies Split Corp. | | ON | No | |
| Canadian Manganese Company Inc. | Canadian Manganese Company Inc. | ON | Yes | 1a,1c,1d,1e,3 |
| Canadian National Railway Company | | QC | No | |
| Canadian Natural Resources Limited | | AB | No | |
| Canadian Net Real Estate Investment Trust | Fronsac Real Estate Investment Trust | QC | No | |
| Canadian Overseas Petroleum Limited | Velo Energy Inc. | AB | Yes | 1a,1c,1d,1e,3 |
| Canadian Pacific Kansas City Limited | Canadian Pacific Railway Limited | AB | No | |
| Canadian Pacific Railway Company | Canadian Pacific Limited Effective 96jul04 | AB | No | |
| Canadian Scholarship Trust CST™ Advantage Plan | CST Advantage Plan | ON | No | |
| Canadian Scholarship Trust Family Savings Plan | Canadian Scholarship Trust Plan - Millennium Family | ON | No | |
| Canadian Scholarship Trust Group Savings Plan | | ON | No | |
| Canadian Scholarship Trust Group Savings Plan* | Canadian Scholarship Trust Plan - Optional PLAN | ON | No | |
| Canadian Scholarship Trust Individual Savings Plan | Canadian Scholarship Trust Plan - Millennium PLAN | ON | No | |
| Canadian Small Company Equity Fund | | ON | No | |
| Canadian Tire Corporation, Limited | | ON | No | |
| Canadian Utilities Limited | | AB | No | |
| Canagold Resources Ltd. | Canarc Resource Corp. | BC | No | |
| Canfor Corporation | | BC | No | |
| Canfor Pulp Products Inc. | | BC | No | |
| Canlan Ice Sports Corp. | | BC | No | |
| CannTrust Holdings Inc. | | ON | Yes | 1b,1c,1e,3 |
| Canoe Asset Allocation Portfolio Class | Canoe Asset Allocation Class | AB | No | |
| Canoe Bond Advantage Fund | Canoe 'GO CANADA!' Bond Advantage Fund | AB | No | |
| Canoe Bond Advantage Portfolio Class | Canoe Bond Advantage Class | AB | No | |

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|---|--|--------------|------------|-------------------|
| Canoe Defensive Global Equity Fund | Fiera Capital Defensive Global Equity Fund | AB | No | |
| Canoe Defensive International Equity Fund | Canoe International Equity Fund | AB | No | |
| Canoe Defensive U.S. Equity Portfolio Class | Canoe Defensive U.S. Equity Class | AB | No | |
| Canoe EIT Income Fund* | Enervest Diversified Income Trust | AB | No | |
| Canoe Energy Income Portfolio Class | Canoe Energy Income Class | AB | No | |
| Canoe Energy Plus Fund | | AB | No | |
| Canoe Energy Portfolio Class | Canoe Energy Class | AB | No | |
| Canoe Enhanced Income Fund | Canoe 'GO CANADA!' Enhanced Income Fund | AB | No | |
| Canoe Enhanced Income Portfolio Class | Canoe Enhanced Income Class | AB | No | |
| Canoe Equity Plus Fund | | AB | No | |
| Canoe Equity Portfolio Class | Canoe Equity Class | AB | No | |
| Canoe Fundamental Global Balanced Fund | Canoe Defensive Global Balanced Fund | AB | No | |
| Canoe Fundamental Global Equity Portfolio Class | | AB | No | |
| Canoe Fundamental Small Mid Cap Portfolio Class | Canoe Canadian Small Mid Cap Portfolio Class | AB | No | |
| Canoe Global Equity Fund | Fiera Capital Global Equity Fund | AB | No | |
| Canoe Global Income Fund | | AB | No | |
| Canoe Global Income Portfolio Class | Canoe Global Income Class | AB | No | |
| Canoe International Equity Portfolio Class | Canoe International Equity Class | AB | No | |
| Canoe North American Monthly Income Portfolio Class | Canoe North American Monthly Income Class | AB | No | |
| Canoe Preferred Share Portfolio Class | Canoe Preferred Share Class | AB | No | |
| Canoe Premium Yield Fund | Canoe Premium Income Fund | AB | No | |
| Canoe Trust Fund | | AB | No | |
| Canoe Unconstrained Bond Fund | | AB | No | |
| Canoe Unconstrained Bond Portfolio Class | Canoe Unconstrained Bond Class | AB | No | |
| Canopy Growth Corporation | Tweed Marijuana Inc. | ON | No | |
| Canso Credit Income Fund* | | ON | No | |
| Canso Select Opportunities Corporation | | ON | No | |
| Capital Group Canadian Core Plus Fixed Income Fund™ (Canada) | Capital International - Canadian Core Plus Fixed Income | ON | No | |
| Capital Group Canadian Focused Equity Fund™ (Canada) | Capital International - Growth and Income | ON | No | |
| Capital Group Canadian Money Market Fund™ (Canada) | | ON | No | |
| Capital Group Capital Income Builder™ (Canada) | | ON | No | |
| Capital Group Emerging Markets Total Opportunities Fund™ (Canada) | Capital International - Emerging Markets Total Opportunities | ON | No | |
| Capital Group Global Balanced Fund™ (Canada) | | ON | No | |
| Capital Group Global Equity Fund™ (Canada) | Capital International - Global Equity | ON | No | |
| Capital Group Global Equity Select ETF™ (Canada) | | ON | No | |
| Capital Group International Equity Fund™ (Canada) | Capital International - International Equity | ON | No | |
| Capital Group International Equity Select ETF™ (Canada) | | ON | No | |
| Capital Group Monthly Income Portfolio™ (Canada) | | ON | No | |

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|--|--|--------------|------------|-------------------|
| Capital Group Multi-Sector Income Fund™ (Canada) | | ON | No | |
| Capital Group Multi-Sector Income Select ETF™ (Canada) | | ON | No | |
| Capital Group U.S. Equity Fund™ (Canada) | Capital International - U.S. Equity | ON | No | |
| Capital Group World Bond Fund™ (Canada) | | ON | No | |
| Capital Group World Bond Select ETF™ (Canada) | | ON | No | |
| Capital Power Corporation | | AB | No | |
| Capstone Biblically Informed Canadian Equity Fund | | BC | No | |
| Capstone Biblically Informed U.S. Equity Fund | | BC | No | |
| Capstone Copper Corp. | | BC | No | |
| Capstone Infrastructure Corporation | Macquarie Power and Infrastructure Corporation | ON | No | |
| Carbiz Inc. | Carbiz.Com Inc. | AB | No | |
| Cardinal Energy Ltd. | | AB | No | |
| Cardiol Therapeutics Inc. | | ON | No | |
| CARDS II Trust | | ON | No | |
| CareRx Corporation | Centric Health Corporation | ON | No | |
| Cargojet Inc. | | ON | No | |
| Caribbean Utilities Company, Ltd. | | ON | No | |
| Carmanah Resources Ltd | | AB | No | |
| CARS and PARS Programme | | ON | No | |
| Cars4u LTD. | Cars4u.Com LTD. | ON | No | |
| Cascades Inc. | | QC | No | |
| Cash Management Pool | Optima Strategy Fund - Cash Management Section | ON | No | |
| Cassiar Gold Corp. | Margaux Resources Ltd. | BC | No | |
| Catalyst Metals Limited | | ON | No | |
| Caterpillar Financial Services Limited | | ON | No | |
| Cathay Forest Products Corp. | | ON | No | |
| Cathedra Bitcoin Inc. | | BC | No | |
| Cavvy Energy Ltd. | Pieridae Energy Limited | AB | No | |
| CC&L Absolute Return Bond Fund | CC&L Alternative Income Fund | ON | No | |
| CC&L Core Income and Growth Fund | Connor, Clark & Lunn Conservative Income & Growth Fund | ON | No | |
| CC&L Diversified Income Fund | CC&L Diversified Income Portfolio | ON | No | |
| CC&L Equity Income and Growth Fund | | ON | No | |
| CC&L Global Alpha Fund | | ON | No | |
| CC&L Global Long Short Fund | CC&L Alternative Canadian Equity Fund | ON | No | |
| CC&L Global Market Neutral II Fund | CC&L Alternative Global Equity Fund | ON | No | |
| CC&L High Yield Bond Fund | | ON | No | |
| CCL Industries Inc. | | ON | No | |
| Celestial Acquisition Corp. | | ON | No | |
| Celestica Inc. | | ON | No | |
| Celtic Tiger Minerals Exploration Inc. (Cease Traded) | | NS | No | |
| Genovus Energy Inc. | 7050372 Canada Inc. | AB | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Centaurus Energy Inc. | Madalena Energy Inc. | AB | No | |
| Centerra Gold Inc. | | ON | No | |
| CENTR Brands Corp. | | BC | No | |
| Century Lithium Corp. | Cypress Development Corp. | BC | No | |
| CES Energy Solutions Corp. | Canadian Energy Services & Technology Corp. | AB | No | |
| CGI Inc. | CGI Group Inc. | QC | No | |
| CGX Energy Inc. | | ON | No | |
| Champion Iron Limited | | ON | No | |
| Charlotte's Web Holdings, Inc. | Stanley Brothers Holdings Inc. | ON | No | |
| Chartwell Retirement Residences | Chartwell Seniors Housing Real Estate Investment Trust | ON | No | |
| Chauvco Resources International LTD. | Chauvco International Resources LTD. | ON | No | |
| Chemokine Therapeutics Corp. | | BC | No | |
| Chemtrade Logistics Income Fund | | ON | No | |
| Chesapeake Gold Corp. | | BC | No | |
| Chesswood Group Limited | | ON | Yes | 1b,1c,1e |
| Cheyenne Energy Inc. | | AB | No | |
| Chieftain Metals Corp. | | ON | No | |
| China Education Resources Inc. | China Ventures Inc. | BC | Yes | 1a,1c,1e,3 |
| CHIP Mortgage Trust | | ON | No | |
| CHITOGEXX INC | Ortho Regenerative Technologies Inc. | QC | Yes | 1a,1c,1e,3 |
| Choice Properties Real Estate Investment Trust | | ON | No | |
| Chorus Aviation Inc. | | NS | No | |
| Chou Asia Fund | | ON | No | |
| Chou Associates Fund | | ON | No | |
| Chou Bond Fund | | ON | No | |
| Chou Europe Fund | | ON | No | |
| Chou RRSP Fund | | ON | No | |
| CI 1-5 Year Laddered Government Strip Bond Index ETF | CI First Asset 1-5 Year Laddered Government Strip Bond Index ETF | ON | No | |
| CI Alternative Credit Opportunities Fund | | ON | No | |
| CI Alternative Diversified Opportunities Fund | Marret Diversified Opportunities Fund | ON | No | |
| CI Alternative Equity Premium Yield Fund | | ON | No | |
| CI Alternative Investment Grade Credit Fund | CI Lawrence Park Alternative Investment Grade Credit Fund | ON | No | |
| CI Alternative Multi-Strategy Fund | | ON | No | |
| CI Alternative North American Opportunities Fund | | ON | No | |
| CI Auspice Alternative Diversified Corporate Class | | ON | No | |
| CI Auspice Broad Commodity Fund | CI Auspice Broad Commodity ETF | ON | No | |
| CI Balanced Asset Allocation ETF | | ON | No | |
| CI Balanced Asset Allocation ETF Fund | CI Balanced Asset Allocation Fund | ON | No | |
| CI Balanced Growth Asset Allocation ETF | | ON | No | |
| CI Balanced Growth Asset Allocation ETF Fund | CI Balanced Growth Asset Allocation Fund | ON | No | |
| CI Balanced Income Asset Allocation ETF | | ON | No | |
| CI Balanced Income Asset Allocation ETF Fund | CI Balanced Income Asset Allocation Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| CI Balanced+ Asset Allocation ETF Fund | | ON | No | |
| CI Bitcoin Fund | | ON | No | |
| CI Canada Quality Dividend Growth Index ETF | CI WisdomTree Canada Quality Dividend Growth Index ETF | ON | No | |
| CI Canada Quality Dividend Growth Index Fund | CI WisdomTree Canada Quality Dividend Growth Index Fund | ON | No | |
| CI Canadian Aggregate Bond Index ETF | CI Yield Enhanced Canada Aggregate Bond Index ETF | ON | No | |
| CI Canadian All Cap Equity Income Class | CI Canadian Equity Income Class | ON | No | |
| CI Canadian All Cap Equity Income Fund | Sentry All Cap Income Fund | ON | No | |
| CI Canadian Asset Allocation Corporate Class | Cambridge Asset Allocation Corporate Class | ON | No | |
| CI Canadian Asset Allocation Fund | Cambridge Asset Allocation Fund | ON | No | |
| CI Canadian Balanced Corporate Class | Signature Canadian Balanced Corporate Class | ON | No | |
| CI Canadian Balanced Fund | Signature Canadian Balanced Fund | ON | No | |
| CI Canadian Banks Covered Call Income Class ETF | CI Canadian Banks Income Class ETF | ON | No | |
| CI Canadian Banks Covered Call Income Corporate Class | | ON | No | |
| CI Canadian Bond Corporate Class | Signature Canadian Bond Corporate Class | ON | No | |
| CI Canadian Bond Fund | Signature Canadian Bond Fund | ON | No | |
| CI Canadian Bond Private Pool | CI Canadian Fixed Income Private Pool | ON | No | |
| CI Canadian Convertible Bond ETF | CI First Asset Canadian Convertible Bond ETF | ON | No | |
| CI Canadian Convertible Bond Fund | First Asset Canadian Convertible Bond Fund | ON | No | |
| CI Canadian Dividend Corporate Class | Cambridge Canadian Dividend Corporate Class | ON | No | |
| CI Canadian Dividend Fund | Cambridge Canadian Dividend Fund | ON | No | |
| CI Canadian Dividend Private Pool | | ON | No | |
| CI Canadian Equity Growth Corporate Class | CI Canadian Equity Corporate Class | ON | No | |
| CI Canadian Equity Growth Fund | CI Canadian Equity Fund | ON | No | |
| CI Canadian Equity Growth Private Pool | CI Canadian Equity Private Pool | ON | No | |
| CI Canadian Equity Income Private Trust | Sentry Canadian Equity Income Private Trust | ON | No | |
| CI Canadian Equity Index ETF | | ON | No | |
| CI Canadian Income & Growth Corporate Class | Signature Income & Growth Corporate Class | ON | No | |
| CI Canadian Income & Growth Fund | Signature Income & Growth Fund | ON | No | |
| CI Canadian Income & Growth Private Pool | | ON | No | |
| CI Canadian Investment Corporate Class | CI Canadian Investment Sector Fund | ON | No | |
| CI Canadian Investment Fund | Spectrum United Canadian Investment Fund | ON | No | |
| CI Canadian Long-Term Bond Pool | Cambridge Canadian Long-Term Bond Pool | ON | No | |
| CI Canadian REIT ETF | CI First Asset Canadian REIT ETF | ON | No | |
| CI Canadian REIT Fund | First Asset REIT Income Fund | ON | No | |
| CI Canadian Short-Term Aggregate Bond Index ETF | CI Yield Enhanced Canada Short-Term Aggregate Bond Index ETF | ON | No | |
| CI Canadian Small/Mid Cap Equity Corporate Class | CI Pure Canadian Small/Mid Cap Equity Corporate Class | ON | No | |
| CI Canadian Small/Mid Cap Equity Fund | CI Pure Canadian Small/Mid Cap Equity Fund | ON | No | |
| CI Conservative Asset Allocation ETF | | ON | No | |
| CI Conservative Asset Allocation ETF Fund | CI Conservative Asset Allocation Fund | ON | No | |
| CI Corporate Bond Class | Signature Corporate Bond Class | ON | No | |
| CI Corporate Bond Corporate Class | Signature Corporate Bond Corporate Class | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| CI Corporate Bond Fund | Signature Corporate Bond Fund | ON | No | |
| CI Digital Security Index ETF | CI Digital Security ETF | ON | No | |
| CI Diversified Yield Corporate Class | Signature Diversified Yield Corporate Class | ON | No | |
| CI Diversified Yield Fund | Signature Diversified Yield Fund | ON | No | |
| CI Dividend Income & Growth Corporate Class | Signature Dividend Corporate Class | ON | No | |
| CI Dividend Income & Growth Fund | Signature Dividend Fund | ON | No | |
| CI DoubleLine Total Return Bond US\$ Fund | | ON | No | |
| CI Emerging Markets Alpha ETF | | ON | No | |
| CI Emerging Markets Bond Fund | | ON | No | |
| CI Emerging Markets Corporate Class | Signature Emerging Markets Corporate Class | ON | No | |
| CI Emerging Markets Dividend Index ETF | CI WisdomTree Emerging Markets Dividend Index ETF | ON | No | |
| CI Emerging Markets Fund | Signature Emerging Markets Fund | ON | No | |
| CI Energy Giants Covered Call ETF | CI First Asset Energy Giants Covered Call ETF | ON | No | |
| CI Energy Giants Covered Call Fund | | ON | No | |
| CI Enhanced Government Bond ETF | CI First Asset Enhanced Government Bond ETF | ON | No | |
| CI Enhanced Short Duration Bond Fund | CI First Asset Enhanced Short Duration Bond Fund | ON | No | |
| CI Equity Asset Allocation ETF | | ON | No | |
| CI Equity Asset Allocation ETF Fund | CI Equity Asset Allocation Fund | ON | No | |
| CI Equity Premium Yield Fund | | ON | No | |
| CI Equity+ Asset Allocation ETF Fund | | ON | No | |
| CI Ethereum Fund | | ON | No | |
| CI Europe Hedged Equity Index ETF | CI WisdomTree Europe Hedged Equity Index ETF | ON | No | |
| CI Financial Corp.* | | ON | No | |
| CI Floating Rate Income Fund | Signature Floating Rate Income Fund | ON | No | |
| CI G5 20 2038 Q3 Fund | | ON | No | |
| CI G5 20 2038 Q4 Fund | | ON | No | |
| CI G5 20 2039 Q2 Fund | | ON | No | |
| CI G5 20 2039 Q3 Fund* | | ON | No | |
| CI G5 20 2040 Q1 Fund | | ON | No | |
| CI G5 20 2040 Q4 Fund* | | ON | No | |
| CI G5 20i 2035 Q1 Fund* | | ON | No | |
| CI G5 20i 2035 Q2 Fund* | | ON | No | |
| CI G5 20i 2036 Q1 Fund | | ON | No | |
| CI G5 20i 2036 Q2 Fund* | | ON | No | |
| CI Galaxy Bitcoin ETF | | ON | No | |
| CI Galaxy Blockchain Index ETF | CI Galaxy Blockchain ETF | ON | No | |
| CI Galaxy Core Multi-Crypto ETF | | ON | No | |
| CI Galaxy Ethereum ETF | | ON | No | |
| CI Galaxy Multi-Crypto Navigator ETF | CI Galaxy Multi-Crypto ETF | ON | No | |
| CI Galaxy Solana ETF* | | ON | No | |
| CI Global Alpha Innovation ETF | | ON | No | |
| CI Global Alpha Innovators Corporate Class | Signature Global Technology Corporate Class | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| CI Global Artificial Intelligence Fund | CI Global Artificial Intelligence ETF | ON | No | |
| CI Global Asset Allocation Private Pool | | ON | No | |
| CI Global Balanced Corporate Class | Black Creek Global Balanced Corporate Class | ON | No | |
| CI Global Balanced Fund | Black Creek Global Balanced Fund | ON | No | |
| CI Global Bond Corporate Class | Signature Global Bond Corporate Class | ON | No | |
| CI Global Bond Currency Neutral Fund | | ON | No | |
| CI Global Bond Fund | Signature Global Bond Fund | ON | No | |
| CI Global Climate Leaders Fund | | ON | No | |
| CI Global Core Plus Bond Fund | Signature Core Bond Plus Fund | ON | No | |
| CI Global Core Plus Equity ETF | CI ONE Global Equity ETF | ON | No | |
| CI Global Dividend Corporate Class | Signature Global Dividend Corporate Class | ON | No | |
| CI Global Dividend Fund | Signature Global Dividend Fund | ON | No | |
| CI Global Dividend Opportunities Corporate Class | Cambridge Global Dividend Corporate Class | ON | No | |
| CI Global Dividend Opportunities Fund | Cambridge Global Dividend Fund | ON | No | |
| CI Global Dividend Private Pool | | ON | No | |
| CI Global Energy Corporate Class | Signature Global Energy Corporate Class | ON | No | |
| CI Global Enhanced Government Bond Private Pool | | ON | No | |
| CI Global Equity Alpha Private Pool | | ON | No | |
| CI Global Equity Corporate Class | Cambridge Global Equity Corporate Class | ON | No | |
| CI Global Equity Fund | Cambridge Global Equity Fund | ON | No | |
| CI Global Financial Sector ETF | CI First Asset Global Financial Sector ETF | ON | No | |
| CI Global Green Bond Fund | | ON | No | |
| CI Global Health Sciences Corporate Class | CI Global Health Sciences Sector Fund | ON | No | |
| CI Global Healthcare Leaders Index ETF | | ON | No | |
| CI Global Income & Growth Corporate Class | Signature Global Income & Growth Corporate Class | ON | No | |
| CI Global Income & Growth Fund | Signature Global Income & Growth Fund | ON | No | |
| CI Global Infrastructure Fund | Signature Global Infrastructure Fund | ON | No | |
| CI Global Infrastructure Private Pool | | ON | No | |
| CI Global Investment Grade Class | CI Global Investment Grade Private Pool Class | ON | No | |
| CI Global Investment Grade ETF | | ON | No | |
| CI Global Investment Grade Fund | | ON | No | |
| CI Global Leaders Corporate Class | Black Creek Global Leaders Corporate Class | ON | No | |
| CI Global Leaders Fund | Black Creek Global Leaders Fund | ON | No | |
| CI Global Longevity Economy Fund | | ON | No | |
| CI Global Minimum Downside Volatility Index Fund | CI Global Minimum Downside Volatility Index ETF | ON | No | |
| CI Global Quality Dividend Growth Index ETF | | ON | No | |
| CI Global Quality Dividend Growth Index Fund | | ON | No | |
| CI Global Real Asset Private Pool | | ON | No | |
| CI Global REIT Class | Signature Global REIT Class | ON | No | |
| CI Global REIT Fund | Signature Global REIT Fund | ON | No | |
| CI Global REIT Private Pool | | ON | No | |
| CI Global Resource Corporate Class | Signature Global Resource Corporate Class | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| CI Global Resource Fund | Signature Global Resource Fund | ON | No | |
| CI Global Short-Term Bond Fund | | ON | No | |
| CI Global Small/Mid Cap Equity Corporate Class | CI Global Smaller Companies Corporate Class | ON | No | |
| CI Global Small/Mid Cap Equity Fund | CI Global Smaller Companies Fund | ON | No | |
| CI Global Small/Mid Cap Equity Private Pool | CI Global Smaller Companies Private Pool | ON | No | |
| CI Global Stock Selection Fund | Harbour Global Analyst Fund | ON | No | |
| CI Global Sustainable Infrastructure Fund | | ON | No | |
| CI Global Unconstrained Bond Fund | CI Global Unconstrained Bond Private Pool | ON | No | |
| CI Global Value Corporate Class | CI Global Value Sector Fund | ON | No | |
| CI Global Value Fund | C.I. Global Value Fund | ON | No | |
| CI Gold Bullion ETF | CI Gold Bullion Fund | ON | No | |
| CI Gold Bullion Fund* | | ON | No | |
| CI Gold Corporate Class | Signature Gold Corporate Class | ON | No | |
| CI Gold+ Giants Covered Call ETF | CI First Asset Gold+ Giants Covered Call ETF | ON | No | |
| CI Gold+ Giants Covered Call Fund | | ON | No | |
| CI Growth Asset Allocation ETF | | ON | No | |
| CI Growth Asset Allocation ETF Fund | CI Growth Asset Allocation Fund | ON | No | |
| CI Health Care Giants Covered Call ETF | CI First Asset Health Care Giants Covered Call ETF | ON | No | |
| CI High Income Corporate Class | Signature High Income Corporate Class | ON | No | |
| CI High Income Fund | Signature High Income Fund | ON | No | |
| CI High Interest Savings ETF | CI First Asset High Interest Savings ETF | ON | No | |
| CI High Interest Savings Fund | | ON | No | |
| CI High Yield Bond Corporate Class | Signature High Yield Bond Corporate Class | ON | No | |
| CI High Yield Bond Fund | Signature High Yield Bond Fund | ON | No | |
| CI High Yield Bond Private Pool | CI Global High Yield Credit Private Pool | ON | No | |
| CI ICBUBS S&P China 500 Index ETF | CI ICBCCS S&P China 500 Index ETF | ON | No | |
| CI Income Fund | CI Income Advantage Fund | ON | No | |
| CI International Equity Alpha Private Pool | | ON | No | |
| CI International Equity Corporate Class | Black Creek International Equity Corporate Class | ON | No | |
| CI International Equity Fund | Black Creek International Equity Fund | ON | No | |
| CI International Equity Growth Private Pool | | ON | No | |
| CI International Quality Dividend Growth Index ETF | CI WisdomTree International Quality Dividend Growth Index ETF | ON | No | |
| CI International Quality Dividend Growth Index Hedged Fund | CI WisdomTree International Quality Dividend Growth Index Hedged Fund | ON | No | |
| CI International Value Corporate Class | CI International Value Sector Fund | ON | No | |
| CI International Value Fund | C.I. International Value Fund | ON | No | |
| CI Investment Grade Bond ETF | CI First Asset Investment Grade Bond ETF | ON | No | |
| CI Investment Grade Bond Fund | Marret Investment Grade Bond Fund | ON | No | |
| CI Investment Grade Bond Private Pool | CI Global Investment Grade Credit Private Pool | ON | No | |
| CI Japan Equity Index ETF | CI WisdomTree Japan Equity Index ETF | ON | No | |
| CI Marret Alternative Absolute Return Bond Fund | Marret Alternative Absolute Return Bond Fund | ON | No | |
| CI Marret Alternative Enhanced Yield Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| CI Money Market Class | Sentry Money Market Class | ON | No | |
| CI Money Market Corporate Class | CI Short-Term Corporate Class | ON | No | |
| CI Money Market ETF | | ON | No | |
| CI Money Market Fund | C.I. Money Market Fund | ON | No | |
| CI Morningstar Canada Momentum Index ETF | CI First Asset Morningstar Canada Momentum Index ETF | ON | No | |
| CI Morningstar Canada Value Index ETF | CI First Asset Morningstar Canada Value Index ETF | ON | No | |
| CI Morningstar International Momentum Index ETF | CI First Asset Morningstar International Momentum Index ETF | ON | No | |
| CI Morningstar International Value Hedged Index Fund* | | ON | No | |
| CI Morningstar International Value Index ETF | CI First Asset Morningstar International Value Index ETF | ON | No | |
| CI Morningstar National Bank Québec Index ETF | CI First Asset Morningstar National Bank Québec Index ETF | ON | No | |
| CI Mosaic Balanced ETF Portfolio | | ON | No | |
| CI Mosaic Balanced ETF Portfolio Class | CI Balanced Income Personal Portfolio | ON | No | |
| CI Mosaic Balanced Growth ETF Portfolio | | ON | No | |
| CI Mosaic Balanced Growth ETF Portfolio Class | CI Growth & Income Personal Portfolio | ON | No | |
| CI Mosaic Balanced Income ETF Portfolio | | ON | No | |
| CI Mosaic Balanced Income ETF Portfolio Class | CI Conservative Income Personal Portfolio | ON | No | |
| CI Mosaic ESG Balanced ETF Portfolio | | ON | No | |
| CI Mosaic Growth ETF Portfolio | | ON | No | |
| CI Mosaic Growth ETF Portfolio Class | CI Growth Personal Portfolio | ON | No | |
| CI Mosaic Income ETF Portfolio | | ON | No | |
| CI Mosaic Income ETF Portfolio Class | CI Defensive Income Personal Portfolio | ON | No | |
| CI MSCI World ESG Impact Index ETF | CI MSCI World ESG Impact ETF | ON | No | |
| CI MSCI World ESG Impact Index Fund | CI MSCI World ESG Impact Fund | ON | No | |
| CI Multi-Sector Covered Call Fund | | ON | No | |
| CI Munro Alternative Global Growth Fund | Munro Alternative Global Growth Fund | ON | No | |
| CI Munro Global Growth Equity Fund | Munro Global Growth Equity Fund | ON | No | |
| CI North American Core Plus Bond ETF | CI ONE North American Core Plus Bond ETF | ON | No | |
| CI North American Equity Fund | CI North American Dividend Fund | ON | No | |
| CI North American Small/Mid Cap Equity Class | CI Canadian Small/Mid Cap Equity Income Class | ON | No | |
| CI North American Small/Mid Cap Equity Fund | CI Canadian Small/Mid Cap Equity Income Fund | ON | No | |
| CI Portfolio Series Balanced Fund | Portfolio Series Balanced Fund | ON | No | |
| CI Portfolio Series Balanced Growth Fund | Portfolio Series Balanced Growth Fund | ON | No | |
| CI Portfolio Series Conservative Balanced Fund | CI Portfolio Series Conservative Balanced Fund | ON | No | |
| CI Portfolio Series Conservative Fund | Portfolio Series Conservative Fund | ON | No | |
| CI Portfolio Series Growth Fund | Portfolio Series Growth Fund | ON | No | |
| CI Portfolio Series Income Fund | Portfolio Series Income Fund | ON | No | |
| CI Portfolio Series Maximum Growth Fund | Portfolio Series Maximum Growth Fund | ON | No | |
| CI Precious Metals Class | Sentry Precious Metals Class | ON | No | |
| CI Precious Metals Fund | Sentry Precious Metals Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| CI Preferred Share ETF | CI First Asset Preferred Share ETF | ON | No | |
| CI Preferred Share Fund | Signature Preferred Share Fund | ON | No | |
| CI Select 100e Managed Portfolio Corporate Class | Select 100e Managed Portfolio Corporate Class | ON | No | |
| CI Select 20i80e Managed Portfolio Corporate Class | Select 20i80e Managed Portfolio Corporate Class | ON | No | |
| CI Select 30i70e Managed Portfolio Corporate Class | Select 30i70e Managed Portfolio Corporate Class | ON | No | |
| CI Select 40i60e Managed Portfolio Corporate Class | Select 40i60e Managed Portfolio Corporate Class | ON | No | |
| CI Select 50i50e Managed Portfolio Corporate Class | Select 50i50e Managed Portfolio Corporate Class | ON | No | |
| CI Select 60i40e Managed Portfolio Corporate Class | Select 60i40e Managed Portfolio Corporate Class | ON | No | |
| CI Select 70i30e Managed Portfolio Corporate Class | Select 70i30e Managed Portfolio Corporate Class | ON | No | |
| CI Select 80i20e Managed Portfolio Corporate Class | Select 80i20e Managed Portfolio Corporate Class | ON | No | |
| CI Select Canadian Equity Corporate Class | Signature Select Canadian Corporate Class | ON | No | |
| CI Select Canadian Equity Fund | Signature Select Canadian Fund | ON | No | |
| CI Select Canadian Equity Managed Corporate Class | Select Canadian Equity Managed Corporate Class | ON | No | |
| CI Select Canadian Equity Managed Fund* | Select Canadian Equity Managed Fund | ON | No | |
| CI Select Canadian Equity Private Pool | | ON | No | |
| CI Select Global Equity Corporate Class | Signature Global Equity Corporate Class | ON | No | |
| CI Select Global Equity Fund | Signature Global Equity Fund | ON | No | |
| CI Select Global Equity Private Pool | CI Global Concentrated Equity Private Pool | ON | No | |
| CI Select Income Managed Corporate Class | Select Income Managed Corporate Class | ON | No | |
| CI Select International Equity Managed Corporate Class | Select International Equity Managed Corporate Class | ON | No | |
| CI Select International Equity Managed Fund | Select International Equity Managed Fund | ON | No | |
| CI Select Staging Fund | Select Staging Fund | ON | No | |
| CI Select U.S. Equity Managed Corporate Class | Select U.S. Equity Managed Corporate Class | ON | No | |
| CI Select U.S. Equity Managed Fund | Select U.S. Equity Managed Fund | ON | No | |
| CI Solana Fund | | ON | No | |
| CI Structured Premium Yield Fund | | ON | No | |
| CI Synergy American Corporate Class | Synergy American Corporate Class | ON | No | |
| CI Synergy American Fund | Synergy American Fund | ON | No | |
| CI Synergy Canadian Corporate Class | Synergy Canadian Corporate Class | ON | No | |
| CI Synergy Global Corporate Class | Synergy Global Corporate Class | ON | No | |
| CI Target 2028 Investment Grade Bond Fund | | ON | No | |
| CI Target 2029 Investment Grade Bond Fund | | ON | No | |
| CI Target 2030 Investment Grade Bond Fund | | ON | No | |
| CI Tech Giants Covered Call ETF | CI First Asset Tech Giants Covered Call ETF | ON | No | |
| CI Tech Giants Covered Call Fund | | ON | No | |
| CI U.S. & Canada Lifeco Covered Call ETF | CI U.S. & Canada Lifeco Income ETF | ON | No | |
| CI U.S. 1000 Index ETF | | ON | No | |
| CI U.S. 500 Index ETF | | ON | No | |
| CI U.S. Aggregate Bond Covered Call ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| CI U.S. Aggregate Bond Covered Call Fund | | ON | No | |
| CI U.S. Dividend Fund | Cambridge U.S. Dividend Fund | ON | No | |
| CI U.S. Dividend Registered Fund | Cambridge U.S. Dividend Registered Fund | ON | No | |
| CI U.S. Dividend US\$ Fund | Cambridge U.S. Dividend US\$ Fund | ON | No | |
| CI U.S. Enhanced Momentum Index ETF | | ON | No | |
| CI U.S. Enhanced Value Index Fund | CI U.S. Enhanced Value Index ETF | ON | No | |
| CI U.S. Equity Class | Sentry U.S. Growth and Income Class | ON | No | |
| CI U.S. Equity Currency Neutral Class* | Sentry U.S. Growth and Income Currency Neutral Class | ON | No | |
| CI U.S. Equity Fund | Sentry U.S. Growth and Income Fund | ON | No | |
| CI U.S. Equity Private Pool | | ON | No | |
| CI U.S. Equity Private Trust | Sentry U.S. Equity Income Private Trust | ON | No | |
| CI U.S. Income US\$ Fund | CI U.S. Income US\$ Pool | ON | No | |
| CI U.S. MidCap Dividend Index ETF | CI WisdomTree U.S. MidCap Dividend Index ETF | ON | No | |
| CI U.S. Minimum Downside Volatility Index ETF | | ON | No | |
| CI U.S. Money Market Corporate Class | CI Short-Term US\$ Corporate Class | ON | No | |
| CI U.S. Money Market ETF | | ON | No | |
| CI U.S. Money Market Fund | C.I. US Money Market Fund | ON | No | |
| CI U.S. Monthly Income Fund | CI U.S. Equity & Income Fund | ON | No | |
| CI U.S. Monthly Income Private Pool | | ON | No | |
| CI U.S. Quality Dividend Growth Index ETF | CI WisdomTree U.S. Quality Dividend Growth Index ETF | ON | No | |
| CI U.S. Quality Dividend Growth Index Fund | CI WisdomTree U.S. Quality Dividend Growth Index Fund | ON | No | |
| CI U.S. Small/Mid Cap Equity Corporate Class | CI American Small Companies Corporate Class | ON | No | |
| CI U.S. Small/Mid Cap Equity Fund | CI American Small Companies Fund | ON | No | |
| CI U.S. Small/Mid Cap Equity Private Pool | | ON | No | |
| CI U.S. Stock Selection Corporate Class | CI American Value Corporate Class | ON | No | |
| CI U.S. Stock Selection Fund | CI American Value Fund | ON | No | |
| CI U.S. Stock Selection Private Pool | | ON | No | |
| CI U.S. Treasury Inflation-linked Bond Index ETF (CAD Hedged) | | ON | No | |
| CI Utilities Giants Covered Call ETF | | ON | No | |
| CIBC 1-5 Year Laddered Investment Grade Bond Fund | | ON | No | |
| CIBC 1-5 Year U.S. Laddered Investment Grade Bond Fund | | ON | No | |
| CIBC 2026 Investment Grade Bond Fund | | ON | No | |
| CIBC 2026 U.S. Investment Grade Bond Fund | | ON | No | |
| CIBC 2027 Investment Grade Bond Fund | | ON | No | |
| CIBC 2027 U.S. Investment Grade Bond Fund | | ON | No | |
| CIBC 2028 Investment Grade Bond Fund | | ON | No | |
| CIBC 2028 U.S. Investment Grade Bond Fund | | ON | No | |
| CIBC 2029 Investment Grade Bond Fund | | ON | No | |
| CIBC 2029 U.S. Investment Grade Bond Fund | | ON | No | |
| CIBC 2030 Investment Grade Bond Fund | | ON | No | |
| CIBC 2030 U.S. Investment Grade Bond Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| CIBC 2031 Investment Grade Bond Fund | | ON | No | |
| CIBC 2031 U.S. Investment Grade Bond Fund | | ON | No | |
| CIBC Active Investment Grade Corporate Bond ETF | | ON | No | |
| CIBC Active Investment Grade Floating Rate Bond ETF | | ON | No | |
| CIBC All-Equity ETF Portfolio | | ON | No | |
| CIBC Alternative Credit Strategy | | ON | No | |
| CIBC Asia Pacific Fund | CIBC Far East Prosperity Fund | ON | No | |
| CIBC Asia Pacific Index Fund | | ON | No | |
| CIBC Balanced ETF Portfolio | CIBC Balanced Passive Portfolio | ON | No | |
| CIBC Balanced Fund | CIBC Balanced Income And Growth Fund | ON | No | |
| CIBC Balanced Growth ETF Portfolio | CIBC Balanced Growth Passive Portfolio | ON | No | |
| CIBC Balanced Index Fund | | ON | No | |
| CIBC Canadian Banks Covered Call ETF | | ON | No | |
| CIBC Canadian Bond Fund | | ON | No | |
| CIBC Canadian Bond Index ETF | | ON | No | |
| CIBC Canadian Bond Index Fund | | ON | No | |
| CIBC Canadian Equity Fund | CIBC Core Canadian Equity Fund | ON | No | |
| CIBC Canadian Equity Private Pool | Renaissance Canadian Equity Private Pool | ON | No | |
| CIBC Canadian Equity Value Fund | Canadian Imperial Equity Fund | ON | No | |
| CIBC Canadian Fixed Income Private Pool | Renaissance Canadian Fixed Income Private Pool | ON | No | |
| CIBC Canadian Government Long-Term Bond ETF | | ON | No | |
| CIBC Canadian High Dividend Covered Call ETF | | ON | No | |
| CIBC Canadian Index Fund | | ON | No | |
| CIBC Canadian Real Estate Fund | | ON | No | |
| CIBC Canadian Resources Fund | | ON | No | |
| CIBC Canadian Short-Term Bond Index ETF | | ON | No | |
| CIBC Canadian Short-Term Bond Index Fund | CIBC Canadian Short-Term Bond Fund | ON | No | |
| CIBC Canadian Small-Cap Fund | CIBC Capital Appreciation Fund | ON | No | |
| CIBC Canadian T-Bill Fund | CIBC Premium Canadian T-Bill Fund | ON | No | |
| CIBC Clean Energy Index ETF | | ON | No | |
| CIBC Conservative ETF Portfolio | CIBC Conservative Passive Portfolio | ON | No | |
| CIBC Conservative Fixed Income Pool | | ON | No | |
| CIBC Core Fixed Income Pool | | ON | No | |
| CIBC Core Plus Fixed Income Pool | | ON | No | |
| CIBC Diversified Fixed Income Fund | | ON | No | |
| CIBC Dividend Growth Fund | CIBC Dividend Fund | ON | No | |
| CIBC Dividend Income Fund | CIBC Diversified Income Fund | ON | No | |
| CIBC Emerging Markets Equity Private Pool | Renaissance Emerging Markets Equity Private Pool | ON | No | |
| CIBC Emerging Markets Fund | CIBC Emerging Economies Fund | ON | No | |
| CIBC Emerging Markets Index Fund | | ON | No | |
| CIBC Emerging Markets Local Currency Bond Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| CIBC Energy Fund | | ON | No | |
| CIBC Equity Income Private Pool | Renaissance Equity Income Private Pool | ON | No | |
| CIBC European Equity Fund | | ON | No | |
| CIBC European Index Fund | | ON | No | |
| CIBC Financial Companies Fund | | ON | No | |
| CIBC Flexible Yield ETF (CAD-Hedged) | | ON | No | |
| CIBC Global Bond ex-Canada Index ETF (CAD-Hedged) | | ON | No | |
| CIBC Global Bond Fund | | ON | No | |
| CIBC Global Bond Index Fund | | ON | No | |
| CIBC Global Bond Private Pool | Renaissance Global Bond Private Pool | ON | No | |
| CIBC Global Credit Fund | | ON | No | |
| CIBC Global Equity Fund | | ON | No | |
| CIBC Global Equity Private Pool | Renaissance Global Equity Private Pool | ON | No | |
| CIBC Global Growth Balanced Fund | | ON | No | |
| CIBC Global Growth ETF | | ON | No | |
| CIBC Global Monthly Income Fund | | ON | No | |
| CIBC Graduation Portfolio | | ON | No | |
| CIBC Income Advantage Fund | | ON | No | |
| CIBC International Equity ETF | | ON | No | |
| CIBC International Equity Fund | CIBC Disciplined International Equity Fund | ON | No | |
| CIBC International Equity Private Pool | Renaissance International Equity Private Pool | ON | No | |
| CIBC International Index Fund | | ON | No | |
| CIBC International Small Companies Fund | | ON | No | |
| CIBC Managed Balanced Growth Portfolio | CIBX Managed Balanced Growth RRSP Portfolio | ON | No | |
| CIBC Managed Balanced Portfolio | CIBC Balanced Portfolio | ON | No | |
| CIBC Managed Growth Plus Portfolio | CIBC Managed Aggressive Growth Portfolio | ON | No | |
| CIBC Managed Growth Portfolio | CIBC Managed Growth RRSP Portfolio | ON | No | |
| CIBC Managed Income Plus Portfolio | CIBC Income Plus Portfolio | ON | No | |
| CIBC Managed Income Portfolio | CIBC Income Portfolio | ON | No | |
| CIBC Managed Monthly Income Balanced Portfolio | CIBC Managed Monthly Income And Growth Portfolio | ON | No | |
| CIBC Money Market Fund | | ON | No | |
| CIBC Monthly Income Fund | | ON | No | |
| CIBC MSCI Canada Equity Index ETF | CIBC Canadian Equity Index ETF | ON | No | |
| CIBC MSCI EAFE Equity Index ETF | CIBC International Equity Index ETF | ON | No | |
| CIBC MSCI EAFE Equity Index ETF (CAD-Hedged) | CIBC International Equity Index ETF (CAD-Hedged) | ON | No | |
| CIBC MSCI Emerging Markets Equity Index ETF | CIBC Emerging Markets Equity Index ETF | ON | No | |
| CIBC MSCI USA Equity Index ETF | CIBC U.S. Equity Index ETF | ON | No | |
| CIBC MSCI USA Equity Index ETF (CAD-Hedged) | CIBC U.S. Equity Index ETF (CAD-Hedged) | ON | No | |
| CIBC Multi-Asset Absolute Return Strategy | | ON | No | |
| CIBC Multi-Sector Fixed Income Private Pool | Renaissance Multi-Sector Fixed Income Private Pool | ON | No | |
| CIBC Nasdaq Index Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| CIBC Precious Metals Fund | | ON | No | |
| CIBC Premium Cash Management ETF | | ON | No | |
| CIBC Private Wealth Canadian Core Equity Pool | | ON | No | |
| CIBC Private Wealth Canadian Core Pool | | ON | No | |
| CIBC Private Wealth Canadian Dividend Growth Pool | | ON | No | |
| CIBC Private Wealth North American Yield Equity Pool | | ON | No | |
| CIBC Private Wealth North American Yield Pool | | ON | No | |
| CIBC Private Wealth U.S. Core Equity Pool | | ON | No | |
| CIBC Qx Canadian Low Volatility Dividend ETF | | ON | No | |
| CIBC Qx International Low Volatility Dividend ETF | | ON | No | |
| CIBC Qx U.S. Low Volatility Dividend ETF | | ON | No | |
| CIBC Real Assets Private Pool | Renaissance Real Assets Private Pool | ON | No | |
| CIBC Short-Term Income Fund | CIBC Mortgage and Short-Term Income Fund | ON | No | |
| CIBC Smart Balanced Growth Solution | | ON | No | |
| CIBC Smart Balanced Income Solution | | ON | No | |
| CIBC Smart Balanced Solution | | ON | No | |
| CIBC Smart Growth Solution | | ON | No | |
| CIBC Smart Income Solution | | ON | No | |
| CIBC Structured Equity Yield Fund | | ON | No | |
| CIBC Sustainable Balanced Growth Solution | | ON | No | |
| CIBC Sustainable Balanced Solution | | ON | No | |
| CIBC Sustainable Canadian Core Plus Bond Fund | CIBC ex. Fossil Fuel Canadian Core Plus Bond Fund | ON | No | |
| CIBC Sustainable Canadian Equity Fund | CIBC ex. Fossil Fuel Canadian Equity Fund | ON | No | |
| CIBC Sustainable Conservative Balanced Solution | | ON | No | |
| CIBC Sustainable Global Equity Fund | CIBC ex. Fossil Fuel Global Equity Fund | ON | No | |
| CIBC Target 2030 Education Portfolio | | ON | No | |
| CIBC Target 2035 Education Portfolio | | ON | No | |
| CIBC Target 2040 Education Portfolio | | ON | No | |
| CIBC Target 2045 Education Portfolio | | ON | No | |
| CIBC Technology Innovation Fund | CIBC Global Technology Fund | ON | No | |
| CIBC U.S. Broad Market Index Fund | CIBC U.S. Equity Index Fund | ON | No | |
| CIBC U.S. Dollar Income Advantage Fund | | ON | No | |
| CIBC U.S. Dollar Managed Balanced Portfolio | | ON | No | |
| CIBC U.S. Dollar Managed Growth Portfolio | | ON | No | |
| CIBC U.S. Dollar Managed Income Portfolio | | ON | No | |
| CIBC U.S. Dollar Money Market Fund | | ON | No | |
| CIBC U.S. Equity Currency Neutral Private Pool | Renaissance U.S. Equity Currency Neutral Private Pool | ON | No | |
| CIBC U.S. Equity Fund | CIBC Disciplined U.S. Equity Fund | ON | No | |
| CIBC U.S. Equity Private Pool | Renaissance U.S. Equity Private Pool | ON | No | |
| CIBC U.S. High Dividend Covered Call ETF | | ON | No | |
| CIBC U.S. Index Fund | CIBC U.S. Index RRSP Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--------------------------------|--------------|------------|-------------------|
| CIBC U.S. Small Companies Fund | CIBC U.S. Opportunities Fund | ON | No | |
| CIBC USD Premium Cash Management ETF | | ON | No | |
| Cineplex Inc. | | ON | No | |
| Cinram International Limited Partnership | | ON | No | |
| Cipher Pharmaceuticals Inc. | | ON | No | |
| Citadel Income Fund* | Crown Hill Fund | ON | No | |
| Cizzle Brands Corporation | | ON | No | |
| Clarke Inc. | 3442896 Canada Inc. | NS | No | |
| Clean Air Metals Inc. | Regency Gold Corp. | ON | No | |
| Clean Harbors, Inc. | | AB | No | |
| CleanTech Vanadium Mining Corp. | Flying Nickel Mining Corp. | BC | No | |
| Clear Sky Land Lease Communities Fund I | | ON | No | |
| CMP Next Edge 2025 Critical and Precious Metals Short Duration* | | ON | No | |
| CMP Next Edge 2026 Critical and Precious Metals Short Duration* | | ON | No | |
| CMP Next Edge Resource Class | Dundee Resource Class | ON | No | |
| CNH Capital Canada Receivables Trust | | ON | No | |
| Co-Op Atlantic | | NB | No | |
| Co-operators General Insurance Company | | ON | No | |
| Cobrun Mining Corporation | | ON | Yes | 1a,1b,1c,1d,1e,3 |
| Coelacanth Energy Inc. | | AB | No | |
| Cogeco Communications Inc. | Cogeco Cable Inc. | QC | No | |
| Cogeco Inc | | QC | No | |
| CohBar, Inc. | | BC | Yes | 1b,1c,1e |
| Coinbase (COIN) Yield Shares Purpose ETF | | ON | No | |
| Colabor Group Inc. | ConjuChem Biotechnologies Inc. | QC | Yes | 1a,1c,1d,1e,3 |
| Collective Mining Ltd. | | ON | No | |
| Colliers International Group Inc. | FirstService Corporation | ON | No | |
| Colossus Minerals Inc. | | ON | Yes | 1a,1b,1c,1d,1e,3 |
| Coloured Ties Capital Inc. | GrowMax Resources Corp. | BC | No | |
| Cominar Real Estate Investment Trust | | QC | No | |
| Communicorp Corporation | Great Lakes Minerals Inc. | ON | Yes | 1a,1b |
| Compass Balanced Growth Portfolio | | AB | No | |
| Compass Balanced Portfolio | | AB | No | |
| Compass Conservative Balanced Portfolio | | AB | No | |
| Compass Conservative Portfolio | | AB | No | |
| Compass Growth Portfolio | | AB | No | |
| Compass Maximum Growth Portfolio | | AB | No | |
| Concert Industries LTD. | | BC | No | |
| Condor Energies Inc. | Condor Petroleum Inc. | AB | No | |
| Conifex Timber Inc. | | BC | No | |
| ConjuChem Biotechnologies Inc. | | QC | No | |
| Conservative Income Portfolio | | ON | No | |
| Conservative Monthly Income Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Constellation Copper Corporation | | ON | No | |
| Constellation Software Inc. | | ON | No | |
| CopAur Minerals Inc. | | BC | No | |
| Copper Giant Resources Corp. | Libero Copper & Gold Corporation | BC | No | |
| Copper One Resources Corp. | Giant Mining Corp. | BC | No | |
| Corton Enhanced Income Fund | | ON | No | |
| CORUS Entertainment Inc. | | ON | No | |
| COSCIENS Biopharma Inc. | AEterna Zentaris Inc. | ON | No | |
| Costco (COST) Yield Shares Purpose ETF | | ON | No | |
| Counsel All Equity Portfolio | | ON | No | |
| Counsel Balanced Portfolio | | ON | No | |
| Counsel Canadian Core Fixed Income* | | ON | No | |
| Counsel Canadian Dividend | | ON | No | |
| Counsel Canadian Growth | | ON | No | |
| Counsel Canadian Value | | ON | No | |
| Counsel Conservative Income Portfolio | | ON | No | |
| Counsel Conservative Portfolio | | ON | No | |
| Counsel Core Fixed Income | IPC Private Wealth Visio Core Fixed Income | ON | No | |
| Counsel Essentials Balanced Portfolio | IPC Essentials Balanced Portfolio | ON | No | |
| Counsel Essentials Growth Portfolio | IPC Essentials Growth Portfolio | ON | No | |
| Counsel Essentials Income Portfolio | IPC Essentials Income Portfolio | ON | No | |
| Counsel Fixed Income | | ON | No | |
| Counsel Focus Balanced Portfolio | IPC Focus Balanced Portfolio | ON | No | |
| Counsel Focus Conservative Portfolio | IPC Focus Conservative Portfolio | ON | No | |
| Counsel Focus Equity Portfolio | | ON | No | |
| Counsel Focus Growth Portfolio | IPC Focus Growth Portfolio | ON | No | |
| Counsel Global Dividend | | ON | No | |
| Counsel Global Fixed Income | | ON | No | |
| Counsel Global Income & Growth Portfolio | IPC Global Income & Growth Portfolio | ON | No | |
| Counsel Global Listed Private Equity Pool* | | ON | No | |
| Counsel Global Real Estate | | ON | No | |
| Counsel Global Small Cap | Counsel Select Small Cap | ON | No | |
| Counsel Growth Portfolio | | ON | No | |
| Counsel High Interest Savings Fund | IPC High Interest Savings Fund | ON | No | |
| Counsel High Yield Fixed Income | | ON | No | |
| Counsel International Growth | | ON | No | |
| Counsel Money Market | | ON | No | |
| Counsel Monthly Income Portfolio | IPC Monthly Income Portfolio | ON | No | |
| Counsel Multi-Factor Canadian Equity | IPC Multi-Factor Canadian Equity | ON | No | |
| Counsel Multi-Factor International Equity | IPC Multi-Factor International Equity | ON | No | |
| Counsel Multi-Factor U.S. Equity | IPC Multi-Factor U.S. Equity | ON | No | |
| Counsel North American Equity High Income | IPC Private Wealth Visio North American Equity High Income | ON | No | |
| Counsel North American High Yield Bond | | ON | No | |
| Counsel Short Term Bond | | ON | No | |

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|---|--------------------------------------|--------------|------------|-------------------|
| Counsel U.S. Growth | | ON | No | |
| Counsel U.S. Growth Equity | | ON | No | |
| Counterpoint Global CIBC Global Permanence ETF | | ON | No | |
| Counterpoint Global CIBC International Permanence ETF | | ON | No | |
| Counterpoint Global CIBC U.S. Large Cap Growth ETF | | ON | No | |
| Counterpoint Global CIBC U.S. Small Cap Growth ETF | | ON | No | |
| Coveo Solutions Inc. | | QC | No | |
| CPI Card Group Inc. | | BC | No | |
| CPI Plastics Group Limited | | ON | No | |
| CRAFT 1861 Global Holdings Inc. | BGP Acquisition Corp. | ON | Yes | 1a,1b,1c,1d,1e,3 |
| Crailar Technologies Inc. | Naturally Advanced Technologies Inc. | BC | No | |
| Crescita Therapeutics Inc. | | QC | No | |
| Cresco Labs Inc. | | BC | No | |
| Criterium Energy Ltd. | | AB | No | |
| Critical Elements Lithium Corporation | | QC | No | |
| Crombie Real Estate Investment Trust | | NS | No | |
| Cronos Group Inc. | PharmaCan Capital Corp. | ON | No | |
| Crown Capital Partners Inc. | | AB | No | |
| Crown Point Energy Inc. | Crown Point Ventures Ltd. | AB | No | |
| CRS Preferred NT Ltd.* | | ON | Yes | 1a,1b |
| Crystallex International Corporation | | ON | No | |
| CST Spark 2026 Education Portfolio | | ON | No | |
| CST Spark 2029 Education Portfolio | | ON | No | |
| CST Spark 2032 Education Portfolio | | ON | No | |
| CST Spark 2035 Education Portfolio | | ON | No | |
| CST Spark 2038 Education Portfolio | | ON | No | |
| CST Spark 2041 Education Portfolio | | ON | No | |
| CST Spark 2044 Education Portfolio | | ON | No | |
| CST Spark Graduation Portfolio | | ON | No | |
| CT Real Estate Investment Trust | | ON | No | |
| CU Inc. | | AB | No | |
| CubicFarm Systems Corp. | | BC | Yes | 1a,1b,1c,1e,3 |
| Culico Metals Inc. | | ON | No | |
| Curaleaf Holdings, Inc. | Lead Ventures Inc. | BC | No | |
| CVF Technologies Corporation | CVF Corporation | ON | Yes | 1a,1c,1e,3 |
| CWB Onyx Balanced Solution | | AB | No | |
| CWB Onyx Canadian Equity Fund | | AB | No | |
| CWB Onyx Conservative Solution | | AB | No | |
| CWB Onyx Diversified Income Fund | | AB | No | |
| CWB Onyx Global Equity Fund | | AB | No | |
| CWB Onyx Growth Solution | | AB | No | |
| CWB Onyx North American Equity Fund | CWB Core Equity Fund | AB | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Cybin Inc. | | ON | No | |
| Cymat Technologies Ltd. | Cymat Corp. | ON | No | |
| Cymbria Corporation | | ON | No | |
| D-Box Technologies Inc. | | QC | No | |
| D2L Inc. | | ON | No | |
| Danier Leather Inc. | Royal Leather Goods Limited | ON | No | |
| DATA Communications Management Corp. | Data Group Ltd. | ON | No | |
| Davie Yards Inc. | | QC | No | |
| Decibel Cannabis Company Inc. | Westleaf Inc. | AB | No | |
| Decisive Dividend Corporation | | BC | No | |
| DeepSpatial Inc. | Aylen Capital Inc. | ON | Yes | 1a,1c,1e,3 |
| Defense Metals Corp. | | BC | No | |
| DeFi Technologies Inc. | Valour Inc. | ON | No | |
| Defiance Silver Corp. | | BC | No | |
| Definity Financial Corporation | | ON | No | |
| Definium Therapeutics, Inc. | Mind Medicine (MindMed) Inc. | BC | No | |
| DEFSEC TECHNOLOGIES INC. | KWESST Micro Systems Inc. | ON | No | |
| Delivra Health Brands Inc. | Harvest One Cannabis Inc. | BC | No | |
| Denison Mines Corp. | International Uranium Corporation | ON | No | |
| Denninghouse Inc. | A Buck Or Two Stores Corporation | ON | Yes | 1a,1b,3 |
| Desert Mountain Energy Corp. | | BC | No | |
| Desjardins 1-5 year Laddered Canadian Corporate Bond Index ETF | | QC | No | |
| Desjardins 1-5 year Laddered Canadian Government Bond Index ETF | | QC | No | |
| Desjardins Absolute Return Global Equity Markets ETF | Desjardins Alt Long/Short Global Equity Markets ETF | QC | No | |
| Desjardins Active Strategy Aggressive Portfolio | Melodia Maximum Growth Portfolio | QC | No | |
| Desjardins Active Strategy Balanced Portfolio | Melodia Diversified Growth Portfolio | QC | No | |
| Desjardins Active Strategy Conservative Portfolio | Chorus II Conservative Low Volatility Portfolio | QC | No | |
| Desjardins Active Strategy Global Equity Portfolio | Melodia 100 per cent Equity Growth Portfolio | QC | No | |
| Desjardins Active Strategy Growth Portfolio | Melodia Balanced Growth Portfolio | QC | No | |
| Desjardins Active Strategy Moderate Portfolio | Chorus II Moderate Low Volatility Portfolio | QC | No | |
| Desjardins Agressive ETF Portfolio | Wise Aggressive ETF Portfolio | QC | No | |
| Desjardins American Equity Fund | | QC | No | |
| Desjardins American Equity Growth Currency Neutral Fund | | QC | No | |
| Desjardins American Equity Growth Fund | Desjardins CI Value Trust Corporate Class Fund | QC | No | |
| Desjardins American Equity Index ETF | | QC | No | |
| Desjardins American Equity Value Fund | | QC | No | |
| Desjardins American Mid Cap Equity Index ETF | | QC | No | |
| Desjardins Balanced ETF Portfolio | Wise Balanced 50 ETF Portfolio | QC | No | |
| Desjardins Canadian Bond Fund | Desjardins Bond Fund | QC | No | |
| Desjardins Canadian Corporate Bond Fund | | QC | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Desjardins Canadian Corporate Bond Index ETF | | QC | No | |
| Desjardins Canadian Equity Focused Fund | Desjardins Canadian Equity Value Fund | QC | No | |
| Desjardins Canadian Equity Fund | | QC | No | |
| Desjardins Canadian Equity Income Fund | | QC | No | |
| Desjardins Canadian Equity Index ETF | | QC | No | |
| Desjardins Canadian Equity Plus Fund | | QC | No | |
| Desjardins Canadian Preferred Share Fund | | QC | No | |
| Desjardins Canadian Preferred Share Index ETF | | QC | No | |
| Desjardins Canadian Short Term Bond Index ETF | | QC | No | |
| Desjardins Canadian Small Cap Equity Fund | Desjardins Growth Fund | QC | No | |
| Desjardins Canadian Universe Bond Index ETF | | QC | No | |
| Desjardins Conservative ETF Portfolio | Wise Conservative ETF Portfolio | QC | No | |
| Desjardins Dividend Balanced Fund | Desjardins Dividend Income Fund | QC | No | |
| Desjardins Dividend Growth Fund | | QC | No | |
| Desjardins Emerging Markets Bond Fund | | QC | No | |
| Desjardins Emerging Markets Equity Index ETF | Desjardins Emerging Market Equity Index ETF | QC | No | |
| Desjardins Emerging Markets Fund | | QC | No | |
| Desjardins Emerging Markets Opportunities Fund | | QC | No | |
| Desjardins Enhanced Bond Fund | | QC | No | |
| Desjardins Floating Rate Income Fund | | QC | No | |
| Desjardins Fundamental Global Equity Fund | | QC | No | |
| Desjardins Global Balanced Growth Fund | Desjardins Tactical Balanced Fund | QC | No | |
| Desjardins Global Balanced Strategic Income Fund | | QC | No | |
| Desjardins Global Corporate Bond Fund | | QC | No | |
| Desjardins Global Dividend Fund | Desjardins Global Equity Value Fund | QC | No | |
| Desjardins Global Equity ETF Portfolio | Wise 100 per cent Equity ETF Portfolio | QC | No | |
| Desjardins Global Equity Fund | | QC | No | |
| Desjardins Global Equity Growth Fund | | QC | No | |
| Desjardins Global Government Bond Index ETF | | QC | No | |
| Desjardins Global Government Bond Index Fund | | QC | No | |
| Desjardins Global High Yield Bond Fund | | QC | No | |
| Desjardins Global Infrastructure Fund | | QC | No | |
| Desjardins Global Macro ETF | | QC | No | |
| Desjardins Global Managed Bond Fund | | QC | No | |
| Desjardins Global Opportunities Fund | | QC | No | |
| Desjardins Global Small Cap Equity Fund | Desjardins Fidelity Small Cap America Fund | QC | No | |
| Desjardins Global Tactical Bond Fund | | QC | No | |
| Desjardins Global Total Return Bond Fund | Desjardins Global Inflation Linked Bond Fund | QC | No | |
| Desjardins Growth ETF Portfolio | Wise Growth ETF Portfolio | QC | No | |
| Desjardins International Equity Index ETF | | QC | No | |
| Desjardins International Equity Value Fund | | QC | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Desjardins Market Neutral ETF | Desjardins Alt Long/Short Equity Market Neutral ETF | QC | No | |
| Desjardins Market Neutral ETF Fund | Desjardins Alt Long/Short Equity Market Neutral ETF Fund | QC | No | |
| Desjardins Moderate ETF Portfolio | Wise Moderate ETF Portfolio | QC | No | |
| Desjardins Money Market Fund | | QC | No | |
| Desjardins Overseas Equity Fund | Desjardins Overseas Equity Value Fund | QC | No | |
| Desjardins Overseas Equity Growth Fund | | QC | No | |
| Desjardins Quebec Balanced Fund | Desjardins Quebec Fund | QC | No | |
| Desjardins Quebec Equity ETF | | QC | No | |
| Desjardins RI Active Canadian Bond - Net-Zero Emissions Pathway ETF | Desjardins RI Active Canadian Bond - Low CO2 ETF | QC | No | |
| Desjardins RI Canada - Net-Zero Emissions Pathway ETF | Desjardins RI Canada - Low CO2 Index ETF | QC | No | |
| Desjardins RI Canada Multifactor - Net-Zero Emissions Pathway ETF | Desjardins RI Canada Multifactor - Low CO2 ETF | QC | No | |
| Desjardins RI Developed ex-USA ex-Canada - Net-Zero Emissions | Desjardins RI Developed ex-USA ex-Canada - Low CO2 Index ETF | QC | No | |
| Desjardins RI Developed ex-USA ex-Canada Multifactor - | Desjardins RI Developed ex-USA ex-Canada Multifactor - Low CO2 ETF | QC | No | |
| Desjardins RI Emerging Markets - Net-Zero Emissions | Desjardins RI Emerging Markets - Low CO2 Index ETF | QC | No | |
| Desjardins RI Emerging Markets Multifactor - Net-Zero Emissions | Desjardins RI Emerging Markets Multifactor - Low CO2 ETF | QC | No | |
| Desjardins RI Global Multifactor - Fossil Fuel Reserves Free ETF | | QC | No | |
| Desjardins RI USA - Net-Zero Emissions Pathway ETF | Desjardins RI USA - Low CO2 Index ETF | QC | No | |
| Desjardins RI USA Multifactor - Net-Zero Emissions Pathway ETF | Desjardins RI USA Multifactor - Low CO2 ETF | QC | No | |
| Desjardins Short-Term Income Fund | Desjardins Mortgage Fund | QC | No | |
| Desjardins Sustainable Aggressive Portfolio | Desjardins Sustainable Maximum Growth Portfolio | QC | No | |
| Desjardins Sustainable American Equity Fund | Desjardins SocieTerra American Equity Fund | QC | No | |
| Desjardins Sustainable Balanced Portfolio | SocieTerra Balanced Portfolio | QC | No | |
| Desjardins Sustainable Canadian Bond Fund | Desjardins SocieTerra Canadian Bond Fund | QC | No | |
| Desjardins Sustainable Canadian Corporate Bond Fund | | QC | No | |
| Desjardins Sustainable Canadian Equity Fund | Desjardins SocieTerra Canadian Equity Fund | QC | No | |
| Desjardins Sustainable Canadian Equity Plus Fund | | QC | No | |
| Desjardins Sustainable Cleantech Fund | Desjardins SocieTerra Cleantech Fund | QC | No | |
| Desjardins Sustainable Conservative Portfolio | SocieTerra Conservative Portfolio | QC | No | |
| Desjardins Sustainable Diversity Fund | Desjardins SocieTerra Diversity Fund | QC | No | |
| Desjardins Sustainable Emerging Markets Bond Fund | Desjardins SocieTerra Emerging Markets Bond Fund | QC | No | |
| Desjardins Sustainable Emerging Markets Equity Fund | Desjardins SocieTerra Emerging Markets Equity Fund | QC | No | |
| Desjardins Sustainable Environmental Bond Fund | Desjardins SocieTerra Environmental Bond Fund | QC | No | |
| Desjardins Sustainable Fixed Income Portfolio | SocieTerra Fixed Income Portfolio | QC | No | |
| Desjardins Sustainable Global Balanced Fund | Desjardins SocieTerra Global Balanced Fund | QC | No | |
| Desjardins Sustainable Global Bond Fund | Desjardins SocieTerra Global Bond Fund | QC | No | |
| Desjardins Sustainable Global Corporate Bond Fund | Desjardins SocieTerra Global Corporate Bond Fund | QC | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Desjardins Sustainable Global Dividend Fund | Desjardins SocieTerra Global Dividend Fund | QC | No | |
| Desjardins Sustainable Global Equity Portfolio | Desjardins Sustainable 100 % Equity Portfolio | QC | No | |
| Desjardins Sustainable Global Opportunities Fund | Desjardins SocieTerra Global Opportunities Fund | QC | No | |
| Desjardins Sustainable Growth Portfolio | SocieTerra Growth Portfolio | QC | No | |
| Desjardins Sustainable International EquityFund | Desjardins SocieTerra International Equity Fund | QC | No | |
| Desjardins Sustainable Moderate Portfolio | SocieTerra Moderate Portfolio | QC | No | |
| Desjardins Sustainable Positive Change Fund | Desjardins SocieTerra Positive Change Fund | QC | No | |
| Desjardins Sustainable Short-Term Income Fund | Desjardins SocieTerra Short-Term Income Fund | QC | No | |
| Desjardins Tactical Asset Allocation Fund | | QC | No | |
| Desjardins Target 2026 Investment Grade Bond Fund | | QC | No | |
| Desjardins Target 2027 Investment Grade Bond Fund | | QC | No | |
| Desjardins Target 2028 Investment Grade Bond Fund | | QC | No | |
| Desjardins Target 2029 Investment Grade Bond Fund | | QC | No | |
| Desjardins Target 2030 Investment Grade Bond Fund | | QC | No | |
| Desjardins US Investment Grade Corporate Bond Index ETF | | QC | No | |
| Deutsche Bank Aktiengesellschaft | | ON | No | |
| Deveron Corp. | Deveron UAS Corp. | ON | No | |
| Devine Entertainment Corporation | | ON | Yes | 1a,1b,1c,1e,3 |
| Devonian Health Group Inc | | QC | No | |
| Dexterra Group Inc. | Horizon North Logistics Inc. | ON | No | |
| DFA Canadian Core Equity Fund | | BC | No | |
| DFA Canadian Vector Equity Fund | | BC | No | |
| DFA Five-Year Global Fixed Income Fund | Five-Year Global Fixed Income Fund | BC | No | |
| DFA Global 40EQ-60FI Portfolio | DFA Global Conservative Fund | BC | No | |
| DFA Global 50EQ-50FI Portfolio | | BC | No | |
| DFA Global 60EQ-40FI Portfolio | DFA Global Balanced Fund | BC | No | |
| DFA Global 70EQ-30FI Portfolio | | BC | No | |
| DFA Global 80EQ-20FI Portfolio | | BC | No | |
| DFA Global Equity Portfolio | DFA Global Equity Fund | BC | No | |
| DFA Global Fixed Income Portfolio | | BC | No | |
| DFA Global Investment Grade Fixed Income Fund | | BC | No | |
| DFA Global Real Estate Securities Fund | | BC | No | |
| DFA Global Sustainability Core Equity Fund | | BC | No | |
| DFA Global Targeted Credit Fund | | BC | No | |
| DFA International Core Equity Fund | | BC | No | |
| DFA International Vector Equity Fund | DFA International Value Fund | BC | No | |
| DFA U.S. Core Equity Fund | DFA U.S. Applied Core Equity Fund | BC | No | |
| DFA U.S. Vector Equity Fund | DFA U.S. Value Fund | BC | No | |
| DFA World Equity Portfolio | | BC | No | |
| DGTL Holdings Inc. | Conscience Capital Inc. | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Digi Power X Inc. | Digihost Technology Inc. | ON | No | |
| Digital Asset Technologies Inc. | Eat & Beyond Global Holdings Inc. | BC | No | |
| Dinnerex Limited Partnership VIII | | ON | Yes | 1a,1b,1c,1e,3 |
| Dinnerex National III Limited Partnership | | ON | Yes | 1a,1b,1c,1e,3 |
| Dinnerex National IV Limited Partnership | | ON | Yes | 1a,1b,1c,1e,3 |
| Dion Entertainment Corp. | Patcor Capital Inc | BC | Yes | 1a,1b,3 |
| DIRTT Environmental Solutions Ltd. | | AB | No | |
| Discovery 2025 Short Duration LP* | | ON | No | |
| Discovery Air Inc. | | ON | No | |
| Discovery Silver Corp. | | ON | No | |
| Distinct Infrastructure Group Inc. | QE2 Acquisition Corp. | ON | No | |
| Diversified Royalty Corp. | BENEV Capital Inc. | BC | No | |
| Divestco Inc. | | AB | No | |
| Dividend 15 Split Corp. II* | | ON | No | |
| Dividend 15 Split Corp.* | | ON | No | |
| Dividend Growth Split Corp.* | | ON | No | |
| Dividend Select 15 Corp.* | | ON | No | |
| DMG Blockchain Solutions Inc. | Aim Explorations Ltd. | BC | No | |
| Docebo Inc. | | ON | No | |
| Dollarama Inc. | | QC | No | |
| Dolly Varden Silver Corporation | | BC | No | |
| Doman Building Materials Group Ltd. | CanWel Building Materials Group Ltd. | BC | No | |
| Doman Industries Limited | | BC | No | |
| Dominion Citrus Income Fund | | ON | No | |
| Donnelley Financial Solutions, Inc. | | ON | No | |
| Dorel Industries Inc. | | QC | No | |
| DPM Metals Inc. | DPM Metals Inc. | ON | No | |
| DragonWave Inc. | | ON | No | |
| Dream Impact Trust | Dream Hard Asset Alternatives Trust | ON | No | |
| Dream Industrial Real Estate Investment Trust | Dundee Industrial Real Estate Investment Trust | ON | No | |
| Dream Office Real Estate Investment Trust | Dundee Real Estate Investment Trust | ON | No | |
| Dream Summit Industrial LP | | NS | No | |
| DREAM Unlimited Corp. | | ON | No | |
| DRI Healthcare Trust | | ON | No | |
| Dundee Corporation | Dundee Bancorp Inc. | ON | No | |
| Dundee Energy Limited | Eurogas Corporation | ON | No | |
| Dye & Durham Limited | | ON | No | |
| Dynacor Group Inc. | | QC | No | |
| Dynamic Active Balanced ETF Portfolio | | ON | No | |
| Dynamic Active Bond ETF | | ON | No | |
| Dynamic Active Canadian Bond ETF | | ON | No | |
| Dynamic Active Canadian Dividend ETF | Dynamic iShares Active Canadian Dividend ETF | ON | No | |
| Dynamic Active Conservative ETF Portfolio | | ON | No | |
| Dynamic Active Core Bond Private Pool | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Dynamic Active Corporate Bond ETF | | ON | No | |
| Dynamic Active Credit Strategies Private Pool | | ON | No | |
| Dynamic Active Crossover Bond ETF | Dynamic iShares Active Crossover Bond ETF | ON | No | |
| Dynamic Active Discount Bond ETF | | ON | No | |
| Dynamic Active Emerging Markets ETF | | ON | No | |
| Dynamic Active Enhanced Yield Covered Options ETF | | ON | No | |
| Dynamic Active Global Dividend ETF | Dynamic iShares Active Global Dividend ETF | ON | No | |
| Dynamic Active Global Equity Income ETF | | ON | No | |
| Dynamic Active Global Financial Services ETF | Dynamic iShares Active Global Financial Services ETF | ON | No | |
| Dynamic Active Global Gold ETF | | ON | No | |
| Dynamic Active Global Infrastructure ETF | | ON | No | |
| Dynamic Active Growth ETF Portfolio | | ON | No | |
| Dynamic Active Income ETF Portfolio | | ON | No | |
| Dynamic Active Innovation and Disruption ETF | | ON | No | |
| Dynamic Active International Dividend ETF | | ON | No | |
| Dynamic Active International ETF | | ON | No | |
| Dynamic Active Mining Opportunities ETF | | ON | No | |
| Dynamic Active Multi-Crypto ETF | | ON | No | |
| Dynamic Active Preferred Shares ETF | Dynamic iShares Active Preferred Shares ETF | ON | No | |
| Dynamic Active Real Estate ETF | | ON | No | |
| Dynamic Active Tactical Bond ETF | Dynamic iShares Active Tactical Bond ETF | ON | No | |
| Dynamic Active U.S. Discount Bond ETF | | ON | No | |
| Dynamic Active U.S. Dividend ETF | Dynamic iShares Active U.S. Dividend ETF | ON | No | |
| Dynamic Active U.S. Equity ETF | | ON | No | |
| Dynamic Active U.S. Investment Grade Corporate Bond ETF | | ON | No | |
| Dynamic Active U.S. Mid-Cap ETF | Dynamic iShares Active U.S. Mid-Cap ETF | ON | No | |
| Dynamic Active Ultra Short Term Bond ETF | Dynamic Active Investment Grade Floating Rate ETF | ON | No | |
| Dynamic Advantage Bond Class | | ON | No | |
| Dynamic Advantage Bond Fund | Strategicnova World Convertible Debentures Fund | ON | No | |
| Dynamic Alpha Performance II Fund | | ON | No | |
| Dynamic Alternative Yield Class | | ON | No | |
| Dynamic Alternative Yield Fund | | ON | No | |
| Dynamic American Class | Dynamic American Value Class | ON | No | |
| Dynamic American Fund | Dynamic American Value Fund | ON | No | |
| Dynamic Asia Pacific Equity Fund | Dynamic Far East Value Fund | ON | No | |
| Dynamic Asset Allocation Private Pool | | ON | No | |
| Dynamic Blue Chip Balanced Fund | Dynamic Focus+ Balanced Fund | ON | No | |
| Dynamic Canadian Bond Fund | Dynamic Income Fund | ON | No | |
| Dynamic Canadian Dividend Fund | | ON | No | |
| Dynamic Canadian Equity Private Pool Class | | ON | No | |
| Dynamic Canadian Value Class | | ON | No | |
| Dynamic Conservative Yield Private Pool | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Dynamic Conservative Yield Private Pool Class | | ON | No | |
| Dynamic Corporate Bond Strategies Class | | ON | No | |
| Dynamic Corporate Bond Strategies Fund | | ON | No | |
| Dynamic Credit Absolute Return Fund | | ON | No | |
| Dynamic Credit Opportunities Fund | | ON | No | |
| Dynamic Diversified Inflation Focused Fund | Dynamic Diversified Real Asset Fund | ON | No | |
| Dynamic Dividend Advantage Class | | ON | No | |
| Dynamic Dividend Advantage Fund | Dynamic Dividend Value Fund | ON | No | |
| Dynamic Dividend Fund | | ON | No | |
| Dynamic Dividend Income Class | | ON | No | |
| Dynamic Dollar-Cost Averaging Fund | Dundee Dollar-Cost Averaging Fund | ON | No | |
| Dynamic Emerging Markets Equity Fund | | ON | No | |
| Dynamic Energy Evolution Fund | | ON | No | |
| Dynamic Energy Income Fund | Dynamic Focus+ Energy Income Trust Fund | ON | No | |
| Dynamic Equity Income Fund | Dynamic Focus+ Diversified Income Fund | ON | No | |
| Dynamic Financial Services Fund | Dynamic Focus+ Wealth Management Fund | ON | No | |
| Dynamic Global Asset Allocation Class | | ON | No | |
| Dynamic Global Asset Allocation Fund | Dynamic Global Value Balanced Fund | ON | No | |
| Dynamic Global Balanced Fund | | ON | No | |
| Dynamic Global Discovery Class | | ON | No | |
| Dynamic Global Discovery Fund | Dynamic U.S. Small Cap Value Fund | ON | No | |
| Dynamic Global Dividend Class | Dynamic Global Dividend Value Class | ON | No | |
| Dynamic Global Dividend Fund | Dynamic Global Dividend Value Fund | ON | No | |
| Dynamic Global Equity Fund | | ON | No | |
| Dynamic Global Equity Income Fund | | ON | No | |
| Dynamic Global Equity Private Pool Class | | ON | No | |
| Dynamic Global Fixed Income Fund | | ON | No | |
| Dynamic Global Growth Opportunities Fund | Dynamic Power Hedge Fund | ON | No | |
| Dynamic Global Infrastructure Class | | ON | No | |
| Dynamic Global Infrastructure Fund | | ON | No | |
| Dynamic Global Real Estate Fund | Dynamic Focus+ Real Estate Fund | ON | No | |
| Dynamic Global Small Cap Fund | | ON | No | |
| Dynamic Global Strategic Yield Fund | | ON | No | |
| Dynamic Global Value Fund | | ON | No | |
| Dynamic Global Yield Private Pool | | ON | No | |
| Dynamic Global Yield Private Pool Class | | ON | No | |
| Dynamic High Yield Bond Fund | Dynamic Canadian High Yield Bond Fund I | ON | No | |
| Dynamic Integrated Balanced Class Portfolio | Marquis Balanced Class Portfolio | ON | No | |
| Dynamic Integrated Balanced Growth Class Portfolio | Marquis Balanced Growth Class Portfolio | ON | No | |
| Dynamic Integrated Balanced Growth Portfolio | Marquis Balanced Growth Portfolio | ON | No | |
| Dynamic Integrated Balanced Income Portfolio | Marquis Balanced Income Portfolio | ON | No | |
| Dynamic Integrated Balanced Portfolio | Marquis Balanced Portfolio | ON | No | |
| Dynamic Integrated Equity Portfolio | Marquis Equity Portfolio | ON | No | |
| Dynamic Integrated Growth Portfolio | Marquis Growth Portfolio | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Dynamic International Discovery Fund | | ON | No | |
| Dynamic International Dividend Private Pool | | ON | No | |
| Dynamic International Equity Fund | Dynamic Global Value Fund | ON | No | |
| Dynamic Liquid Alternatives Private Pool | | ON | No | |
| Dynamic Money Market Class | | ON | No | |
| Dynamic Money Market Fund | | ON | No | |
| Dynamic Multi-Alternative PLUS Fund | | ON | No | |
| Dynamic North American Dividend Private Pool | | ON | No | |
| Dynamic Payout Portfolio* | | ON | No | |
| Dynamic Power American Growth Class | Dynamic Power U.S. Growth Class | ON | No | |
| Dynamic Power American Growth Fund | Dynamic Power American Fund | ON | No | |
| Dynamic Power Balanced Fund | Power Balanced Fund | ON | No | |
| Dynamic Power Canadian Growth Fund | Dynamic Canadian Growth Fund | ON | No | |
| Dynamic Power Global Balanced Class | | ON | No | |
| Dynamic Power Global Growth Class | Dynamic Power International Growth Class | ON | No | |
| Dynamic Power Global Growth Fund | | ON | No | |
| Dynamic Power Small Cap Fund | Strategicnova Canadian Small Cap Fund | ON | No | |
| Dynamic Precious Metals Fund | Dynamic Canadian Precious Metals Fund | ON | No | |
| Dynamic Preferred Yield Class | | ON | No | |
| Dynamic Premium Balanced Private Pool Class | Dynamic Alternative Managed Risk Private Pool Class | ON | No | |
| Dynamic Premium Bond Private Pool | | ON | No | |
| Dynamic Premium Bond Private Pool Class | | ON | No | |
| Dynamic Premium Yield Class | | ON | No | |
| Dynamic Premium Yield Fund | | ON | No | |
| Dynamic Premium Yield PLUS Fund | | ON | No | |
| Dynamic Real Estate & Infrastructure Income II Fund | | ON | No | |
| Dynamic Retirement Income Fund | Dynamic Retirement Income+ Fund | ON | No | |
| Dynamic Short Term Bond Fund | | ON | No | |
| Dynamic Short Term Credit PLUS Fund | | ON | No | |
| Dynamic Small Business Fund | Dynamic Focus+ Small Business Fund | ON | No | |
| Dynamic Strategic Energy Class | Dynamic Global Energy Class | ON | No | |
| Dynamic Strategic Gold Class | | ON | No | |
| Dynamic Strategic Mining Class | Dynamic Strategic Resource Class | ON | No | |
| Dynamic Strategic Yield Class | | ON | No | |
| Dynamic Strategic Yield Fund | | ON | No | |
| Dynamic Sustainable Credit Fund | Dynamic Sustainable Credit Private Pool | ON | No | |
| Dynamic Sustainable Equity Fund | | ON | No | |
| Dynamic Tactical Bond Private Pool | | ON | No | |
| Dynamic Total Return Bond Class | Dynamic Aurion Total Return Bond Class | ON | No | |
| Dynamic Total Return Bond Fund | Dynamic Aurion Total Return Bond Fund | ON | No | |
| Dynamic U.S. Balanced Class | Dynamic Blue Chip U.S. Balanced Class | ON | No | |
| Dynamic U.S. Equity Income Fund | | ON | No | |
| Dynamic U.S. Equity Private Pool Class | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Dynamic U.S. Strategic Yield Fund | Dynamic U.S. Monthly Income Fund | ON | No | |
| Dynamic Ultra Short Term Bond Fund | Dynamic Investment Grade Floating Rate Fund | ON | No | |
| Dynamic Value Balanced Class | | ON | No | |
| Dynamic Value Balanced Fund | Strategicnova Canadian Balanced Fund | ON | No | |
| Dynamic Value Fund of Canada | Dynamic Fund Of Canada | ON | No | |
| DynamicEdge Balanced Class Portfolio | | ON | No | |
| DynamicEdge Balanced Growth Class Portfolio | | ON | No | |
| DynamicEdge Balanced Growth Portfolio | | ON | No | |
| DynamicEdge Balanced Income Portfolio | Dynamic Strategic Income Portfolio | ON | No | |
| DynamicEdge Balanced Portfolio | | ON | No | |
| DynamicEdge Conservative Class Portfolio | | ON | No | |
| DynamicEdge Defensive Portfolio | | ON | No | |
| DynamicEdge Equity Class Portfolio | | ON | No | |
| DynamicEdge Equity Portfolio | | ON | No | |
| DynamicEdge Growth Class Portfolio | | ON | No | |
| DynamicEdge Growth Portfolio | | ON | No | |
| E Automotive Inc. | E Automotive Inc. d/b/a E Inc. | ON | No | |
| E Split Corp.* | | ON | No | |
| E-L Financial Corporation Limited | | ON | No | |
| E2Gold Inc. | | ON | Yes | 1a,1c,1e,3 |
| E3 Lithium Ltd. | E3 Metals Corp. | AB | No | |
| Eagle Credit Card Trust | | ON | No | |
| EarthLabs Inc. | Goldspot Discoveries Corp. | ON | No | |
| East Side Games Group Inc. | Leaf Mobile Inc. | BC | No | |
| Eastern Platinum Limited | | BC | No | |
| ECN Capital Corp. | | ON | No | |
| Eco Oro Minerals Corp. | Greystar Resources Ltd. | BC | No | |
| EcoSynthetix Inc. | | ON | No | |
| Eden Empire Inc. | | BC | Yes | 1a,1c,1e,3 |
| Edge Copper Corporation | | BC | No | |
| EdgePoint Canadian Growth & Income Portfolio | | ON | No | |
| EdgePoint Canadian Portfolio | | ON | No | |
| EdgePoint Global Growth & Income Portfolio | | ON | No | |
| EdgePoint Global Portfolio | | ON | No | |
| EdgePoint Monthly Income Portfolio | | ON | No | |
| eFunds Bloomberg U.S. Internet Index Fund* | | ON | Yes | 1a,1b,1c,3 |
| Eguana Technologies Inc. | Sustainable Energy Technologies Ltd. | AB | No | |
| EHP Alpha Strategies Alternative Fund | EHP Advantage Alternative Fund | ON | No | |
| EHP Select Alternative Fund | | ON | No | |
| EHP Strategic Income Alternative Fund | | ON | No | |
| EHP Tactical Growth Alternative Fund | | ON | No | |
| Elcora Advanced Materials Corp. | Elcora Resources Corp. | NS | No | |
| Eldorado Gold Corporation | | BC | No | |
| Electra Battery Materials Corporation | First Cobalt Corp. | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Electric Royalties Ltd. | | BC | No | |
| Electrovaya Inc. | Electrofuel Inc. | ON | No | |
| Element Fleet Management Corp. | Element Financial Corporation | ON | No | |
| Elemental Royalty Corporation | Elemental Altus Royalties Corp. | BC | No | |
| Elevate All-Equity Portfolio | | ON | No | |
| Elevate Balanced Growth Portfolio | | ON | No | |
| Elevate Balanced Portfolio | | ON | No | |
| Elevate Conservative Portfolio | | ON | No | |
| Elevate Service Group Inc. | AIM6 Ventures Inc. | ON | No | |
| Elevation Gold Mining Corporation | | BC | Yes | 1b,1c,1e |
| Elite Canadian Equity Income Pool | | QC | No | |
| Elite Core Canadian Equity Pool | | QC | No | |
| Elite Core Canadian Fixed Income Pool | | QC | No | |
| Elite Core Global Equity Pool | | QC | No | |
| Elite Core Plus Canadian Equity Pool | | QC | No | |
| Elite Core Plus Global Equity Pool | | QC | No | |
| Elite Core Plus Global Fixed Income Pool | | QC | No | |
| Elite Core Plus High Growth Unified Portfolio | | QC | No | |
| Elite Global Equity Income Pool | | QC | No | |
| Elite Income Balanced Unified Portfolio | | QC | No | |
| Elite Index Plus Balanced Unified Portfolio | | QC | No | |
| Elite Index Plus Canadian Equity Pool | | QC | No | |
| Elite Index Plus Canadian Fixed Income Pool | | QC | No | |
| Elite Index Plus Conservative Unified Portfolio | | QC | No | |
| Elite Index Plus Global Equity Pool | | QC | No | |
| Elite Index Plus High Growth Unified Portfolio | | QC | No | |
| Eloro Resources Ltd. | | ON | No | |
| Else Nutrition Holdings Inc. | | BC | No | |
| Elysian Mineral Exploration Corp. | Elysian Capital Corp. | BC | No | |
| Embark Select Conservative Plan | | ON | No | |
| Embark Student Plan | | ON | No | |
| Emblem Corp. | Saber Capital Corp. | ON | No | |
| Emera Incorporated | NS Power Holdings Incorporated_Effct 10/7/2000 | NS | No | |
| Emerge Commerce Ltd. | | ON | No | |
| Emerging Markets Equity Corporate Class | | ON | No | |
| Emerging Markets Equity Fund | Primus Capital Advisors Emerging Markets Equity Fund | ON | No | |
| Emerging Markets Equity Pool | | ON | No | |
| Empire Alliance Properties Inc. | Commonwealth Richmond Properties Inc | BC | Yes | 1a,1b,3 |
| Empire Company Limited | | NS | No | |
| Empire Exchangeco LTD. | | AB | Yes | 1a,1b,3 |
| Empress Royalty Corp. | | BC | No | |
| Enablence Technologies Inc. | | ON | No | |
| Enbridge Gas Inc. | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Enbridge Inc. | lpl Energy Inc. | AB | No | |
| Enbridge Pipelines Inc. | Interprovincial Pipe Line INC. | AB | No | |
| Encasa Canadian Bond Fund | | ON | No | |
| Encasa Canadian Short-Term Bond Fund | | ON | No | |
| Encasa Equity Fund | | ON | No | |
| enCore Energy Corp. | | BC | No | |
| Endeavour Mining plc | | BC | No | |
| Endeavour Silver Corp. | | BC | No | |
| Endo International plc | | QC | Yes | 1b,1c,1e |
| Enerflex Ltd. | | AB | No | |
| Energir Inc. | Gaz Metro Inc. | QC | No | |
| Energy Credit Opportunities Income Fund* | | ON | No | |
| Energy Fuels Inc. | Volanic Metals Exploration Inc. | ON | No | |
| Energy Income Fund* | Sustainable Production Energy Trust | ON | No | |
| Enhanced Income Portfolio | | ON | No | |
| EnQuest Energy Services Corp. | Sikanni Services LTD. | AB | No | |
| Enseco Energy Services Corp. | | AB | No | |
| Enterprise Group, Inc. | | AB | No | |
| Entheon Biomedical Corp. | | BC | No | |
| Enthusiast Gaming Holdings Inc. | | ON | No | |
| ENTREC Corporation | Entrec Transportation Services Ltd. | AB | No | |
| Entree Resources Ltd. | Entree Gold Inc. | BC | No | |
| EnWave Corporation | | BC | No | |
| EPCOR Utilities Inc. | | AB | No | |
| Epsilon Energy Ltd. | | AB | No | |
| EQ Inc. | Cyberplex Inc. | ON | No | |
| EQB Inc. | Equitable Group Inc. | ON | No | |
| Equinox Gold Corp. | Trek Mining Inc. | BC | No | |
| Erdene Resource Development Corporation | Erdene Gold Inc. | NS | No | |
| Ero Copper Corp. | | BC | No | |
| ESE Entertainment Inc. | | BC | Yes | 1a,1c,1e,3 |
| Eupraxia Pharmaceuticals Inc. | | BC | No | |
| Euro Sun Mining Inc. | Carpathian Gold Inc. | ON | No | |
| Eurogas International Inc. | | ON | Yes | 1a,1c,1e,3 |
| European Residential Real Estate Investment Trust | European Commercial Real Estate Investment Trust | ON | No | |
| Euston Capital Corp. | | ON | Yes | 1a,1b,1c,1e,3 |
| EV Nickel Inc. | | ON | No | |
| EverGen Infrastructure Corp. | | BC | No | |
| Evertz Technologies Limited | | ON | No | |
| EvokAI Creative Labs Inc. | | BC | Yes | 1a,1c,1e,3 |
| Evolve Active Canadian Preferred Share Fund | Evolve Active Canadian Preferred Share ETF | ON | No | |
| Evolve Active Core Fixed Income Fund | Evolve Active Core Fixed Income ETF | ON | No | |
| Evolve Active Global Fixed Income Fund | Evolve Active Global Fixed Income ETF | ON | No | |
| Evolve All-in-One UltraYield ETF* | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Evolve Artificial Intelligence Fund | | ON | No | |
| Evolve Automobile Innovation Index Fund | Evolve Automobile Innovation Index ETF | ON | No | |
| Evolve Big Six Canadian Banks UltraYield Index ETF* | | ON | No | |
| Evolve Bitcoin ETF | Bitcoin ETF | ON | No | |
| Evolve Canadian Aggregate Bond Enhanced Yield Fund | | ON | No | |
| Evolve Canadian Banks and Lifecos Enhanced Yield Index Fund | | ON | No | |
| Evolve Canadian Energy Enhanced Yield Index Fund | | ON | No | |
| Evolve Canadian Equity UltraYield ETF* | | ON | No | |
| Evolve Canadian Utilities Enhanced Yield Index Fund | | ON | No | |
| Evolve Cloud Computing Index Fund | | ON | No | |
| Evolve Cryptocurrencies ETF | | ON | No | |
| Evolve Cyber Security Index Fund | Evolve Cyber Security Index ETF | ON | No | |
| Evolve E-Gaming Index ETF | | ON | No | |
| Evolve Enhanced Yield Bond Fund | | ON | No | |
| Evolve Enhanced Yield Mid Term Bond Fund | | ON | No | |
| Evolve Ether ETF | Ether ETF | ON | No | |
| Evolve European Banks Enhanced Yield ETF | | ON | No | |
| Evolve FANGMA Index ETF | | ON | No | |
| Evolve Future Leadership Fund | | ON | No | |
| Evolve Global Healthcare Enhanced Yield Fund | Evolve Global Healthcare Enhanced Yield ETF | ON | No | |
| Evolve Global Materials & Mining Enhanced Yield Index ETF | | ON | No | |
| Evolve Innovation Index Fund | Evolve Innovation Index ETF | ON | No | |
| Evolve International Equity UltraYield ETF* | | ON | No | |
| Evolve Levered Bitcoin ETF | | ON | No | |
| Evolve Levered Ether ETF | | ON | No | |
| Evolve NASDAQ Technology Enhanced Yield Index Fund | | ON | No | |
| Evolve NASDAQ Technology Index Fund | | ON | No | |
| Evolve Royalties Ltd. | Voyageur Mineral Explorers Corp. | BC | No | |
| Evolve S&P 500® Enhanced Yield Fund | | ON | No | |
| Evolve S&P/TSX 60 Enhanced Yield Fund | | ON | No | |
| Evolve Solana ETF* | | ON | No | |
| Evolve US Banks Enhanced Yield Fund | Evolve US Banks Enhanced Yield ETF | ON | No | |
| Evolve US Equity UltraYield ETF* | | ON | No | |
| Evolve XRP ETF* | | ON | No | |
| Evolved Digital Systems Inc. | Itec-Mineral Inc. | QC | No | |
| Evovest Global Equity ETF* | | QC | No | |
| Exall Energy Corporation | | AB | No | |
| Excellon Resources Inc. | | ON | No | |
| Exchange Income Corporation | HMY Airways Inc. | MB | No | |
| Exemplar Global Growth and Income Fund | | ON | No | |
| Exemplar Growth and Income Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|------------------------------------|--------------|------------|-------------------|
| Exemplar Performance Fund | | ON | No | |
| Exponential Balanced Growth Fund | | ON | No | |
| Exro Technologies Inc. | | AB | Yes | 1b,1c,1e |
| Extencare Inc. | | ON | No | |
| Faircourt Gold Income Corp.* | | ON | No | |
| Fairfax Financial Holdings Limited | | ON | No | |
| Fairfax India Holdings Corporation* | | ON | No | |
| Falco Resources Ltd. | Falco Pacific Resource Group Inc. | QC | No | |
| Falcon Energy Materials plc | SRG Mining Inc. | QC | No | |
| Falcon Oil & Gas Ltd. | | BC | No | |
| Falcon Well Services Ltd | | AB | Yes | 1a,1b |
| Fantom Technologies Inc. | Iona Appliances Inc. | ON | Yes | 1a,1b,3 |
| Faraday Copper Corp. | | BC | No | |
| Fathom Nickel Inc. | | AB | No | |
| Fédération des caisses Desjardins du Québec | | QC | No | |
| Fennec Pharmaceuticals Inc. | Adherex Technologies Inc. | ON | No | |
| Feronia Inc. | G.T.M. Capital Corporation | ON | No | |
| Fidelity - IG Canadian Equity Pool* | | MB | No | |
| Fidelity Absolute Income Fund | | ON | No | |
| Fidelity Advanced U.S. Equity Fund | | ON | No | |
| Fidelity Advantage Bitcoin ETF Fund® | | ON | No | |
| Fidelity Advantage Bitcoin ETF® | | ON | No | |
| Fidelity Advantage Ether ETF® | | ON | No | |
| Fidelity Advantage Ether ETF® Fund | Fidelity Advantage Ether ETF Fund® | ON | No | |
| Fidelity All-American Equity ETF | | ON | No | |
| Fidelity All-American Equity ETF Fund | | ON | No | |
| Fidelity All-Canadian Equity ETF | | ON | No | |
| Fidelity All-Canadian Equity ETF Fund | | ON | No | |
| Fidelity All-in-One Balanced ETF | | ON | No | |
| Fidelity All-in-One Balanced ETF Fund | | ON | No | |
| Fidelity All-in-One Conservative ETF | | ON | No | |
| Fidelity All-in-One Conservative ETF Fund | | ON | No | |
| Fidelity All-in-One Conservative Income ETF | | ON | No | |
| Fidelity All-in-One Conservative Income ETF Fund | | ON | No | |
| Fidelity All-in-One Equity ETF | | ON | No | |
| Fidelity All-in-One Equity ETF Fund | | ON | No | |
| Fidelity All-in-One Fixed Income ETF | | ON | No | |
| Fidelity All-in-One Fixed Income ETF Fund | | ON | No | |
| Fidelity All-in-One Growth ETF | | ON | No | |
| Fidelity All-in-One Growth ETF Fund | | ON | No | |
| Fidelity All-International Equity ETF | | ON | No | |
| Fidelity All-International Equity ETF Fund | | ON | No | |
| Fidelity Alternative Bond Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Fidelity American Balanced Currency Neutral Fund | | ON | No | |
| Fidelity American Balanced Fund | | ON | No | |
| Fidelity American Disciplined Equity® Class | | ON | No | |
| Fidelity American Disciplined Equity® Currency Neutral Class | | ON | No | |
| Fidelity American Disciplined Equity® Fund | | ON | No | |
| Fidelity American Equity Class | Fidelity American Value Class | ON | No | |
| Fidelity American Equity Currency Neutral Class | | ON | No | |
| Fidelity American Equity Currency Neutral Multi-Asset Base Fund | | ON | No | |
| Fidelity American Equity Fund | Fidelity American Value Fund | ON | No | |
| Fidelity American Equity Multi-Asset Base Fund | | ON | No | |
| Fidelity American High Yield Currency Neutral Fund | Fidelity American High Yield Currency Hedged Fund | ON | No | |
| Fidelity American High Yield Currency Neutral Multi-Asset Base Fund | | ON | No | |
| Fidelity American High Yield Fund | Fidelity Emerging Markets Bond Fund | ON | No | |
| Fidelity American High Yield Multi-Asset Base Fund | | ON | No | |
| Fidelity AsiaStar® Class | | ON | No | |
| Fidelity AsiaStar® Fund | Fidelity Asia Pacific Fund | ON | No | |
| Fidelity Asset Allocation Currency Neutral Private Pool | Fidelity Tactical Asset Allocation Currency Neutral Private Pool | ON | No | |
| Fidelity Asset Allocation Private Pool | Fidelity Tactical Asset Allocation Private Pool | ON | No | |
| Fidelity Asset Allocation Private Pool Trust | | ON | No | |
| Fidelity Balanced Class Portfolio | Fidelity Portfolio Class Model 3 | ON | No | |
| Fidelity Balanced Currency Neutral Private Pool | | ON | No | |
| Fidelity Balanced Income Currency Neutral Private Pool | | ON | No | |
| Fidelity Balanced Income Private Pool | Fidelity Balanced Income Pool | ON | No | |
| Fidelity Balanced Income Private Pool Trust | | ON | No | |
| Fidelity Balanced Managed Risk Portfolio | Fidelity Multi-Asset Balanced Fund | ON | No | |
| Fidelity Balanced Portfolio | Fidelity Portfolio Fund Model 3 | ON | No | |
| Fidelity Balanced Private Pool | Fidelity Balanced Pool | ON | No | |
| Fidelity Balanced Private Pool Trust | | ON | No | |
| Fidelity Blue Chip Growth Multi-Asset Base Fund | | ON | No | |
| Fidelity Canadian Asset Allocation Class | | ON | No | |
| Fidelity Canadian Asset Allocation Fund | | ON | No | |
| Fidelity Canadian Balanced Class | | ON | No | |
| Fidelity Canadian Balanced Fund | | ON | No | |
| Fidelity Canadian Bond Fund | Fidelity Government Bond Fund | ON | No | |
| Fidelity Canadian Bond Multi-Asset Base Fund | | ON | No | |
| Fidelity Canadian Core Equity Fund | | ON | No | |
| Fidelity Canadian Disciplined Equity® Class | Fidelity Disciplined Equity Class | ON | No | |
| Fidelity Canadian Disciplined Equity® Fund | Fidelity Disciplined Equity Fund | ON | No | |
| Fidelity Canadian Equity Multi-Asset Base Fund | Fidelity Canadian Equity Investment Trust | ON | No | |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Fidelity Canadian Equity Private Pool | Fidelity Canadian Equity Pool | ON | No | |
| Fidelity Canadian Focused Equity Multi-Asset Base Fund | Fidelity Canadian Focused Equity Investment Trust | ON | No | |
| Fidelity Canadian Fundamental Equity Multi-Asset Base Fund | | ON | No | |
| Fidelity Canadian Government Long Bond Index Multi-Asset Base Fund | | ON | No | |
| Fidelity Canadian Growth Company Class | | ON | No | |
| Fidelity Canadian Growth Company Fund | Fidelity Growth Company Fund | ON | No | |
| Fidelity Canadian High Dividend ETF | Fidelity Canadian High Dividend Index ETF | ON | No | |
| Fidelity Canadian High Dividend ETF Fund | Fidelity Canadian High Dividend Index ETF Fund | ON | No | |
| Fidelity Canadian High Quality ETF | Fidelity Canadian High Quality Index ETF | ON | No | |
| Fidelity Canadian Large Cap Class | | ON | No | |
| Fidelity Canadian Large Cap Fund | Fidelity Capital Builder Fund | ON | No | |
| Fidelity Canadian Large Cap Multi-Asset Base Fund | | ON | No | |
| Fidelity Canadian Long/Short Alternative Fund | | ON | No | |
| Fidelity Canadian Low Volatility ETF | Fidelity Canadian Low Volatility Index ETF | ON | No | |
| Fidelity Canadian Momentum ETF | Fidelity Canadian Momentum ETF | ON | No | |
| Fidelity Canadian Money Market Fund | Fidelity Canadian Short Term Asset Fund | ON | No | |
| Fidelity Canadian Money Market Investment Trust | | ON | No | |
| Fidelity Canadian Monthly High Income ETF | | ON | No | |
| Fidelity Canadian Monthly High Income ETF Fund | | ON | No | |
| Fidelity Canadian Opportunities Class | | ON | No | |
| Fidelity Canadian Opportunities Fund | Fidelity Canadian Aggressive Fund | ON | No | |
| Fidelity Canadian Real Return Bond Index Multi-Asset Base Fund | Fidelity Canadian Real Return Bond Index Investment Trust | ON | No | |
| Fidelity Canadian Short Term Bond Fund | Fidelity Canadian Income Fund | ON | No | |
| Fidelity Canadian Short Term Corporate Bond ETF | | ON | No | |
| Fidelity Canadian Short Term Corporate Bond ETF Fund | | ON | No | |
| Fidelity Canadian Short Term Fixed Income Multi-Asset Base Fund | Fidelity Canadian Short Term Fixed Income Investment Trust | ON | No | |
| Fidelity Canadian Short Term Income Class | | ON | No | |
| Fidelity Canadian Value ETF | Fidelity Canadian Value Index ETF | ON | No | |
| Fidelity CanAm Opportunities Class | Fidelity Active Equity Class | ON | No | |
| Fidelity CanAm Opportunities Currency Neutral Class | Fidelity Active Equity Currency Neutral Class | ON | No | |
| Fidelity China Class | | ON | No | |
| Fidelity China Fund | | ON | No | |
| Fidelity ClearPath® 2010 Portfolio | | ON | No | |
| Fidelity ClearPath® 2015 Portfolio | | ON | No | |
| Fidelity ClearPath® 2020 Portfolio | | ON | No | |
| Fidelity ClearPath® 2025 Portfolio | | ON | No | |
| Fidelity ClearPath® 2030 Portfolio | | ON | No | |
| Fidelity ClearPath® 2035 Portfolio | | ON | No | |
| Fidelity ClearPath® 2040 Portfolio | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Fidelity ClearPath® 2045 Portfolio | | ON | No | |
| Fidelity ClearPath® 2050 Portfolio | | ON | No | |
| Fidelity ClearPath® 2055 Portfolio | | ON | No | |
| Fidelity ClearPath® 2060 Portfolio | | ON | No | |
| Fidelity ClearPath® 2065 Portfolio | | ON | No | |
| Fidelity ClearPath® Income Portfolio | | ON | No | |
| Fidelity Climate Leadership Balanced Fund® | | ON | No | |
| Fidelity Climate Leadership Bond Fund® | | ON | No | |
| Fidelity Climate Leadership Fund® | | ON | No | |
| Fidelity Commodity Strategy Currency Neutral* | | ON | No | |
| Fidelity Concentrated Canadian Equity Multi-Asset Base Fund | Fidelity Concentrated Canadian Equity Investment Trust | ON | No | |
| Fidelity Concentrated Canadian Equity Private Pool | Fidelity Concentrated Canadian Equity Pool | ON | No | |
| Fidelity Concentrated Value Investment Trust | Fidelity Canadian Focused Equity Investment Trust | ON | No | |
| Fidelity Concentrated Value Private Pool | Fidelity Canadian Focused Equity Private Pool | ON | No | |
| Fidelity Conservative Income Fund | | ON | No | |
| Fidelity Conservative Income Private Pool | | ON | No | |
| Fidelity Conservative Managed Risk Portfolio | Fidelity Multi-Asset Balanced Income Fund | ON | No | |
| Fidelity Convertible Securities Multi-Asset Base Fund | Fidelity Convertible Securities Investment Trust | ON | No | |
| Fidelity Core U.S. Bond ETF | | ON | No | |
| Fidelity Corporate Bond Class | Fidelity Corporate Bond Capital Yield Class | ON | No | |
| Fidelity Corporate Bond Fund | | ON | No | |
| Fidelity Developed International Bond Multi-Asset Base Fund | | ON | No | |
| Fidelity Disruptive™ Automation Class | | ON | No | |
| Fidelity Disruptors® Class | | ON | No | |
| Fidelity Dividend Class | | ON | No | |
| Fidelity Dividend Fund | Fidelity Canadian Dividend And Income Fund | ON | No | |
| Fidelity Dividend Multi-Asset Base Fund | Fidelity Dividend Investment Trust | ON | No | |
| Fidelity Dividend Plus Class | | ON | No | |
| Fidelity Dividend Plus Fund | Fidelity Income Trust Fund | ON | No | |
| Fidelity Dividend Plus Multi-Asset Base Fund | | ON | No | |
| Fidelity Emerging Markets Class | | ON | No | |
| Fidelity Emerging Markets Debt Multi-Asset Base Fund | Fidelity Emerging Markets Debt Investment Trust | ON | No | |
| Fidelity Emerging Markets Equity Multi-Asset Base Fund | Fidelity Emerging Markets Equity Investment Trust | ON | No | |
| Fidelity Emerging Markets Fund | Fidelity Emerging Markets Portfolio Fund | ON | No | |
| Fidelity Emerging Markets Local Currency Debt Multi-Asset Base Fund | Fidelity Emerging Markets Local Currency Debt Investment Trust | ON | No | |
| Fidelity Emerging Markets Opportunities Fund | | ON | No | |
| Fidelity Equity Premium Yield ETF | | ON | No | |
| Fidelity Equity Premium Yield ETF Fund | | ON | No | |
| Fidelity Europe Class | Fidelity European Growth Class | ON | No | |
| Fidelity Europe Fund | Fidelity European Growth Fund | ON | No | |
| Fidelity Far East Class | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Fidelity Far East Fund | | ON | No | |
| Fidelity Floating Rate High Income Currency Neutral Fund | | ON | No | |
| Fidelity Floating Rate High Income Currency Neutral Multi-Asset Base | | ON | No | |
| Fidelity Floating Rate High Income Fund | | ON | No | |
| Fidelity Floating Rate High Income Multi-Asset Base Fund | Fidelity Floating Rate High Income Investment Trust | ON | No | |
| Fidelity Founders Class® | | ON | No | |
| Fidelity Founders Currency Neutral Class™ | | ON | No | |
| Fidelity Founders Investment Trust™ | | ON | No | |
| Fidelity Global Asset Allocation Currency Neutral Private Pool | | ON | No | |
| Fidelity Global Asset Allocation Fund | Fidelity Asset Manager Fund | ON | No | |
| Fidelity Global Asset Allocation Private Pool | | ON | No | |
| Fidelity Global Balanced Class Portfolio | Fidelity Portfolio Class Model 4 | ON | No | |
| Fidelity Global Balanced Portfolio | Fidelity Portfolio Fund Model 4 | ON | No | |
| Fidelity Global Bond Currency Neutral Fund | | ON | No | |
| Fidelity Global Bond Currency Neutral Multi-Asset Base Fund | Fidelity Global Bond Currency Neutral Investment Trust | ON | No | |
| Fidelity Global Bond Fund | | ON | No | |
| Fidelity Global Bond Multi-Asset Base Fund | Fidelity Global Bond Investment Trust | ON | No | |
| Fidelity Global Class | Fidelity International Portfolio Class | ON | No | |
| Fidelity Global Concentrated Equity Class | Fidelity Global Opportunities Class | ON | No | |
| Fidelity Global Concentrated Equity Currency Neutral Fund | | ON | No | |
| Fidelity Global Concentrated Equity Fund | Fidelity Global Opportunities Fund | ON | No | |
| Fidelity Global Concentrated Value Fund | | ON | No | |
| Fidelity Global Consumer Brands Class | Fidelity Global Consumer Industries Class | ON | No | |
| Fidelity Global Consumer Brands Fund | Fidelity Global Consumer Industries Fund | ON | No | |
| Fidelity Global Core Plus Bond ETF | Fidelity Global Core Plus ETF | ON | No | |
| Fidelity Global Core Plus Bond ETF Fund | Fidelity Global Core Plus ETF Fund | ON | No | |
| Fidelity Global Credit Ex-U.S. Currency Neutral Multi-Asset Base Fund | | ON | No | |
| Fidelity Global Credit Ex-U.S. Investment Trust | | ON | No | |
| Fidelity Global Developed Markets Sovereign Bond Index Hedged Multi-As | | ON | No | |
| Fidelity Global Disciplined Equity® Class | | ON | No | |
| Fidelity Global Disciplined Equity® Currency Neutral Class | | ON | No | |
| Fidelity Global Disciplined Equity® Fund | | ON | No | |
| Fidelity Global Dividend Class | | ON | No | |
| Fidelity Global Dividend Fund | | ON | No | |
| Fidelity Global Dividend Investment Trust | | ON | No | |
| Fidelity Global Equity Class Portfolio | | ON | No | |
| Fidelity Global Equity Currency Neutral Private Pool | | ON | No | |
| Fidelity Global Equity Investment Trust | | ON | No | |
| Fidelity Global Equity Portfolio | | ON | No | |
| Fidelity Global Equity Private Pool | Fidelity Global Equity Pool | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Fidelity Global Equity+ Balanced Fund | | ON | No | |
| Fidelity Global Equity+ Fund | | ON | No | |
| Fidelity Global Financial Services Class | Fidelity Focus Financial Services Class | ON | No | |
| Fidelity Global Financial Services Fund | Fidelity Focus Financial Services Fund | ON | No | |
| Fidelity Global Fund | Fidelity International Portfolio Fund | ON | No | |
| Fidelity Global Growth and Value Class | Fidelity Core Global Equity Class | ON | No | |
| Fidelity Global Growth and Value Currency Neutral Class | Fidelity Core Global Equity Currency Neutral Class | ON | No | |
| Fidelity Global Growth and Value Investment Trust | Fidelity Core Global Equity Investment Trust | ON | No | |
| Fidelity Global Growth Class Portfolio | Fidelity Portfolio Class Model 6 | ON | No | |
| Fidelity Global Growth Portfolio | Fidelity Portfolio Fund Model 6 | ON | No | |
| Fidelity Global Growth Private Pool | | ON | No | |
| Fidelity Global Health Care Class | Fidelity Focus Health Care Class | ON | No | |
| Fidelity Global Health Care Fund | Fidelity Focus Health Care Fund | ON | No | |
| Fidelity Global High Yield Multi-Asset Base Fund | Fidelity Global High Yield Investment Trust | ON | No | |
| Fidelity Global Income Class Portfolio | Fidelity Portfolio Class Model 2 | ON | No | |
| Fidelity Global Income Portfolio | Fidelity Portfolio Fund Model 2 | ON | No | |
| Fidelity Global Inflation-Linked Bond Index Hedged Multi-Asset | | ON | No | |
| Fidelity Global Innovators® Class | Fidelity Emerging Technologies Class | ON | No | |
| Fidelity Global Innovators® Currency Neutral Class | Fidelity Emerging Technologies Currency Neutral Class | ON | No | |
| Fidelity Global Innovators® ETF | | ON | No | |
| Fidelity Global Innovators® Investment Trust | Fidelity Emerging Technologies Investment Trust | ON | No | |
| Fidelity Global Intrinsic Value Class | | ON | No | |
| Fidelity Global Intrinsic Value Currency Neutral Class | | ON | No | |
| Fidelity Global Intrinsic Value Fund | | ON | No | |
| Fidelity Global Intrinsic Value Investment Trust | Fidelity Global Small/Mid Cap Equity Investment Trust | ON | No | |
| Fidelity Global Investment Grade Bond ETF | | ON | No | |
| Fidelity Global Investment Grade Bond ETF Fund | | ON | No | |
| Fidelity Global Large Cap Class | | ON | No | |
| Fidelity Global Large Cap Currency Neutral Class | | ON | No | |
| Fidelity Global Large Cap Fund | Fidelity Global Large Cap Equity Fund | ON | No | |
| Fidelity Global Micro-Cap Fund | | ON | No | |
| Fidelity Global Monthly High Income ETF | | ON | No | |
| Fidelity Global Monthly High Income ETF Fund | | ON | No | |
| Fidelity Global Monthly Income Currency Neutral Fund | | ON | No | |
| Fidelity Global Monthly Income Fund | | ON | No | |
| Fidelity Global Natural Resources Class | Fidelity Focus Natural Resources Class | ON | No | |
| Fidelity Global Natural Resources Fund | Fidelity Focus Global Natural Resources Fund | ON | No | |
| Fidelity Global Opportunities Long/Short Fund | | ON | No | |
| Fidelity Global Real Estate Class | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Fidelity Global Real Estate Fund | | ON | No | |
| Fidelity Global Real Estate Multi-Asset Base Fund | Fidelity Global Real Estate Investment Trust | ON | No | |
| Fidelity Global Small Cap Opportunities Fund | | ON | No | |
| Fidelity Global Small-Mid Cap Equity Fund | | ON | No | |
| Fidelity Global Value Long/Short Fund | | ON | No | |
| Fidelity Global Value Long/Short Multi-Asset Base Fund | | ON | No | |
| Fidelity Greater Canada Class | Fidelity Canadian Equity Class | ON | No | |
| Fidelity Greater Canada Fund | | ON | No | |
| Fidelity Growth Class Portfolio | Fidelity Portfolio Class Model 5 | ON | No | |
| Fidelity Growth Portfolio | Fidelity Portfolio Fund Model 5 | ON | No | |
| Fidelity High Income Commercial Real Estate Currency Neutral Multi- | | ON | No | |
| Fidelity High Income Commercial Real Estate Multi-Asset Base Fund | Fidelity High Income Commercial Real Estate Investment Trust | ON | No | |
| Fidelity Income Allocation Fund | Fidelity Monthly High Income Fund | ON | No | |
| Fidelity Income Class Portfolio | Fidelity Portfolio Class Model 1 | ON | No | |
| Fidelity Income Portfolio | Fidelity Portfolio Fund Model 1 | ON | No | |
| Fidelity Inflation-Focused Fund | | ON | No | |
| Fidelity Insights Class® | Fidelity Global Growth Equity Class | ON | No | |
| Fidelity Insights Currency Neutral Class™ | Fidelity Global Growth Equity Currency Neutral Class | ON | No | |
| Fidelity Insights Currency Neutral Multi-Asset Base Fund™ | | ON | No | |
| Fidelity Insights Investment Trust™ | Fidelity Global Growth Equity Investment Trust | ON | No | |
| Fidelity Insights Systematic Currency Hedged Fund™ | | ON | No | |
| Fidelity International Concentrated Equity Currency Neutral Fund | | ON | No | |
| Fidelity International Concentrated Equity Fund | Fidelity International Value Fund | ON | No | |
| Fidelity International Disciplined Equity® Class | | ON | No | |
| Fidelity International Disciplined Equity® Currency Neutral Class | | ON | No | |
| Fidelity International Disciplined Equity® Fund | | ON | No | |
| Fidelity International Equity Currency Neutral Investment Trust | | ON | No | |
| Fidelity International Equity Currency Neutral Private Pool | | ON | No | |
| Fidelity International Equity Investment Trust | | ON | No | |
| Fidelity International Equity Multi-Asset Base Fund | Fidelity International Equity Central Fund | ON | No | |
| Fidelity International Equity Private Pool | Fidelity International Equity Pool | ON | No | |
| Fidelity International Growth Class | Fidelity Overseas Class | ON | No | |
| Fidelity International Growth Currency Neutral Multi-Asset Base Fund | | ON | No | |
| Fidelity International Growth Fund | Fidelity Overseas Fund | ON | No | |
| Fidelity International Growth Multi-Asset Base Fund | Fidelity International Growth Investment Trust | ON | No | |
| Fidelity International High Dividend ETF | Fidelity International High Dividend Index ETF | ON | No | |
| Fidelity International High Dividend ETF Fund | Fidelity International High Dividend Index ETF Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Fidelity International High Quality ETF | Fidelity International High Quality Index ETF | ON | No | |
| Fidelity International High Quality ETF Fund | Fidelity International High Quality Index ETF Fund | ON | No | |
| Fidelity International Low Volatility ETF | Fidelity International Low Volatility Index ETF | ON | No | |
| Fidelity International Momentum ETF | Fidelity International Momentum Index ETF | ON | No | |
| Fidelity International Value ETF | Fidelity International Value Index ETF | ON | No | |
| Fidelity International Value ETF Fund | | ON | No | |
| Fidelity International Value Multi-Asset Base Fund | | ON | No | |
| Fidelity Investment Grade Total Bond Currency Neutral Fund | | ON | No | |
| Fidelity Investment Grade Total Bond Fund | | ON | No | |
| Fidelity Japan Class | Fidelity Japanese Growth Class | ON | No | |
| Fidelity Japan Fund | Fidelity Japanese Growth Fund | ON | No | |
| Fidelity Long-Term Leaders Currency Neutral Fund | | ON | No | |
| Fidelity Long-Term Leaders Fund | | ON | No | |
| Fidelity Long/Short Alternative Fund | | ON | No | |
| Fidelity Long/Short Alternative Multi-Asset Base Fund | | ON | No | |
| Fidelity Market Neutral Alternative Fund | | ON | No | |
| Fidelity Market Neutral Alternative Multi-Asset Base Fund | | ON | No | |
| Fidelity Minerals Corp. | Montan Mining Corp. | BC | No | |
| Fidelity Monthly Income Class | Fidelity Equity and Income Class | ON | No | |
| Fidelity Monthly Income Fund | Fidelity Diversified Income & Growth Fund | ON | No | |
| Fidelity Multi-Alt Balanced Fund | | ON | No | |
| Fidelity Multi-Alt Equity Fund | | ON | No | |
| Fidelity Multi-Asset Innovation Fund | | ON | No | |
| Fidelity Multi-Sector Bond Currency Neutral Fund | | ON | No | |
| Fidelity Multi-Sector Bond Fund | | ON | No | |
| Fidelity Multi-Sector Bond Hedged Multi-Asset Base Fund | | ON | No | |
| Fidelity Multi-Sector Bond Multi-Asset Base Fund | | ON | No | |
| Fidelity North American Equity Class | | ON | No | |
| Fidelity North American Equity Investment Trust | | ON | No | |
| Fidelity NorthStar® Balanced Currency Neutral Fund | | ON | No | |
| Fidelity NorthStar® Balanced Fund | | ON | No | |
| Fidelity NorthStar® Class | Fidelity North American Equity Class | ON | No | |
| Fidelity NorthStar® Currency Neutral Class | | ON | No | |
| Fidelity NorthStar® Fund | | ON | No | |
| Fidelity Premium Fixed Income Private Pool | Fidelity Fixed Income Pool | ON | No | |
| Fidelity Premium Fixed Income Private Pool Class | Fidelity Premium Fixed Income Capital Yield Private Pool | ON | No | |
| Fidelity Premium Money Market Private Pool | Fidelity Money Market Private Pool | ON | No | |
| Fidelity Premium Tactical Fixed Income Private Pool | Fidelity Premium Tactical Fixed Income Capital Yield Private Pool | ON | No | |
| Fidelity Small Cap America Class | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Fidelity Small Cap America Currency Neutral Class | | ON | No | |
| Fidelity Small Cap America Fund | | ON | No | |
| Fidelity SmartHedge® U.S. Equity Fund | | ON | No | |
| Fidelity SmartHedge® U.S. Equity Multi-Asset Base Fund | | ON | No | |
| Fidelity Special Situations Class | | ON | No | |
| Fidelity Special Situations Fund | Fidelity Canadian Equity Fund | ON | No | |
| Fidelity Strategic Income Currency Neutral Fund | | ON | No | |
| Fidelity Strategic Income Fund | Fidelity Global Income Fund | ON | No | |
| Fidelity Sustainable World ETF | | ON | No | |
| Fidelity Sustainable World ETF Fund | | ON | No | |
| Fidelity Systematic Canadian Bond Index ETF | | ON | No | |
| Fidelity Systematic Canadian Bond Index ETF Fund | | ON | No | |
| Fidelity Tactical Credit Fund | Fidelity Tactical Yield Fund | ON | No | |
| Fidelity Tactical Fixed Income Fund | Fidelity Total Bond Fund | ON | No | |
| Fidelity Tactical Global Dividend ETF Fund | | ON | No | |
| Fidelity Tactical High Income Currency Neutral Fund | | ON | No | |
| Fidelity Tactical High Income Fund | | ON | No | |
| Fidelity Technology Innovators Class | Fidelity Global Technology Class | ON | No | |
| Fidelity Technology Innovators Fund | Fidelity Global Technology Fund | ON | No | |
| Fidelity True North® Class | | ON | No | |
| Fidelity True North® Fund | | ON | No | |
| Fidelity U.S. All Cap Class | Fidelity U.S. Growth Class | ON | No | |
| Fidelity U.S. All Cap Currency Neutral Class | | ON | No | |
| Fidelity U.S. All Cap Fund | Fidelity U.S. Growth Fund | ON | No | |
| Fidelity U.S. Bond Currency Neutral Multi-Asset Base Fund | | ON | No | |
| Fidelity U.S. Bond Multi-Asset Base Fund | Fidelity U.S. Bond Investment Trust | ON | No | |
| Fidelity U.S. Core Equity Fund | | ON | No | |
| Fidelity U.S. Dividend Currency Neutral Fund | | ON | No | |
| Fidelity U.S. Dividend for Rising Rates ETF | Fidelity U.S. Dividend for Rising Rates Index ETF | ON | No | |
| Fidelity U.S. Dividend Fund | | ON | No | |
| Fidelity U.S. Dividend Investment Trust | | ON | No | |
| Fidelity U.S. Dividend Private Pool | | ON | No | |
| Fidelity U.S. Dividend Registered Fund | Fidelity U.S. Dividend Portfolio Fund | ON | No | |
| Fidelity U.S. Equity Currency Neutral Private Pool | | ON | No | |
| Fidelity U.S. Equity Investment Trust | | ON | No | |
| Fidelity U.S. Equity Private Pool | Fidelity U.S. Equity Pool | ON | No | |
| Fidelity U.S. Focused Stock Class | Fidelity Growth America Class | ON | No | |
| Fidelity U.S. Focused Stock Currency Neutral Class | | ON | No | |
| Fidelity U.S. Focused Stock Fund | Fidelity Growth America Fund | ON | No | |
| Fidelity U.S. Growth and Income Private Pool | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Fidelity U.S. Growth Opportunities Class | | ON | No | |
| Fidelity U.S. Growth Opportunities Investment Trust | | ON | No | |
| Fidelity U.S. High Dividend Currency Neutral ETF | Fidelity U.S. High Dividend Currency Neutral Index ETF | ON | No | |
| Fidelity U.S. High Dividend ETF | Fidelity U.S. High Dividend Index ETF | ON | No | |
| Fidelity U.S. High Dividend ETF Fund | Fidelity U.S. High Dividend Index ETF Fund | ON | No | |
| Fidelity U.S. High Quality Currency Neutral ETF | Fidelity U.S. High Quality Currency Neutral Index ETF | ON | No | |
| Fidelity U.S. High Quality ETF | Fidelity U.S. High Quality Index ETF | ON | No | |
| Fidelity U.S. High Quality ETF Fund | Fidelity U.S. High Quality Index ETF Fund | ON | No | |
| Fidelity U.S. Low Volatility ETF | Fidelity U.S. Low Volatility Index ETF | ON | No | |
| Fidelity U.S. Low Volatility ETF Fund | Fidelity U.S. Low Volatility Index ETF Fund | ON | No | |
| Fidelity U.S. Momentum ETF | Fidelity U.S. Momentum Index ETF | ON | No | |
| Fidelity U.S. Money Market Fund | | ON | No | |
| Fidelity U.S. Money Market Investment Trust | | ON | No | |
| Fidelity U.S. Monthly Income Currency Neutral Fund | | ON | No | |
| Fidelity U.S. Monthly Income Fund | | ON | No | |
| Fidelity U.S. Small/Mid-Cap Equity Multi-Asset Base Fund | Fidelity U.S. Small/Mid Cap Equity Investment Trust | ON | No | |
| Fidelity U.S. Value Currency Neutral ETF | Fidelity U.S. Value Currency Neutral Index ETF | ON | No | |
| Fidelity U.S. Value ETF | Fidelity U.S. Value Index ETF | ON | No | |
| Fidelity U.S. Value ETF Fund | | ON | No | |
| Fidelity Women's Leadership Fund | | ON | No | |
| Field Trip Health & Wellness Ltd. | | ON | Yes | 1a,1c,1e,3 |
| Fiera Capital Corporation | Fiera Sceptre Inc. | QC | No | |
| Filament Health Corp. | | BC | No | |
| Financial 15 Split Corp. | | ON | No | |
| Finning International Inc. | Finning Limited | BC | No | |
| Firan Technology Group Corporation | Circuit World Corporation/CORPORATION CIRCUIT WORLD | ON | No | |
| FireFly Metals Ltd. | | ON | No | |
| FireFox Gold Corp. | | BC | No | |
| Firm Capital Apartment Real Estate Investment Trust | Firm Capital American Realty Partners Trust | ON | No | |
| Firm Capital Mortgage Investment Corporation* | | ON | No | |
| Firm Capital Property Trust | | ON | No | |
| First Capital Real Estate Investment Trust | | ON | No | |
| First Helium Inc. | | BC | No | |
| First Lithium Minerals Corp. | PetroCorp Group Inc. | ON | No | |
| First Majestic Silver Corp. | | BC | No | |
| First Mining Gold Corp. | First Mining Finance Corp. | BC | No | |
| First National Financial Corporation | | ON | No | |
| First Phosphate Corp. | | ON | No | |
| First Quantum Minerals Ltd | | ON | No | |
| First Trust AlphaDEX™ U.S. Health Care Sector Index ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| First Trust AlphaDEX™ U.S. Industrials Sector Index ETF | | ON | No | |
| First Trust AlphaDEX™ U.S. Technology Sector Index ETF | | ON | No | |
| First Trust Bloomberg Nuclear Power ETF* | | ON | No | |
| First Trust Canadian Capital Strength ETF | First Trust Canadian Capital Strength Portfolio | ON | No | |
| First Trust Cloud Computing ETF | First Trust AlphaDEX U.S. Utilities Sector Index ETF | ON | No | |
| First Trust Dow Jones Internet ETF | First Trust AlphaDEX U.S. Consumer Staples Sector Index ETF | ON | No | |
| First Trust Global Risk Managed Income Index ETF | | ON | No | |
| First Trust Indxx Innovative Transaction and Process ETF | | ON | No | |
| First Trust Indxx NextG ETF | First Trust AlphaDEX U.S. Energy Sector Index ETF | ON | No | |
| First Trust International Capital Strength ETF | | ON | No | |
| First Trust JFL Fixed Income Core Plus ETF | | ON | No | |
| First Trust JFL Global Equity ETF | | ON | No | |
| First Trust Long/Short Equity ETF* | | ON | No | |
| First Trust Morningstar Dividend Leaders ETF (CAD-Hedged) | First Trust Dorsey Wright U.S. Sector Rotation Index ETF (CAD-Hedged) | ON | No | |
| First Trust Nasdaq Cybersecurity ETF | First Trust AlphaDEX U.S. Consumer Discretionary Sector Index ETF | ON | No | |
| First Trust NASDAQ® Clean Edge® Green Energy ETF | First Trust AlphaDEX U.S. Financial Sector Index ETF | ON | No | |
| First Trust Nasdaq® Clean Edge® Smart Grid Infrastructure ETF* | | ON | No | |
| First Trust NYSE Arca Biotechnology ETF | First Trust AlphaDEX U.S. Materials Sector Index ETF | ON | No | |
| First Trust Senior Loan ETF (CAD-Hedged) | | ON | No | |
| First Trust SMID Cap Rising Dividend Achievers ETF | | ON | No | |
| First Trust Value Line® Dividend Index ETF (CAD-Hedged) | First Trust AlphaDEX U.S. Dividend Plus ETF (CAD-Hedged)(Ceased) | ON | No | |
| First Trust Vest Fund of Buffer ETFs (Canada) ETF | First Trust Cboe Vest Fund of Buffer ETFs (Canada) ETF | ON | No | |
| First Trust Vest SMID Rising Dividend Achievers Target Income ETF | | ON | No | |
| First Trust Vest U.S. Equity Buffer ETF - August | First Trust Cboe Vest U.S. Equity Buffer ETF - August | ON | No | |
| First Trust Vest U.S. Equity Buffer ETF - February | First Trust Cboe Vest U.S. Equity Buffer ETF - February | ON | No | |
| First Trust Vest U.S. Equity Buffer ETF - May | First Trust Cboe Vest U.S. Equity Buffer ETF - May | ON | No | |
| First Trust Vest U.S. Equity Buffer ETF - November | First Trust Cboe Vest U.S. Equity Buffer ETF - November | ON | No | |
| FirstService Corporation | | ON | No | |
| Fixed Income Managed Class | | ON | No | |
| Fixed Income Managed Pool | | ON | No | |
| Flagship Communities Real Estate Investment Trust | | ON | No | |
| FLINT Corp. | ClearStream Energy Services Inc. | AB | No | |
| Flow Capital Corp. | LOGiQ Asset Management Inc. | ON | No | |
| Flower One Holdings Inc. | Theia Resources Ltd. | BC | Yes | 1b,1c,1e |
| FLUENT Corp. | CANSORTIUM INC. | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Flutter Entertainment plc | | ON | No | |
| FMF Capital Group LTD. | | ON | No | |
| FMS Capital Trust | | ON | No | |
| FNX Inc. | S2 Minerals Inc. | ON | No | |
| FOBI AI Inc. | Loop Insights Inc. | BC | Yes | 1a,1b,1c,1e,3 |
| Foraco International SA | | ON | No | |
| ForAll Core & More U.S. Equity Index ETF | | ON | No | |
| Foran Mining Corporation | | BC | No | |
| Forbes Energy Services Ltd. | | AB | No | |
| Ford Credit Canada Company | Ford Credit Canada Limited | ON | No | |
| Forge First Conservative Alternative Fund | | ON | No | |
| Forge First Long Short Alternative Fund | | ON | No | |
| FormerXBC Inc. | Xebec Adsorption Inc. | QC | Yes | 1b,1c,1e |
| Forstrong Global Balanced ETF | | BC | No | |
| Forstrong Global Growth ETF | | BC | No | |
| Forstrong Global Income ETF | | BC | No | |
| Fortaleza Energy Inc. | Alvopetro Inc. | AB | No | |
| Forte Minerals Corp. | | BC | No | |
| Fortified Trust | | ON | No | |
| Fortis Inc. | | ON | No | |
| FortisAlberta Inc. | | AB | No | |
| FortisBC Energy Inc. | Terasen Gas Inc. | BC | No | |
| FortisBC Inc. | | BC | No | |
| Fortress Global Enterprises Inc. | Fortress Paper Ltd. | BC | Yes | 1a,1b,1c,1d,1e,3 |
| Fortuna Mining Corp. | Fortuna Silver Mines Inc. | BC | No | |
| Fortune Bay Corp. | 9617337 Canada Limited | NS | No | |
| Fortune Minerals Limited | | ON | No | |
| Foundation Wealth Diversifier Pool | | ON | No | |
| Foundation Wealth Equity Pool | | ON | No | |
| Foundation Wealth Income Pool | | ON | No | |
| Fracmaster LTD. | Canadian Fracmaster LTD. | AB | Yes | 1a,1b |
| Franchise Services Of North America Inc. | | AB | No | |
| Franco-Nevada Corporation | | ON | No | |
| Franklin All-Equity ETF Portfolio | | ON | No | |
| Franklin Brandywine Global Balanced Fund | Franklin Brandywine Global Sustainable Balanced Fund | ON | No | |
| Franklin Brandywine Global Income Optimiser Fund | Franklin Brandywine Global Sustainable Income Optimiser Fund | ON | No | |
| Franklin Brandywine U.S. High Yield Fund | Franklin High Income Fund | ON | No | |
| Franklin Canadian Balanced Fund | Franklin Bissett Canadian Balanced Fund | ON | No | |
| Franklin Canadian Bond Fund | Franklin Bissett Canadian Bond Fund | ON | No | |
| Franklin Canadian Core Equity Fund | | ON | No | |
| Franklin Canadian Core Plus Bond Fund | Franklin Bissett Core Plus Bond Fund | ON | No | |
| Franklin Canadian Corporate Bond Fund | Franklin Bissett Corporate Bond Fund | ON | No | |
| Franklin Canadian Government Bond Fund | Franklin Bissett Canadian Government Bond Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Franklin Canadian Low Volatility High Dividend Index ETF | | ON | No | |
| Franklin Canadian Money Market Fund | Franklin Bissett Money Market Fund | ON | No | |
| Franklin Canadian Monthly Income and Growth Fund | Franklin Bissett Monthly Income and Growth Fund | ON | No | |
| Franklin Canadian Short Term Bond Fund | Franklin Bissett Short Duration Bond Fund | ON | No | |
| Franklin Canadian Ultra Short Term Bond Fund | FRANKLIN BISSETT ULTRA SHORT BOND FUND | ON | No | |
| Franklin ClearBridge Canada Plus Equity Fund | Franklin Bissett Canada Plus Equity Fund | ON | No | |
| Franklin ClearBridge Canadian Dividend Fund | Franklin Bissett Canadian Dividend Fund | ON | No | |
| Franklin Clearbridge Canadian Equity Fund | Franklin Bissett Canadian Equity Fund | ON | No | |
| Franklin ClearBridge Canadian Small Cap Fund | Franklin Bissett Small Cap Fund | ON | No | |
| Franklin ClearBridge Dividend Income Fund | Franklin Bissett Dividend Income Fund | ON | No | |
| Franklin ClearBridge Emerging Markets Fund | Franklin Martin Currie Sustainable Emerging Markets Fund | ON | No | |
| Franklin ClearBridge Global Infrastructure Income Fund | Franklin ClearBridge Sustainable Global Infrastructure Income Fund | ON | No | |
| Franklin ClearBridge International Growth Fund | Franklin Clearbridge Sustainable International Growth Fund | ON | No | |
| Franklin ClearBridge International Growth Fund II* | Franklin ClearBridge Sustainable International Growth Fund II | ON | No | |
| Franklin ClearBridge U.S. Sustainability Leaders Fund | | ON | No | |
| Franklin Conservative Income ETF Portfolio | | ON | No | |
| Franklin Core ETF Portfolio | | ON | No | |
| Franklin Emerging Markets Core Equity Fund | | ON | No | |
| Franklin Emerging Markets Equity Index ETF | Franklin LibertyQT Emerging Markets Index ETF | ON | No | |
| Franklin FTSE Canada All Cap Index ETF | | ON | No | |
| Franklin FTSE India Index ETF | | ON | No | |
| Franklin FTSE Japan Index ETF | | ON | No | |
| Franklin FTSE U.S. Index ETF | | ON | No | |
| Franklin Global Core Bond Fund | Franklin Global Aggregate Bond Active ETF | ON | No | |
| Franklin Global Core Equity Fund* | | ON | No | |
| Franklin Global Growth Fund | Franklin World Growth Fund | ON | No | |
| Franklin Growth ETF Portfolio | | ON | No | |
| Franklin Innovation Fund | | ON | No | |
| Franklin International Core Equity Fund | | ON | No | |
| Franklin International Equity Index ETF | Franklin FTSE Europe ex U.K. Index ETF | ON | No | |
| Franklin International Low Volatility High Dividend Index ETF | | ON | No | |
| Franklin Putnam U.S. Large Cap Value Fund | | ON | No | |
| Franklin Quotential Balanced Growth Portfolio | Quotential Balanced Growth Portfolio | ON | No | |
| Franklin Quotential Balanced Income Portfolio | Quotential Balanced Income Portfolio | ON | No | |
| Franklin Quotential Diversified Equity Portfolio | Franklin Quotential Global Growth Portfolio | ON | No | |
| Franklin Quotential Diversified Income Portfolio | Quotential Diversified Income Portfolio | ON | No | |
| Franklin Quotential Growth Portfolio | Quotential Growth Portfolio | ON | No | |
| Franklin Royce Global Small Cap Premier Fund | Templeton Global Smaller Companies Fund | ON | No | |
| Franklin Sustainable Canadian Core Equity Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Franklin Sustainable International Core Equity Fund | | ON | No | |
| Franklin Sustainable U.S. Core Equity Fund | | ON | No | |
| Franklin U.S. Core Equity Fund | | ON | No | |
| Franklin U.S. Large Cap Multifactor Index ETF | Franklin LibertyQT U.S. Equity Index ETF | ON | No | |
| Franklin U.S. Low Volatility High Dividend Index ETF | | ON | No | |
| Franklin U.S. Mid Cap Multifactor Index ETF | | ON | No | |
| Franklin U.S. Monthly Income Fund | Franklin Income Fund | ON | No | |
| Franklin U.S. Opportunities Fund | Franklin Flex Cap Growth Fund | ON | No | |
| Franklin U.S. Quality Moat Dividend Index ETF | | ON | No | |
| Franklin U.S. Rising Dividends Fund | Franklin Templeton U.S. Rising Dividends Fund | ON | No | |
| Franklin Unconstrained Global Equity Fund | Franklin Martin Currie Sustainable Global Equity Fund | ON | No | |
| Freehold Royalties Ltd. | | AB | No | |
| Freeman Gold Corp. | Lodge Resources Inc. | BC | No | |
| Friedberg Asset Allocation Fund | | ON | No | |
| Friedberg Global-Macro Hedge Fund | | ON | No | |
| FRNT Financial Inc. | | ON | No | |
| Frontenac Mortgage Investment Corporation | | ON | Yes | 1a,1b,1c,1e,3 |
| Frontera Energy Corporation | Pacific Exploration & Production Corporation | AB | No | |
| FRONTIER LITHIUM INC. | | ON | No | |
| Frontline Gold Corporation | Chrysos Capital Corporation | ON | Yes | 1a,1c,1e,3 |
| Fulcra Credit Opportunities Fund | | BC | No | |
| Fury Gold Mines Limited | | BC | No | |
| FUTURE MINERAL RESOURCES INC. | Sulliden Mining Capital Inc. | ON | No | |
| G Mining Ventures Corp. | 16144616 Canada Inc. | QC | No | |
| G2 Goldfields Inc. | Sandy Lake Gold Inc. | ON | No | |
| Gabriel Resources Ltd. | | BC | No | |
| Galaxy Digital Inc. | Galaxy Digital Holdings Ltd. | ON | No | |
| Galiano Gold Inc. | Asanko Gold Inc. | BC | No | |
| Gamehost Inc. | | AB | No | |
| GameSquare Holdings, Inc. | Engine Gaming and Media, Inc. | ON | No | |
| Gandalf Technologies Inc. | | ON | Yes | 1a,1b |
| Garda World Security Corporation | | QC | No | |
| GBW Alternative All-Weather Growth Fund | | ON | No | |
| GBW Alternative Short-Term Growth Fund | | ON | No | |
| GC One Equity Portfolio | | ON | No | |
| GC One Fixed Income Portfolio | | ON | No | |
| GE Capital Canada Funding Company | | ON | No | |
| Gemdale Gold Inc. | | BC | No | |
| General Motors Company | | ON | No | |
| Generation Mining Limited | | ON | No | |
| Generative AI Solutions Corp. | Idle Lifestyle Inc. | BC | Yes | 1a,1b,1c,1e,3 |
| Genesis Land Development Corp. | | AB | No | |
| Genesis Trust II | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Genesis Worldwide Inc. | | ON | No | |
| Genoil Inc. | | AB | No | |
| Gensource Potash Corporation | | SK | No | |
| Genus High Impact Equity Fund | Genus Fossil Free High Impact Equity Fund (Ceased) | BC | No | |
| Geodrill Limited | | ON | No | |
| Geopetro Resources Company | | AB | Yes | 1a,1b |
| George Weston Limited | | ON | No | |
| Georgian Bancorp Inc | | ON | Yes | 1a,1b |
| GFL Environmental Inc. | | ON | No | |
| Gibson Energy Inc. | | AB | No | |
| GIGA Metals Corporation | | BC | No | |
| Gildan Activewear Inc. | | QC | No | |
| Giyani Metals Corp. | | ON | No | |
| Glacier Credit Card Trust | Canadian Tire Receivables Trust | ON | No | |
| Glacier Media Inc. | Glacier Ventures International Corp. | BC | No | |
| Glass House Brands Inc. | Mercer Park Brand Acquisition Corp. | ON | No | |
| GLG Life Tech Corporation | | BC | No | |
| Global Atomic Corporation | | ON | No | |
| Global Balanced Growth Pool | Balanced Growth Fund | ON | No | |
| Global Copper Corp. | Li3 Lithium Corp. | BC | No | |
| Global Crossing Airlines Group Inc. | Global Crossing Airlines Inc. | BC | No | |
| Global Dividend Growth Split Corp.* | | ON | No | |
| Global Equity Allocation Pool | | ON | No | |
| Global Equity Pool | | ON | No | |
| Global Equity Pool | All Equity Fund | ON | No | |
| Global Fixed Income Corporate Class | | ON | No | |
| Global Fixed Income Pool | Assante Global Fixed Income Pool | ON | No | |
| Global Iman Fund | | ON | No | |
| Global Managed Volatility Fund | | ON | No | |
| Global Neutral Balanced Pool | Neutral Balanced Fund | ON | No | |
| Global Real Assets Trust* | Starlight Private Global Real Assets Trust | ON | No | |
| Global Stevia Corp. | | NS | Yes | 1a,1b,1c |
| Global Water Resources, Inc. | | ON | No | |
| Global X 0-3 Month T-Bill ETF | Horizons 0-3 Month T-Bill ETF | ON | No | |
| Global X 0-3 Month U.S. T-Bill ETF | Horizons 0-3 Month U.S. T-Bill ETF | ON | No | |
| Global X 1-3 Year U.S. Treasury Bond Index ETF | | ON | No | |
| Global X 20+ Year U.S. Treasury Bond Index ETF | | ON | No | |
| Global X Active Canadian Bond ETF | Horizons Active Cdn Bond ETF | ON | No | |
| Global X Active Canadian Dividend ETF | Horizons Active Cdn Dividend ETF | ON | No | |
| Global X Active Canadian Municipal Bond ETF | Horizons Active Cdn Municipal Bond ETF | ON | No | |
| Global X Active Corporate Bond ETF | Horizons Active Corporate Bond ETF | ON | No | |
| Global X Active Global Dividend ETF | Horizons Active Global Dividend ETF | ON | No | |
| Global X Active Global Fixed Income ETF | Horizons Active Global Fixed Income ETF | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Global X Active Hybrid Bond and Preferred Share ETF | Horizons Active Hybrid Bond and Preferred Share ETF | ON | No | |
| Global X Active Preferred Share ETF | Horizons Active Preferred Share ETF | ON | No | |
| Global X Active U.S. Dividend ETF | | ON | No | |
| Global X Active Ultra-Short Term Investment Grade Bond ETF | Horizons Active Ultra-Short Term Investment Grade Bond ETF | ON | No | |
| Global X All-Equity Asset Allocation Covered Call ETF | | ON | No | |
| Global X All-Equity Asset Allocation ETF | Horizons All-Equity Asset Allocation ETF | ON | No | |
| Global X All-In-One Commodity Producers Equity Covered Call ETF | | ON | No | |
| Global X All-In-One Commodity Producers Equity ETF | | ON | No | |
| Global X Artificial Intelligence & Technology Index ETF | | ON | No | |
| Global X Artificial Intelligence Infrastructure Index ETF | | ON | No | |
| Global X Artificial Intelligence Semiconductor Index ETF | Global X Semiconductor Index ETF | ON | No | |
| Global X Balanced Asset Allocation ETF | Horizons Balanced Asset Allocation ETF | ON | No | |
| Global X Big Data & Hardware Index ETF | Horizons Big Data & Hardware Index ETF | ON | No | |
| Global X Bitcoin Covered Call ETF | | ON | No | |
| Global X Canadian High Dividend Index Corporate Class ETF | Horizons Cdn High Dividend Index ETF | ON | No | |
| Global X Canadian Oil and Gas Equity Covered Call ETF | Horizons Canadian Oil and Gas Equity Covered Call ETF | ON | No | |
| Global X Canadian Select Universe Bond Index Corporate Class ETF | Horizons Cdn Select Universe Bond ETF | ON | No | |
| Global X Cash Maximizer Corporate Class ETF | Horizons Cash Maximizer ETF | ON | No | |
| Global X China Hang Seng TECH Index ETF | | ON | No | |
| Global X Conservative Asset Allocation ETF | Horizons Conservative Asset Allocation ETF | ON | No | |
| Global X Copper Producer Equity Covered Call ETF | | ON | No | |
| Global X Copper Producers Index ETF | Horizons Copper Producers Index ETF | ON | No | |
| Global X Crude Oil ETF | Horizons Crude Oil ETF | ON | No | |
| Global X Defence Tech Index ETF | | ON | No | |
| Global X Emerging Markets Equity Index Corporate Class ETF | Horizons Emerging Markets Equity Index ETF | ON | No | |
| Global X Enhanced All-Equity Asset Allocation Covered Call ETF | Horizons Enhanced All-Equity Asset Allocation Covered Call ETF | ON | No | |
| Global X Enhanced All-Equity Asset Allocation ETF | Horizons Enhanced All-Equity Asset Allocation ETF | ON | No | |
| Global X Enhanced All-In-One Commodity Producers Equity | | ON | No | |
| Global X Enhanced Bitcoin Covered Call ETF | | ON | No | |
| Global X Enhanced Canadian Oil and Gas Equity Covered Call ETF | Horizons Enhanced Canadian Oil and Gas Equity Covered Call ETF | ON | No | |
| Global X Enhanced Equal Weight Banks Index ETF | Horizons Enhanced Equal Weight Banks Index ETF | ON | No | |
| Global X Enhanced Equal Weight Canadian Banks Covered Call ETF | Horizons Enhanced Equal Weight Canadian Banks Covered Call ETF | ON | No | |
| Global X Enhanced Equal Weight Canadian Telecommunications Covered | | ON | No | |
| Global X Enhanced Gold Producer Equity Covered Call ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Global X Enhanced MSCI EAFE Covered Call ETF | | ON | No | |
| Global X Enhanced MSCI Emerging Markets Covered Call ETF | | ON | No | |
| Global X Enhanced Nasdaq-100 Covered Call ETF | Horizons Enhanced NASDAQ-100 Covered Call ETF | ON | No | |
| Global X Enhanced NASDAQ-100 Index ETF | | ON | No | |
| Global X Enhanced Russell 2000 Covered Call ETF | | ON | No | |
| Global X Enhanced S&P 500 Covered Call ETF | Horizons Enhanced US Large Cap Equity Covered Call ETF | ON | No | |
| Global X Enhanced S&P 500 Index ETF | | ON | No | |
| Global X Enhanced S&P/TSX 60 Covered Call ETF | Horizons Enhanced Canadian Large Cap Equity Covered Call ETF | ON | No | |
| Global X Enhanced S&P/TSX 60 Index ETF | Horizons Enhanced S&P/TSX 60 Index ETF | ON | No | |
| Global X Enhanced Silver Miners Covered Call ETF | | ON | No | |
| Global X Equal Weight Canadian Bank Covered Call ETF | Horizons Equal Weight Canadian Bank Covered Call ETF | ON | No | |
| Global X Equal Weight Canadian Banks Index Corporate Class ETF | Horizons Equal Weight Canada Banks Index ETF | ON | No | |
| Global X Equal Weight Canadian Banks Index ETF | Horizons Equal Weight Banks Index ETF | ON | No | |
| Global X Equal Weight Canadian Groceries & Staples Index ETF | | ON | No | |
| Global X Equal Weight Canadian Insurance Index ETF | | ON | No | |
| Global X Equal Weight Canadian Oil & Gas Index ETF | | ON | No | |
| Global X Equal Weight Canadian Pipelines Index ETF | Global X Pipelines & Energy Services Index ETF | ON | No | |
| Global X Equal Weight Canadian REITs Index Corporate Class ETF | Horizons Equal Weight Canada REIT Index ETF | ON | No | |
| Global X Equal Weight Canadian REITs Index ETF | | ON | No | |
| Global X Equal Weight Canadian Telecommunications Covered Call ETF | | ON | No | |
| Global X Equal Weight Canadian Telecommunications Index ETF | | ON | No | |
| Global X Equal Weight Canadian Utilities Index ETF | Global X Canadian Utility Services High Dividend Index ETF | ON | No | |
| Global X Equal Weight Global Healthcare Index ETF | | ON | No | |
| Global X Equal Weight U.S. Banks Index ETF | | ON | No | |
| Global X Equal Weight U.S. Groceries & Staples Index ETF | | ON | No | |
| Global X Europe 50 Index Corporate Class ETF | Horizons Europe 50 Index ETF | ON | No | |
| Global X Global Sustainability Leaders Index ETF | Horizons Global Sustainability Leaders Index ETF | ON | No | |
| Global X Gold ETF | Horizons Gold ETF | ON | No | |
| Global X Gold Producer Equity Covered Call ETF | Horizons Gold Producer Equity Covered Call ETF | ON | No | |
| Global X Gold Producers Index ETF | | ON | No | |
| Global X Gold Yield ETF | Horizons Gold Yield ETF | ON | No | |
| Global X Growth Asset Allocation Covered Call ETF | Horizons Growth Asset Allocation Covered Call ETF | ON | No | |
| Global X Growth Asset Allocation ETF | Horizons Growth Asset Allocation ETF | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Global X High Interest Savings ETF | Horizons High Interest Savings ETF | ON | No | |
| Global X India Nifty 50 Index ETF | | ON | No | |
| Global X Innovative Bluechip Top 10 Index ETF | Global X Bluechip Innovation Tech Top 10 Index ETF | ON | No | |
| Global X Inovestor Canadian Equity Index ETF | Horizons Inovestor Canadian Equity Index ETF | ON | No | |
| Global X Intl Developed Markets Equity Index Corporate Class ETF | Horizons Intl Developed Markets Equity Index ETF | ON | No | |
| Global X Laddered Canadian Preferred Share Index Corporate Class ETF | Horizons Laddered Canadian Preferred Share Index ETF | ON | No | |
| Global X Lithium Producers Index ETF | Horizons Global Lithium Producers Index ETF | ON | No | |
| Global X Long-Term Government Bond Premium Yield ETF | | ON | No | |
| Global X Long-Term U.S. Treasury Premium Yield ETF | Horizons Long-Term U.S. Treasury Premium Yield ETF | ON | No | |
| Global X Marijuana Life Sciences Index ETF | Horizons Marijuana Life Sciences Index ETF | ON | No | |
| Global X Mid-Term Government Bond Premium Yield ETF | | ON | No | |
| Global X Mid-Term U.S. Treasury Premium Yield ETF | Horizons Mid-Term U.S. Treasury Premium Yield ETF | ON | No | |
| Global X MSCI EAFE Covered Call ETF | | ON | No | |
| Global X MSCI EAFE Index ETF | | ON | No | |
| Global X MSCI Emerging Markets Covered Call ETF | | ON | No | |
| Global X MSCI Emerging Markets Index ETF | | ON | No | |
| Global X Nasdaq-100 Covered Call ETF | Horizons NASDAQ-100 Covered Call ETF | ON | No | |
| Global X Nasdaq-100 Index Corporate Class ETF | Horizons NASDAQ-100® Index ETF | ON | No | |
| Global X Nasdaq-100 Index ETF | Global X NASDAQ-100® Index ETF | ON | No | |
| Global X Natural Gas ETF | Horizons Natural Gas ETF | ON | No | |
| Global X NYSE 100 Index ETF | | ON | No | |
| Global X Robotics & AI Index ETF | Horizons Robotics & AI Index ETF | ON | No | |
| Global X Russell 2000 Covered Call ETF | | ON | No | |
| Global X Russell 2000 Index ETF | | ON | No | |
| Global X S&P 500 CAD Hedged Index Corporate Class ETF | Horizons S&P 500 CAD Hedged Index ETF | ON | No | |
| Global X S&P 500 Covered Call ETF | Horizons US Large Cap Equity Covered Call ETF | ON | No | |
| Global X S&P 500 Index Corporate Class ETF | Horizons S&P 500® Index ETF | ON | No | |
| Global X S&P 500 Index ETF | | ON | No | |
| Global X S&P/TSX 60 Covered Call ETF | Horizons Canadian Large Cap Equity Covered Call ETF | ON | No | |
| Global X S&P/TSX 60 Index Corporate Class ETF | Horizons S&P/TSX 60 Index ETF | ON | No | |
| Global X S&P/TSX 60 Index ETF | | ON | No | |
| Global X S&P/TSX Capped Composite Index Corporate Class ETF | Horizons S&P/TSX Capped Composite Index ETF | ON | No | |
| Global X S&P/TSX Capped Energy Index Corporate Class ETF | Horizons S&P/TSX Capped Energy Index ETF | ON | No | |
| Global X S&P/TSX Capped Financials Index Corporate Class ETF | Horizons S&P/TSX Capped Financials Index ETF | ON | No | |
| Global X Seasonal Rotation ETF | Horizons Seasonal Rotation ETF | ON | No | |
| Global X Short-Term Government Bond Premium Yield ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Global X Short-Term U.S. Treasury Premium Yield ETF | Horizons Short-Term U.S. Treasury Premium Yield ETF | ON | No | |
| Global X Silver Covered Call ETF | | ON | No | |
| Global X Silver ETF | Horizons Silver ETF | ON | No | |
| Global X Silver Miners Covered Call ETF | | ON | No | |
| Global X Silver Miners Index ETF | | ON | No | |
| Global X Space Tech Index ETF | | ON | No | |
| Global X Tokenization Ecosystem Index ETF | Global X Stablecoins & Tokenization Index ETF | ON | No | |
| Global X U.S. Infrastructure Development Index ETF | | ON | No | |
| Global X Uranium Covered Call ETF | | ON | No | |
| Global X Uranium Index ETF | Horizons Global Uranium Index ETF | ON | No | |
| Global X US 7-10 Year Treasury Bond Index Corporate Class ETF | Horizons US 7-10 Year Treasury Bond ETF | ON | No | |
| Global X US Dollar Currency ETF | Horizons US Dollar Currency ETF | ON | No | |
| Global X US Large Cap Index Corporate Class ETF | Horizons US Large Cap Index ETF | ON | No | |
| Global X USD Cash Maximizer Corporate Class ETF | Horizons USD Cash Maximizer ETF | ON | No | |
| Global X USD High Interest Savings ETF | Horizons USD High Interest Savings ETF | ON | No | |
| GO Residential Real Estate Investment Trust | | ON | No | |
| Goat Industries Ltd. | Billy Goat Brands Ltd. | BC | No | |
| goeasy Ltd. | easyhome Ltd. | ON | No | |
| GoGold Resources Inc. | | NS | No | |
| Gold Flora Corporation | TPCO Holding Corp. | ON | Yes | 1a,1c,1d,1e,3 |
| Gold Mountain Mining Corp. | | BC | Yes | 1a,1b,1c,1d,1e,3 |
| Gold Reserve Ltd. | Gold Reserve Inc. | ON | No | |
| Gold Resource Corporation | | ON | No | |
| Gold Royalty Corp. | | BC | No | |
| Gold Springs Resource Corp. | TriMetals Mining Inc. | BC | No | |
| Gold Strike Resources Corp. | Sanatana Resources Inc. | BC | No | |
| Gold Terra Resource Corp. | TerraX Minerals Inc. | BC | No | |
| GOLD X2 MINING INC. | GOLDSHORE RESOURCES INC. | BC | No | |
| GoldMining Inc. | | BC | No | |
| Goldsky Resources Corp. | First Nordic Metals Corp. | ON | No | |
| Goodfood Market Corp. | Mira VII Acquisition Corp. | QC | No | |
| Goodwood Capital Fund | | ON | No | |
| GQG Partners Emerging Markets Quality Equity Fund | | ON | No | |
| GQG Partners Global Quality Equity Fund | | ON | No | |
| GQG Partners International Quality Equity Fund | | ON | No | |
| GQG Partners U.S. Quality Equity Fund | | ON | No | |
| Grad & Walker Energy Corporation | | AB | Yes | 1a,1b |
| Gran Tierra Energy Inc. | | AB | No | |
| Grand Okanagan Resort Limited Partnership | | AB | Yes | 1a,1b,1c,1d,1e,3 |
| Granite Real Estate Investment Trust | | ON | No | |
| Granite REIT Holdings Limited Partnership | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Graphene Manufacturing Group Ltd. | | BC | No | |
| Graphite One Inc. | | BC | No | |
| Gray Wolf Capital Corporation* | Diversified Monthly Income Corporation | ON | Yes | 1a,1b,1c,1e,3 |
| Great Basin Gold Ltd. | | BC | No | |
| Great Pacific Gold Corp. | | BC | No | |
| Great Panther Mining Limited | Great Panther Silver Limited | BC | Yes | 1b,1c,1e |
| Great-West Lifeco Inc. | | MB | No | |
| Greater Toronto Airports Authority | | ON | No | |
| Green Growth Brands Inc. | Xanthic Biopharma Inc. | ON | No | |
| Green Impact Partners Inc. | | AB | No | |
| Green Shift Commodities Ltd. | U3O8 Corp. | ON | No | |
| Green Thumb Industries Inc. | Bayswater Uranium Corporation | BC | No | |
| GreenFirst Forest Products Inc. | | ON | No | |
| Greenheart Gold Inc. | | ON | No | |
| GreenSpace Brands Inc. | Aumento IV Capital Corporation | ON | Yes | 1a,1c,1e,3 |
| GreenWise Balanced Portfolio | | QC | No | |
| GreenWise Conservative Portfolio | | QC | No | |
| GreenWise Growth Portfolio | | QC | No | |
| Grey Matters Health Inc. | Algernon Health Inc. | BC | No | |
| Greyhound Canada Transportation Corp. | | AB | Yes | 1a,1b |
| Greyvest Capital Inc | Greyvest Financial Services Inc | ON | No | |
| Grosvenor Resource Corporation | Reva Resources Corporation | BC | No | |
| Groupe Dynamite Inc. | | QC | No | |
| Grown Rogue International Inc. | | ON | No | |
| Growth 100 Fund | Growth 100 Fund | ON | No | |
| Growth 80/20 Fund | Balanced Growth Plus Fund | ON | No | |
| GrowthWorks Canadian Fund Ltd.* | Growthworks WV Canadian Fund Inc. | ON | Yes | 1a,1b,1c,1d,3 |
| GT Group Telecom Inc. | | ON | No | |
| GT Resources Inc. | Palladium One Mining Inc. | BC | No | |
| Guanajuato Silver Company Ltd. | | BC | No | |
| GuardBonds TM 1-3 Year Laddered Investment Grade Bond Fund | | ON | No | |
| GuardBonds TM 2026 Investment Grade Bond Fund | | ON | No | |
| GuardBonds TM 2027 Investment Grade Bond Fund | | ON | No | |
| GuardBonds TM 2028 Investment Grade Bond Fund | | ON | No | |
| GuardBonds TM 2029 Investment Grade Bond Fund | | ON | No | |
| Guardian Canadian Bond Fund | Vega Canadian Bond Fund | ON | No | |
| Guardian Canadian Diversified Core Equity Fund | Guardian Canadian Sector Controlled Equity Fund | ON | No | |
| Guardian Canadian Equity Fund | Vector Canadian Equity Fund | ON | No | |
| Guardian Canadian Equity Income Fund | Guardian Equity Income Fund | ON | No | |
| Guardian Canadian Equity Select Fund | | ON | No | |
| Guardian Canadian Focused Equity Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Guardian Canadian Short-Term Investment Fund | | ON | No | |
| Guardian Directed Equity Path Portfolio | Guardian SteadyPace Equity Fund | ON | No | |
| Guardian Directed Premium Yield Portfolio | Guardian SteadyFlow Equity Fund | ON | No | |
| Guardian Emerging Markets Equity Fund | | ON | No | |
| Guardian Fixed Income Select Fund | Guardian Private Wealth Bond Fund | ON | No | |
| Guardian Fundamental Global Equity Fund | | ON | No | |
| Guardian i3 Global Dividend Growth Fund | Guardian Global Dividend Growth Fund | ON | No | |
| Guardian i3 Global Dividend Premium Yield Fund* | | ON | No | |
| Guardian i3 Global Quality Growth ETF | | ON | No | |
| Guardian i3 Global Quality Growth Fund | Guardian Global Equity Fund | ON | No | |
| Guardian i3 International Quality Growth Fund | Guardian International Equity Fund | ON | No | |
| Guardian i ³ US Quality Growth Fund | Guardian i3 US Quality Growth ETF | ON | No | |
| Guardian International Equity Select Fund | | ON | No | |
| Guardian Investment Grade Corporate Bond Fund | | ON | No | |
| Guardian Managed Balanced Portfolio | Guardian Balanced Fund | ON | No | |
| Guardian Managed Growth Portfolio | | ON | No | |
| Guardian Managed Income & Growth Portfolio | | ON | No | |
| Guardian Managed Income Portfolio | | ON | No | |
| Guardian Risk Managed Conservative Portfolio | | ON | No | |
| Guardian Short Duration Bond Fund | | ON | No | |
| Guardian Strategic Income Fund | | ON | No | |
| Guardian U.S. Equity All Cap Growth Fund | | ON | No | |
| Guardian U.S. Equity Select Fund | | ON | No | |
| Guardian Ultra-Short Canadian T-Bill Fund | | ON | No | |
| Guardian Ultra-Short U.S. T-Bill Fund | | ON | No | |
| Gungnir Resources Inc. | Anglo Swiss Resources Inc. | BC | No | |
| Gunnison Copper Corp. | Excelsior Mining Corp. | BC | No | |
| GURU Organic Energy Corp. | | QC | No | |
| H&R Real Estate Investment Trust | | ON | No | |
| Haemacure Corporation | | QC | No | |
| Haivision Systems Inc. | | QC | No | |
| Halo Collective Inc. | Halo Labs Inc. | ON | Yes | 1b,1c,1e |
| Hamilton Australian Bank Equal-Weight Index ETF | | ON | No | |
| Hamilton Canadian Bank Mean Reversion Index ETF | | ON | No | |
| Hamilton Canadian Equity YIELD MAXIMIZER™ ETF | | ON | No | |
| Hamilton Canadian Financials YIELD MAXIMIZER™ ETF | Hamilton Canadian Financials Yield Maximizer ETF | ON | No | |
| HAMILTON CHAMPIONS™ Canadian Bank Equal-Weight Index ETF | Hamilton Canadian Bank Equal-Weight Index ETF | ON | No | |
| HAMILTON CHAMPIONS™ Canadian Dividend Index ETF | | ON | No | |
| HAMILTON CHAMPIONS™ Canadian Financials Index ETF | Hamilton Canadian Financials Index ETF | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| HAMILTON CHAMPIONS™ Enhanced Canadian Dividend ETF | | ON | No | |
| HAMILTON CHAMPIONS™ Enhanced U.S. Dividend ETF | | ON | No | |
| HAMILTON CHAMPIONS™ U.S. Dividend Index ETF | | ON | No | |
| HAMILTON CHAMPIONS™ U.S. Technology Index ETF | | ON | No | |
| HAMILTON CHAMPIONS™ Utilities Index ETF | | ON | No | |
| Hamilton Energy YIELD MAXIMIZER™ ETF | Hamilton Energy Yield Maximizer ETF | ON | No | |
| Hamilton Enhanced Canadian Bank ETF | Hamilton Canadian Bank 1.25x Leverage ETF | ON | No | |
| Hamilton Enhanced Canadian Covered Call ETF | Hamilton Enhanced Multi-Sector Covered Call ETF | ON | No | |
| Hamilton Enhanced Canadian Equity DayMAX™ ETF | | ON | No | |
| Hamilton Enhanced Canadian Financials ETF | | ON | No | |
| Hamilton Enhanced Mixed Asset ETF | | ON | No | |
| Hamilton Enhanced Technology DayMAX™ ETF | | ON | No | |
| Hamilton Enhanced U.S. Covered Call ETF | | ON | No | |
| Hamilton Enhanced U.S. Equity DayMAX™ ETF | | ON | No | |
| Hamilton Enhanced Utilities ETF | | ON | No | |
| Hamilton Global Financials ETF | | ON | No | |
| Hamilton Gold Producer YIELD MAXIMIZER™ ETF | Hamilton Gold Producer Yield Maximizer ETF | ON | No | |
| Hamilton Healthcare YIELD MAXIMIZER™ ETF | Hamilton Healthcare Yield Maximizer ETF | ON | No | |
| Hamilton International Equity YIELD MAXIMIZER™ ETF | | ON | No | |
| Hamilton REITs YIELD MAXIMIZER™ ETF | | ON | No | |
| Hamilton Technology YIELD MAXIMIZER™ ETF | Hamilton Technology Yield Maximizer ETF | ON | No | |
| Hamilton U.S. Bond YIELD MAXIMIZER™ ETF | Hamilton U.S. Bond Yield Maximizer ETF | ON | No | |
| Hamilton U.S. Equity YIELD MAXIMIZER™ ETF | Hamilton U.S. Equity Yield Maximizer ETF | ON | No | |
| Hamilton U.S. Financials YIELD MAXIMIZER™ ETF | Hamilton U.S. Financials Yield Maximizer ETF | ON | No | |
| Hamilton U.S. Mid-Cap Financials ETF | Hamilton U.S. Mid/Small-Cap Financials ETF | ON | No | |
| Hamilton U.S. T-Bill YIELD MAXIMIZER™ ETF | | ON | No | |
| Hamilton Utilities YIELD MAXIMIZER™ ETF | Hamilton Utilities Yield Maximizer ETF | ON | No | |
| Hammond Power Solutions Inc. | | ON | No | |
| Hampton Financial Corporation | | ON | No | |
| Hanfeng Evergreen Inc. | | ON | Yes | 1b,1c,1e,3 |
| Harvest Agnico Eagle Enhanced High Income Shares ETF | | ON | No | |
| Harvest Alphabet Enhanced High Income Shares ETF | | ON | No | |
| Harvest Amazon Enhanced High Income Shares ETF | | ON | No | |
| Harvest Amazon High Income Shares ETF | | ON | No | |
| Harvest AMD Enhanced High Income Shares ETF | | ON | No | |
| Harvest Apple Enhanced High Income Shares ETF | | ON | No | |

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|---|--------------------------------|--------------|------------|-------------------|
| Harvest Balanced Income & Growth Enhanced ETF | | ON | No | |
| Harvest Balanced Income & Growth ETF | | ON | No | |
| Harvest BCE Enhanced High Income Shares ETF | | ON | No | |
| Harvest Bitcoin Enhanced Income ETF | | ON | No | |
| Harvest Bitcoin Leaders Enhanced Income ETF | | ON | No | |
| Harvest Block Enhanced High Income Shares ETF | | ON | No | |
| Harvest Brand Leaders Plus Income ETF | Brand Leaders Plus Income ETF | ON | No | |
| Harvest Broadcom Enhanced High Income Shares ETF | | ON | No | |
| Harvest Cameco Enhanced High Income Shares ETF | | ON | No | |
| Harvest Canadian Equity Income Leaders ETF | | ON | No | |
| Harvest Canadian High Income Shares ETF | | ON | No | |
| Harvest Canadian T-Bill ETF | | ON | No | |
| Harvest Circle Enhanced High Income Shares ETF | | ON | No | |
| Harvest Clean Energy ETF | | ON | No | |
| Harvest CNQ Enhanced High Income Shares ETF | | ON | No | |
| Harvest Coinbase Enhanced High Income Shares ETF | | ON | No | |
| Harvest Coinbase High Income Shares ETF | | ON | No | |
| Harvest Costco Enhanced High Income Shares ETF | | ON | No | |
| Harvest CrowdStrike Enhanced High Income Shares ETF | | ON | No | |
| Harvest Diversified Equity Income ETF | | ON | No | |
| Harvest Diversified High Income Shares ETF | | ON | No | |
| Harvest Diversified Monthly Income ETF | | ON | No | |
| Harvest Eli Lilly Enhanced High Income Shares ETF | | ON | No | |
| Harvest Eli Lilly High Income Shares ETF | | ON | No | |
| Harvest Enbridge Enhanced High Income Shares ETF | | ON | No | |
| Harvest Energy Leaders Plus Income ETF | Energy Leaders Plus Income ETF | ON | No | |
| Harvest Equal Weight Global Utilities Enhanced Income ETF | | ON | No | |
| Harvest Equal Weight Global Utilities Income ETF | | ON | No | |
| Harvest Global Gold Giants Index ETF | | ON | No | |
| Harvest Global REIT Leaders Income ETF | Global REIT Income Leaders ETF | ON | No | |
| Harvest Healthcare Leaders Enhanced Income ETF | | ON | No | |
| Harvest Healthcare Leaders Income ETF | Healthcare Leaders Income ETF | ON | No | |
| Harvest Industrial Leaders Enhanced Income ETF | | ON | No | |
| Harvest Industrial Leaders Income ETF | | ON | No | |
| Harvest JnJ Enhanced High Income Shares ETF | | ON | No | |
| Harvest JPHE Enhanced High Income Shares ETF | | ON | No | |

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|---|---|--------------|------------|-------------------|
| Harvest JPMorgan Enhanced High Income Shares ETF | | ON | No | |
| Harvest Low Volatility Canadian Equity ETF | | ON | No | |
| Harvest Low Volatility Canadian Equity Income ETF | | ON | No | |
| Harvest Meta Enhanced High Income Shares ETF | | ON | No | |
| Harvest Microsoft Enhanced High Income Shares ETF | | ON | No | |
| Harvest Microsoft High Income Shares ETF | | ON | No | |
| Harvest MicroStrategy High Income Shares ETF | | ON | No | |
| Harvest Netflix Enhanced High Income Shares ETF | | ON | No | |
| Harvest Novo Enhanced High Income Shares ETF | | ON | No | |
| Harvest NVIDIA Enhanced High Income Shares ETF | | ON | No | |
| Harvest NVIDIA High Income Shares ETF | | ON | No | |
| Harvest Oracle Enhanced High Income Shares ETF | | ON | No | |
| Harvest Palantir Enhanced High Income Shares ETF | | ON | No | |
| Harvest Premium Yield 7-10 Year Treasury ETF | | ON | No | |
| Harvest Premium Yield Canadian Bank ETF | | ON | No | |
| Harvest Premium Yield Enhanced ETF | | ON | No | |
| Harvest Premium Yield Treasury ETF | | ON | No | |
| Harvest Reddit Enhanced High Income Shares ETF | | ON | No | |
| Harvest Robinhood Enhanced High Income Shares ETF | | ON | No | |
| Harvest Royal Bank Enhanced High Income Shares ETF | | ON | No | |
| Harvest Shopify Enhanced High Income Shares ETF | | ON | No | |
| Harvest SoFi Enhanced High Income Shares ETF | | ON | No | |
| Harvest Strategy Inc. Enhanced High Income Shares ETF | Harvest MicroStrategy Enhanced High Income Shares ETF | ON | No | |
| Harvest Suncor Enhanced High Income Shares ETF | | ON | No | |
| Harvest TD Bank Enhanced High Income Shares ETF | | ON | No | |
| Harvest Tech Achievers Enhanced Income ETF | | ON | No | |
| Harvest Tech Achievers Growth & Income ETF | Tech Achievers Growth & Income ETF | ON | No | |
| Harvest TELUS Enhanced High Income Shares ETF | | ON | No | |
| Harvest Tesla Enhanced High Income Shares ETF | | ON | No | |
| Harvest Travel & Leisure Income ETF | | ON | No | |
| Harvest Travel & Leisure Index ETF | | ON | No | |
| Harvest US Bank Leaders Income ETF | | ON | No | |
| HAVN Life Sciences Inc. | | BC | Yes | 1a,1b,1c,1e,3 |
| Hazelview Alternative Real Estate Fund | | ON | No | |
| Hazelview Global Real Estate Fund | Timbercreek Global Real Estate Income Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Headwater Exploration Inc. | Corridor Resources Inc. | AB | No | |
| Healthcare Special Opportunities Fund* | | ON | No | |
| HEALWELL AI Inc. | MCI Onehealth Technologies Inc. | ON | No | |
| Heating Oil Partners Income Fund | | ON | Yes | 1a,1b,1c,1d,1e,3 |
| Hecla Mining Company | | BC | No | |
| Helios Fairfax Partners Corporation | Fairfax Africa Holdings Corporation | ON | No | |
| Hempfusion Wellness Inc. | | BC | Yes | 1a,1c,1d,1e,3 |
| Heritage Global Inc. | | ON | No | |
| Hertz Energy Inc. | Hertz Lithium Inc. | BC | No | |
| HEXO Corp. | Hydrothechary Corporation, The | QC | No | |
| High Interest Savings Account Fund | High Interest Savings Account ETF | ON | No | |
| High Liner Foods Incorporated | HLG Holdings, Inc. (Ignore) | NS | No | |
| High Tide Inc. | | AB | No | |
| Highland Copper Company Inc. | | ON | No | |
| Highlander Silver Corp. | | ON | No | |
| HIVE Digital Technologies Ltd. | HIVE Blockchain Technologies Ltd. | BC | No | |
| HLS Therapeutics Inc. | | ON | No | |
| HM Exploration Corp. | | BC | No | |
| HMZ Metals Inc. | | ON | Yes | 1a,1b,1c,1d,1e,3 |
| Hollinger Inc. | | ON | Yes | 1a,1b,3 |
| Home Products Inc. | Contour Consumer Products Inc. | ON | Yes | 1a,1b,1c,1d,1e,3 |
| Homeserve Technologies Inc. | Microforum Inc. | ON | Yes | 1b,1c,1e |
| Horizon Petroleum Ltd. | | AB | No | |
| Horizons Europe 50 Index ETF | | ON | No | |
| Horizonte Minerals PLC | | ON | Yes | 1a,1b |
| Hot Chili Limited | | ON | No | |
| Hudbay Minerals Inc. | HudBay Minerals Inc. | ON | No | |
| Humilis Fundamental Opportunities ETF | | ON | No | |
| Humilis North American Dividend Growth ETF | | ON | No | |
| Humilis North American Tactical Equity Fund | | ON | No | |
| Hydro One Inc. | | ON | No | |
| Hydro One Limited | | ON | No | |
| HYLQ STRATEGY CORP. | Tony G Co-Investment Holdings Ltd. | ON | No | |
| Hypercharge Networks Corp. | | BC | No | |
| i-80 Gold Corp. | | ON | No | |
| IA Clarington Agile Core Plus Bond Fund | IA Clarington Core Plus Bond Fund | QC | No | |
| IA Clarington Agile Global Total Return Income Fund | | QC | No | |
| IA Clarington Canadian Dividend Fund | Clarington Canadian Dividend Fund | QC | No | |
| IA Clarington Canadian Leaders Class | | QC | No | |
| IA Clarington Dividend Growth Class | | QC | No | |
| IA Clarington Global Balanced Plus Portfolio | | QC | No | |
| IA Clarington Global Dividend Fund | | QC | No | |
| IA Clarington Global Equity Advantage Fund | | QC | No | |
| IA Clarington Global Equity Exposure Fund | BNP Paribas Global Equity Exposure Fund | QC | No | |

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|--|---|--------------|------------|-------------------|
| IA Clarington Global Equity Plus Portfolio | | QC | No | |
| IA Clarington Global Fixed Income Advantage Fund | | QC | No | |
| IA Clarington Global Macro Advantage Fund | | QC | No | |
| IA Clarington Global Multifactor Equity Fund | IA Clarington Global Value Fund | QC | No | |
| IA Clarington Global Risk-Managed Income Portfolio | | QC | No | |
| IA Clarington Inhance Balanced SRI Portfolio | | QC | No | |
| IA Clarington Inhance Bond SRI Fund | | QC | No | |
| IA Clarington Inhance Canadian Equity SRI Class | | QC | No | |
| IA Clarington Inhance Conservative SRI Portfolio | | QC | No | |
| IA Clarington Inhance Global Equity SRI Class | | QC | No | |
| IA Clarington Inhance Global Equity SRI Fund | | QC | No | |
| IA Clarington Inhance Global Small Cap SRI Fund | | QC | No | |
| IA Clarington Inhance Growth SRI Portfolio | | QC | No | |
| IA Clarington Inhance High Growth SRI Portfolio | | QC | No | |
| IA Clarington Inhance Moderate SRI Portfolio | IA Clarington Inhance Conservative SRI Portfolio | QC | No | |
| IA Clarington Inhance Monthly Income SRI Fund | | QC | No | |
| IA Clarington Loomis Floating Rate Income Fund | IA Clarington Floating Rate Income Fund | QC | No | |
| IA Clarington Loomis Global Allocation Class | IA Clarington Global Allocation Class | QC | No | |
| IA Clarington Loomis Global Allocation Fund | IA Clarington Global Allocation Fund | QC | No | |
| IA Clarington Loomis Global Equity Opportunities Fund | | QC | No | |
| IA Clarington Loomis Global Multisector Bond Fund | | QC | No | |
| IA Clarington Loomis International Growth Fund | | QC | No | |
| IA Clarington Loomis U.S. All Cap Growth Fund | | QC | No | |
| IA Clarington Loomis U.S. Dollar Floating Rate Income Fund | IA Clarington U.S. Dollar Floating Rate Income Fund | QC | No | |
| IA Clarington Money Market Fund | IA Money Market Fund | QC | No | |
| IA Clarington Monthly Income Balanced Fund | R Monthly Income Balanced Fund | QC | No | |
| IA Clarington Multi-Strategy Alternative Pool | | QC | No | |
| IA Clarington QV Canadian Small Cap Class | IA Clarington Canadian Small Cap Class | QC | No | |
| IA Clarington QV Canadian Small Cap Fund | IA Clarington Canadian Small Cap Fund | QC | No | |
| IA Clarington QV Global Equity Fund | IA Clarington Global Equity Fund | QC | No | |
| IA Clarington QV U.S. Equity Class | IA Clarington U.S. Equity Class | QC | No | |
| IA Clarington QV U.S. Equity Currency Neutral Fund | IA Clarington U.S. Equity Currency Neutral Fund | QC | No | |
| IA Clarington Strategic Corporate Bond Fund | | QC | No | |
| IA Clarington Strategic Equity Income Class | Distinction Monthly Income Class | QC | No | |
| IA Clarington Strategic Equity Income Fund | IA Clarington Dividend Income Fund | QC | No | |
| IA Clarington Strategic Income Fund | IA Clarington Canadian Income Fund | QC | No | |
| IA Clarington Tactical Income Class | | QC | No | |
| IA Clarington Target Click 2030 Fund | | QC | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| IA Clarington Thematic Innovation Class | IA Clarington Focused U.S. Equity Class | QC | No | |
| IA Clarington U.S. Dividend Growth Fund | IA Clarington American Fund | QC | No | |
| iA Financial Corporation Inc. | | QC | No | |
| IA Wealth Balanced Portfolio | IA Clarington Balanced Portfolio | QC | No | |
| IA Wealth Conservative Portfolio | IA Clarington Conservative Portfolio | QC | No | |
| IA Wealth Core Bond Pool | IA Clarington Bond Fund | QC | No | |
| IA Wealth Enhanced Bond Pool | | QC | No | |
| IA Wealth Growth Portfolio | IA Clarington Growth Portfolio | QC | No | |
| IA Wealth High Growth Portfolio | IA Clarington Maximum Growth Portfolio | QC | No | |
| IA Wealth Moderate Portfolio | IA Clarington Moderate Portfolio | QC | No | |
| IAMGOLD Corporation | Iamgold International African Mining GOLD Corporation | ON | No | |
| iAnthus Capital Holdings, Inc. | | ON | No | |
| Ids Intelligent Detection Systems Inc. | | ON | Yes | 1a,1b |
| iFabric Corp. | | ON | No | |
| IG Beutel Goodman Canadian Small Cap Fund | Beutel Goodman Small Cap Equity Fund | MB | No | |
| IG BlackRock International Equity Fund | | MB | No | |
| IG Climate Action Portfolio - Global Equity | | MB | No | |
| IG Climate Action Portfolio - Global Equity Balanced | | MB | No | |
| IG Climate Action Portfolio - Global Fixed Income Balanced | | MB | No | |
| IG Climate Action Portfolio - Global Neutral Balanced | | MB | No | |
| IG Core Portfolio - Balanced | Allegro Moderate Portfolio | MB | No | |
| IG Core Portfolio - Balanced Growth | Allegro Moderate Aggressive Portfolio | MB | No | |
| IG Core Portfolio - Global Income | Investors Global Fixed Income Flex Portfolio | MB | No | |
| IG Core Portfolio - Growth | Allegro Aggressive Portfolio | MB | No | |
| IG Core Portfolio - Income | Investors Fixed Income Flex Portfolio | MB | No | |
| IG Core Portfolio - Income Balanced | Allegro Moderate Conservative Portfolio | MB | No | |
| IG Core Portfolio - Income Focus | Allegro Conservative Portfolio | MB | No | |
| IG Core Portfolio - Income Plus | Investors Income Plus Portfolio | MB | No | |
| IG Fi Canadian Equity Fund | | MB | No | |
| IG Franklin ClearBridge Canadian Equity Fund | IG Franklin Bissett Canadian Equity Fund | MB | No | |
| IG Graduation Portfolio | | MB | No | |
| IG Growth Portfolio – Canadian Balanced | IG Managed Growth Portfolio - Canadian Neutral Balanced | MB | No | |
| IG Growth Portfolio – Canadian Equity | IG Managed Growth Portfolio - Canadian Focused Equity | MB | No | |
| IG Growth Portfolio – Global Balanced | IG Managed Growth Portfolio - Global Neutral Balanced | MB | No | |
| IG Growth Portfolio – Global Equity | IG Managed Growth Portfolio - Global Equity | MB | No | |
| IG Growth Portfolio – Global Equity Balanced | IG Managed Growth Portfolio - Global Equity Balanced | MB | No | |
| IG Income Portfolio | IG Managed Payout Portfolio | MB | No | |
| IG Income Portfolio – Growth | IG Managed Payout Portfolio with Growth | MB | No | |
| IG Income Portfolio – Growth Plus | IG Managed Payout Portfolio with Enhanced Growth | MB | No | |
| IG JPMorgan Emerging Markets Fund II | | MB | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| IG Low Volatility Portfolio – Balanced | IG Managed Risk Portfolio - Balanced | MB | No | |
| IG Low Volatility Portfolio – Growth | IG Managed Risk Portfolio - Growth Focus | MB | No | |
| IG Low Volatility Portfolio – Income Balanced | IG Managed Risk Portfolio - Income Balanced | MB | No | |
| IG Low Volatility Portfolio – Income Focus | IG Managed Risk Portfolio - Income Focus | MB | No | |
| IG Mackenzie Betterworld SRI Fund | IG Mackenzie Summa SRI Fund | MB | No | |
| IG Mackenzie Canadian Bond Fund | IG MacKenzie Income Fund | MB | No | |
| IG Mackenzie Canadian Corporate Bond Fund | Investors Canadian Corporate Bond Fund | MB | No | |
| IG Mackenzie Canadian Dividend Fund | IG Mackenzie Canadian Dividend & Income Equity Fund | MB | No | |
| IG Mackenzie Canadian Equity Fund | IG MacKenzie Dividend Growth Fund | MB | No | |
| IG Mackenzie Canadian Money Market Fund | Investors Canadian Money Market Fund | MB | No | |
| IG Mackenzie Canadian Small Cap Fund | IG Mackenzie Canadian Small/Mid Cap Fund | MB | No | |
| IG Mackenzie Dividend Fund | Investors Dividend Fund | MB | No | |
| IG Mackenzie European Equity Fund | Investors European Equity Fund | MB | No | |
| IG Mackenzie European Mid-Cap Equity Fund | Investors European Mid-Cap Equity Fund | MB | No | |
| IG Mackenzie Floating Rate Income Fund | | MB | No | |
| IG Mackenzie Global Consumer Companies Fund | | MB | No | |
| IG Mackenzie Global Dividend Fund | Investors Global Dividend Fund | MB | No | |
| IG Mackenzie Global Financial Services Fund | Investors Global Financial Services Fund | MB | No | |
| IG Mackenzie Global Fund | Investors Global Fund | MB | No | |
| IG Mackenzie Global Fund II | IG AGF Global Equity Fund | MB | No | |
| IG Mackenzie Global Health Care Fund | | MB | No | |
| IG Mackenzie Global Infrastructure Fund | | MB | No | |
| IG Mackenzie Global Precious Metals Fund | | MB | No | |
| IG Mackenzie Global Resource Fund | IG Mackenzie Global Natural Resources Fund | MB | No | |
| IG Mackenzie Global Resources Fund II | IG Mackenzie Global Natural Resources Fund II | MB | No | |
| IG Mackenzie Global Science & Technology Fund | Investors Global Science & Technology Fund | MB | No | |
| IG Mackenzie Global Science & Technology Fund II | | MB | No | |
| IG Mackenzie International Small Cap Fund | Investors International Small Cap Fund | MB | No | |
| IG Mackenzie Mortgage and Short Term Income Fund | Investors Mortgage and Short Term Income Fund | MB | No | |
| IG Mackenzie Mutual of Canada | Investors Mutual of Canada | MB | No | |
| IG Mackenzie North American Corporate Bond Fund | IG Mackenzie High Yield Fixed Income Fund | MB | No | |
| IG Mackenzie North American Equity Fund | Investors North American Equity Fund | MB | No | |
| IG Mackenzie Pacific International Fund | Investors Pacific International Fund | MB | No | |
| IG Mackenzie Pacific International Fund II | | MB | No | |
| IG Mackenzie Pan Asian Equity Fund | Investors Pan Asian Growth Fund | MB | No | |
| IG Mackenzie Real Property Fund | Investors Real Property Fund | MB | No | |
| IG Mackenzie Strategic Income Fund | IG Mackenzie Sentinel Strategic Income Fund | MB | No | |
| IG Mackenzie U.S. Dividend Registered Fund | Investors U.S. Dividend Registered Fund | MB | No | |
| IG Mackenzie U.S. Dollar Fund - Global Equity | | MB | No | |
| IG Mackenzie U.S. Dollar Fund - Global Equity Balanced | | MB | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| IG Mackenzie U.S. Dollar Fund - Global Fixed Income Balanced | | MB | No | |
| IG Mackenzie U.S. Dollar Fund - Global Neutral Balanced | | MB | No | |
| IG Mackenzie U.S. Equity Fund | IG Mackenzie Core U.S. Equity Fund | MB | No | |
| IG Mackenzie U.S. Money Market Fund | Investors U.S. Money Market Fund | MB | No | |
| IG Mackenzie U.S. Small-Mid Cap Growth Fund | IG Mackenzie U.S. Opportunities Fund | MB | No | |
| IG Mackenzie U.S. Small-Mid Cap Growth Fund II | IG Mackenzie U.S. Opportunities Fund II | MB | No | |
| IG Manulife Strategic Income Fund | | MB | No | |
| IG PIMCO Global Bond Fund | | MB | No | |
| IG Putnam U.S. Growth Fund | | MB | No | |
| IG Putnam U.S. High Yield Income Fund | | MB | No | |
| IG T. Rowe Price U.S. Large Cap Equity Fund | IG FI U.S. Large Cap Equity Fund | MB | No | |
| IG Target Education 2030 Portfolio | | MB | No | |
| IG Target Education 2035 Portfolio | | MB | No | |
| IG Target Education 2040 Portfolio | | MB | No | |
| IG U.S. Taxpayer Portfolio - Global Equity | | MB | No | |
| IG U.S. Taxpayer Portfolio - Global Equity Balanced | | MB | No | |
| IG U.S. Taxpayer Portfolio - Global Fixed Income Balanced | | MB | No | |
| IG U.S. Taxpayer Portfolio - Global Neutral Balanced | | MB | No | |
| IGM Financial Inc. | Investors Group Inc. | MB | No | |
| illumin Holdings Inc. | AcuityAds Holdings Inc. | ON | No | |
| IM Cannabis Corp. | | BC | No | |
| Image Sculpting International Inc. | | ON | No | |
| IMarketing Solutions Group Inc. | Xentel DM Incorporated | ON | No | |
| IMAX Corporation | | ON | No | |
| imaxx Canadian Fixed Pay Fund | | QC | No | |
| Impax Energy Services Income Trust* | | AB | Yes | 1a,1b,1c,1d,1e,3 |
| Imperial Canadian Bond Pool | CIBC Trust Canadian Bond Pool | ON | No | |
| Imperial Canadian Diversified Income Pool | Imperial Canadian Dividend Pool | ON | No | |
| Imperial Canadian Dividend Income Pool | | ON | No | |
| Imperial Canadian Equity Pool | CIBC Trust Canadian Equity Pool | ON | No | |
| Imperial Emerging Economies Pool | | ON | No | |
| Imperial Equity High Income Pool | Imperial Canadian Income Trust Pool | ON | No | |
| Imperial Global Equity Income Pool | | ON | No | |
| Imperial International Bond Pool | | ON | No | |
| Imperial International Equity Pool | CIBC Trust International Equity Pool | ON | No | |
| Imperial Money Market Pool | CIBC Trust Money Market Pool | ON | No | |
| Imperial Oil Limited | | AB | No | |
| Imperial Overseas Equity Pool | Tal Private International Equity Fund | ON | No | |
| Imperial Short-Term Bond Pool | Imperial Short-Term Bond Fund | ON | No | |
| Imperial U.S. Equity Pool | CIBC Trust U.S. Equity Pool | ON | No | |
| IMRIS Inc. | | ON | Yes | 1b,1c,1e |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| IMV Inc. | ImmunoVaccine Inc. | NS | Yes | 1b,1c,1e |
| Income 100 Fund | Conservative Income Fund | ON | No | |
| Income 20/80 Fund | Diversified Income Fund | ON | No | |
| Income 40/60 Fund | Balanced Income Fund | ON | No | |
| Income Balanced Pool | Income Balanced Fund | ON | No | |
| Income Financial Trust* | International Financial Services Yield Trust | ON | No | |
| INDEXPLUS Income Fund | | ON | No | |
| Indiva Limited | | ON | Yes | 1b,1c,1e |
| INEO Tech Corp. | | BC | No | |
| Infinico Metals Corp. | Burin Gold Corp. | BC | No | |
| Information Services Corporation | | SK | No | |
| Infrastructure Dividend Split Corp.* | | ON | No | |
| InnoCan Pharma Corporation | | AB | No | |
| Innovative Composites International Inc. | | ON | No | |
| Inovalis Real Estate Investment Trust | | ON | No | |
| InPlay Oil Corp. | Anderson Energy Inc. | AB | No | |
| Inscape Corporation | Office Specialty Inc. | ON | Yes | 1b,1c,1e |
| Intact Financial Corporation | ING Canada Inc. | ON | No | |
| Integra Resources Corp. | Mag Copper Limited | BC | No | |
| IntelliPharmaCeutics International Inc. | | ON | Yes | 1a,1c,1d,1e,3 |
| Inter Pipeline Ltd. | | AB | No | |
| Intercure Ltd. | | ON | No | |
| Interfor Corporation | International Forest Products Limited | BC | No | |
| Interlock Consolidated Enterprises Inc. | Dunfrazier Gold Explorations Inc | ON | Yes | 1a,1b,3 |
| Intermap Technologies Corporation | | AB | No | |
| International Equity Alpha Corporate Class | | ON | No | |
| International Equity Alpha Pool | | ON | No | |
| International Equity Fund | EAFE Equity Fund | ON | No | |
| International Equity Growth Corporate Class | | ON | No | |
| International Equity Growth Pool | Assante International Equity Growth Pool | ON | No | |
| International Equity Managed Class | | ON | No | |
| International Equity Managed Pool | | ON | No | |
| International Equity Value Corporate Class | | ON | No | |
| International Equity Value Currency Hedged Corporate Class | | ON | No | |
| International Equity Value Currency Hedged Pool | | ON | No | |
| International Equity Value Pool | Optima Strategy International Equity Value Pool | ON | No | |
| International Financial Income and Growth Trust* | | ON | No | |
| InterRent Real Estate Investment Trust | | ON | No | |
| Intouch Insight Ltd. | In-Touch Survey Systems Ltd. | ON | No | |
| Intrepid Metals Corp. | Voleo Trading Systems Inc. | BC | No | |
| Inverpower Controls LTD. | | ON | No | |
| Invesco 1-5 Year Laddered Corporate Bond Index ETF Fund | PowerShares 1-5 Year Laddered Corporate Bond Index Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Invesco 1-5 Year Laddered Investment Grade Corporate Bond Index ETF | PowerShares 1-5 Year Laddered Investment Grade Corp Bond Index ETF | ON | No | |
| Invesco American Franchise Class | Invesco U.S. Companies Class | ON | No | |
| Invesco American Franchise Fund | Invesco U.S. Companies Fund | ON | No | |
| Invesco Balanced ETF Portfolio | Balanced Portfolio | ON | No | |
| Invesco Balanced Growth Portfolio | Invesco Intactive Balanced Growth Portfolio | ON | No | |
| Invesco Balanced Growth Portfolio Class | Invesco Intactive Balanced Growth Portfolio Class | ON | No | |
| Invesco Balanced Income Portfolio | Invesco Intactive Balanced Income Portfolio | ON | No | |
| Invesco Balanced Income Portfolio Class | Invesco Intactive Balanced Income Portfolio Class | ON | No | |
| Invesco Canada Money Market Fund | AIM Canada Money Market Fund | ON | No | |
| Invesco Canadian Class | Trimark Canadian Class | ON | No | |
| Invesco Canadian Core Plus Bond ETF | | ON | No | |
| Invesco Canadian Core Plus Bond Fund | Invesco Canadian Bond Fund | ON | No | |
| Invesco Canadian Dividend Index ETF | PowerShares Canadian Dividend Index ETF | ON | No | |
| Invesco Canadian Dividend Index ETF Class | PowerShares Canadian Dividend Index Class | ON | No | |
| Invesco Canadian Fund | Trimark Canadian Fund | ON | No | |
| Invesco Canadian Government Floating Rate Index ETF | Invesco 1-3 Year Laddered Floating Rate Note Index ETF | ON | No | |
| Invesco Canadian Plus Dividend Class | Trimark Canadian Plus Dividend Class | ON | No | |
| Invesco Canadian Premier Balanced Class | Invesco Core Canadian Balanced Class | ON | No | |
| Invesco Canadian Premier Balanced Fund | Invesco Canadian Balanced Fund | ON | No | |
| Invesco Conservative ETF Portfolio | Conservative Portfolio | ON | No | |
| Invesco Developing Markets Class | Invesco Emerging Markets Class | ON | No | |
| Invesco Developing Markets Fund | Invesco Emerging Markets Fund | ON | No | |
| Invesco Diversified Income Portfolio | Invesco Intactive Diversified Income Portfolio | ON | No | |
| Invesco Diversified Income Portfolio Class | Invesco Intactive Diversified Income Portfolio Class | ON | No | |
| Invesco Diversified Yield Class | Trimark Diversified Yield Class | ON | No | |
| Invesco EQV Canadian Premier Equity Class | Invesco Canadian Premier Growth Class | ON | No | |
| Invesco EQV Canadian Premier Equity Fund | Invesco Canadian Premier Growth Fund | ON | No | |
| Invesco EQV International Equity Class | Invesco International Growth Class | ON | No | |
| Invesco EQV International Equity Fund | Invesco International Growth Fund | ON | No | |
| Invesco ESG Canadian Core Plus Bond ETF | Invesco Tactical Bond ETF | ON | No | |
| Invesco ESG Canadian Core Plus Bond ETF Fund | Invesco Tactical Bond ETF Fund | ON | No | |
| Invesco ESG Global Bond ETF | | ON | No | |
| Invesco ESG NASDAQ 100 Index ETF | | ON | No | |
| Invesco European Equity Class | Invesco EQV European Equity Class | ON | No | |
| Invesco European Equity Fund | Invesco EQV European Equity Fund | ON | No | |
| Invesco Floating Rate Income Fund | Trimark Floating Rate Income Fund | ON | No | |
| Invesco Global Balanced Class | Trimark Global Balanced Class | ON | No | |
| Invesco Global Balanced ESG ETF Fund | | ON | No | |
| Invesco Global Balanced Fund | Trimark Global Balanced Fund | ON | No | |
| Invesco Global Bond ETF | | ON | No | |
| Invesco Global Bond Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Invesco Global Companies Fund | Trimark Fund | ON | No | |
| Invesco Global Diversified Income Fund | Trimark Global Diversified Income Fund | ON | No | |
| Invesco Global Dividend Class | Trimark Global Dividend Class | ON | No | |
| Invesco Global Dividend ESG ETF Fund | Invesco Global Dividend Achievers ETF Fund | ON | No | |
| Invesco Global Equity Income Advantage Fund | | ON | No | |
| Invesco Global Opportunities Class | Invesco Global Small Companies Class | ON | No | |
| Invesco Global Real Estate Fund | | ON | No | |
| Invesco Global Select Equity Class | Invesco Global Diversified Companies Class | ON | No | |
| Invesco Global Select Equity Fund | Invesco Global Diversified Companies Fund | ON | No | |
| Invesco Growth ETF Portfolio | Growth Portfolio | ON | No | |
| Invesco Growth Portfolio | Invesco Intactive Growth Portfolio | ON | No | |
| Invesco Growth Portfolio Class | Invesco Intactive Growth Portfolio Class | ON | No | |
| Invesco Income Growth Fund | Trimark Income Growth Fund | ON | No | |
| Invesco International Developed Dynamic-Multifactor Index ETF | | ON | No | |
| Invesco International Growth Class | Invesco Oppenheimer International Growth Class | ON | No | |
| Invesco International Growth Fund | Invesco Oppenheimer International Growth Fund | ON | No | |
| Invesco Long Term Government Bond Index ETF | PowerShares Ultra Liquid Long Term Government Bond Index ETF | ON | No | |
| Invesco Main Street U.S. Small Cap Class | Invesco U.S. Small Companies Class | ON | No | |
| Invesco Managed Futures Fund | Invesco Balanced-Risk Allocation Pool | ON | No | |
| Invesco Maximum Growth Portfolio | Invesco Intactive Maximum Growth Portfolio | ON | No | |
| Invesco Maximum Growth Portfolio Class | Invesco Intactive Maximum Growth Portfolio Class | ON | No | |
| Invesco Monthly Income ETF Portfolio | PowerShares Monthly Income Fund | ON | No | |
| Invesco Morningstar Global Energy Transition Index ETF | | ON | No | |
| Invesco Morningstar Global Next Gen AI Index ETF | | ON | No | |
| Invesco NASDAQ 100 Equal Weight Index ETF | | ON | No | |
| Invesco NASDAQ 100 Income Advantage ETF | | ON | No | |
| Invesco NASDAQ 100 Index ETF | Invesco QQQ Index ETF | ON | No | |
| Invesco NASDAQ 100 Index ETF Fund | | ON | No | |
| Invesco NASDAQ Next Gen 100 Index ETF | | ON | No | |
| Invesco Pure Canadian Equity Class | Invesco Canadian Opportunity Class | ON | No | |
| Invesco Pure Canadian Equity Fund | Invesco Canadian Endeavour Fund | ON | No | |
| Invesco RAFI Canadian Index ETF | Invesco FTSE RAFI Canadian Index ETF | ON | No | |
| Invesco RAFI Canadian Index ETF Class | Invesco FTSE RAFI Canadian Index ETF Class | ON | No | |
| Invesco RAFI Global Small-Mid ETF | Invesco FTSE RAFI Global Small-Mid ETF | ON | No | |
| Invesco RAFI Global+ ETF Fund | Invesco FTSE RAFI Global+ ETF Fund | ON | No | |
| Invesco RAFI U.S. ETF Fund | Invesco FTSE RAFI U.S. ETF Fund | ON | No | |
| Invesco RAFI U.S. Index ETF | Invesco FTSE RAFI U.S. Index ETF | ON | No | |
| Invesco RAFI U.S. Index ETF II | Invesco FTSE RAFI U.S. Index ETF II | ON | No | |
| Invesco Russell 1000 Dynamic-Multifactor Index ETF | | ON | No | |
| Invesco S&P 500 Equal Weight Income Advantage ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Invesco S&P 500 Equal Weight Index ETF | | ON | No | |
| Invesco S&P 500 ESG Index ETF | | ON | No | |
| Invesco S&P 500 ESG Index ETF Fund | | ON | No | |
| Invesco S&P 500 Low Volatility Index ETF | PowerShares S&P 500 Low Volatility Index ETF | ON | No | |
| Invesco S&P Europe 350 Equal Weight Index ETF | | ON | No | |
| Invesco S&P International Developed Dividend Aristocrats ESG Index ETF | | ON | No | |
| Invesco S&P International Developed ESG Index ETF | | ON | No | |
| Invesco S&P US Dividend Aristocrats ESG Index ETF | | ON | No | |
| Invesco S&P/TSX 60 Equal Weight Index ETF | | ON | No | |
| Invesco S&P/TSX Canadian Dividend Aristocrats ESG Index ETF | | ON | No | |
| Invesco S&P/TSX Composite ESG Index ETF | | ON | No | |
| Invesco S&P/TSX Composite Low Volatility Index ETF | PowerShares S&P/TSX Composite Low Volatility Index ETF | ON | No | |
| Invesco Select Balanced Fund | Trimark Select Balanced Fund | ON | No | |
| Invesco Select Canadian Equity Fund | Trimark Select Canadian Growth Fund | ON | No | |
| Invesco Strategic Yield Fund | Investco Intactive Strategic Yield Portfolio | ON | No | |
| Invesco Unconstrained Bond Fund | Invesco Active Multi-Sector Credit Fund | ON | No | |
| Invesco US Treasury Floating Rate Note Index ETF (USD) | | ON | No | |
| Invesque Inc. | Mainstreet Health Investments Inc. | ON | No | |
| Iona Energy Inc | | AB | Yes | 1a,1b,1c,1e |
| Ionik Corporation | PopReach Corporation | ON | No | |
| IPC Private Wealth Visio Balanced Growth Pool | | ON | No | |
| IPC Private Wealth Visio Balanced Income Pool | | ON | No | |
| IPC Private Wealth Visio Balanced Pool | | ON | No | |
| IPC Private Wealth Visio Global Advantage Balanced Pool | | ON | No | |
| IPC Private Wealth Visio Global Opportunities Balanced Pool | | ON | No | |
| IPC Private Wealth Visio Growth Pool | | ON | No | |
| IPC Private Wealth Visio Income Pool | | ON | No | |
| iProfile Active Allocation Private Pool I | | MB | No | |
| iProfile Active Allocation Private Pool II | | MB | No | |
| iProfile Active Allocation Private Pool III | | MB | No | |
| iProfile Active Allocation Private Pool IV | | MB | No | |
| iProfile Alternatives Private Pool | | MB | No | |
| iProfile Canadian Dividend and Income Equity Private Pool | | MB | No | |
| iProfile Canadian Equity Private Pool | iProfile Canadian Equity Pool | MB | No | |
| iProfile Emerging Markets Private Pool | iProfile Emerging Markets Pool | MB | No | |
| iProfile Enhanced Monthly Income Portfolio – Canadian Fixed Income | | MB | No | |
| iProfile Enhanced Monthly Income Portfolio – Canadian Neutral Balanced | | MB | No | |
| iProfile ETF Private Pool | | MB | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| iProfile Fixed Income Private Pool | iProfile Fixed Income Pool | MB | No | |
| iProfile International Equity Private Pool | iProfile International Equity Pool | MB | No | |
| iProfile Low Volatility Private Pool | | MB | No | |
| iProfile Portfolio - Global Equity | | MB | No | |
| iProfile Portfolio - Global Equity Balanced | | MB | No | |
| iProfile Portfolio - Global Fixed Income Balanced | | MB | No | |
| iProfile Portfolio - Global Neutral Balanced | | MB | No | |
| iProfile U.S. Equity Private Pool | iProfile U.S. Equity Pool | MB | No | |
| Irving Resources Inc. | | BC | No | |
| ISE Limited | | ON | No | |
| iShares 0-5 Year TIPS Bond Index ETF | | ON | No | |
| iShares 0-5 Year TIPS Bond Index ETF (CAD-Hedged) | | ON | No | |
| iShares 1-10 Year Laddered Corporate Bond Index ETF | iShares 1-10 Yr Laddered Corporate Bond Index Fund | ON | No | |
| iShares 1-10 Year Laddered Government Bond Index ETF | iShares 1-10 Yr Laddered Government Bond Index Fund | ON | No | |
| iShares 1-5 Year Laddered Corporate Bond Index ETF | iShares 1-5 Year Laddered Corporate Bond Index Fund | ON | No | |
| iShares 1-5 year Laddered Government Bond Index ETF | iShares 1-5 Yr Laddered Government Bond Index Fund | ON | No | |
| iShares 1-5 Year U.S. IG Corporate Bond Index ETF | | ON | No | |
| iShares 1-5 Year U.S. IG Corporate Bond Index ETF (CAD-Hedged) | | ON | No | |
| iShares 20+ Year U.S. Treasury Bond Index ETF | | ON | No | |
| iShares 20+ Year U.S. Treasury Bond Index ETF (CAD-Hedged) | | ON | No | |
| iShares Bitcoin ETF* | | ON | No | |
| iShares Canadian Financial Monthly Income ETF | iShares Canadian Financial Monthly Income Fund | ON | No | |
| iShares Canadian Fundamental Index ETF | iShares Canadian Fundamental Index Fund | ON | No | |
| iShares Canadian Growth Index ETF | iShares Dow Jones Canada Select Growth Index Fund | ON | No | |
| iShares Canadian HYBRID Corporate Bond Index ETF | iShares DEX HYBRID Bond Index Fund | ON | No | |
| iShares Canadian Real Return Bond Index ETF | iShares DEX Real Return Bond Index Fund | ON | No | |
| iShares Canadian Select Dividend Index ETF | iShares Dow Jones Canada Select Dividend Index Fund | ON | No | |
| iShares Canadian Value Index ETF | iShares Dow Jones Canada Select Value Index Fund | ON | No | |
| iShares China Index ETF | iShares China Index Fund | ON | No | |
| iShares Conservative Short Term Strategic Fixed Income ETF | | ON | No | |
| iShares Conservative Strategic Fixed Income ETF | | ON | No | |
| iShares Convertible Bond Index ETF | iShares Advantaged Convertible Bond Index Fund | ON | No | |
| iShares Core Balanced ETF Portfolio | iShares Balanced Income CorePortfolio TM Fund | ON | No | |
| iShares Core Canadian 15+ Year Federal Bond Index ETF | | ON | No | |
| iShares Core Canadian Corporate Bond Index ETF | iShares Canadian Corporate Bond Index ETF | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| iShares Core Canadian Government Bond Index ETF | iShares Canadian Government Bond Index ETF | ON | No | |
| iShares Core Canadian Long Term Bond Index ETF | iShares Canadian Long Term Bond Index ETF | ON | No | |
| iShares Core Canadian Short Term Bond Index ETF | iShares Canadian Short Term Bond Index ETF | ON | No | |
| iShares Core Canadian Short Term Corporate Bond Index ETF | iShares Core Canadian Short Term Corporate + Maple Bond Index ETF | ON | No | |
| iShares Core Canadian Short-Mid Term Universe Bond Index ETF | | ON | No | |
| iShares Core Canadian Universe Bond Index ETF | iShares Canadian Universe Bond Index ETF | ON | No | |
| iShares Core Conservative Balanced ETF Portfolio | | ON | No | |
| iShares Core Equity ETF Portfolio | | ON | No | |
| iShares Core Growth ETF Portfolio | iShares Balanced Growth CorePortfolio TM Fund | ON | No | |
| iShares Core Income Balanced ETF Portfolio | | ON | No | |
| iShares Core MSCI All Country World ex Canada Index ETF | | ON | No | |
| iShares Core MSCI Canadian Quality Dividend Index ETF | | ON | No | |
| iShares Core MSCI EAFE IMI Index ETF | iShares MSCI EAFE IMI Index ETF | ON | No | |
| iShares Core MSCI EAFE IMI Index ETF (CAD-Hedged) | | ON | No | |
| iShares Core MSCI Emerging Markets IMI Index ETF | iShares MSCI Emerging Markets IMI Index ETF | ON | No | |
| iShares Core MSCI Global Quality Dividend Index ETF | | ON | No | |
| iShares Core MSCI Global Quality Dividend Index ETF (CAD-Hedged) | | ON | No | |
| iShares Core MSCI US Quality Dividend Index ETF | | ON | No | |
| iShares Core MSCI US Quality Dividend Index ETF (CAD-Hedged) | | ON | No | |
| iShares Core S&P 500 Index ETF | iShares S&P 500 Index ETF | ON | No | |
| iShares Core S&P 500 Index ETF (CAD-Hedged) | iShares S&P 500 Index Fund (CAD-Hedged) | ON | No | |
| iShares Core S&P Total U.S. Stock Market Index ETF | | ON | No | |
| iShares Core S&P Total U.S. Stock Market Index ETF (CAD-Hedged) | | ON | No | |
| iShares Core S&P U.S. Total Market Index ETF | iShares Core S&P U.S. Total market Index ETF | ON | No | |
| iShares Core S&P U.S. Total Market Index ETF (CAD-Hedged) | | ON | No | |
| iShares Core S&P/TSX Capped Composite Index ETF | iShares S&P/TSX Capped Composite Index Fund | ON | No | |
| iShares Cybersecurity and Tech Index ETF | | ON | No | |
| iShares Diversified Monthly Income ETF | iShares Diversified Monthly Income Fund | ON | No | |
| iShares Emerging Markets Fundamental Index ETF | iShares Broad Emerging Markets Fund | ON | No | |
| iShares Equal Weight Banc & Lifeco ETF | iShares Equal Weight Banc & Lifeco Fund | ON | No | |
| iShares ESG Advanced 1-5 Year Canadian Corporate Bond Index ETF | | ON | No | |
| iShares ESG Advanced Canadian Corporate Bond Index ETF | | ON | No | |
| iShares ESG Advanced MSCI Canada Index ETF | iShares ESG MSCI Canada Advanced Index ETF | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| iShares ESG Advanced MSCI EAFE Index ETF | iShares ESG MSCI EAFE Advanced Index ETF | ON | No | |
| iShares ESG Advanced MSCI USA Index ETF | iShares ESG MSCI USA Advanced Index ETF | ON | No | |
| iShares ESG Aware Canadian Aggregate Bond Index ETF | iShares ESG Canadian Aggregate Bond Index ETF | ON | No | |
| iShares ESG Aware Canadian Short Term Bond Index ETF | iShares ESG Canadian Short Term Bond Index ETF | ON | No | |
| iShares ESG Aware MSCI Canada Index ETF | iShares ESG MSCI Canada Index ETF | ON | No | |
| iShares ESG Aware MSCI EAFE Index ETF | iShares ESG MSCI EAFE Index ETF | ON | No | |
| iShares ESG Aware MSCI Emerging Markets Index ETF | iShares ESG MSCI Emerging Markets Index ETF | ON | No | |
| iShares ESG Aware MSCI USA Index ETF | iShares ESG MSCI USA Index ETF | ON | No | |
| iShares ESG Balanced ETF Portfolio | | ON | No | |
| iShares ESG Conservative Balanced ETF Portfolio | | ON | No | |
| iShares ESG Equity ETF Portfolio | | ON | No | |
| iShares ESG Growth ETF Portfolio | | ON | No | |
| iShares Exponential Technologies Index ETF | | ON | No | |
| iShares Flexible Monthly Income ETF | | ON | No | |
| iShares Flexible Monthly Income ETF (CAD-Hedged) | | ON | No | |
| iShares Floating Rate Index ETF | iShares DEX Floating Rate Note Index Fund | ON | No | |
| iShares Genomics Immunology and Healthcare Index ETF | | ON | No | |
| iShares Global Agriculture Index ETF | iShares Global Agriculture Index Fund | ON | No | |
| iShares Global Clean Energy Index ETF | | ON | No | |
| iShares Global Electric and Autonomous Vehicles Index ETF | | ON | No | |
| iShares Global Government Bond Index ETF (CAD-Hedged) | RBC Global Government Bond (CAD Hedged) Index ETF | ON | No | |
| iShares Global Healthcare Index ETF (CAD-Hedged) | iShares S&P Global Healthcare Index Fund (CAD-Hedged) | ON | No | |
| iShares Global Infrastructure Index ETF | iShares Global Infrastructure Index Fund | ON | No | |
| iShares Global Monthly Dividend Index ETF (CAD-Hedged) | iShares Global Monthly Advantaged Dividend Index Fund | ON | No | |
| iShares Global Real Estate Index ETF | iShares Global Real Estate Index Fund | ON | No | |
| iShares Global Water Index ETF | iShares S&P Global Water Index ETF | ON | No | |
| iShares Gold Bullion ETF | Claymore Gold Bullion ETF | ON | No | |
| iShares High Quality Canadian Bond Index ETF | iShares Core High Quality Canadian Bond Index ETF | ON | No | |
| iShares India Index ETF | iShares CNX Nifty India Index ETF | ON | No | |
| iShares International Fundamental Index ETF | iShares International Fundamental Index Fund | ON | No | |
| iShares J.P. Morgan USD Emerging Markets Bond Index ETF (CAD-Hedged) | iShares J.P. Morgan USD Emerging Markets Bond Index Fund (CAD-Hedged) | ON | No | |
| iShares Jantzi Social Index ETF | iShares Jantzi Social Index Fund | ON | No | |
| iShares Japan Fundamental Index ETF (CAD-Hedged) | iShares Japan Fundamental Index Fund (CAD-Hedged) | ON | No | |
| iShares MSCI EAFE Index ETF (CAD-Hedged) | iShares MSCI EAFE Index Fund (CAD-Hedged) | ON | No | |
| iShares MSCI Emerging Markets ex China Index ETF | | ON | No | |
| iShares MSCI Emerging Markets Index ETF | iShares MSCI Emerging Markets Index Fund | ON | No | |
| iShares MSCI Europe IMI Index ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| iShares MSCI Europe IMI Index ETF (CAD-Hedged) | | ON | No | |
| iShares MSCI Min Vol Canada Index ETF | iShares Edge MSCI Min Vol Canada Index ETF | ON | No | |
| iShares MSCI Min Vol EAFE Index ETF | iShares Edge MSCI Min Vol EAFE Index ETF | ON | No | |
| iShares MSCI Min Vol EAFE Index ETF (CAD-Hedged) | iShares Edge MSCI Min Vol EAFE Index ETF (CAD-Hedged) | ON | No | |
| iShares MSCI Min Vol Emerging Markets Index ETF | iShares Edge MSCI Min Vol Emerging Markets Index ETF | ON | No | |
| iShares MSCI Min Vol Global Index ETF | iShares Edge MSCI Min Vol Global Index ETF | ON | No | |
| iShares MSCI Min Vol Global Index ETF (CAD-Hedged) | iShares Edge MSCI Min Vol Global Index ETF (CAD-Hedged) | ON | No | |
| iShares MSCI Min Vol USA Index ETF | iShares Edge MSCI Min Vol USA Index ETF | ON | No | |
| iShares MSCI Min Vol USA Index ETF (CAD-Hedged) | iShares Edge MSCI Min Vol USA Index ETF (CAD-Hedged) | ON | No | |
| iShares MSCI USA Momentum Factor Index ETF | | ON | No | |
| iShares MSCI USA Quality Factor Index ETF | | ON | No | |
| iShares MSCI USA Value Factor Index ETF | | ON | No | |
| iShares MSCI World Index ETF | iShares MSCI World Index Fund | ON | No | |
| iShares NASDAQ 100 Index ETF | | ON | No | |
| iShares NASDAQ 100 Index ETF (CAD-Hedged) | iShares NASDAQ 100 Index Fund (CAD-Hedged) | ON | No | |
| iShares Premium Money Market ETF | iShares Premium Money Market Fund | ON | No | |
| iShares S&P 500 3% Capped Index ETF | | ON | No | |
| iShares S&P 500 3% Capped Index ETF (CAD-Hedged) | | ON | No | |
| iShares S&P Global Consumer Discretionary Index ETF (CAD-Hedged) | iShares S&P Global Consumer Discretionary Index Fund (CAD-Hedged) | ON | No | |
| iShares S&P Global Industrials Index ETF (CAD-Hedged) | iShares S&P Global Industrials Index Fund (CAD-Hedged) | ON | No | |
| iShares S&P U.S. Financials Index ETF | | ON | No | |
| iShares S&P U.S. Mid-Cap Index ETF | | ON | No | |
| iShares S&P U.S. Mid-Cap Index ETF (CAD-Hedged) | | ON | No | |
| iShares S&P U.S. Small-Cap Index ETF | | ON | No | |
| iShares S&P U.S. Small-Cap Index ETF (CAD-Hedged) | | ON | No | |
| iShares S&P/TSX 60 Index ETF | iShares S&P/TSX 60 Index Fund | ON | No | |
| iShares S&P/TSX Canadian Dividend Aristocrats Index ETF | Claymore S&P/TSX Canadian Dividend ETF | ON | No | |
| iShares S&P/TSX Canadian Preferred Share Index ETF | iShares S&P/TSX Canadian Preferred Share Index Fund | ON | No | |
| iShares S&P/TSX Capped Consumer Staples Index ETF | iShares S&P/TSX Capped Consumer Staples Index Fund | ON | No | |
| iShares S&P/TSX Capped Energy Index ETF | iShares S&P/TSX Capped Energy Index Fund | ON | No | |
| iShares S&P/TSX Capped Financials Index ETF | iShares S&P/TSX Capped Financials Index Fund | ON | No | |
| iShares S&P/TSX Capped Information Technology Index ETF | iShares S&P/TSX Capped Information Technology Index Fund | ON | No | |
| iShares S&P/TSX Capped Materials Index ETF | iShares S&P/TSX Capped Materials Index Fund | ON | No | |
| iShares S&P/TSX Capped REIT Index ETF | iShares S&P/TSX Capped REIT Index Fund | ON | No | |
| iShares S&P/TSX Capped Utilities Index ETF | iShares S&P/TSX Capped Utilities Index Fund | ON | No | |
| iShares S&P/TSX Completion Index ETF | iShares S&P/TSX Completion Index Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| iShares S&P/TSX Composite High Dividend Index ETF | iShares Core S&P/TSX Composite High Dividend Index ETF | ON | No | |
| iShares S&P/TSX Energy Transition Materials Index ETF | | ON | No | |
| iShares S&P/TSX Global Base Metals Index ETF | iShares S&P/TSX Global Base Metals Index Fund | ON | No | |
| iShares S&P/TSX Global Gold Index ETF | iShares S&P/TSX Global Gold Index Fund | ON | No | |
| iShares S&P/TSX North American Preferred Stock Index ETF (CAD-Hedged) | iShares S&P/TSX North American Preferred Stock Index Fund (CAD-Hedged) | ON | No | |
| iShares S&P/TSX SmallCap Index ETF | iShares S&P/TSX SmallCap Index Fund | ON | No | |
| iShares Semiconductor Index ETF | | ON | No | |
| iShares Short Term Strategic Fixed Income ETF | | ON | No | |
| iShares Silver Bullion ETF | BlackRock Silver Bullion Fund | ON | No | |
| iShares U.S. Aerospace & Defense Index ETF | | ON | No | |
| iShares U.S. Aggregate Bond Index ETF | | ON | No | |
| iShares U.S. Aggregate Bond Index ETF (CAD-Hedged) | | ON | No | |
| iShares U.S. High Dividend Equity Index ETF | | ON | No | |
| iShares U.S. High Dividend Equity Index ETF (CAD-Hedged) | iShares U.S. High Dividend Equity Index Fund (CAD-Hedged) | ON | No | |
| iShares U.S. High Yield Bond Index ETF(CAD-Hedged) | iShares U.S. High Yield Bond Index Fund (CAD-Hedged) | ON | No | |
| iShares U.S. IG Corporate Bond Index ETF | | ON | No | |
| iShares U.S. IG Corporate Bond Index ETF (CAD-Hedged) | iShares U.S. IG Corporate Bond Index Fund (CAD-Hedged) | ON | No | |
| iShares U.S. Small Cap Index ETF (CAD-Hedged) | iShares Russell 2000 Index Fund (CAD-Hedged) | ON | No | |
| iShares US Dividend Growers Index ETF (CAD-Hedged) | iShares S&P US Dividend Growers Index ETF (CAD-Hedged) | ON | No | |
| iShares US Fundamental Index ETF | iShares US Fundamental Index Fund | ON | No | |
| IsoEnergy Ltd. | | ON | No | |
| Itafos Inc. | | ON | No | |
| Itemus Inc. | Vengold Inc. | BC | No | |
| Iti Education Corporation (Cease Traded) | | NS | Yes | 1a |
| IVACO INC | | QC | Yes | 1a,1b,3 |
| Ivanhoe Electric Inc. | | BC | No | |
| Ivanhoe Energy Inc. | Black Sea Energy LTD. | BC | No | |
| Ivanhoe Mines Ltd. | Ivanplats Limited | BC | No | |
| Jade Power Trust | Blockchain Power Trust | ON | No | |
| Jaguar Mining Inc. | | ON | No | |
| Jamieson Wellness Inc. | | ON | No | |
| Jayden Resources Inc. | Pinnacle Mines Ltd. | BC | No | |
| JC Clark High Income Opportunities Fund* | | ON | No | |
| Jeotex Inc. | Jeotex Corporation | ON | No | |
| Jervois Global Limited | Jervois Mining Limited | BC | Yes | 1a,3 |
| JFT Strategies Fund* | | ON | No | |
| Jinhua Capital Corporation | | BC | No | |
| Johnson Controls International plc | Tyco International LTD. | ON | No | |
| Journey Energy Inc. | | AB | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|------------------------------------|--------------|------------|-------------------|
| JPMorgan - IG Emerging Markets Pool II | | MB | No | |
| JPMorgan Global Select Equity Active ETF* | | BC | No | |
| JPMorgan International Developed Equity Active ETF* | | BC | No | |
| JPMorgan Nasdaq Equity Premium Income Active ETF | | BC | No | |
| JPMorgan US Bond Active ETF* | | BC | No | |
| JPMorgan US Core Active ETF* | | BC | No | |
| JPMorgan US Equity Premium Income Active ETF | | BC | No | |
| JPMorgan US Growth Active ETF | | BC | No | |
| JPMorgan US Ultra-Short Income Active ETF* | | BC | No | |
| JPMorgan US Value Active ETF | | BC | No | |
| Jumbo Petroleum Corporation | Jumbo Development Corporation | ON | Yes | 1a,1b,1c,1e,3 |
| Juniper Income Fund* | Capstone Cash Management Fund | ON | Yes | 1a,1c,1d,3 |
| Jura Energy Corporation | Proprietary Industries Inc. | AB | No | |
| Jushi Holdings Inc. | | ON | No | |
| K-Bro Linen Inc. | | AB | No | |
| K92 Mining Inc. | | BC | No | |
| Karnalyte Resources Inc. | | SK | No | |
| Katapult Technology Corp. | | AB | Yes | 1a,1c,1e,3 |
| KEEK SOCIAL INC. | Personas Social Incorporated | ON | No | |
| Kelt Exploration Ltd. | | AB | No | |
| Kew Media Group Inc. | | ON | No | |
| Keyera Corp. | | AB | No | |
| Khiron Life Sciences Corp. | | ON | Yes | 1a,1c,1e,3 |
| Kiboko Gold Inc. | | BC | No | |
| Killam Apartment Limited Partnership | | NS | No | |
| Killam Apartment Real Estate Investment Trust | | NS | No | |
| Kinaxis Inc. | | ON | No | |
| Kingsway Financial Services Inc. | | ON | No | |
| KINGWEST AVENUE PORTFOLIO | | ON | No | |
| Kingwest Canadian Equity Portfolio | | ON | No | |
| KINGWEST U.S. EQUITY PORTFOLIO | | ON | No | |
| Kinross Gold Corporation | CMP Resources LTD | ON | No | |
| Kits Eyecare Ltd. | | BC | No | |
| kneat.com, inc. | Fortune Bay Corp. | ON | No | |
| Knight Therapeutics Inc. | | QC | No | |
| Knowledge House Inc. | Knowledge House Publishing Limited | NS | Yes | 1a |
| Kolombo Technologies LTD. | | QC | No | |
| Kontrol Technologies Corp. | | ON | No | |
| Kootenay Silver Inc. | | BC | No | |
| KORE Mining Ltd. | | BC | No | |
| Koryx Copper Inc. | | BC | No | |
| KP Tissue Inc. | | ON | No | |
| Kraken Robotics Inc. | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Krystal Bond Inc. | | ON | Yes | 1a,1c,1d,3 |
| Kure Technologies, Inc. | Unique Broadband Systems Inc. | ON | Yes | 1a,1c,1e,3 |
| LAB Research Inc. | | QC | No | |
| Labrador Iron Mines Holdings Limited | | ON | No | |
| Labrador Iron Ore Royalty Corporation | | ON | No | |
| Lake Louise Limited Partnership | | MB | No | |
| Landmark Global Financial Corporation | Landmark Corporation | ON | No | |
| Lanesborough Real Estate Investment Trust | | MB | Yes | 1b,1c,1e |
| Langdon Canadian Smaller Companies Portfolio | | ON | No | |
| Langdon Global Smaller Companies Portfolio | | ON | No | |
| Lantower Residential Real Estate Development Trust No. 1 | Lantower Residential Real Estate Development Trust (No. 1) | ON | No | |
| Lantronix, Inc. | | BC | No | |
| Laramide Resources Ltd. | | ON | No | |
| Largo Inc. | Largo Resources Ltd. | ON | No | |
| Laurentian Bank of Canada | | QC | No | |
| Lava Systems Inc. | | ON | Yes | 1a,1b |
| Lavras Gold Corp. | | ON | No | |
| Lazard Defensive Global Dividend Fund | Lazard Global Managed Volatility Fund | ON | No | |
| Lazard Global Balanced Income Fund | | ON | No | |
| Lazard Global Compounders Fund | Greystone Global Equity Fund | ON | No | |
| Lazard International Compounders Fund | | ON | No | |
| Leafly Holdings, Inc. | Merida Merger Corp. I | ON | No | |
| Leef Brands Inc. | | BC | No | |
| Legacy Education Savings Plan* | Global Educational Trust Plan | ON | No | |
| Legend Power Systems Inc. | | BC | No | |
| Leith Wheeler Balanced Fund | | BC | No | |
| Leith Wheeler Canadian Dividend Fund | | BC | No | |
| Leith Wheeler Canadian Equity Fund | | BC | No | |
| Leith Wheeler Carbon Constrained Canadian Equity Fund | | BC | No | |
| Leith Wheeler Corporate Advantage Fund | | BC | No | |
| Leith Wheeler Income Advantage Fund | | BC | No | |
| Leith Wheeler International Equity Plus Fund | | BC | No | |
| Leith Wheeler Money Market Fund | | BC | No | |
| Leith Wheeler Multi Credit Fund | | BC | No | |
| Leith Wheeler Preferred Share Fund | | BC | No | |
| Leith Wheeler U.S. Small/Mid-Cap Equity Fund | | BC | No | |
| LeoNovus Inc. | | ON | No | |
| Levitee Labs Inc. | | BC | Yes | 1a,1c,1e,3 |
| LexaGene Holdings Inc. | Wolfeye Resource Corp. | BC | Yes | 1a,1c,1e,3 |
| LFG Daily (2X) COIN Long ETF | | ON | No | |
| LFG Daily (2X) MSTR Long ETF | | ON | No | |
| LGC Skyrota Wind Energy Corp. | Zenith Industries Corp. | BC | No | |
| Li-FT Power Ltd. | | BC | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Liberty Defense Holdings, Ltd. | | BC | No | |
| Liberty Gold Corp. | Pilot Gold Inc. | BC | No | |
| Libertystream Infrastructure Partners Inc. | Volt Lithium Corp. | AB | No | |
| Life & Banc Split Corp.* | | ON | No | |
| Lifeist Wellness Inc. | Namaste Technologies Inc. | ON | No | |
| Light AI Inc. | | BC | No | |
| Lightspeed Commerce Inc. | Lightspeed POS Inc. | QC | No | |
| Lightstream Resources Ltd. | Lightstream Resources Ltd. | AB | No | |
| Linamar Corporation | | ON | No | |
| Lincluden Balanced Fund | | ON | No | |
| Lion One Metals Limited | | BC | No | |
| Lipari Mining Ltd. | Golden Share Resources Corporation | ON | Yes | 1a,1c,1d,1e,3 |
| Liquid Alternative Fund | | ON | No | |
| Lithium Americas Corp. | | BC | No | |
| Lithium Argentina AG | Lithium Americas (Argentina) Corp. | BC | No | |
| Livent Inc. | Live Entertainment Of Canada Inc. | ON | Yes | 1a,1b,3 |
| LNG Energy Group Corp. | Mind Cure Health Inc. | ON | Yes | 1a,1c,1e,3 |
| Loblaw Companies Limited | Loblaws Companies Limited | ON | No | |
| Logan Energy Corp. | | AB | No | |
| Logiq, Inc. | | ON | Yes | 1a,1c,1d,1e,3 |
| Long Duration Bond Fund | Primus Capital Advisors Canadian Long Duration Bond | ON | No | |
| Longevity Pension Fund | | ON | No | |
| Los Andes Copper Ltd. | | BC | No | |
| Lowell Farms Inc. | Indus Holdings Inc. | ON | Yes | 1a,1c,1e,3 |
| LQwD Technologies Corp. | LQwD FinTech Corp. | BC | No | |
| LSC Communications, Inc. | | ON | Yes | 1a,1c,1e,3 |
| Lucky Minerals Inc. | | BC | No | |
| lululemon athletica inc. | lululemon athletica inc. | BC | No | |
| Lumiera Health Inc. | Mondias Natural Products Inc. | QC | Yes | 1a,1c,1e,3 |
| Lumina Metals Corp. | | BC | No | |
| Lumine Group Inc. | | ON | No | |
| Lundin Gold Inc. | | BC | No | |
| Lundin Mining Corporation | South Atlantic Ventures LTD. | BC | No | |
| Lupaka Gold Corp. | | BC | No | |
| Luxxfolio Holdings Inc. | AX1 Capital Corp | BC | No | |
| LWP Capital Inc. | Legumex Walker Inc. | MB | No | |
| LXRandCo, Inc. | Gibraltar Growth Corporation | QC | Yes | 1b,1c,1e |
| Lycos Energy Inc. | | AB | No | |
| Lydian International Limited | Dawson Creek Capital Corp. | ON | No | |
| Lysander All Canadian Balanced Fund | | ON | No | |
| Lysander All Canadian Equity Fund | | ON | No | |
| Lysander Canadian Alumni Balanced Fund | Lysander-Canso Canadian Alumni Balanced Fund | ON | No | |
| Lysander TDV Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Lysander-Canso All Country Long/Short Equity Fund | Lysander-Triasima All Country Long/Short Equity Fund | ON | No | |
| Lysander-Canso Balanced Fund | Lysander Balanced Fund | ON | No | |
| Lysander-Canso Bond Fund | Lysander Bond Fund | ON | No | |
| Lysander-Canso Broad Corporate Bond Fund | | ON | No | |
| Lysander-Canso Canadian Equity Fund | | ON | No | |
| Lysander-Canso Corporate Treasury ActivETF | | ON | No | |
| Lysander-Canso Corporate Treasury Fund | | ON | No | |
| Lysander-Canso Corporate Value Bond Fund | Lysander Corporte Value Bond Fund | ON | No | |
| Lysander-Canso Credit Opportunities Fund | | ON | No | |
| Lysander-Canso Equity Fund | Lysander Equity Fund | ON | No | |
| Lysander-Canso Floating Rate ActivETF | | ON | No | |
| Lysander-Canso Short Term and Floating Rate Fund | Lysander Short Term and Floating Rate Fund | ON | No | |
| Lysander-Canso Strategic Credit Fund* | | ON | No | |
| Lysander-Canso Strategic Loan Fund | | ON | No | |
| Lysander-Canso U.S. Corporate Treasury Fund | | ON | No | |
| Lysander-Canso U.S. Corporate Value Bond Fund | Lysander-Canso U.S. Credit Fund | ON | No | |
| Lysander-Canso U.S. Short Term and Floating Rate Fund | | ON | No | |
| Lysander-Crusader Equity Income Fund | Crusader Equity Income Fund | ON | No | |
| Lysander-Fulcra Corporate Securities Fund | | ON | No | |
| Lysander-Patient Capital Equity Fund | Lysander-18 Asset Management Canadian Equity Fund | ON | No | |
| Lysander-Pembroke Canadian All Cap Equity Fund | | ON | No | |
| Lysander-Pembroke U.S. Small-Mid Cap Fund | | ON | No | |
| Lysander-Slater Preferred Share ActivETF | | ON | No | |
| Lysander-Slater Preferred Share Dividend Fund | | ON | No | |
| Lysander-Triasima All Country Equity Fund | | ON | No | |
| Lysander-Triasima Canadian Small Cap Equity Fund | | ON | No | |
| M Split Corp.* | | ON | No | |
| M3 Capital Corp. | | AB | No | |
| M8 Entertainment Inc | MDP Worldwide Entertainment Inc. | QC | Yes | 1a,1b,1c,1e,3 |
| Mabel Ventures Inc | Aardvark Ventures Inc. | BC | No | |
| Mack Canadian Bond Pool* | Symmetry Canadian Bond Fund | ON | No | |
| Mack Canadian Equity Pool | Symmetry Canadian Equity Fund | ON | No | |
| Mack Comprehensive Equity Pool | Symmetry Comprehensive Equity Fund | ON | No | |
| Mack EAFE Equity Pool | Symmetry Low Volatility Fund | ON | No | |
| Mack Global Bond Pool | Symmetry Global Bond Fund | ON | No | |
| Mack US Equity Pool | Symmetry US Equity Fund | ON | No | |
| Mackenzie - IG Canadian Bond Pool | | MB | No | |
| Mackenzie - IG Canadian Corporate Bond Pool* | | MB | No | |
| Mackenzie - IG Canadian Equity Income Pool* | | MB | No | |
| Mackenzie - IG Canadian Equity Pool | | MB | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Mackenzie - IG Equity Pool | Investors Group Equity Pool | MB | No | |
| Mackenzie - IG Global Bond Pool* | | MB | No | |
| Mackenzie - IG Income Pool | Investors Group Income Pool | MB | No | |
| Mackenzie - IG Low Volatility Canadian Equity Pool* | | MB | No | |
| Mackenzie - IG Low Volatility Emerging Markets Equity Pool | IG Mackenzie Low Volatility Emerging Markets Equity Pool | MB | No | |
| Mackenzie - IG Low Volatility U.S. Equity Pool | | MB | No | |
| Mackenzie - IG U.S. Equity Pool | | MB | No | |
| Mackenzie AAA CLO ETF | | ON | No | |
| Mackenzie All-Equity Allocation ETF | | ON | No | |
| Mackenzie All-Equity ETF Portfolio | | ON | No | |
| Mackenzie Alternative Enhanced Yield Fund | Mackenzie Alternative Income Fund | ON | No | |
| Mackenzie Asian Small-Mid Cap Fund* | Mackenzie Asian Opportunities Fund | ON | No | |
| Mackenzie Balanced Allocation ETF | | ON | No | |
| Mackenzie Balanced ETF Portfolio | | ON | No | |
| Mackenzie Betterworld Canadian Equity Fund | | ON | No | |
| Mackenzie Betterworld Global Equity Fund | | ON | No | |
| Mackenzie Bluewater Canadian Growth Balanced Fund | MacKenzie Canadian Growth Balanced Fund | ON | No | |
| Mackenzie Bluewater Canadian Growth Fund | MacKenzie Canadian Growth Fund | ON | No | |
| Mackenzie Bluewater Global Growth Balanced Fund | Mackenzie Global Growth Balanced Fund | ON | No | |
| Mackenzie Bluewater Global Growth Fund | Mackenzie Global Growth Fund | ON | No | |
| Mackenzie Bluewater Next Gen Growth ETF | | ON | No | |
| Mackenzie Bluewater Next Gen Growth Fund | | ON | No | |
| Mackenzie Bluewater North American Balanced Fund | Mackenzie North American Balanced Fund | ON | No | |
| Mackenzie Bluewater North American Equity Fund | Mackenzie North American Equity Fund | ON | No | |
| Mackenzie Bluewater US Growth Fund | Mackenzie US Growth Fund | ON | No | |
| Mackenzie Broad Risk Premia Collection Fund | | ON | No | |
| Mackenzie Canadian Aggregate Bond Index ETF* | | ON | No | |
| Mackenzie Canadian All Corporate Bond Index ETF* | | ON | No | |
| MacKenzie Canadian Bond Fund | MacKenzie Sentinel Bond Fund | ON | No | |
| Mackenzie Canadian Dividend Fund | Mackenzie Canadian Large Cap Dividend Fund | ON | No | |
| Mackenzie Canadian Enhanced Core Plus Fixed Income Fund | | ON | No | |
| Mackenzie Canadian Equity Fund | Mackenzie Canadian All Cap Value Fund | ON | No | |
| Mackenzie Canadian Equity Index ETF* | | ON | No | |
| Mackenzie Canadian Government Long Bond Index ETF | | ON | No | |
| Mackenzie Canadian High Dividend Yield ETF | | ON | No | |
| Mackenzie Canadian Large Cap Equity Index ETF* | | ON | No | |
| Mackenzie Canadian Money Market Fund | MacKenzie Sentinel Money Market Fund | ON | No | |
| Mackenzie Canadian Short Term Fixed Income ETF | | ON | No | |
| Mackenzie Canadian Short Term Income Fund | MacKenzie Sentinel Short-Term Income Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Mackenzie Canadian Short-Term Bond Index ETF* | | ON | No | |
| Mackenzie Canadian Small Cap Fund | Mackenzie Canadian Small Cap Value Fund | ON | No | |
| Mackenzie Canadian Strategic Fixed Income ETF | Mackenzie Core Plus Canadian Fixed Income ETF | ON | No | |
| Mackenzie Canadian Sustainable Bond Fund | | ON | No | |
| Mackenzie Canadian Ultra Short Bond Index ETF | | ON | No | |
| Mackenzie China A-Shares CSI 300 Index ETF* | | ON | No | |
| Mackenzie ChinaAMC All China Bond Fund | Mackenzie ChinaAMC Bond Fund | ON | No | |
| Mackenzie ChinaAMC All China Equity Fund | Mackenzie All China Equity Fund | ON | No | |
| Mackenzie ChinaAMC Multi-Asset Fund | | ON | No | |
| Mackenzie Conservative Allocation ETF | | ON | No | |
| Mackenzie Conservative ETF Portfolio | | ON | No | |
| Mackenzie Conservative Income ETF Portfolio | | ON | No | |
| Mackenzie Core Plus Global Fixed Income ETF | | ON | No | |
| Mackenzie Core Resources ETF | | ON | No | |
| Mackenzie Corporate Bond Fund | MacKenzie Sentinel Corporate Bond Fund | ON | No | |
| Mackenzie Corporate Knights Global 100 Index ETF | | ON | No | |
| Mackenzie Corporate Knights Global 100 Index Fund | | ON | No | |
| MacKenzie Cundill Canadian Balanced Fund | Cundill Canadian Balanced Fund | ON | No | |
| MacKenzie Cundill Canadian Security Fund | Cundill Canadian Security Fund | ON | No | |
| MacKenzie Cundill Value Fund | Cundill Value Fund | ON | No | |
| Mackenzie Cundill Value Fund II | | ON | No | |
| Mackenzie Cyclical Tilt ETF | | ON | No | |
| Mackenzie Defensive Tilt ETF | | ON | No | |
| Mackenzie Developed ex-North America Aggregate Bond Index ETF | | ON | No | |
| Mackenzie Developed Markets Real Estate Index ETF | | ON | No | |
| Mackenzie Diversified Alternatives Fund | | ON | No | |
| Mackenzie Emerging Markets Bond Index ETF (CAD-Hedged) | | ON | No | |
| Mackenzie Emerging Markets Equity Index ETF | | ON | No | |
| Mackenzie Emerging Markets Large Cap Fund | | ON | No | |
| Mackenzie Emerging Markets Local Currency Bond Index ETF | | ON | No | |
| Mackenzie Emerging Markets Small Cap Fund | | ON | No | |
| Mackenzie Enhanced Equity Risk Premia Fund | | ON | No | |
| Mackenzie Enhanced Fixed Income Risk Premia Fund | | ON | No | |
| Mackenzie Enhanced Global Balanced Fund | Mackenzie Diversified Growth Fund | ON | No | |
| Mackenzie European Small-Mid Cap Fund* | Mackenzie European Opportunities Fund | ON | No | |
| Mackenzie Floating Rate Income ETF | Mackenzie Global Women's Leadership ETF | ON | No | |
| Mackenzie Floating Rate Income Fund | | ON | No | |
| Mackenzie FuturePath Canadian Balanced Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|-------------------------------------|--------------|------------|-------------------|
| Mackenzie FuturePath Canadian Core Bond Fund | | ON | No | |
| Mackenzie FuturePath Canadian Core Fund | | ON | No | |
| Mackenzie FuturePath Canadian Core Plus Bond Fund | | ON | No | |
| Mackenzie FuturePath Canadian Dividend Fund | | ON | No | |
| Mackenzie FuturePath Canadian Equity Balanced Fund | | ON | No | |
| Mackenzie FuturePath Canadian Fixed Income Portfolio | | ON | No | |
| Mackenzie FuturePath Canadian Growth Fund | | ON | No | |
| Mackenzie FuturePath Canadian Money Market Fund | | ON | No | |
| Mackenzie FuturePath Canadian Sustainable Equity Fund | | ON | No | |
| Mackenzie FuturePath Global Balanced Fund | | ON | No | |
| Mackenzie FuturePath Global Core Fund | | ON | No | |
| Mackenzie FuturePath Global Core Plus Bond Fund | | ON | No | |
| Mackenzie FuturePath Global Equity Balanced Fund | | ON | No | |
| Mackenzie FuturePath Global Equity Balanced Portfolio | | ON | No | |
| Mackenzie FuturePath Global Equity Portfolio | | ON | No | |
| Mackenzie FuturePath Global Fixed Income Balanced Portfolio | | ON | No | |
| Mackenzie FuturePath Global Growth Fund | | ON | No | |
| Mackenzie FuturePath Global Neutral Balanced Portfolio | | ON | No | |
| Mackenzie FuturePath Global Value Fund | | ON | No | |
| Mackenzie FuturePath International Equity Fund | | ON | No | |
| Mackenzie FuturePath Monthly Income Balanced Portfolio | | ON | No | |
| Mackenzie FuturePath Monthly Income Conservative Portfolio | | ON | No | |
| Mackenzie FuturePath Monthly Income Growth Portfolio | | ON | No | |
| Mackenzie Futurepath Shariah Global Equity Fund | | ON | No | |
| Mackenzie FuturePath US All Cap Growth Fund | | ON | No | |
| Mackenzie FuturePath US Core Fund | | ON | No | |
| Mackenzie FuturePath US Growth Fund | | ON | No | |
| Mackenzie FuturePath US Value Fund | | ON | No | |
| Mackenzie FuturePath USD US Core Fund | | ON | No | |
| Mackenzie Global Core Plus Bond Fund | Mackenzie Global Tactical Bond Fund | ON | No | |
| Mackenzie Global Corporate Fixed Income Fund | | ON | No | |
| Mackenzie Global Dividend Enhanced Yield Fund | | ON | No | |
| Mackenzie Global Dividend Enhanced Yield Plus Fund | | ON | No | |
| Mackenzie Global Dividend ETF | | ON | No | |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Mackenzie Global Dividend Fund | Mackenzie Universal Global Infrastructure Fund | ON | No | |
| Mackenzie Global Energy Opportunities Long/Short Fund | Mackenzie Global Energy Resource Long/Short Fund | ON | No | |
| Mackenzie Global Enhanced Core Plus Fixed Income Fund | | ON | No | |
| Mackenzie Global Equity Income Fund* | | ON | No | |
| Mackenzie Global Fixed Income Allocation ETF | | ON | No | |
| Mackenzie Global Green Bond Fund | | ON | No | |
| Mackenzie Global High Yield Fixed Income ETF | | ON | No | |
| Mackenzie Global Inflation-Linked Fund* | | ON | No | |
| Mackenzie Global Infrastructure Index ETF | | ON | No | |
| Mackenzie Global Low Volatility Equity Fund* | | ON | No | |
| Mackenzie Global Macro Fund | | ON | No | |
| Mackenzie Global Resource Fund | Mackenzie Canadian Resource Fund | ON | No | |
| Mackenzie Global Resource Fund II | | ON | No | |
| Mackenzie Global Small-Mid Cap Fund | Mackenzie Global Opportunities Fund | ON | No | |
| Mackenzie Global Strategic Income Fund | MacKenzie Global Diversified Income Fund | ON | No | |
| Mackenzie Global Sustainable Balanced Fund | Mackenzie Global Sustainability and Impact Balanced Fund | ON | No | |
| Mackenzie Global Sustainable Bond ETF | | ON | No | |
| Mackenzie Global Sustainable Bond Fund | | ON | No | |
| Mackenzie Global Sustainable High Yield Bond Fund | | ON | No | |
| Mackenzie Global Value ETF | | ON | No | |
| Mackenzie Global Value Fund | | ON | No | |
| Mackenzie Global Women's Leadership ETF | Mackenzie Global Leadership Impact ETF | ON | No | |
| Mackenzie Global Women's Leadership Fund | Mackenzie Global Leadership Impact Fund | ON | No | |
| Mackenzie Gold Bullion Fund | | ON | No | |
| Mackenzie GQE Canada Low Volatility ETF | Mackenzie Canada Low Volatility ETF | ON | No | |
| Mackenzie GQE Canadian Balanced Fund | | ON | No | |
| Mackenzie GQE Canadian Equity Fund | | ON | No | |
| Mackenzie GQE Emerging Markets ex-China Equity Fund | Mackenzie Emerging Markets ex-China Equity Fund | ON | No | |
| Mackenzie GQE Emerging Markets Fund | Mackenzie Emerging Markets Fund | ON | No | |
| Mackenzie GQE Emerging Markets Fund II | Mackenzie Emerging Markets Fund II | ON | No | |
| Mackenzie GQE Global Balanced ETF | | ON | No | |
| Mackenzie GQE Global Balanced Fund | | ON | No | |
| Mackenzie GQE Global Equity ETF | Mackenzie Global Equity ETF | ON | No | |
| Mackenzie GQE Global Equity Fund | Mackenzie Global Equity Fund | ON | No | |
| Mackenzie GQE International Equity ETF | Mackenzie International Equity ETF | ON | No | |
| Mackenzie GQE International Equity Fund | | ON | No | |
| Mackenzie GQE US Alpha Extension ETF | | ON | No | |
| Mackenzie GQE US Alpha Extension Fund | | ON | No | |
| Mackenzie GQE US Low Volatility ETF | Mackenzie US Low Volatility ETF | ON | No | |
| Mackenzie GQE US Small Cap Fund | Mackenzie US Small Cap Fund | ON | No | |
| Mackenzie GQE World Low Volatility ETF | Mackenzie World Low Volatility ETF | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Mackenzie GQE World Low Volatility Fund | Mackenzie World Low Volatility Fund | ON | No | |
| Mackenzie Greenchip Global Environmental All Cap Fund | Mackenzie Global Environmental Equity Fund | ON | No | |
| Mackenzie Greenchip Global Environmental Balanced Fund | Mackenzie Greenchip Global Balanced Fund | ON | No | |
| Mackenzie Greenchip Global Environmental Equity Fund | | ON | No | |
| Mackenzie Growth Allocation ETF | | ON | No | |
| Mackenzie Growth ETF Portfolio | | ON | No | |
| Mackenzie High Quality Floating Rate Fund | | ON | No | |
| MacKenzie Income Fund | MacKenzie Sentinel Income Fund | ON | No | |
| Mackenzie Inflation-Focused Fund | Mackenzie Multi-Asset Inflation-Focused Fund | ON | No | |
| Mackenzie International All Cap Equity Fund | | ON | No | |
| Mackenzie International Dividend Fund | | ON | No | |
| Mackenzie International Equity Index ETF (CAD-Hedged)* | | ON | No | |
| Mackenzie International Equity Index ETF* | | ON | No | |
| Mackenzie International Quantitative Large Cap Fund* | | ON | No | |
| Mackenzie International Quantitative Small Cap Fund* | | ON | No | |
| Mackenzie Ivy Canadian Balanced Fund | MacKenzie Ivy Growth and Income Fund | ON | No | |
| MacKenzie Ivy Canadian Fund | Ivy Canadian Fund | ON | No | |
| Mackenzie Ivy European Fund | | ON | No | |
| Mackenzie Ivy Foreign Equity Currency Neutral Fund | | ON | No | |
| MacKenzie Ivy Foreign Equity Fund | Ivy Foreign Equity Fund | ON | No | |
| MacKenzie Ivy Global Balanced Fund | MacKenzie Universal World Asset Allocation Fund | ON | No | |
| Mackenzie Ivy Global Equity ETF | | ON | No | |
| Mackenzie Ivy International Fund | Mackenzie International Growth Fund | ON | No | |
| Mackenzie Ivy International Fund II | | ON | No | |
| MacKenzie Master Limited Partnership* | | ON | No | |
| Mackenzie Moderate Growth ETF Portfolio | | ON | No | |
| Mackenzie Monthly Income Balanced Portfolio | | ON | No | |
| Mackenzie Monthly Income Conservative Portfolio | | ON | No | |
| Mackenzie Monthly Income Growth Portfolio | | ON | No | |
| Mackenzie NASDAQ 100 Index ETF | | ON | No | |
| Mackenzie North American Corporate Bond Fund | Mackenzie Sentinel North American Corporate Bond Fund | ON | No | |
| Mackenzie Northleaf Private Credit Interval Fund | | ON | No | |
| Mackenzie Precious Metals Fund | | ON | No | |
| Mackenzie Private Equity Replication Fund | | ON | No | |
| Mackenzie Shariah Global Equity Fund | | ON | No | |
| Mackenzie Sovereign Bond Fund* | | ON | No | |
| Mackenzie Strategic Bond Fund | | ON | No | |
| Mackenzie Strategic Income Fund | Mackenzie Sentinel Strategic Income Fund | ON | No | |
| Mackenzie Target 2027 North American IG Corporate Bond ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Mackenzie Target 2027 North American IG Corporate Bond Fund | | ON | No | |
| Mackenzie Target 2029 North American IG Corporate Bond ETF | | ON | No | |
| Mackenzie Target 2029 North American IG Corporate Bond Fund | | ON | No | |
| Mackenzie Tax-Managed Global Equity Fund | | ON | No | |
| Mackenzie U.S. Aggregate Bond Index ETF (CAD-Hedged) | | ON | No | |
| Mackenzie Unconstrained Bond ETF | | ON | No | |
| Mackenzie Unconstrained Fixed Income Fund | | ON | No | |
| Mackenzie US All Cap Growth ETF | | ON | No | |
| Mackenzie US All Cap Growth Fund | Mackenzie US Large Cap Growth Fund | ON | No | |
| Mackenzie US Core Equity Fund* | | ON | No | |
| Mackenzie US Dividend Fund | | ON | No | |
| Mackenzie US Government Long Bond Index ETF | | ON | No | |
| Mackenzie US High Dividend Yield ETF | | ON | No | |
| Mackenzie US High Yield Bond Index ETF (CAD-Hedged)* | | ON | No | |
| Mackenzie US Investment Grade Corporate Bond Index ETF (CAD-Hedged) | | ON | No | |
| Mackenzie US Large Cap Equity Index ETF (CAD-Hedged)* | | ON | No | |
| Mackenzie US Large Cap Equity Index ETF* | | ON | No | |
| Mackenzie US Mid Cap Opportunities Currency Neutral Fund | | ON | No | |
| Mackenzie US Mid Cap Opportunities Fund | Mackenzie US Opportunities Fund | ON | No | |
| Mackenzie US Mid Cap Value Fund | | ON | No | |
| Mackenzie US Quantitative Large Cap Fund* | | ON | No | |
| Mackenzie US Quantitative Small Cap Fund* | | ON | No | |
| Mackenzie US Small-Mid Cap Growth Currency Neutral Fund | | ON | No | |
| Mackenzie US Small-Mid Cap Growth Fund | | ON | No | |
| Mackenzie US TIPS Index ETF (CAD-Hedged) | | ON | No | |
| Mackenzie US Value ETF | | ON | No | |
| Mackenzie US Value Fund | | ON | No | |
| Mackenzie USD Global Dividend Fund | | ON | No | |
| Mackenzie USD Global Strategic Income Fund | | ON | No | |
| Mackenzie USD Greenchip Global Environmental All Cap Fund | | ON | No | |
| Mackenzie USD Ultra Short Duration Income Fund | | ON | No | |
| Mackenzie USD Unconstrained Fixed Income Fund | Mackenzie USD Global Tactical Bond Fund | ON | No | |
| Mackenzie USD US Mid Cap Opportunities Fund | | ON | No | |
| Madison Pacific Properties Inc. | Princeton Mining Corporation | BC | No | |
| Magellan Aerospace Corporation | Fleet Aerospace Corporation | ON | No | |
| MagIndustries Corp. | | ON | No | |
| Magna International Inc. | | ON | No | |
| Magna Mining Inc. | CT Developers Ltd. | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Magnum Hunter Resources Corporation | | AB | Yes | 1a,1b,1c,1d,1e,3 |
| Major Drilling Group International Inc. | | NB | No | |
| MAK Acquisition Corp. | | ON | No | |
| Manitok Energy Inc. | | AB | No | |
| Manulife Alternative Opportunities Fund | | ON | No | |
| Manulife Balanced Equity Private Pool | | ON | No | |
| Manulife Balanced ETF Portfolio | | ON | No | |
| Manulife Balanced Income Private Trust | | ON | No | |
| Manulife Balanced Portfolio | | ON | No | |
| Manulife Bond Fund | AIC Bond Fund | ON | No | |
| Manulife Canadian Balanced Fund | | ON | No | |
| Manulife Canadian Balanced Private Pool | | ON | No | |
| Manulife Canadian Bond Fund | Manulife Mawer Canadian Bond Fund | ON | No | |
| Manulife Canadian Dividend Growth Fund | Standard Life Canadian Dividend Growth Fund | ON | No | |
| Manulife Canadian Equity Class | Manulife Fundamental Equity Class | ON | No | |
| Manulife Canadian Equity Private Pool | | ON | No | |
| Manulife Canadian Growth and Income Private Trust | | ON | No | |
| Manulife Canadian Investment Class | Manulife Mawer Canadian Equity Class | ON | No | |
| Manulife Canadian Investment Fund | | ON | No | |
| Manulife Climate Action Balanced Fund | | ON | No | |
| Manulife Climate Action Bond Fund | | ON | No | |
| Manulife Climate Action Class | | ON | No | |
| Manulife Climate Action Fund | | ON | No | |
| Manulife Conservative ETF Portfolio | | ON | No | |
| Manulife Conservative Portfolio | | ON | No | |
| Manulife Core Plus Bond Fund | Manulife Canadian Unconstrained Bond Fund | ON | No | |
| Manulife Corporate Bond Fund | Elliott & Page Corporate Bond Fund | ON | No | |
| Manulife Corporate Fixed Income Private Trust | | ON | No | |
| Manulife Covered Call U.S. Equity Class | Manulife U.S. Opportunities Class | ON | No | |
| Manulife Covered Call U.S. Equity Fund | Manulife Value Fund | ON | No | |
| Manulife Diversified Investment Fund | Manulife Mawer Diversified Investment Fund | ON | No | |
| Manulife Dividend Income Class | | ON | No | |
| Manulife Dividend Income Fund | | ON | No | |
| Manulife Dividend Income Plus Class | Manulife Canadian Focused Class | ON | No | |
| Manulife Dividend Income Plus Fund | Manulife Canadian Focused Fund | ON | No | |
| Manulife Dividend Income Private Pool | | ON | No | |
| Manulife Dollar-Cost Averaging Fund | | ON | No | |
| Manulife Emerging Markets Fund | Standard Life Emerging Markets Dividend Fund | ON | No | |
| Manulife Finance (Delaware), L.P. | | ON | No | |
| Manulife Financial Corporation | | ON | No | |
| Manulife Fundamental Balanced Class | Manulife Canadian Equity Balanced Class | ON | No | |
| Manulife Fundamental Dividend Fund | Manulife Canadian Dividend Income Fund | ON | No | |
| Manulife Fundamental Equity Fund | Manulife Canadian Stock Fund | ON | No | |
| Manulife Fundamental Global Equity Class | Manulife Global Thematic Opportunities Class | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Manulife Fundamental Global Equity Fund | Manulife Global All Cap Focused Fund | ON | No | |
| Manulife Fundamental Income Class | Manulife Canadian Monthly Income Class | ON | No | |
| Manulife Fundamental Income Fund | Manulife Canadian Monthly Income Fund | ON | No | |
| Manulife Global Balanced Fund | | ON | No | |
| Manulife Global Balanced Private Trust | | ON | No | |
| Manulife Global Core Balanced Fund | | ON | No | |
| Manulife Global Core Equity Fund | | ON | No | |
| Manulife Global Credit Opportunities Fund | | ON | No | |
| Manulife Global Dividend Class | | ON | No | |
| Manulife Global Dividend Fund | | ON | No | |
| Manulife Global Dividend Growth Fund | Standard Life Global Dividend Growth Fund | ON | No | |
| Manulife Global Edge ETF | | ON | No | |
| Manulife Global Edge ETF Fund | | ON | No | |
| Manulife Global Equity Class | Manulife Mawer Global Equity Class | ON | No | |
| Manulife Global Equity Private Pool | | ON | No | |
| Manulife Global Fixed Income Private Trust | | ON | No | |
| Manulife Global Franchise Fund | Manulife Global Equity Unconstrained Fund | ON | No | |
| Manulife Global Listed Infrastructure Class | Manulife Global Infrastructure Class | ON | No | |
| Manulife Global Listed Infrastructure Fund | Manulife Global Infrastructure Fund | ON | No | |
| Manulife Global Managed Volatility Portfolio | | ON | No | |
| Manulife Global Monthly High Income Class | Manulife Value Balanced Class | ON | No | |
| Manulife Global Monthly High Income Fund | Manulife Value Balanced Fund | ON | No | |
| Manulife Global Small Cap Balanced Fund | | ON | No | |
| Manulife Global Small Cap Fund | Manulife Mawer Global Small Cap Fund | ON | No | |
| Manulife Global Strategic Balanced Yield Fund | | ON | No | |
| Manulife Global Unconstrained Bond Fund | Manulife Global Tactical Credit Fund | ON | No | |
| Manulife Growth ETF Portfolio | | ON | No | |
| Manulife Growth Opportunities Fund | Elliott & Page Growth Opportunities Fund | ON | No | |
| Manulife Growth Portfolio | | ON | No | |
| Manulife International Equity Private Trust | | ON | No | |
| Manulife International Large Cap Fund | | ON | No | |
| Manulife Moderate Portfolio | | ON | No | |
| Manulife Money Market Fund | Manulife Money Private Trust | ON | No | |
| Manulife Monthly High Income Class | AIC Canadian Balanced Corporate Class | ON | No | |
| Manulife Monthly High Income Fund | Elliott & Page Monthly High Income Fund | ON | No | |
| Manulife Multifactor Canadian Large Cap Index ETF | | ON | No | |
| Manulife Multifactor Canadian SMID Cap Index ETF | | ON | No | |
| Manulife Multifactor Developed International Index ETF | | ON | No | |
| Manulife Multifactor Emerging Markets Index ETF | | ON | No | |
| Manulife Multifactor U.S. Large Cap Index ETF | | ON | No | |
| Manulife Multifactor U.S. Mid Cap Index ETF | | ON | No | |
| Manulife Multifactor U.S. Small Cap Index ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Manulife Simplicity Balanced Portfolio | E&P Manulife Balanced Asset Allocation Portfolio | ON | No | |
| Manulife Simplicity Conservative Portfolio | | ON | No | |
| Manulife Simplicity Global Balanced Portfolio | | ON | No | |
| Manulife Simplicity Growth Portfolio | E&P Manulife Maximum Growth Asset Allocation Portfoli | ON | No | |
| Manulife Simplicity Moderate Portfolio | | ON | No | |
| Manulife Smart Balanced Dividend ETF Bundle | Manulife Balanced Dividend ETF Bundle | ON | No | |
| Manulife Smart Core Bond ETF | | ON | No | |
| Manulife Smart Core Bond ETF Fund | | ON | No | |
| Manulife Smart Corporate Bond ETF | | ON | No | |
| Manulife Smart Defensive Equity ETF | | ON | No | |
| Manulife Smart Dividend ETF | | ON | No | |
| Manulife Smart Dividend ETF Fund | | ON | No | |
| Manulife Smart Enhanced Yield Bond ETF | | ON | No | |
| Manulife Smart Enhanced Yield ETF | | ON | No | |
| Manulife Smart Global Bond ETF | | ON | No | |
| Manulife Smart Global Dividend ETF Portfolio | | ON | No | |
| Manulife Smart International Defensive Equity ETF | | ON | No | |
| Manulife Smart International Dividend ETF | | ON | No | |
| Manulife Smart International Dividend ETF Fund | | ON | No | |
| Manulife Smart Short-Term Bond ETF | | ON | No | |
| Manulife Smart Short-Term Bond ETF Fund | | ON | No | |
| Manulife Smart U.S. Defensive Equity ETF | | ON | No | |
| Manulife Smart U.S. Dividend ETF | | ON | No | |
| Manulife Smart U.S. Enhanced Yield ETF | | ON | No | |
| Manulife Strategic Balanced Yield Fund | | ON | No | |
| Manulife Strategic Dividend Bundle | | ON | No | |
| Manulife Strategic Income Fund | Elliott & Page Strategic Income Fund | ON | No | |
| Manulife Strategic Income Plus Fund | | ON | No | |
| Manulife Strategic Investment Grade Global Bond Fund | | ON | No | |
| Manulife Tactical Income Fund | Standard Life Tactical Income Fund | ON | No | |
| Manulife Tax-Managed Growth Fund | Manulife Mawer Tax-Managed Growth Fund | ON | No | |
| Manulife U.S. All Cap Equity Class | | ON | No | |
| Manulife U.S. All Cap Equity Fund | | ON | No | |
| Manulife U.S. Balanced Private Trust | Manulife Balanced Private Trust | ON | No | |
| Manulife U.S. Balanced Value Private Trust | | ON | No | |
| Manulife U.S. Dividend Income Class | Manulife U.S. Dividend Class | ON | No | |
| Manulife U.S. Dividend Income Fund | Standard Life U.S. Dividend Growth Fund | ON | No | |
| Manulife U.S. Dollar Strategic Balanced Yield Fund | | ON | No | |
| Manulife U.S. Dollar Strategic Income Fund | | ON | No | |
| Manulife U.S. Dollar U.S. All Cap Equity Fund | | ON | No | |
| Manulife U.S. Equity Fund | Manulife Mawer U.S. Equity Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Manulife U.S. Equity Private Pool | | ON | No | |
| Manulife U.S. Mid-Cap Equity Fund | | ON | No | |
| Manulife U.S. Monthly High Income Fund | | ON | No | |
| Manulife U.S. Opportunities Fund | AIC American Focused Fund | ON | No | |
| Manulife U.S. Unconstrained Bond Fund | Manulife U.S. Tactical Credit Fund | ON | No | |
| Manulife World Investment Class | Manulife Mawer World Investment Class | ON | No | |
| Manulife World Investment Fund | | ON | No | |
| Manulife Yield Opportunities Fund | | ON | No | |
| Maple Gold Mines Ltd. | Aurvista Gold Corporation | BC | No | |
| Maple Leaf Critical Minerals 2024-II Enhanced Flow-Through LP - Nation* | | BC | No | |
| Maple Leaf Critical Minerals 2024-II Enhanced Flow-Through LP - Quebec* | | BC | No | |
| Maple Leaf Critical Minerals 2025 Enhanced Flow-Through LP* | | BC | No | |
| Maple Leaf Critical Minerals 2025 Enhanced Flow-Through LP* | | BC | No | |
| Maple Leaf Critical Minerals 2025-II Enhanced Flow-Through Limited* | | BC | No | |
| Maple Leaf Critical Minerals 2025-II Enhanced Flow-Through Limited* | | BC | No | |
| Maple Leaf Critical Minerals 2026 Enhanced Flow-Through Limited* | | BC | No | |
| Maple Leaf Critical Minerals 2026 Enhanced Flow-Through Limited* | | BC | No | |
| Maple Leaf Critical Minerals Class | Maple Leaf Resource Class | BC | No | |
| Maple Leaf Foods Inc. | Canada Packers Inc. (Prior To Sept.20/91) | ON | No | |
| Marimaca Copper Corp. | Coro Mining Corp. | ON | No | |
| Marquest Mutual Funds Inc. - Explorer Series Fund | Pathway Multi Series Fund Inc. - Explorer Series Fund | ON | No | |
| Marwest Apartment Real Estate Investment Trust | | MB | No | |
| Matador Technologies Inc. | | ON | No | |
| Matco Balanced Fund | | AB | No | |
| Matco Canadian Equity Income Fund | Matco Canadian Equity Fund | AB | No | |
| Matco Diversified Income Fund | Matco Fixed Income Fund | AB | No | |
| Matco Global Equity Fund | | AB | No | |
| Matco Opportunities Fund | Matco Small Cap Fund | AB | No | |
| Matrix 2013 Short Duration National Class* | | ON | Yes | 1a,1c,1d,3 |
| Matrix 2013 Short Duration Québec Class* | | ON | Yes | 1a,1c,1d,3 |
| Matrix Asset Management Inc. | | BC | No | |
| Mattel, Inc. | | ON | No | |
| Mattr Corp. | ShawCor Ltd. | ON | No | |
| Maudore Minerals Ltd | | QC | No | |
| Mawer Balanced Fund | Mawer Canadian Balanced Retirement Savings Fund | AB | No | |
| Mawer Canadian Bond Fund | Canadian Bond Fund (Mawer Investment Management Funds) | AB | No | |
| Mawer Canadian Equity Fund | Canadian Equity Fund (Mawer Investment Management FUN) | AB | No | |
| Mawer Canadian Money Market Fund | Canadian Money Market Fund (Mawer Investment Manageme | AB | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Mawer EAFE Large Cap Fund | | AB | No | |
| Mawer Emerging Markets Equity Fund* | | AB | No | |
| Mawer Global Balanced Fund | | AB | No | |
| Mawer Global Credit Opportunities Fund* | | AB | No | |
| Mawer Global Equity Fund | | AB | No | |
| Mawer Global Small Cap Fund | | AB | No | |
| Mawer International Equity Fund | Mawer World Investment Fund | AB | No | |
| Mawer New Canada Fund | New Canada Fund (Mawer Investment Management Funds) | AB | No | |
| Mawer Tax Effective Balanced Fund | Mawer Canadian Diversified Investment Fund | AB | No | |
| Mawer U.S. Equity Fund | U.S. Equity Fund (Mawer Investment Management Funds) | AB | No | |
| Mawer U.S. Mid Cap Equity Fund | | AB | No | |
| Maxam Arbitrage Fund | | BC | No | |
| Maxam Diversified Strategies Fund | | BC | No | |
| Mayfair Gold Corp. | | ON | No | |
| MCAN Mortgage Corporation | McAp Inc. | ON | No | |
| McElvaine Value Fund | McElvaine Investment Trust, The | BC | No | |
| McEwen Inc. | McEwen Mining Inc. | ON | No | |
| mCloud Technologies Corp. | Universal mCloud Corp | BC | Yes | 1a,1b,1c,1d,1e,3 |
| MCP Exchangeco Inc. | | ON | No | |
| MD American Growth Fund | MD US Large Cap Growth Fund | ON | No | |
| MD American Value Fund | MD US Large Cap Value Fund | ON | No | |
| MD Bond Fund | | ON | No | |
| MD Canadian Equity Fund | MD Select Fund | ON | No | |
| MD Dividend Growth Fund | MD Income & Growth Fund | ON | No | |
| MD Equity Fund | | ON | No | |
| MD Fossil Fuel Free Bond Fund | | ON | No | |
| MD Fossil Fuel Free Equity Fund | | ON | No | |
| MD Growth Investments Limited | | ON | No | |
| MD International Growth Fund | | ON | No | |
| MD International Value Fund | | ON | No | |
| MD Money Fund | | ON | No | |
| MD Precision Balanced Growth Portfolio | MD Balanced Growth Portfolio | ON | No | |
| MD Precision Balanced Income Portfolio | | ON | No | |
| MD Precision Canadian Balanced Growth Fund | MD Balanced Fund | ON | No | |
| MD Precision Canadian Moderate Growth Fund | MD Dividend Income Fund | ON | No | |
| MD Precision Conservative Portfolio | MD Conservative Portfolio | ON | No | |
| MD Precision Maximum Growth Portfolio | MD Maximum Growth Portfolio | ON | No | |
| MD Precision Moderate Balanced Portfolio | MD Moderate Balanced Portfolio | ON | No | |
| MD Precision Moderate Growth Portfolio | | ON | No | |
| MD Short-Term Bond Fund | MD Bond and Mortgage Fund | ON | No | |
| MD Strategic Opportunities Fund | | ON | No | |
| MD Strategic Yield Fund | | ON | No | |
| MDA Space Ltd. | MDA Ltd. | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| MDPIM Bond Pool | MDPIM Canadian Long Term Bond Pool | ON | No | |
| MDPIM Canadian Equity Index Pool* | MDPIM S&P/TSX Capped Composite Index Pool | ON | No | |
| MDPIM Canadian Equity Pool | MD Canadian Tax Managed Pool | ON | No | |
| MDPIM Dividend Pool | | ON | No | |
| MDPIM Emerging Markets Equity Pool | | ON | No | |
| MDPIM International Equity Index Pool* | | ON | No | |
| MDPIM International Equity Pool | | ON | No | |
| MDPIM Short-Term Bond Pool | MDPIM Canadian Bond Pool | ON | No | |
| MDPIM Strategic Opportunities Pool | | ON | No | |
| MDPIM Strategic Yield Pool | | ON | No | |
| MDPIM US Equity Index Pool* | MDPIM S&P 500 Index Pool | ON | No | |
| MDPIM US Equity Pool | MD US Tax Managed Pool | ON | No | |
| Med-Chem Health Care Limited | | ON | Yes | 1a,1b |
| Medexus Pharmaceuticals Inc. | | ON | No | |
| Medical Facilities Corporation | | ON | No | |
| Medicure Inc. | | MB | No | |
| Medicus Pharma Ltd. | | ON | No | |
| MediPharm Labs Corp. | POCML 4 Inc. | ON | No | |
| MedMen Enterprises Inc. | Ladera Ventures Corp. | BC | Yes | 1a,1b,1c,1e,3 |
| MedMira Inc. | | NS | No | |
| Medx Health Corp. | | ON | No | |
| Mega Uranium Ltd. | | ON | No | |
| MegaLong (3X) Canadian Banks Daily Leveraged Alternative ETF | | ON | No | |
| MegaLong (3X) Canadian Gold Miners Daily Leveraged | | ON | No | |
| MegaLong (3X) NASDAQ-100® Daily Leveraged Alternative ETF | | ON | No | |
| MegaLong (3X) S&P 500® Daily Leveraged Alternative ETF | | ON | No | |
| MegaLong (3X) US Semiconductors Daily Leveraged Alternative ETF | | ON | No | |
| MegaShort (-3X) Canadian Gold Miners Daily Leveraged | | ON | No | |
| MegaShort (-3X) NASDAQ-100® Daily Leveraged Alternative ETF | | ON | No | |
| MegaShort (-3X) S&P 500® Daily Leveraged Alternative ETF | | ON | No | |
| MegaShort (-3X) US Semiconductors Daily Leveraged Alternative ETF | | ON | No | |
| Melodia Conservative Income Portfolio | Diapason Conservative Portfolio | QC | No | |
| Melodia Very Conservative Income Portfolio | Diapason Retirement Portfolio B (Conservative) | QC | No | |
| Mene Inc. | | ON | No | |
| Mentor Networks Inc. | | NS | Yes | 1a,1b |
| Mercator Minerals Ltd. | | BC | No | |
| Mercer Park Opportunities Corp. | | ON | No | |
| Meritage American Equity Portfolio | | QC | No | |
| Meritage Balanced Income Portfolio | | QC | No | |
| Meritage Balanced Portfolio | | QC | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Meritage Canadian Equity Portfolio | | QC | No | |
| Meritage Conservative Income Portfolio | | QC | No | |
| Meritage Conservative Portfolio | | QC | No | |
| Meritage Diversified Fixed Income Portfolio | | QC | No | |
| Meritage Global Balanced Portfolio | Meritage Global and Income Growth Portfolio | QC | No | |
| Meritage Global Conservative Portfolio* | | QC | No | |
| Meritage Global Equity Portfolio | | QC | No | |
| Meritage Global Growth Plus Portfolio | Meritage Global Dynamic Growth Portfolio | QC | No | |
| Meritage Global Growth Portfolio* | | QC | No | |
| Meritage Global Moderate Portfolio* | | QC | No | |
| Meritage Growth Income Portfolio | Meritage Growth Income Portfolio | QC | No | |
| Meritage Growth Plus Income Portfolio | Meritage Dynamic Growth Income Portfolio | QC | No | |
| Meritage Growth Plus Portfolio | Meritage Dynamic Growth Portfolio | QC | No | |
| Meritage Growth Portfolio | | QC | No | |
| Meritage International Equity Portfolio | | QC | No | |
| Meritage Moderate Income Portfolio | | QC | No | |
| Meritage Moderate Portfolio | | QC | No | |
| Meritage Tactical ETF Balanced Portfolio* | | QC | No | |
| Meritage Tactical ETF Equity Portfolio | | QC | No | |
| Meritage Tactical ETF Growth Portfolio* | | QC | No | |
| Meritage Tactical ETF Moderate Portfolio* | | QC | No | |
| META (META) Yield Shares Purpose ETF | | ON | No | |
| Metalla Royalty and Streaming Ltd. | | BC | No | |
| Metalo Manufacturing Inc. | Muskkrat Minerals Incorporated | ON | Yes | 1a,1c,1e,3 |
| Metatek-Group Ltd. | | AB | No | |
| Meteor Creek Resources Inc. | Double Down Resource LTD. | AB | No | |
| Methanex Corporation | Ocelot Industries Limited | BC | No | |
| Metro Inc. | Metro-Richelieu Inc. | QC | No | |
| Miata Metals Corp. | | BC | No | |
| Microbix Biosystems Inc. | | ON | No | |
| Microsoft (MSFT) Yield Shares Purpose ETF | Microsoft (MSFT) Yield Shares | ON | No | |
| Midas Capital Corporation | Midas Minerals Inc | ON | Yes | 1a |
| Middlefield ActivEnergy Dividend Class | Middlefield Global Energy Transition Class | ON | No | |
| Middlefield Canadian Dividend Growers Class | Middlefield Canadian Dividend Growth Class | ON | No | |
| Middlefield Global Agriculture Class | | ON | No | |
| Middlefield Global Dividend Growers Class | | ON | No | |
| Middlefield Global Dividend Growers ETF | Middlefield Sustainable Global Dividend ETF | ON | No | |
| Middlefield Global Infrastructure Dividend ETF | Middlefield Sustainable Infrastructure Dividend ETF | ON | No | |
| Middlefield Global Infrastructure Fund | | ON | No | |
| Middlefield Healthcare Dividend ETF | Middlefield Healthcare & Life Sciences ETF | ON | No | |
| Middlefield Healthcare Dividend Fund | Global Healthcare Dividend Fund | ON | No | |
| Middlefield High Interest Income Class | Middlefield Short-Term Income Class | ON | No | |
| Middlefield Income Plus Class | | ON | No | |
| Middlefield Innovation Dividend Class | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Middlefield Innovation Dividend ETF | | ON | No | |
| Middlefield Real Estate Dividend Class | Middlefield Global Real Estate Class | ON | No | |
| Middlefield Real Estate Dividend ETF | Middlefield REIT INDEXPLUS ETF | ON | No | |
| Middlefield Short Duration Bond Plus ETF | | ON | No | |
| Middlefield U.S. Equity Dividend Class | Middlefield U.S. Dividend Growers Class | ON | No | |
| Middlefield U.S. Equity Dividend ETF | Middlefield American Core Dividend ETF | ON | No | |
| Military Metals Corp. | X1 Entertainment Group Inc. | BC | No | |
| Minco Silver Corporation | | BC | No | |
| Mindready Solutions Inc. | | QC | Yes | 1a,3 |
| MindWalk Holdings Corp. | ImmunoPrecise Antibodies Ltd. | BC | No | |
| Minera IRL Limited | | BC | Yes | 1a,1c,1d,1e,3 |
| Mineros S.A. | | ON | No | |
| MINT Income Fund* | Middlefield High Income Trust (Mint) | ON | No | |
| Minto Apartment Real Estate Investment Trust | | ON | No | |
| Mirabela Nickel Limited | | BC | No | |
| Mispec Resources Inc. | | ON | Yes | 1a |
| MJardin Group, Inc. | Sumtra Diversified Inc. | ON | Yes | 1a,1c,1e,3 |
| MLD Core Fund | MLD Global Income Fund | ON | No | |
| MNP Petroleum Corporation | Manas Petroleum Corporation | BC | No | |
| Moat Active Premium Yield ETF* | | ON | No | |
| Mogotes Metals Inc. | | ON | No | |
| Molson Coors Beverage Company | Molson Coors Brewing Company | QC | No | |
| Molson Coors Canada Inc. | | QC | No | |
| Monarch Mining Corporation | | QC | Yes | 1a,1c,1e,3 |
| Monarch Resources Limited | | BC | No | |
| Money Market Fund | Prime Credit Money Market Fund | ON | No | |
| Monogen, Inc. | Oxbow Equities Corp. | ON | Yes | 1a,1b,1c,1d,1e,3 |
| Montage Gold Corp. | | BC | No | |
| Morguard Corporation | Acktion Corporation | ON | No | |
| Morguard North American Residential Real Estate Investment Trust | | ON | No | |
| Morguard Real Estate Investment Trust | | ON | No | |
| Morien Resources Corp. | Advanced Primary Minerals Corporation | NS | No | |
| Mountain Province Diamonds Inc. | | ON | No | |
| MRF 2025 Resource Limited Partnership* | | ON | No | |
| MRF 2026 Resource Limited Partnership* | | ON | No | |
| Mullen Group Ltd. | | AB | No | |
| Multi-Asset Growth & Income Strategy | Multi-Asset Growth & Income | ON | No | |
| Multi-Asset Growth & Income Strategy Class | Multi-Asset Growth & Income Class | ON | No | |
| Multi-Asset Growth Strategy | Russell Multi-Asset Growth Strategy | ON | No | |
| Multi-Asset Growth Strategy Class | Russell Multi-Asset Growth Strategy Class Portfolio | ON | No | |
| Multi-Asset Income Strategy | LifePoints Balanced Income Portfolio | ON | No | |
| Multi-Asset Income Strategy Class | LifePoints Balanced Income Class | ON | No | |
| Multi-Asset International Equity | Multi-Asset Equity Completion | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Mulvihill Canadian Bank Enhanced Yield ETF | Mulvihill Enhanced Yield Canadian Bank ETF | ON | No | |
| Mulvihill Enhanced Split Preferred Share ETF | Mulvihill U.S. Health Care Enhanced Yield ETF | ON | No | |
| Mulvihill Premium Yield Fund | | ON | No | |
| Murchison Minerals Ltd. | Manicouagan Minerals Inc. | ON | No | |
| MustGrow Biologics Corp. | | SK | No | |
| Nabors Industries Ltd. | | AB | No | |
| Namibia Critical Metals Inc. | Namibia Rare Earths Inc. | NS | No | |
| Nanalysis Scientific Corp. | | AB | No | |
| Nano One Materials Corp. | | BC | No | |
| NanoXplore Inc. | | QC | No | |
| Natcore Technology Inc. | Syracuse Capital Corp. | BC | No | |
| National Bank of Canada | | QC | No | |
| National Fibretech Inc. | | ON | Yes | 1a,1b |
| Nautilus Minerals Inc. | | BC | No | |
| Nav Canada | | ON | No | |
| Navitrak International Corporation | | NS | Yes | 1a,1b,1c |
| NBI Active Canadian Preferred Shares ETF | | QC | No | |
| NBI Active Global Equity Fund | | QC | No | |
| NBI Active International Equity ETF | | QC | No | |
| NBI Active International Equity Fund | | QC | No | |
| NBI Active U.S. Equity ETF | | QC | No | |
| NBI Active U.S. Equity Fund | | QC | No | |
| NBI Balanced Portfolio* | | QC | No | |
| NBI Bond Fund | National Bank Bond Fund | QC | No | |
| NBI Canadian All Cap Equity Fund | National Bank Canadian All Cap Equity Fund | QC | No | |
| NBI Canadian Bond Index Fund | | QC | No | |
| NBI Canadian Bond Private Portfolio | | QC | No | |
| NBI Canadian Core Plus Bond Fund | | QC | No | |
| NBI Canadian Dividend Income ETF | | QC | No | |
| NBI Canadian Equity Fund | NBI Jarislowsky Fraser Select Canadian Equity Fund | QC | No | |
| NBI Canadian Equity Growth Fund | National Bank Canadian Equity Growth Fund | QC | No | |
| NBI Canadian Equity Index Fund | | QC | No | |
| NBI Canadian Equity Private Portfolio | | QC | No | |
| NBI Canadian Fixed Income Private Portfolio | | QC | No | |
| NBI Canadian High Conviction Equity Private Portfolio | | QC | No | |
| NBI Conservative Portfolio* | | QC | No | |
| NBI Corporate Bond Fund | National Bank Corporate Bond Fund | QC | No | |
| NBI Corporate Bond Private Portfolio | | QC | No | |
| NBI Diversified Emerging Markets Equity Fund* | NBI Emerging Markets Equity Private Portfolio | QC | No | |
| NBI Equity Income Private Portfolio | | QC | No | |
| NBI Equity Portfolio* | | QC | No | |
| NBI Floating Rate Income Fund | National Bank Floating Rate Income Fund | QC | No | |
| NBI Global Balanced Growth Fund* | | QC | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| NBI Global Climate Ambition Fund | | QC | No | |
| NBI Global Diversified Equity Fund | National Bank Global Diversified Equity Fund | QC | No | |
| NBI Global Equity Fund | National Bank Global Equity Fund | QC | No | |
| NBI Global Equity Markets Private Portfolio | | QC | No | |
| NBI Global Private Equity ETF | | QC | No | |
| NBI Global Real Assets Income Fund* | | QC | No | |
| NBI Global Small Cap Fund | | QC | No | |
| NBI Global Tactical Bond Fund | National Bank Global Tactical Bond Fund | QC | No | |
| NBI Growth Portfolio* | | QC | No | |
| NBI High Yield Bond ETF | | QC | No | |
| NBI High Yield Bond Fund | National Bank High Yield Bond Fund | QC | No | |
| NBI Income Fund | National Bank Income Fund | QC | No | |
| NBI Innovators Fund | NBI Science and Technology Fund | QC | No | |
| NBI International Equity Fund* | | QC | No | |
| NBI International Equity Index Fund | | QC | No | |
| NBI International High Conviction Equity Private Portfolio | | QC | No | |
| NBI Jarislowsky Fraser Select Balanced Fund | Jarislowsky Fraser Select Balanced Fund | QC | No | |
| NBI Jarislowsky Fraser Select Income Fund | Jarislowsky Fraser Select Income Fund | QC | No | |
| NBI Liquid Alternatives ETF | | QC | No | |
| NBI Moderate Portfolio* | | QC | No | |
| NBI Money Market Fund | National Bank Money Market Fund | QC | No | |
| NBI Multiple Asset Class Private Portfolio | | QC | No | |
| NBI Non-Traditional Capital Appreciation Private Portfolio* | | QC | No | |
| NBI Non-Traditional Fixed Income Private Portfolio* | | QC | No | |
| NBI North American Dividend Private Portfolio | | QC | No | |
| NBI Precious Metals Fund | National Bank Precious Metals Fund | QC | No | |
| NBI Preferred Equity Fund | National Bank Preferred Equity Fund | QC | No | |
| NBI Preferred Equity Income Fund | National Bank Preferred Equity Income Fund | QC | No | |
| NBI Quebec Growth Fund | National Bank Quebec Growth Fund | QC | No | |
| NBI Resource Fund | National Bank Resource Fund | QC | No | |
| NBI Secure Portfolio* | | QC | No | |
| NBI Senior Loan Fund | | QC | No | |
| NBI Small Cap Fund | National Bank Small Cap Fund | QC | No | |
| NBI SmartBeta Low Volatility Canadian Equity Fund* | NBI SmartBeta Canadian Equity Fund | QC | No | |
| NBI SmartBeta Low Volatility Global Equity Fund* | NBI SmartBeta Global Equity Fund | QC | No | |
| NBI SmartData International Equity Fund | National Bank Consensus International Equity Fund | QC | No | |
| NBI SmartData U.S. Equity Fund | National Bank Consensus American Equity Fund | QC | No | |
| NBI Sustainable Balanced Portfolio | | QC | No | |
| NBI Sustainable Canadian Bond ETF | | QC | No | |
| NBI Sustainable Canadian Bond Fund | | QC | No | |
| NBI Sustainable Canadian Corporate Bond ETF | | QC | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--------------------------------------|--------------|------------|-------------------|
| NBI Sustainable Canadian Equity ETF | | QC | No | |
| NBI Sustainable Canadian Equity Fund | | QC | No | |
| NBI Sustainable Canadian Short Term Bond ETF | | QC | No | |
| NBI Sustainable Conservative Portfolio | | QC | No | |
| NBI Sustainable Equity Portfolio | | QC | No | |
| NBI Sustainable Global Bond Fund | | QC | No | |
| NBI Sustainable Global Equity ETF | | QC | No | |
| NBI Sustainable Global Equity Fund | | QC | No | |
| NBI Sustainable Growth Portfolio | | QC | No | |
| NBI Sustainable Moderate Portfolio | | QC | No | |
| NBI Sustainable Secure Portfolio | | QC | No | |
| NBI Tactical Equity Private Portfolio* | | QC | No | |
| NBI Target 2026 Investment Grade Bond Fund | | QC | No | |
| NBI Target 2027 Investment Grade Bond Fund | | QC | No | |
| NBI Target 2028 Investment Grade Bond Fund | | QC | No | |
| NBI Target 2029 Investment Grade Bond Fund | | QC | No | |
| NBI Target 2030 Investment Grade Bond Fund | | QC | No | |
| NBI Target 2031 Investment Grade Bond Fund | | QC | No | |
| NBI U.S. Bond Private Portfolio* | | QC | No | |
| NBI U.S. Equity Fund | National Bank U.S. Equity Fund | QC | No | |
| NBI U.S. Equity Index Fund | | QC | No | |
| NBI U.S. Equity Private Portfolio | | QC | No | |
| NBI U.S. High Conviction Equity Private Portfolio | | QC | No | |
| NBI Unconstrained Fixed Income ETF* | | QC | No | |
| NBI Unconstrained Fixed Income Fund* | | QC | No | |
| NCM Core Canadian* | Norrep Core Canadian Pool | AB | No | |
| NCM Core Global* | Norrep Core Global Pool | AB | No | |
| NCM Core International | NCM Core American | AB | No | |
| NCM Dividend Champions | NCM Norrep Fund | AB | No | |
| NCM Global Equity Balanced Portfolio | NCM Growth and Income Portfolio | AB | No | |
| NCM Global Income Balanced Portfolio | NCM Conservative Income Portfolio | AB | No | |
| NCM Global Income Growth Class | Norrep Global Income Growth Class | AB | No | |
| NCM Income Growth Class | Norrep Income Growth Class | AB | No | |
| NCM Small Companies Class | Norrep II Class | AB | No | |
| Nearctic Nickel Mines Inc. | Ungava Minerals Corp. | ON | No | |
| Neatt Corporation | | ON | Yes | 1a,1b |
| NEI Balanced Private Portfolio | | ON | No | |
| NEI Balanced Yield Portfolio | NEI Global Strategic Yield Fund | ON | No | |
| NEI Canadian Bond Fund | Ethical Income Fund | ON | No | |
| NEI Canadian Dividend Fund | NEI Northwest Canadian Dividend Fund | ON | No | |
| NEI Canadian Equity Fund | NEI Growth & Income Fund | ON | No | |
| NEI Canadian Equity Pool | | ON | No | |
| NEI Canadian Equity RS Fund | NEI Ethical Canadian Equity Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| NEI Canadian Impact Bond Fund | | ON | No | |
| NEI Canadian Small Cap Equity Fund | NEI Northwest Specialty Equity Fund | ON | No | |
| NEI Canadian Small Cap Equity RS Fund | NEI Ethical Special Equity Fund | ON | No | |
| NEI Clean Infrastructure Fund | | ON | No | |
| NEI Conservative Yield Portfolio* | | ON | No | |
| NEI Emerging Markets Fund | NEI Northwest Emerging Markets Fund | ON | No | |
| NEI Environmental Leaders Fund* | | ON | No | |
| NEI ESG Canadian Enhanced Index Fund | NEI Jantzi Social Index® Fund | ON | No | |
| NEI Fixed Income Pool | | ON | No | |
| NEI Global Corporate Leaders Fund | | ON | No | |
| NEI Global Dividend RS Fund | NEI Ethical Global Dividend Fund | ON | No | |
| NEI Global Equity Pool | | ON | No | |
| NEI Global Equity RS Fund | NEI Ethical Global Equity Fund | ON | No | |
| NEI Global High Yield Bond Fund | NEI Northwest Specialty Global High Yield Bond Fund | ON | No | |
| NEI Global Impact Bond Fund | | ON | No | |
| NEI Global Sustainable Balanced Fund | NEI Balanced RS Fund | ON | No | |
| NEI Global Total Return Bond Fund | | ON | No | |
| NEI Global Value Fund* | | ON | No | |
| NEI Growth & Income Fund | NEI Northwest Growth and Income Fund | ON | No | |
| NEI Growth Private Portfolio | | ON | No | |
| NEI Impact Balanced Portfolio | | ON | No | |
| NEI Impact Conservative Portfolio | | ON | No | |
| NEI Impact Growth Portfolio | | ON | No | |
| NEI Income & Growth Private Portfolio | | ON | No | |
| NEI Income Private Portfolio | | ON | No | |
| NEI International Equity RS Fund | NEI Ethical International Equity Fund | ON | No | |
| NEI Long Short Equity Fund | | ON | No | |
| NEI Money Market Fund | Northwest Money Market Fund | ON | No | |
| NEI Multi-Strategy Global Equity Pool | | ON | No | |
| NEI Select Balanced RS Portfolio | NEI Ethical Select Balanced Portfolio | ON | No | |
| NEI Select Growth & Income RS Portfolio | Meritas Growth & Income Portfolio | ON | No | |
| NEI Select Growth RS Portfolio | NEI Ethical Select Growth Portfolio | ON | No | |
| NEI Select Income & Growth RS Portfolio | NEI Ethical Select Conservative Portfolio | ON | No | |
| NEI Select Income RS Portfolio | NEI Ethical Select Income Portfolio | ON | No | |
| NEI Select Maximum Growth RS Portfolio | Meritas Maximum Growth Portfolio | ON | No | |
| NEI U.S. Dividend Fund | NEI Northwest U.S. Dividend Fund | ON | No | |
| NEI U.S. Equity RS Fund | NEI Ethical U.S. Equity Fund | ON | No | |
| Neo Performance Materials Inc. | | ON | No | |
| Neptune Wellness Solutions Inc. | Neptune Technologies & Bioresources Inc. | QC | Yes | 1a,1c,1d,1e,3 |
| NervGen Pharma Corp. | | BC | No | |
| Netflix (NFLX) Yield Shares Purpose ETF | | ON | No | |
| New Commerce Split Fund* | | ON | No | |
| New Found Gold Corp. | | BC | No | |
| New Gold Inc. | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--------------------------------------|--------------|------------|-------------------|
| New Leaf Ventures Inc. | | BC | Yes | 1a,1c,1e,3 |
| New Pacific Metals Corp. | | BC | No | |
| New Zealand Energy Corp. | | BC | Yes | 1a,1c,1e,3 |
| Newcore Gold Ltd. | | BC | No | |
| Newfoundland Power Inc. | Newfoundland Light & Power Co. LTD. | QC | No | |
| NewGen Alternative Income Fund | | ON | No | |
| NewGen Credit Strategies Fund | | ON | No | |
| NewGen Focused Alpha Fund | | ON | No | |
| Newkidco International Inc. | Softquad International Inc. | ON | Yes | 1a,1b,3 |
| Newmont Corporation | Newmont Goldcorp Corporation | ON | No | |
| Newstrike Brands Ltd. | Newstrike Resources Ltd. | ON | No | |
| Nexa Resources S.A. | VM Holding S.A. | ON | No | |
| NEXCEL METALS CORP. | | BC | No | |
| Nexe Innovations Inc. | | BC | No | |
| Nexera Energy Inc. | Emerald Bay Energy Inc. | AB | Yes | 1a,1c,1e,3 |
| NexGen Energy Ltd. | | BC | No | |
| NexGold Mining Corp. | | ON | No | |
| Nexient Learning Inc. | Crossoff Incorporated | NS | Yes | 1b,1c,1e |
| NexJ Health Holdings Inc. | | ON | No | |
| NexLiving Communities Inc. | NexLiving Communities Inc. | NS | No | |
| NexMetals Mining Corp | Premium Resources Ltd. | BC | No | |
| Nextech3D.AI Corporation | Nextech AR Solutions Corp. | ON | No | |
| Nextleaf Solutions Ltd. | | BC | No | |
| NextPoint Financial Inc. | NextPoint Acquisition Corp. | ON | Yes | 1a,1c,1d,1e,3 |
| NextSource Materials Inc. | | ON | No | |
| Nexus Industrial REIT | Nexus Real Estate Investment Trust | ON | No | |
| Nexus Uranium Corp. | Golden Independence Mining Corp. | BC | No | |
| NFI Group Inc. | New Flyer Industries Inc. | MB | No | |
| NG Energy International Corp. | | BC | No | |
| NHC Communications Inc. | | QC | Yes | 1a,1b,1c,1d,3 |
| Nickel 28 Capital Corp. | Conic Metals Corp. | ON | No | |
| Niko Resources Ltd. | | AB | Yes | 1a,1c,1e,3 |
| Ninepoint 2025 Short Duration Flow-Through Limited Partnership* | | ON | No | |
| Ninepoint 2026 Flow-Through Limited Partnership* | | ON | No | |
| Ninepoint Alphabet HighShares ETF | | ON | No | |
| Ninepoint Alternative Credit Opportunities Fund | | ON | No | |
| Ninepoint Barrick HighShares ETF | | ON | No | |
| Ninepoint BCE HighShares ETF | | ON | No | |
| Ninepoint Cameco HighShares ETF | | ON | No | |
| Ninepoint Canadian Natural Resources HighShares ETF | | ON | No | |
| Ninepoint Cannabis & Alternative Health Fund | Ninepoint Alternative Health Fund | ON | No | |
| Ninepoint Capital Appreciation Fund* | | ON | No | |
| Ninepoint Cash Management Fund | Ninepoint High Interest Savings Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Ninepoint Celestica HighShares ETF | | ON | No | |
| Ninepoint CNR HighShares ETF | | ON | No | |
| Ninepoint Constellation Software CoreShares ETF | | ON | No | |
| Ninepoint Constellation Software HighShares ETF | | ON | No | |
| Ninepoint Crypto and AI Leaders ETF | Ninepoint Web3 Innovators Fund | ON | No | |
| Ninepoint Diversified Bond Fund | Sprott Diversified Bond Fund | ON | No | |
| Ninepoint Enbridge HighShares ETF | | ON | No | |
| Ninepoint Energy Fund | Sprott Energy Fund | ON | No | |
| Ninepoint Energy Income Fund | | ON | No | |
| Ninepoint Enhanced Canadian HighShares ETF | | ON | No | |
| Ninepoint Focused Global Dividend Fund | | ON | No | |
| Ninepoint Global Infrastructure Fund | Sprott Global Infrastructure Fund | ON | No | |
| Ninepoint Global Macro Fund | Ninepoint FX Strategy Fund | ON | No | |
| Ninepoint Global Select Fund* | | ON | No | |
| Ninepoint Gold and Precious Minerals Fund | Sprott Gold and Precious Minerals Fund | ON | No | |
| Ninepoint Gold Bullion Fund | Sprott Gold Bullion Fund | ON | No | |
| Ninepoint Intel HighShares ETF | | ON | No | |
| Ninepoint Kinross Gold HighShares ETF | | ON | No | |
| Ninepoint Mining Evolution Fund | Ninepoint Resource Fund | ON | No | |
| Ninepoint NVIDIA HighShares ETF | | ON | No | |
| Ninepoint Palantir HighShares ETF | | ON | No | |
| Ninepoint Resource Fund Class | | ON | No | |
| Ninepoint Risk Advantaged U.S. Equity Index Fund | | ON | No | |
| Ninepoint Royal Bank HighShares ETF | | ON | No | |
| Ninepoint Shopify HighShares ETF | | ON | No | |
| Ninepoint Silver Bullion Fund* | Sprott Silver Bullion Fund | ON | No | |
| Ninepoint Silver Equities Fund | | ON | No | |
| Ninepoint Suncor HighShares ETF | | ON | No | |
| Ninepoint Target Income Fund | | ON | No | |
| Ninepoint TD HighShares ETF | | ON | No | |
| Ninepoint Tesla HighShares ETF | | ON | No | |
| NL2 Capital Inc. | | NS | No | |
| NOA Lithium Brines Inc. | | AB | No | |
| Nobilis Health Corp. | Northstar Healthcare Inc. | ON | Yes | 1a,1b,1c,1d,1e,3 |
| Nord Precious Metals Mining Inc. | Canada Silver Cobalt Works Inc. | BC | No | |
| Nortel Networks Corporation | New Nortel Inc. | ON | Yes | 1a,1b,1c,1e,3 |
| Nortel Networks Limited | Nortel Networks Corporation | ON | Yes | 1a,1b,1c,1e,3 |
| North American Construction Group Ltd. | North American Energy Partners Inc. | AB | No | |
| North American Financial 15 Split Corp.* | Financial 15 Split Corp. II | ON | No | |
| North American Tungsten Corporation Ltd. | | BC | Yes | 1a,1b,1c,1e,3 |
| North Growth Canadian Equity Fund | | BC | No | |
| North Growth U.S. Equity Advisor Fund | | BC | No | |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Northaven Resources Corp. | Diamond International Exploration Inc. | BC | No | |
| Northern Dynasty Minerals Ltd. | | BC | No | |
| Northern Graphite Corporation | | ON | No | |
| NorthIsle Copper and Gold Inc. | | BC | No | |
| Northland Power Inc. | | ON | No | |
| Northland Resources SE | Northland Resources S.A. | ON | Yes | 3 |
| Northland Systems Training Inc. | | ON | Yes | 1a,1b,3 |
| Northstar Clean Technologies Inc. | | AB | No | |
| Northstar Gold Corp. | | ON | No | |
| Northview Residential REIT | Northview Fund | AB | No | |
| NorthWest Copper Corp. | | BC | No | |
| NorthWest Healthcare Properties Real Estate Investment Trust | | ON | No | |
| Nouveau Monde Graphite Inc. | New-World Mining Enterprises Inc. | QC | No | |
| Nova Leap Health Corp. | | NS | No | |
| Nova Scotia Power Incorporated | | NS | No | |
| NovaGold Resources Inc. | Novacan Mining Resources (1985) Limited | BC | No | |
| Novanta Inc. | GSI Group Inc. | NB | No | |
| Noveris Health Sciences Inc. | Mydecine Innovations Group Inc. | BC | No | |
| Novo Resources Corp. | | BC | No | |
| NowVertical Group Inc. | | ON | No | |
| Nu-Life Corp. | Natraceuticals Inc. | ON | Yes | 1a,1b,1c,1d,1e,3 |
| Numinus Wellness Inc. | | BC | Yes | 1a,1b,1c,1d,1e,3 |
| Nutrien Ltd. | | SK | No | |
| Nuvau Minerals Inc. | Aardvark 2 Capital Corp. | ON | No | |
| Nuveen Global Green Bond Fund | Nuveen Environmental Impact Bond Fund | ON | No | |
| NVIDIA (NVDA) Yield Shares Purpose ETF | Johnson & Johnson (JNJ) Yield Shares Purpose ETF | ON | No | |
| Oak Hill AQR Delphi Long-Short Equity Fund | | ON | No | |
| Oak Hill NexPoint Global Merger Arbitrage Fund | | ON | No | |
| Obsidian Energy Ltd. | Penn West Petroleum Ltd. | AB | No | |
| OceanaGold Corporation | | ON | No | |
| Oceansix Future Paths Ltd. | K.B. Recycling Industries Ltd. | ON | Yes | 1a,1c,1e,3 |
| Oil Optimization Inc. | | AB | No | |
| Oilsands Quest Inc. | | AB | No | |
| Old API Wind-down Ltd. (Cease Traded) | Aralez Pharmaceuticals Inc. | BC | Yes | 1a,1b,1c,1e,3 |
| Old MAV Wind-Down Ltd. | MAV Beauty Brands Inc. | ON | Yes | 1b,1c,1e |
| Omai Gold Mines Corp. | Anconia Resources Corp. | ON | No | |
| Oncolytics Biotech Inc. | | AB | No | |
| ONE Financial All-Weather Profit Canada Fund | | ON | Yes | 1a,1b,1c,1d,3 |
| ONE Financial All-Weather Profit Commodities Fund | | ON | Yes | 1a,1b,1c,1d,3 |
| ONE Financial All-Weather Profit Conservative Growth 2022 Protected | | ON | Yes | 1a,1b,1c,1d,3 |
| ONE Financial All-Weather Profit Emerging Markets Fund | | ON | Yes | 1a,1b,1c,1d,3 |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--------------------------------|--------------|------------|-------------------|
| ONE Financial All-Weather Profit Europe & Asia Fund | | ON | Yes | 1a,1b,1c,1d,3 |
| ONE Financial All-Weather Profit Global Diversified Fund | | ON | Yes | 1a,1b,1c,1d,3 |
| ONE Financial All-Weather Profit Global Diversified Growth Fund | | ON | Yes | 1a,1b,1c,1d,3 |
| ONE Financial All-Weather Profit Growth & Income Balanced Fund | | ON | Yes | 1a,1b,1c,1d,3 |
| ONE Financial All-Weather Profit Monthly ROC Income 2022 Protected | | ON | Yes | 1a,1b,1c,1d,3 |
| ONE Financial All-Weather Profit Monthly Tax-Efficient Bond Fund | | ON | Yes | 1a,1b,1c,1d,3 |
| ONE Financial All-Weather Profit Tax-Efficient Short-term Savings Fund | | ON | Yes | 1a,1b,1c,1d,3 |
| ONE Financial All-Weather Profit U.S. Fund | | ON | Yes | 1a,1b,1c,1d,3 |
| ONE Financial Real Property Development Trust (2008-1) | | ON | No | |
| ONE Financial Real Property Income Fund (2008-1) | | ON | No | |
| One Signature Financial Corporation | July Resources Corporation | ON | Yes | 1a,1b,3 |
| ONEnergy Inc. | Look Communications Inc. | ON | No | |
| Onex Corporation | | ON | No | |
| Onex Global Special Situations Alternative Fund | | ON | No | |
| Onex High Yield Bond Fund (Canada) | | ON | No | |
| Onex U.S. Equity Fund | | ON | No | |
| Ontario Power Generation Inc. | | ON | No | |
| Opawica Explorations Inc. | | BC | Yes | 3 |
| Open Text Corporation | | ON | No | |
| Optimi Health Corp. | | BC | No | |
| OR ROYALTIES INC. | OSISKO GOLD ROYALTIES LTD | QC | No | |
| Oracle Commodity Holding Corp. | Battery Metals Royalties Corp. | BC | No | |
| Orbit Garant Drilling Inc. | | QC | No | |
| Orbite Technologies Inc. | Orbite Aluminae Inc. | QC | No | |
| Orbus Pharma Inc. | Bovar Inc. | AB | No | |
| Orea Mining Corp. | Columbus Gold Corporation | BC | Yes | 1a,1c,1d,1e,3 |
| Orezone Gold Corporation | | BC | No | |
| Organigram Global Inc. | OrganiGram Holdings Inc. | ON | No | |
| Organto Foods Inc. | | BC | No | |
| Orion Digital Corp. | Orion Digital Corp. | BC | No | |
| Orla Mining Ltd. | | BC | No | |
| Oroco Resource Corp. | | BC | No | |
| Orvana Minerals Corp. | | ON | No | |
| Osiris One Metals Ltd. | Lithium Ion Energy Ltd. | ON | No | |
| Osisko Development Corp. | | QC | No | |
| Outcome Canadian Equity Income Fund | | ON | No | |
| Outcome Tactical Bond Fund | | ON | No | |
| Outcrop Silver & Gold Corporation | Outcrop Gold Corp. | BC | No | |
| Outdoorpartner Media Corporation | | ON | No | |
| Ovintiv Inc. | | AB | No | |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---------------------------------------|--------------|------------|-------------------|
| Padlock Euro Storage Fund I | Padlock Partners UK Fund I | ON | No | |
| Paladin Energy Ltd. | | ON | No | |
| Palantir (PLTR) Yield Shares Purpose ETF | | ON | No | |
| Palliser Oil & Gas Corporation | | AB | No | |
| Palos Equity Income Fund | | QC | No | |
| Pan American Silver Corp. | | BC | No | |
| Pan Pacific Strategies Corp. | Regent Rock Development Inc. | ON | Yes | 1a,1b,3 |
| Panther Minerals Inc. | Lithium Lion Metals Inc. | BC | No | |
| Parallel Energy Trust | | AB | No | |
| Paramount Resources Ltd. | | AB | No | |
| Parex Resources Inc. | | AB | No | |
| Parkit Enterprise Inc. | Greenscape Capital Group Inc. | ON | No | |
| Partners Value Investments Inc. | Partners Value Fund Inc. | ON | No | |
| Partners Value Split Corp.* | BAM Split Corp. | ON | No | |
| Pasofino Gold Limited | Pasofino Gold Corporation | ON | No | |
| Patagonia Gold Corp. | Hunt Mining Corp. | BC | No | |
| Pay Linx Financial Corporation | | AB | No | |
| PC 1 Corp. | | ON | No | |
| PC Chips Corporation | Hookup Communication Corporation | ON | Yes | 1a,1b,3 |
| PCJ Absolute Return II Fund | | ON | No | |
| PCJ Focused Opportunities Fund | | ON | No | |
| Peak Discovery Capital Ltd. | Hanwei Energy Services Corp. | BC | No | |
| Pegasus Gold Inc. | | BC | No | |
| Pelangio Exploration Inc. | | ON | No | |
| Pembina Pipeline Corporation | | AB | No | |
| Pembroke American Growth Fund Inc. | GBC American Growth Fund Inc., The | QC | No | |
| Pembroke Canadian All Cap Fund | Pembroke Canadian All Cap Pooled Fund | QC | No | |
| PEMBROKE CANADIAN BALANCED FUND | Pembroke Growth and Income Fund | QC | No | |
| Pembroke Canadian Bond Fund | GBC Canadian Bond Fund, The | QC | No | |
| Pembroke Canadian Growth Fund | GBC Canadian Growth Fund, The | QC | No | |
| PEMBROKE CONCENTRATED FUND | | QC | No | |
| Pembroke Corporate Bond Fund | GBC Corporate Bond Fund, The | QC | No | |
| Pembroke Dividend Growth Fund | | QC | No | |
| Pembroke Global Balanced Fund | GBC Global Balanced Fund, The | QC | No | |
| Pembroke International Growth Fund | GBC International Growth Fund, The | QC | No | |
| Pembroke Money Market Fund | GBC Money Market Fund, The | QC | No | |
| Pender Alternative Absolute Return Fund | | BC | No | |
| Pender Alternative Arbitrage Fund | | BC | No | |
| Pender Alternative Arbitrage Plus Fund | | BC | No | |
| Pender Alternative Multi-Strategy Growth Fund | | BC | No | |
| Pender Alternative Multi-Strategy Income Fund | Vertex Value Fund | BC | No | |
| Pender Alternative Select Equity Fund | Purpose Select Equity Fund | BC | No | |
| Pender Alternative Special Situations Fund | | BC | No | |
| Pender Bond Universe Fund* | | BC | No | |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Pender Corporate Bond Fund | | BC | No | |
| Pender Global Small/Mid Cap Equity Fund | Pender Value Fund | BC | No | |
| Pender Growth Fund Inc. | | BC | No | |
| Pender Small Cap Opportunities Fund | | BC | No | |
| Pender Strategic Growth and Income Fund | Pender Enhanced Income Fund | BC | No | |
| Pender US Small/Mid Cap Equity Fund | | BC | No | |
| PENN Entertainment, Inc. | Penn National Gaming, Inc. | ON | No | |
| Peregrine Systems, Inc. | | BC | Yes | 1a,1b,3 |
| Perk Labs Inc. | Glance Technologies Inc. | BC | Yes | 1a,1b,1c,1e,3 |
| Perpetua Resources Corp. | Midas Gold Corp. | BC | No | |
| Perseus Mining Limited | | BC | No | |
| Perseverance Metals Inc. | | BC | No | |
| Pet Valu Holdings Ltd. | | ON | No | |
| Petro-Victory Energy Corp. | | AB | No | |
| PetroTal Corp. | Sterling Resources Ltd. | AB | No | |
| Petrowest Corporation | | AB | No | |
| Peyto Exploration and Development Corp. | | AB | No | |
| PharmaCielo Ltd. | | ON | No | |
| PharmaCorp Rx Inc. | Proton Capital Corp. | SK | No | |
| Pharmala Biotech Holdings Inc. | | ON | No | |
| Phenom Resources Corp. | First Vanadium Corp. | BC | No | |
| Phillips, Hager & North Balanced Fund | | ON | No | |
| Phillips, Hager & North Balanced Pension Trust | | ON | No | |
| Phillips, Hager & North Bond Fund | | ON | No | |
| Phillips, Hager & North Canadian Equity Fund | | ON | No | |
| Phillips, Hager & North Canadian Equity Pension Trust | | ON | No | |
| Phillips, Hager & North Canadian Equity Plus Pension Trust | | ON | No | |
| Phillips, Hager & North Canadian Equity Underlying Fund | | ON | No | |
| Phillips, Hager & North Canadian Equity Underlying Fund II | Phillips, Hager & North Canadian Equity Underlying Fund II | ON | No | |
| Phillips, Hager & North Canadian Equity Value Fund | | ON | No | |
| Phillips, Hager & North Canadian Growth Fund | Phillips Hager & North Canadian Equity Plus Fund | ON | No | |
| Phillips, Hager & North Canadian Income Fund | | ON | No | |
| Phillips, Hager & North Conservative Equity Income Fund | | ON | No | |
| Phillips, Hager & North Conservative Pension Trust | | ON | No | |
| Phillips, Hager & North Currency-Hedged Overseas Equity Fund | | ON | No | |
| Phillips, Hager & North Currency-Hedged U.S. Equity Fund | | ON | No | |
| Phillips, Hager & North Dividend Income Fund | | ON | No | |
| Phillips, Hager & North Global Equity Fund | | ON | No | |
| Phillips, Hager & North Growth Pension Trust | | ON | No | |
| Phillips, Hager & North High Yield Bond Fund | | ON | No | |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Phillips, Hager & North LifeTime 2015 Fund | | ON | No | |
| Phillips, Hager & North LifeTime 2020 Fund | | ON | No | |
| Phillips, Hager & North LifeTime 2025 Fund | | ON | No | |
| Phillips, Hager & North LifeTime 2030 Fund | | ON | No | |
| Phillips, Hager & North LifeTime 2035 Fund | | ON | No | |
| Phillips, Hager & North LifeTime 2040 Fund | | ON | No | |
| Phillips, Hager & North LifeTime 2045 Fund | | ON | No | |
| Phillips, Hager & North LifeTime 2050 Fund | | ON | No | |
| Phillips, Hager & North LifeTime 2060 Fund | | ON | No | |
| Phillips, Hager & North LifeTime 2065 Fund | | ON | No | |
| Phillips, Hager & North Monthly Income Fund | | ON | No | |
| Phillips, Hager & North Overseas Equity Fund | | ON | No | |
| Phillips, Hager & North Short Term Bond & Mortgage Fund | | ON | No | |
| Phillips, Hager & North Small Float Fund | | ON | No | |
| Phillips, Hager & North Total Return Bond Fund | | ON | No | |
| Phillips, Hager & North U.S. Dividend Income Fund | | ON | No | |
| Phillips, Hager & North U.S. Equity Fund | | ON | No | |
| Phillips, Hager & North U.S. Growth Fund | PH & N North American Equity Fund | ON | No | |
| Phillips, Hager & North U.S. Multi-Style All-Cap Equity Fund | | ON | No | |
| Phillips, Hager & North Vintage Fund | | ON | No | |
| PHX Energy Services Corp. | | AB | No | |
| PICTON Arbitrage Alternative Fund | Picton Mahoney Fortified Arbitrage Alternative Fund | ON | No | |
| PICTON Arbitrage Plus Alternative Fund | Picton Mahoney Fortified Arbitrage Plus Alternative Fund | ON | No | |
| PICTON Balanced Fund | Picton Mahoney Fortified Multi-Asset Fund | ON | No | |
| PICTON Core Bond Fund | Picton Mahoney Fortified Core Bond Fund | ON | No | |
| PICTON Credit Opportunities Alternative Fund | Picton Mahoney Fortified Special Situations Alternative Fund | ON | No | |
| PICTON Global Equity Fund | Picton Mahoney Fortified Equity Fund | ON | No | |
| PICTON Income Fund | Picton Mahoney Fortified Income Fund | ON | No | |
| PICTON Inflation Opportunities Alternative Fund | Picton Mahoney Fortified Inflation Opportunities Alternative Fund | ON | No | |
| PICTON Investment Grade Alternative Fund* | Picton Mahoney Fortified Investment Grade Alternative Fund | ON | No | |
| PICTON Long Short Equity (130/30) Alternative Fund | Picton Mahoney Fortified Active Extension Alternative Fund | ON | No | |
| PICTON Long Short Equity Alternative Fund | Picton Mahoney Fortified Long Short Alternative Fund | ON | No | |
| PICTON Long Short Income Alternative Fund | Picton Mahoney Fortified Income Alternative Fund | ON | No | |
| PICTON Market Neutral Equity Alternative Fund | Picton Mahoney Fortified Market Neutral Alternative Fund | ON | No | |
| PICTON Multi-Strategy Alpha Alternative Fund | Picton Mahoney Fortified Alpha Alternative Fund | ON | No | |
| PICTON Multi-Strategy Alternative Fund | Picton Mahoney Fortified Multi-Strategy Alternative Fund | ON | No | |
| PIMCO - IG Global Bond Pool | | MB | No | |
| PIMCO Canadian Core Bond Fund | PIMCO Canadian Total Return Bond Fund | ON | No | |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| PIMCO Climate Bond Fund (Canada) | | ON | No | |
| PIMCO ESG Income Fund (Canada) | | ON | No | |
| PIMCO Flexible Global Bond Fund (Canada) | PIMCO Global Advantage Strategy Bond Fund (Canada) | ON | No | |
| PIMCO Global Income Opportunities Fund | | ON | No | |
| PIMCO Global Short Maturity Fund (Canada) | | ON | No | |
| PIMCO Investment Grade Credit Fund (Canada) | | ON | No | |
| PIMCO Low Duration Monthly Income Fund (Canada)* | | ON | No | |
| PIMCO Managed Balanced Portfolio* | | ON | No | |
| PIMCO Managed Conservative Bond Pool | | ON | No | |
| PIMCO Managed Core Bond Pool | | ON | No | |
| PIMCO Monthly Enhanced Income Fund* | | ON | No | |
| PIMCO Monthly Income Fund (Canada) | | ON | No | |
| PIMCO Unconstrained Bond Fund (Canada) | | ON | No | |
| Pine Trail Real Estate Investment Trust | Pine Trail Capital Trust | ON | No | |
| Pinnacle Balanced Portfolio | Pinnacle Conservative Balanced Growth Portfolio | ON | No | |
| Pivotree Inc. | | ON | No | |
| Pizza Pizza Royalty Corp. | | ON | No | |
| Plaintree Systems Inc. | | ON | No | |
| Planet 13 Holdings Inc.* | Carpincho Capital Inc. | ON | No | |
| Planet Based Foods Global Inc. | Digital Buyer Technologies Corp. | BC | No | |
| Plant-Based Investment Corp. | Cannabis Growth Opportunity Corporation | ON | Yes | 3 |
| Platinova A/S | Isco, Inuit Service Company A/S | ON | Yes | 1a |
| Platinova Resources Ltd | | ON | Yes | 1a,1b,3 |
| Platinum Group Metals Ltd. | | BC | No | |
| Plaza Retail REIT | | NB | No | |
| Plazacorp Willowgrove Residential Real Estate Development Trust | | ON | No | |
| Plurilock Security Inc. | | BC | No | |
| PMET Resources Inc. | Patriot Battery Metals Inc. | QC | No | |
| POET Technologies Inc. | | ON | No | |
| Point Loma Resources Ltd. | First Mountain Exploration Ltd. | AB | No | |
| Polar Multi-Strategy Alternative Fund* | | ON | No | |
| Polaris Renewable Energy Inc. | Polaris Infrastructure Inc. | ON | No | |
| Pollard Banknote Limited | | MB | No | |
| Ponderosa Fund* | | BC | No | |
| Portex Minerals Inc. | Strategic Resource Acquisition Corporation | ON | No | |
| Portland 15 of 15 Alternative Fund | Portland Global Dividend Fund | ON | No | |
| Portland Canadian Balanced Fund | | ON | No | |
| Portland Life Sciences Alternative Fund | | ON | No | |
| Portland Replacement of Fossil Fuels Alternative Fund* | | ON | No | |
| Poseidon Concepts Corp. | Open Range Energy Corp. | AB | No | |
| Postmedia Network Canada Corp. | | ON | No | |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Power & Infrastructure Split Corp. | Sustainable Power & Infrastructure Split Corp. | ON | No | |
| Power Corporation of Canada | | QC | No | |
| Power Financial Corporation | | QC | No | |
| Power Sustainable China Ascent Fund | | ON | No | |
| PowerBank Corporation | SolarBank Corporation | ON | No | |
| Poynt Corporation | Poynt Corporation | AB | Yes | 1a,1c,1e,3 |
| Prairie Provident Resources Inc. | | AB | No | |
| PrairieSky Royalty Ltd. | | AB | No | |
| Precious Metals and Mining Trust* | | ON | No | |
| Precision Assessment Technology Corporation | Conor Pacific Group Inc. | BC | No | |
| Precision Drilling Corporation | | AB | No | |
| Premier Health of America Inc. | | QC | No | |
| Premium Brands Holdings Corporation | | BC | No | |
| Premium Cash Management Fund | | ON | No | |
| Premium Global Income Split Corp. | World Financial Split Corp. | ON | No | |
| Premium Income Corporation | | ON | No | |
| Preo Software Inc. | | AB | No | |
| Primaris Real Estate Investment Trust | | ON | No | |
| Prime Dividend Corp.* | | ON | No | |
| Primerica Balanced Yield Fund | Primerica Conservative Growth Fund | ON | No | |
| Primerica Canadian Balanced Growth Fund | Primerica Growth Fund | ON | No | |
| Primerica Canadian Money Market Fund | Primerica Canadian Money Market Portfolio Fund | ON | No | |
| Primerica Conservative Income Fund | | ON | No | |
| Primerica Global Balanced Growth Fund | Primerica Moderate Growth Fund | ON | No | |
| Primerica Global Equity Fund | Primerica Aggressive Growth Fund | ON | No | |
| Primerica Income Fund | Primerica Canadian Income Portfolio Fund | ON | No | |
| Printera Corporation | PMG Financial Inc. | ON | No | |
| Priszm Income Fund | Priszm Canadian Income Fund | ON | No | |
| Pro Real Estate Investment Trust | | QC | No | |
| Probe Exploration Inc. | | AB | Yes | 1a,1b |
| Proby Mining 2025 Short Duration Flow-Through Limited Partnership* | | BC | No | |
| Proby Mining 2025 Short Duration Flow-Through Limited Partnership* | | BC | No | |
| Proby Mining 2025 Short Duration Flow-Through Limited Partnership* | | BC | No | |
| Proby Mining 2025-II Short Duration Flow-Through Limited Partnership* | | BC | No | |
| Proby Mining 2025-II Short Duration Flow-Through Limited Partnership* | | BC | No | |
| Proby Mining 2025-II Short Duration Flow-Through Limited Partnership* | | BC | No | |
| Proby Mining 2026 Short Duration Flow-Through Limited Partnership* | | BC | No | |
| Proby Mining 2026 Short Duration Flow-Through Limited Partnership* | | BC | No | |
| Proby Mining 2026 Short Duration Flow-Through Limited Partnership* | | BC | No | |
| Production Enhancement Group, Inc. | | AB | Yes | 3 |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Profound Medical Corp. | Mira IV Acquisition Corp. | ON | No | |
| Promino Nutritional Sciences Inc. | Element Nutritional Sciences Inc. | ON | No | |
| ProMIS Neurosciences Inc. | | ON | No | |
| Propel Holdings Inc. | | ON | No | |
| PsyBio Therapeutics Corp. | | BC | Yes | 1b,1c,1e |
| Psyence Group Inc. | GDV Resources Inc. | ON | No | |
| PTX Metals Inc. | Platinex Inc. | ON | No | |
| Pulsar Helium Inc. | | BC | No | |
| Pulse Oil Corp. | | BC | No | |
| Pulse Seismic Inc. | Pulse Data Inc. | AB | No | |
| Pure Extracts Technologies Corp. | Big Sky Petroleum Corporation | BC | Yes | 1a,1c,1e,3 |
| Purebread Brands Inc. | Coho Collective Kitchens Inc. | BC | No | |
| Purepoint Uranium Group Inc. | | ON | No | |
| Purpose Active Balanced Fund | | ON | No | |
| Purpose Active Conservative Fund | | ON | No | |
| Purpose Active Growth Fund | | ON | No | |
| Purpose Best Ideas Fund | | ON | No | |
| Purpose Bitcoin ETF | | ON | No | |
| Purpose Bitcoin Yield ETF | | ON | No | |
| Purpose BMO (BMO) Yield Shares ETF | | ON | No | |
| Purpose Brookfield (BN) Yield Shares ETF | | ON | No | |
| Purpose Canadian Financial Income Fund | | ON | No | |
| Purpose Canadian National Railway (CNR) Yield Shares ETF | | ON | No | |
| Purpose Canadian Natural Resources (CNQ) Yield Shares ETF | | ON | No | |
| Purpose Canadian Preferred Share Fund | Redwood Canadian Preferred Share Fund | ON | No | |
| Purpose Cash Management Fund | Purpose Cash Management Portfolio | ON | No | |
| Purpose CIBC (CM) Yield Shares ETF | | ON | No | |
| Purpose Conservative Income Fund | | ON | No | |
| Purpose Core Bitcoin ETF* | | ON | No | |
| Purpose Core Dividend Fund | | ON | No | |
| Purpose Core Equity Income Fund | Redwood Core Income Equity Fund | ON | No | |
| Purpose Core Ether ETF* | | ON | No | |
| Purpose Couche-Tard (ATD) Yield Shares ETF | | ON | No | |
| Purpose Credit Opportunities Class* | | ON | No | |
| Purpose Credit Opportunities Fund | | ON | No | |
| Purpose Diversified Real Asset Fund | Purpose Diversified Inflation Fund | ON | No | |
| Purpose Dollarama (DOL) Yield Shares ETF | | ON | No | |
| Purpose Emerging Markets Dividend Fund | Redwood Emerging Markets Dividend Fund | ON | No | |
| Purpose Enbridge (ENB) Yield Shares ETF | | ON | No | |
| Purpose Enhanced Dividend Fund | | ON | No | |
| Purpose Enhanced Premium Yield Fund | Purpose MLP & Infrastructure Income Fund | ON | No | |
| Purpose Ether ETF | | ON | No | |
| Purpose Ether Yield ETF | | ON | No | |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Purpose Global Bond Class | Purpose Managed Duration Investment Grade Bond Fund | ON | No | |
| Purpose Global Bond Fund | Purpose Tactical Investment Grade Bond Fund | ON | No | |
| Purpose Global Flexible Credit Fund | Purpose Floating Rate Income Fund | ON | No | |
| Purpose Global Innovators Fund | Redwood Global Opportunities Fund | ON | No | |
| Purpose Global Resource Fund | Redwood Resource Growth & Income Fund | ON | No | |
| Purpose Gold Bullion Fund | | ON | No | |
| Purpose High Interest Savings Fund | Purpose High Interest Savings ETF | ON | No | |
| Purpose International Dividend Fund | | ON | No | |
| Purpose International Enhanced Equity Income Fund | Purpose International Tactical Hedged Equity Fund | ON | No | |
| Purpose Manulife (MFC) Yield Shares ETF | | ON | No | |
| Purpose Monthly Income Fund | | ON | No | |
| Purpose Multi-Asset Income Fund | Redwood High Income Fund | ON | No | |
| Purpose Multi-Strategy Market Neutral Fund | | ON | No | |
| Purpose National Bank (NA) Yield Shares ETF | | ON | No | |
| Purpose Premium Money Market Fund | | ON | No | |
| Purpose Premium Yield Fund | | ON | No | |
| Purpose RBC (RY) Yield Shares ETF | | ON | No | |
| Purpose Real Estate Income Fund | Purpose Duration Hedged Real Estate Fund | ON | No | |
| Purpose Scotiabank (BNS) Yield Shares ETF | | ON | No | |
| Purpose Shopify (SHOP) Yield Shares ETF | | ON | No | |
| Purpose Silver Bullion Fund* | Silver Bullion Trust | ON | No | |
| Purpose Solana ETF* | | ON | No | |
| Purpose Strategic Yield Fund | Redwood Strategic Yield Fund | ON | No | |
| Purpose Structured Equity Growth Fund | | ON | No | |
| Purpose Structured Equity Yield Fund* | PURPOSE STRUCTURED EQUITY YIELD PORTFOLIO | ON | No | |
| Purpose Structured Equity Yield Plus Fund | Purpose Structured Equity Yield Plus Portfolio | ON | No | |
| Purpose Tactical Asset Allocation Fund | Redwood Tactical Asset Allocation Fund | ON | No | |
| Purpose Tactical Hedged Equity Fund | Purpose Market-Hedged Equity Fund | ON | No | |
| Purpose Tactical Thematic Fund | | ON | No | |
| Purpose TD (TD) Yield Shares ETF | | ON | No | |
| Purpose TELUS (T) Yield Shares ETF | | ON | No | |
| Purpose Total Return Bond Fund | | ON | No | |
| Purpose U.S. Preferred Share Fund | Redwood U.S. Preferred Share Fund | ON | No | |
| Purpose US Cash Fund | Purpose US Cash ETF | ON | No | |
| Purpose USD Cash Management Fund | | ON | No | |
| Purpose XRP ETF* | | ON | No | |
| Putnam - IG High Yield Income Pool | | MB | No | |
| Putnam - IG Sustainable Leaders Pool | | MB | No | |
| Putnam - IG U.S. Growth Pool | | MB | No | |
| PyroGenesis Inc. | PyroGenesis Canada Inc. | QC | No | |
| QCAD Digital Trust | | ON | No | |
| Quadravest Preferred Split Share ETF | | ON | No | |
| Quantum BioPharma Ltd. | FSD Pharma Inc. | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Quarterhill Inc. | Wi-Lan Inc. | ON | No | |
| Quebecor Inc. | | QC | No | |
| Queens Road Capital Investment Ltd. | Queen's Road Capital Ltd. | BC | No | |
| Queensway Financial Holdings Limited | | ON | Yes | 1a,1b |
| Qwesterre Energy Corporation | | AB | No | |
| Quorum Expansion Capital Fund Inc.* | | ON | Yes | 1a,1b,1c,3 |
| Radiant Technologies Inc. | | AB | Yes | 1a,1c,1e,3 |
| Rakovina Therapeutics Inc. | | BC | No | |
| Rare Element Resources Ltd. | | BC | No | |
| Ravelin Properties REIT | Slate Office REIT | ON | No | |
| RavenSource Fund | First Asia Income Fund, The | ON | No | |
| Rayonier Advanced Materials Inc. | | QC | No | |
| RB Energy Inc. | Canada Lithium Corp. | BC | No | |
| RB Global, Inc. | Ritchie Bros. Auctioneers Incorporated | BC | No | |
| RBC \$U.S. Core Bond Pool | | ON | No | |
| RBC \$U.S. Core Plus Bond Pool | | ON | No | |
| RBC \$U.S. Global Balanced Portfolio | | ON | No | |
| RBC \$U.S. Global Bond Fund | | ON | No | |
| RBC \$U.S. High Yield Bond Fund | | ON | No | |
| RBC \$U.S. Investment Grade Corporate Bond Fund | | ON | No | |
| RBC \$U.S. Money Market Fund | Royal \$U.S. Money Market Fund | ON | No | |
| RBC \$U.S. Money Market Fund II | RBC Premium \$U.S. Money Market Fund | ON | No | |
| RBC \$U.S. Short-Term Corporate Bond Fund* | | ON | No | |
| RBC \$U.S. Short-Term Government Bond Fund | | ON | No | |
| RBC \$U.S. Strategic Income Bond Fund* | | ON | No | |
| RBC 1-5 Year Laddered Canadian Bond ETF | | ON | No | |
| RBC 1-5 Year Laddered Canadian Corporate Bond ETF | RBC 1-5 Year Laddered Corporate Bond ETF | ON | No | |
| RBC AAA CLO (CAD Hedged) ETF* | | ON | No | |
| RBC Asia Pacific ex-Japan Equity Fund | | ON | No | |
| RBC Asian Equity Fund | Royal Asian Equity Fund | ON | No | |
| RBC Balanced Fund | Royal Balanced Fund | ON | No | |
| RBC Balanced Growth & Income Fund | | ON | No | |
| RBC Bond Fund | Royal Bond Fund | ON | No | |
| RBC Canadian Bank Yield Index ETF | | ON | No | |
| RBC Canadian Bond Index ETF Fund | RBC Canadian Bond Index Fund | ON | No | |
| RBC Canadian Corporate Bond Fund | RBC Private Canadian Corporate Bond Pool | ON | No | |
| RBC Canadian Discount Bond ETF | | ON | No | |
| RBC Canadian Dividend Covered Call ETF | | ON | No | |
| RBC Canadian Dividend Fund | Royal Dividend Fund | ON | No | |
| RBC Canadian Equity ETF | | ON | No | |
| RBC Canadian Equity Fund | Royal Canadian Equity Fund | ON | No | |
| RBC Canadian Equity Income Fund | RBC Canadian Diversified Income Trust Fund | ON | No | |
| RBC Canadian Equity Index ETF Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| RBC Canadian Government Bond Index Fund | RBC Canadian Bond Index Fund | ON | No | |
| RBC Canadian Index Fund | Royal Canadian Index Fund | ON | No | |
| RBC Canadian Mid-Cap Equity Fund | RBC Private Canadian Mid-Cap Equity Pool | ON | No | |
| RBC Canadian Money Market Fund | Royal Canadian Money Market Fund | ON | No | |
| RBC Canadian Preferred Share ETF | | ON | No | |
| RBC Canadian Short-Term Income Fund | Royal Canadian Short-Term Income Fund | ON | No | |
| RBC Canadian Small & Mid-Cap Resources Fund | | ON | No | |
| RBC Canadian T-Bill Fund | Royal Canadian T-Bill Fund | ON | No | |
| RBC Canadian Ultra Short Term Bond ETF | | ON | No | |
| RBC China Equity Fund* | | ON | No | |
| RBC Conservative Bond Pool | | ON | No | |
| RBC Conservative Growth & Income Fund* | | ON | No | |
| RBC Core Bond Pool | | ON | No | |
| RBC Core Plus Bond Pool | | ON | No | |
| RBC Emerging Markets Balanced Fund* | | ON | No | |
| RBC Emerging Markets Bond Fund | | ON | No | |
| RBC Emerging Markets Bond Fund (CAD Hedged) | | ON | No | |
| RBC Emerging Markets Dividend Fund | | ON | No | |
| RBC Emerging Markets Equity Focus Fund* | | ON | No | |
| RBC Emerging Markets Equity Fund | RBC Emerging Markets Fund | ON | No | |
| RBC Emerging Markets Equity Index ETF Fund | | ON | No | |
| RBC Emerging Markets ex-China Dividend Fund | | ON | No | |
| RBC Emerging Markets ex-China Equity Fund | | ON | No | |
| RBC Emerging Markets Foreign Exchange Fund | | ON | No | |
| RBC Emerging Markets Multi-Strategy Equity Fund | | ON | No | |
| RBC Emerging Markets Small-Cap Equity Fund | | ON | No | |
| RBC Enhanced North American Value Fund | | ON | No | |
| RBC Enhanced Quant Canadian Dividend Leaders Fund | | ON | No | |
| RBC Enhanced Quant U.S. Dividend Leaders Fund | | ON | No | |
| RBC European Equity Fund | Royal European Equity Fund | ON | No | |
| RBC European Mid-Cap Equity Fund | | ON | No | |
| RBC Global All-Equity Portfolio | | ON | No | |
| RBC Global Balanced Fund | RBC Balanced Growth Fund | ON | No | |
| RBC Global Balanced Portfolio | | ON | No | |
| RBC Global Bond Fund | Royal Global Bond Fund | ON | No | |
| RBC Global Bond Index ETF Fund | | ON | No | |
| RBC Global Choices All-Equity Portfolio | | ON | No | |
| RBC Global Choices Balanced Portfolio | | ON | No | |
| RBC Global Choices Conservative Portfolio | | ON | No | |
| RBC Global Choices Growth Portfolio | | ON | No | |
| RBC Global Choices Very Conservative Portfolio | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| RBC Global Conservative Portfolio | | ON | No | |
| RBC Global Corporate Bond Fund | | ON | No | |
| RBC Global Dividend Growth Currency Neutral Fund* | | ON | No | |
| RBC Global Dividend Growth Fund | RBC Global Titans Fund | ON | No | |
| RBC Global Energy Fund | RBC Energy Fund | ON | No | |
| RBC Global Equity Focus Currency Neutral Fund* | | ON | No | |
| RBC Global Equity Focus Fund | | ON | No | |
| RBC Global Equity Fund | | ON | No | |
| RBC Global Equity Index ETF Fund | | ON | No | |
| RBC Global Equity Leaders Currency Neutral Fund | | ON | No | |
| RBC Global Equity Leaders Fund* | | ON | No | |
| RBC Global Growth & Income Fund* | | ON | No | |
| RBC Global Growth Portfolio | | ON | No | |
| RBC Global High Yield Bond Fund | RBC Global High Yield Fund | ON | No | |
| RBC Global Large-Cap Equity Fund | | ON | No | |
| RBC Global Precious Metals Fund | RBC Precious Metals Fund | ON | No | |
| RBC Global Resources Fund | RBC Global Resources Sector Fund | ON | No | |
| RBC Global Technology Fund | RBC Global Technology Sector Fund | ON | No | |
| RBC Global Very Conservative Portfolio | | ON | No | |
| RBC High Yield Bond Fund | | ON | No | |
| RBC India Equity Fund | RBC Indigo Indian Equity Fund | ON | No | |
| RBC International Dividend Growth Fund | RBC DS International Focus Fund | ON | No | |
| RBC International Equity Currency Neutral Fund | | ON | No | |
| RBC International Equity Currency Neutral Index ETF Fund | RBC International Index Currency Neutral Fund | ON | No | |
| RBC International Equity Fund | Royal International Equity Fund | ON | No | |
| RBC International Equity Index Fund | RBC Indigo International Equity Index Fund | ON | No | |
| RBC Japanese Equity Fund | | ON | No | |
| RBC Life Science and Technology Fund | Royal Life Science And Technology Fund | ON | No | |
| RBC Managed Payout Solution | RBC Cash Flow Portfolio | ON | No | |
| RBC Managed Payout Solution - Enhanced | RBC Enhanced Cash Flow Portfolio | ON | No | |
| RBC Managed Payout Solution - Enhanced Plus | RBC Tax Managed Return Fund | ON | No | |
| RBC Monthly Income Bond Fund | | ON | No | |
| RBC Monthly Income Fund | Royal Monthly Income Fund | ON | No | |
| RBC North American Growth Fund | RBC Canadian Growth Fund | ON | No | |
| RBC North American Value Fund | RBC North American Value Fund | ON | No | |
| RBC O'Shaughnessy International Equity Fund | | ON | No | |
| RBC O'Shaughnessy U.S. Value Fund | O'Shaughnessy U.S. Value Fund | ON | No | |
| RBC Private Canadian Dividend Pool | RBC Private Dividend Pool | ON | No | |
| RBC Private Canadian Equity Pool | | ON | No | |
| RBC Private EAFE Equity Pool | | ON | No | |
| RBC Private Fundamental Canadian Equity Pool | RBC Private Canadian Growth and Income Equity Pool | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| RBC Private Global Growth Equity Pool | | ON | No | |
| RBC Private Income Pool | | ON | No | |
| RBC Private Overseas Equity Pool | | ON | No | |
| RBC Private Short-Term Income Pool | | ON | No | |
| RBC Private U.S. Growth Equity Pool | | ON | No | |
| RBC Private U.S. Large-Cap Core Equity Currency Neutral Pool | RBC Private U.S. Large Cap Equity Currency Neutral Pool | ON | No | |
| RBC Private U.S. Large-Cap Core Equity Pool | RBC Private U.S. Large Cap Equity Pool | ON | No | |
| RBC Private U.S. Large-Cap Value Equity Currency Neutral Pool | RBC Private U.S. Value Equity Currency Neutral Pool | ON | No | |
| RBC Private U.S. Large-Cap Value Equity Pool | RBC Private U.S. Value Equity Pool | ON | No | |
| RBC Private U.S. Small-Cap Equity Pool | | ON | No | |
| RBC Private World Equity Pool | | ON | No | |
| RBC Quant Canadian Dividend Leaders ETF | | ON | No | |
| RBC Quant EAFE Dividend Leaders (CAD Hedged) ETF | | ON | No | |
| RBC Quant EAFE Dividend Leaders ETF | | ON | No | |
| RBC Quant European Dividend Leaders (CAD Hedged) ETF | | ON | No | |
| RBC Quant European Dividend Leaders ETF | | ON | No | |
| RBC Quant U.S. Dividend Leaders (CAD Hedged) ETF | | ON | No | |
| RBC Quant U.S. Dividend Leaders ETF | | ON | No | |
| RBC QUBE Canadian Equity Fund | | ON | No | |
| RBC QUBE Global Equity Fund | | ON | No | |
| RBC QUBE Low Volatility All Country World Equity Fund | | ON | No | |
| RBC QUBE Low Volatility Canadian Equity Fund | Phillips Hager & North QUBE Low Volatility Canadian Equity Fund | ON | No | |
| RBC QUBE Low Volatility Global Equity Currency Neutral Fund* | | ON | No | |
| RBC QUBE Low Volatility Global Equity Fund | | ON | No | |
| RBC QUBE Low Volatility U.S. Equity Currency Neutral Fund* | | ON | No | |
| RBC QUBE Low Volatility U.S. Equity Fund | | ON | No | |
| RBC QUBE U.S. Equity Fund | | ON | No | |
| RBC QUBE U.S. Mid-Cap Equity Fund | | ON | No | |
| RBC Retirement 2020 Portfolio* | | ON | No | |
| RBC Retirement 2025 Portfolio* | | ON | No | |
| RBC Retirement 2030 Portfolio* | | ON | No | |
| RBC Retirement 2035 Portfolio* | | ON | No | |
| RBC Retirement 2040 Portfolio* | | ON | No | |
| RBC Retirement 2045 Portfolio* | | ON | No | |
| RBC Retirement 2050 Portfolio* | | ON | No | |
| RBC Retirement 2055 Portfolio | | ON | No | |
| RBC Retirement 2060 Portfolio | | ON | No | |
| RBC Retirement 2065 Portfolio | | ON | No | |
| RBC Retirement Income Solution* | | ON | No | |
| RBC Select Aggressive Growth Portfolio | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| RBC Select Balanced Portfolio | Royal Select Balanced Portfolio | ON | No | |
| RBC Select Choices Aggressive Growth Portfolio | Royal Select Choices Aggressive Growth Portfolio | ON | No | |
| RBC Select Choices Balanced Portfolio | Royal Select Choices Balanced Portfolio | ON | No | |
| RBC Select Choices Conservative Portfolio | Royal Select Choices Conservative Portfolio | ON | No | |
| RBC Select Choices Growth Portfolio | Royal Select Choices Growth Portfolio | ON | No | |
| RBC Select Conservative Portfolio | Royal Select Conservative Portfolio | ON | No | |
| RBC Select Growth Portfolio | Royal Select Growth Portfolio | ON | No | |
| RBC Select Very Conservative Portfolio | | ON | No | |
| RBC Short Term U.S. Corporate Bond ETF | | ON | No | |
| RBC Short-Term Global Bond Fund | | ON | No | |
| RBC Strategic Income Bond Fund | RBC Monthly Income High Yield Bond Fund | ON | No | |
| RBC Target 2026 Canadian Corporate Bond Index ETF | RBC Target 2026 Corporate Bond Index ETF | ON | No | |
| RBC Target 2026 Canadian Corporate Bond Index ETF Fund | | ON | No | |
| RBC Target 2026 Canadian Government Bond ETF | RBC Target 2026 Government Bond ETF | ON | No | |
| RBC Target 2026 U.S. Corporate Bond ETF | | ON | No | |
| RBC Target 2027 Canadian Corporate Bond Index ETF | RBC Target 2027 Corporate Bond Index ETF | ON | No | |
| RBC Target 2027 Canadian Corporate Bond Index ETF Fund | | ON | No | |
| RBC Target 2027 Canadian Government Bond ETF | RBC Target 2027 Government Bond ETF | ON | No | |
| RBC Target 2027 U.S. Corporate Bond ETF | | ON | No | |
| RBC Target 2028 Canadian Corporate Bond Index ETF | RBC Target 2028 Corporate Bond Index ETF | ON | No | |
| RBC Target 2028 Canadian Corporate Bond Index ETF Fund | | ON | No | |
| RBC Target 2028 Canadian Government Bond ETF | RBC Target 2028 Government Bond ETF | ON | No | |
| RBC Target 2028 U.S. Corporate Bond ETF | | ON | No | |
| RBC Target 2029 Canadian Corporate Bond Index ETF | RBC Target 2029 Corporate Bond Index ETF | ON | No | |
| RBC Target 2029 Canadian Corporate Bond Index ETF Fund | | ON | No | |
| RBC Target 2029 Canadian Government Bond ETF | RBC Target 2029 Government Bond ETF | ON | No | |
| RBC Target 2029 U.S. Corporate Bond ETF | | ON | No | |
| RBC Target 2030 Canadian Corporate Bond Index ETF | | ON | No | |
| RBC Target 2030 Canadian Corporate Bond Index ETF Fund | | ON | No | |
| RBC Target 2030 Canadian Government Bond ETF | | ON | No | |
| RBC Target 2030 Education Fund | | ON | No | |
| RBC Target 2030 U.S. Corporate Bond ETF | | ON | No | |
| RBC Target 2031 Canadian Corporate Bond ETF | | ON | No | |
| RBC Target 2031 Canadian Government Bond ETF | | ON | No | |
| RBC Target 2031 U.S. Corporate Bond ETF | | ON | No | |
| RBC Target 2032 Canadian Corporate Bond ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| RBC Target 2032 Canadian Government Bond ETF | | ON | No | |
| RBC Target 2032 U.S. Corporate Bond ETF | | ON | No | |
| RBC Target 2035 Education Fund | | ON | No | |
| RBC Target 2040 Education Fund | | ON | No | |
| RBC U.S. Discount Bond (CAD Hedged) ETF | | ON | No | |
| RBC U.S. Discount Bond ETF | | ON | No | |
| RBC U.S. Dividend Covered Call ETF | | ON | No | |
| RBC U.S. Dividend Currency Neutral Fund* | | ON | No | |
| RBC U.S. Dividend Fund | RBC North American Dividend Fund | ON | No | |
| RBC U.S. Equity Currency Neutral Fund | | ON | No | |
| RBC U.S. Equity Currency Neutral Index ETF Fund | RBC U.S. Index Currency Neutral Fund | ON | No | |
| RBC U.S. Equity Focus Fund | | ON | No | |
| RBC U.S. Equity Fund | Royal U.S. Equity Fund | ON | No | |
| RBC U.S. Equity Index ETF Fund | | ON | No | |
| RBC U.S. Equity Value Fund | | ON | No | |
| RBC U.S. Index Fund | Royal U.S. Index Fund | ON | No | |
| RBC U.S. Large-Cap Equity ETF | | ON | No | |
| RBC U.S. Mid-Cap Core Equity Fund | | ON | No | |
| RBC U.S. Mid-Cap Growth Equity Currency Neutral Fund | RBC U.S. Mid-Cap Equity Currency Neutral Fund | ON | No | |
| RBC U.S. Mid-Cap Growth Equity Fund | RBC U.S. Mid-Cap Equity Fund | ON | No | |
| RBC U.S. Mid-Cap Value Equity Fund | | ON | No | |
| RBC U.S. Monthly Income Fund | RBC \$U.S. Income Fund | ON | No | |
| RBC Vision Balanced Fund | RBC Jantzi Balanced Fund | ON | No | |
| RBC Vision Bond Fund | PH&N Community Values Bond Fund | ON | No | |
| RBC Vision Canadian Equity Fund | RBC Jantzi Canadian Equity Fund | ON | No | |
| RBC Vision Fossil Fuel Free Balanced Fund | | ON | No | |
| RBC Vision Fossil Fuel Free Bond Fund | | ON | No | |
| RBC Vision Fossil Fuel Free Emerging Markets Equity Fund | | ON | No | |
| RBC Vision Fossil Fuel Free Global Equity Fund | | ON | No | |
| RBC Vision Fossil Fuel Free Short-Term Bond Fund | | ON | No | |
| RBC Vision Future Solutions International Equity Fund | | ON | No | |
| RBC Vision Global Equity Fund | RBC Jantzi Global Equity Fund | ON | No | |
| RBC Vision QUBE Fossil Fuel Free Low Volatility Canadian Equity Fund | | ON | No | |
| Rci Corporation | | ON | Yes | 1a,1b |
| RDX Technologies Corporation | Ridgeline Energy Services Inc. | AB | Yes | 1a,1c,1e,3 |
| RE Royalties Ltd. | RE Royalties Ltd. | BC | No | |
| Real Del Monte Mining Corporation | Consolidated Nevada Goldfields Corporation | BC | No | |
| Real Estate Investment Corporate Class | | ON | No | |
| Real Estate Investment Pool | Assante Real Estate Investment Pool | ON | No | |
| Real Estate Split Corp. | Real Estate & E-Commerce Split Corp. | ON | No | |
| Real Matters Inc. | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Real Return Bond Fund | Primus Real Return Bond Fund | ON | No | |
| Realbotix Corp. | Tokens.com Corp. | ON | No | |
| Realia Properties Inc. | TitanStar Properties Inc. | BC | Yes | 1a,1c,1e,3 |
| Reconnaissance Energy Africa Ltd. | | AB | No | |
| Red Eagle Mining Corporation | | BC | No | |
| Red Light Holland Corp. | Added Capital Inc. | ON | No | |
| Red Pine Exploration Inc. | | ON | No | |
| Red White Bloom Brands Inc. | Tidal Royalty Corp. | BC | No | |
| Reef Resources Ltd. | | AB | Yes | 1a,1c,1e,3 |
| ReeXploration Inc. | E-Tech Resources Inc. | NS | No | |
| Reko International Group Inc. | | ON | No | |
| Renaissance Canadian All-Cap Equity Fund | | ON | No | |
| Renaissance Canadian Balanced Fund | Renaissance Canadian Balanced Value Fund | ON | No | |
| Renaissance Canadian Bond Fund | Talvest Bond Fund | ON | No | |
| Renaissance Canadian Core Value Fund | Merrill LYNCH Canadian Core Value 1999 Fund | ON | No | |
| Renaissance Canadian Dividend Fund | Renaissance Canadian Dividend Income Fund | ON | No | |
| Renaissance Canadian Growth Fund | Merrill LYNCH Canadian Growth Fund | ON | No | |
| Renaissance Canadian Monthly Income Fund | Renaissance Canadian Income Trust Fund | ON | No | |
| Renaissance Canadian Small-Cap Fund | Merrill LYNCH Canadian Small Cap Fund | ON | No | |
| Renaissance China Plus Fund | Talvest China Plus Fund | ON | No | |
| Renaissance Corporate Bond Fund | Renaissance Corporate Bond Capital Yield Fund | ON | No | |
| Renaissance Diversified Income Fund | Renaissance Diversified Income Fund | ON | No | |
| Renaissance Emerging Markets Fund | Renaissance Developing Capital Markets Fund | ON | No | |
| Renaissance Flexible Yield Fund | | ON | No | |
| Renaissance Floating Rate Income Fund | | ON | No | |
| Renaissance Global Bond Fund | Talvest Global Bond Fund | ON | No | |
| Renaissance Global Focus Fund | Renaissance Global Sectors Fund | ON | No | |
| Renaissance Global Growth Currency Neutral Fund | | ON | No | |
| Renaissance Global Growth Fund | Merrill LYNCH Global Growth Fund | ON | No | |
| Renaissance Global Health Care Fund | Talvest Global Health Care Fund | ON | No | |
| Renaissance Global Infrastructure Currency Neutral Fund | | ON | No | |
| Renaissance Global Infrastructure Fund | | ON | No | |
| Renaissance Global Innovation Fund | Renaissance Global Science & Technology Fund | ON | No | |
| Renaissance Global Markets Fund | Talvest Global Markets Fund | ON | No | |
| Renaissance Global Real Estate Currency Neutral Fund | | ON | No | |
| Renaissance Global Real Estate Fund | | ON | No | |
| Renaissance Global Small-Cap Fund | Talvest Global Small Cap Fund | ON | No | |
| Renaissance High Income Fund | Renaissance Millenium High Income Fund | ON | No | |
| Renaissance High-Yield Bond Fund | Renaissance Corporate Bond Capital Yield Fund | ON | No | |
| Renaissance International Dividend Fund | Renaissance International Index Fund | ON | No | |
| Renaissance International Equity Currency Neutral Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Renaissance International Equity Fund | Renaissance International Equity Fund | ON | No | |
| Renaissance Money Market Fund | Talvest Money Market Fund | ON | No | |
| Renaissance Optimal Conservative Income Portfolio | | ON | No | |
| Renaissance Optimal Global Equity Portfolio | Renaissance Global Multi Management Fund | ON | No | |
| Renaissance Optimal Growth & Income Portfolio | | ON | No | |
| Renaissance Optimal Income Portfolio | | ON | No | |
| Renaissance Short-Term Income Fund | Renaissance Canadian Income Fund | ON | No | |
| Renaissance U.S. Dollar Corporate Bond Fund | | ON | No | |
| Renaissance U.S. Dollar Diversified Income Fund | | ON | No | |
| Renaissance U.S. Equity Fund | Renaissance U.S. Index Fund | ON | No | |
| Renaissance U.S. Equity Growth Currency Neutral Fund | | ON | No | |
| Renaissance U.S. Equity Growth Fund | Renaissance U.S. Fundamental Growth FUND | ON | No | |
| Renaissance U.S. Equity Income Fund | | ON | No | |
| Renaissance U.S. Equity Value Fund | Renaissance U.S. Basic Value Fund | ON | No | |
| Renaissance U.S. Money Market Fund | Merrill LYNCH U.S. Money Market Fund | ON | No | |
| Replenish Nutrients Holding Corp. | EarthRenew Inc. | AB | No | |
| Restaurant Brands International Inc. | | ON | No | |
| Restaurant Brands International Limited Partnership | | ON | No | |
| Resverlogix Corp. | | AB | No | |
| Retrocom Growth Fund Inc.* | | ON | Yes | 1a,1b,1c,3 |
| Return Stacked® Global Balanced & Macro ETF | | ON | No | |
| REV Exploration Corp. | Gitennes Exploration Inc. | BC | No | |
| Revitalist Lifestyle and Wellness Ltd. | | BC | Yes | 1a,1c,1e,3 |
| Revival Gold Inc. | | ON | No | |
| Revive Therapeutics Ltd. | Mercury Capital II Limited | ON | No | |
| RGP Alternative Income Portfolio | | QC | No | |
| RGP Emerging Markets Fund | | QC | No | |
| RGP Global Equity Concentrated Fund | | QC | No | |
| RGP Global Infrastructure Fund | | QC | No | |
| RGP Impact Fixed Income Portfolio | | QC | No | |
| RGP Quantitative Global Equity Fund | RGP Global Sector Fund | QC | No | |
| Richelieu Hardware LTD. | | QC | No | |
| Richtree Inc. | | ON | Yes | 1a,1b,3 |
| Ridgewood Canadian Bond Fund | Mulvihill Canadian Bond Fund | ON | No | |
| Ridgewood Canadian Investment Grade Bond Fund* | | ON | No | |
| Ridgewood Tactical Yield Fund | | ON | No | |
| Rio2 Limited | | BC | No | |
| Riocan Real Estate Investment Trust | Counsel Real Estate Investment Trust | ON | No | |
| Rivalry Corp. | | ON | No | |
| Robex Resources Inc. | | QC | No | |
| Rock Tech Lithium Inc. | | ON | No | |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Rockefeller - IG Climate Solutions Pool | | MB | No | |
| Rocket Doctor AI Inc. | Treatment.com AI Inc. | BC | No | |
| Rocklinc Principled Equity ETF* | | ON | No | |
| Rockpoint Gas Storage Inc. | | AB | No | |
| Rocky Mountain Brands, Inc. | Healing Waters Inc. | ON | No | |
| Rocky Mountain Liquor Inc. | Humber Capital Corporation | AB | No | |
| Rodinia Oil Corp. | | AB | No | |
| Rogers Communications Inc. | | ON | No | |
| Rogers Sugar Inc. | | BC | No | |
| Roman Corporation Limited | | ON | No | |
| Roots Corporation | | ON | No | |
| Roxmore Resources Inc. | Axcap Ventures Inc. | BC | No | |
| Royal Bank of Canada | | QC | No | |
| Royal Gold, Inc. | | ON | No | |
| Royal Helium Ltd. | | SK | No | |
| Royal Road Minerals Limited | | ON | No | |
| Roycefield Resources Ltd | Guinness Gold Resources Limited | NB | Yes | 1a |
| RP Alternative Credit Opportunities Fund | | ON | No | |
| RP Alternative Global Bond Fund | | ON | No | |
| RP Strategic Income Plus Fund | | ON | No | |
| RP Target 2028 Discount Bond Fund | | ON | No | |
| Rua Gold Inc. | | BC | No | |
| Rubicon Organics Inc. | | BC | No | |
| Russel Metals Inc. | Federal Industries Limited | ON | No | |
| Russell Investments Balanced | LifePoints Balanced Portfolio | ON | No | |
| Russell Investments Balanced Class | LifePoints Balanced Class Portfolio | ON | No | |
| Russell Investments Balanced Growth | LifePoints Balanced Growth Portfolio | ON | No | |
| Russell Investments Balanced Growth Class | LifePoints Balanced Growth Class | ON | No | |
| Russell Investments Canadian Cash Fund | Russell Canadian Cash Fund | ON | No | |
| Russell Investments Canadian Dividend Pool | Russel Canadian Dividend Pool | ON | No | |
| Russell Investments Canadian Equity Class | Russell Canadian Equity Class | ON | No | |
| Russell Investments Canadian Equity Pool | Russell Canadian Equity Pool | ON | No | |
| Russell Investments Canadian Fixed Income Fund | Russell Canadian Fixed Income Fund | ON | No | |
| Russell Investments Conservative Income | Russell LifePoints Conservative Income Portfolio | ON | No | |
| Russell Investments Conservative Income Class | Russell LifePoints Conservative Income Class Portfolio | ON | No | |
| Russell Investments Core Plus Fixed Income ETF | | ON | No | |
| Russell Investments Diversified Monthly Income | Russell Diversified Monthly Income Portfolio | ON | No | |
| Russell Investments Diversified Monthly Income Class | Russell Diversified Monthly Income Class Portfolio | ON | No | |
| Russell Investments Emerging Markets Equity Pool | Russell Emerging Markets Equity Pool | ON | No | |
| Russell Investments ESG Global Equity Pool | Russell Investments ESG Global Equity Fund | ON | No | |
| Russell Investments Fallen Angels ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Russell Investments Fixed Income Class | Russell Fixed Income Class | ON | No | |
| Russell Investments Fixed Income Plus Pool | Multi-Asset Fixed Income | ON | No | |
| Russell Investments Fixed Income Pool | Russell Fixed Income Pool | ON | No | |
| Russell Investments Global Balanced | | ON | No | |
| Russell Investments Global Equity Balanced | | ON | No | |
| Russell Investments Global Equity Class | Russell Global Equity Class | ON | No | |
| Russell Investments Global Equity Pool | Russell Global Equity Pool | ON | No | |
| Russell Investments Global Income Balanced | | ON | No | |
| Russell Investments Global Infrastructure Class | Russell Global Infrastructure Class | ON | No | |
| Russell Investments Global Infrastructure Pool | Russell Global Infrastructure Pool | ON | No | |
| Russell Investments Global Real Estate Pool | Russell Global Real Estate Pool | ON | No | |
| Russell Investments Global Smaller Companies Pool | Russell Global Smaller Companies Pool | ON | No | |
| Russell Investments Income Essentials | Russell Income Essentials Portfolio | ON | No | |
| Russell Investments Income Essentials Class | Russell Income Essentials Class Portfolio | ON | No | |
| Russell Investments International Equity Class | Russell Investments Overseas Equity Class | ON | No | |
| Russell Investments International Equity Pool | Russell Investments Overseas Equity Pool | ON | No | |
| Russell Investments Long Duration Bond Fund | Russell Investments Inflation Linked Bond Fund | ON | No | |
| Russell Investments Long-Term Growth | LifePoints Long-Term Growth | ON | No | |
| Russell Investments Long-Term Growth Class | Lifepoints Long-Term Growth Class | ON | No | |
| Russell Investments Money Market Pool | Russell Money Market Pool | ON | No | |
| Russell Investments Multi-Factor Canadian Equity Pool | | ON | No | |
| Russell Investments Multi-Factor Global Balanced | | ON | No | |
| Russell Investments Multi-Factor International Equity Pool | | ON | No | |
| Russell Investments Multi-Factor US Equity Pool | | ON | No | |
| Russell Investments Real Assets | Russell Real Assets Portfolio | ON | No | |
| Russell Investments Short Term Income Class | Russell Short Term Income Class | ON | No | |
| Russell Investments Short Term Income Pool | Russell Short Term Income Pool | ON | No | |
| Russell Investments Strategic Income Pool | Russell Investments Global Credit Pool | ON | No | |
| Russell Investments Tax-Managed Global Equity Class | Russell Investments Focused Global Equity Class | ON | No | |
| Russell Investments Tax-Managed Global Equity Pool | Russell Investments Focused Global Equity Pool | ON | No | |
| Russell Investments Tax-Managed US Equity Class | Russell Investments Focused US Equity Class | ON | No | |
| Russell Investments Tax-Managed US Equity Pool | Russell Investments Focused US Equity Pool | ON | No | |
| Russell Investments US Equity Class | Russell US Equity Class | ON | No | |
| Russell Investments US Equity Pool | Russell US Equity Pool | ON | No | |
| Russell Investments Yield Opportunities Pool* | | ON | No | |
| RYU Apparel Inc. | | BC | Yes | 1a,1c,1e,3 |
| Saco Smartvision Inc. | Teknor Industries Computers Inc. | QC | No | |
| Sagen MI Canada Inc. | Genworth MI Canada Inc. | ON | No | |
| Sagicor Financial Company Ltd. | Alignvest Acquisition II Corporation | ON | No | |
| Salazar Resources Limited | Consolidated Kookaburra Resources LTD. | BC | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---------------------------------------|--------------|------------|-------------------|
| Saltire Capital Ltd. | FG Acquisition Corp. | ON | No | |
| Samco Gold Limited | | ON | Yes | 1b,1c,1e |
| San Gold Corporation | | MB | Yes | 1b |
| Sangoma Technologies Corporation | | ON | No | |
| Santacruz Silver Mining Ltd. | | BC | No | |
| Saputo Inc. | Saputo Group Inc | QC | No | |
| Sarama Resources Ltd. | | BC | No | |
| Saturn Oil & Gas Inc. | | AB | No | |
| Savaria Corporation | | QC | No | |
| SavvyLong (2X) AAPL ETF | | ON | No | |
| SavvyLong (2X) AMZN ETF | | ON | No | |
| SavvyLong (2X) Barrick ETF | | ON | No | |
| SavvyLong (2X) Cameco ETF | | ON | No | |
| SavvyLong (2X) Cdn Natural Resources ETF | | ON | No | |
| SavvyLong (2X) Constellation Software ETF | | ON | No | |
| SavvyLong (2X) GOOGL ETF | | ON | No | |
| SavvyLong (2X) MSFT ETF | | ON | No | |
| SavvyLong (2X) NVDA ETF | | ON | No | |
| SavvyLong (2X) Shopify ETF | | ON | No | |
| SavvyLong (2X) TSLA ETF | | ON | No | |
| SavvyLong 2X CIBC (CM) Equity-Linked ETF | | ON | No | |
| SavvyLong 2X NBC (NA) Equity-Linked ETF | | ON | No | |
| SavvyLong 2X RBC (RY) Equity-Linked ETF | | ON | No | |
| SavvyLong 2X TDB (TD) Equity-Linked ETF | | ON | No | |
| SavvyLong Geared Crude Oil ETF | | ON | No | |
| SavvyLong Geared Natural Gas ETF | | ON | No | |
| SavvyShort (-2X) COIN ETF | | ON | No | |
| SavvyShort (-2X) MSTR ETF | | ON | No | |
| SavvyShort (-2X) NVDA ETF | | ON | No | |
| SavvyShort (-2X) Shopify ETF | | ON | No | |
| SavvyShort (-2X) TSLA ETF | | ON | No | |
| SavvyShort Geared Crude Oil ETF | | ON | No | |
| SavvyShort Geared Natural Gas ETF | | ON | No | |
| Scaffold Connection Corporation | Pioneer Metals Corporation | AB | Yes | 1a,1b,3 |
| Scotia Canadian Balanced Fund | National Trust Balanced Fund | ON | No | |
| Scotia Canadian Bond Index Fund | | ON | No | |
| Scotia Canadian Bond Index Tracker ETF | | ON | No | |
| Scotia Canadian Dividend Class | | ON | No | |
| Scotia Canadian Dividend Fund | National Trust Dividend Fund | ON | No | |
| Scotia Canadian Equity Blend Class | | ON | No | |
| Scotia Canadian Equity Fund | Scotia Canadian Blue Chip Fund | ON | No | |
| Scotia Canadian Equity Index Fund | Scotia Canadian Index Fund | ON | No | |
| Scotia Canadian Growth Fund | Scotia Excelsior Canadian Growth Fund | ON | No | |
| Scotia Canadian Income Fund | National Trust Canadian Bond Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Scotia Canadian Large Cap Equity Index Tracker ETF | | ON | No | |
| Scotia Canadian Small Cap Fund | National Trust Special Equity Fund | ON | No | |
| Scotia Diversified Balanced Fund | Scotia Balanced Opportunities Fund | ON | No | |
| Scotia Diversified Monthly Income Fund | | ON | No | |
| Scotia Dividend Balanced Fund | Scotia Dividend Income Fund | ON | No | |
| Scotia Emerging Markets Equity Index Tracker ETF | | ON | No | |
| Scotia Essentials Balanced Portfolio | Scotia Aria Moderate Build Portfolio | ON | No | |
| Scotia Essentials Growth Portfolio | Scotia Aria Progressive Build Portfolio | ON | No | |
| Scotia Essentials Income Portfolio | Scotia Aria Conservative Build Portfolio | ON | No | |
| Scotia Essentials Maximum Growth Portfolio | Scotia Aria Equity Build Portfolio | ON | No | |
| Scotia Global Balanced Fund | | ON | No | |
| Scotia Global Bond Fund | Scotia Canglobal Income Fund | ON | No | |
| Scotia Global Dividend Class | | ON | No | |
| Scotia Global Dividend Fund | | ON | No | |
| Scotia Global Equity Fund | Scotia Global Opportunities Fund | ON | No | |
| Scotia Global Growth Fund | Scotia International Growth Fund | ON | No | |
| Scotia Global Small Cap Fund | Capital Global Small Companies Fund | ON | No | |
| Scotia Income Advantage Fund | | ON | No | |
| Scotia India Equity Fund | | ON | No | |
| Scotia INNOVA Balanced Growth Portfolio | | ON | No | |
| Scotia INNOVA Balanced Growth Portfolio Class | | ON | No | |
| Scotia INNOVA Balanced Income Portfolio | | ON | No | |
| Scotia INNOVA Balanced Income Portfolio Class | | ON | No | |
| Scotia INNOVA Growth Portfolio | | ON | No | |
| Scotia INNOVA Growth Portfolio Class | | ON | No | |
| Scotia INNOVA Income Portfolio | | ON | No | |
| Scotia INNOVA Income Portfolio Class | | ON | No | |
| Scotia INNOVA Maximum Growth Portfolio | | ON | No | |
| Scotia INNOVA Maximum Growth Portfolio Class | | ON | No | |
| Scotia International Equity Fund | Scotia International Value Fund | ON | No | |
| Scotia International Equity Index Fund | Scotia International Index Fund | ON | No | |
| Scotia International Equity Index Tracker ETF | | ON | No | |
| Scotia Low Carbon Canadian Fixed Income Fund | | ON | No | |
| Scotia Low Carbon Global Balanced Fund | | ON | No | |
| Scotia Low Carbon Global Equity Fund | | ON | No | |
| Scotia Money Market Fund | Scotia Excelsior Money Market Fund | ON | No | |
| Scotia Mortgage Income Fund | Scotia Excelsior Mortgage Fund | ON | No | |
| Scotia Nasdaq Index Fund | | ON | No | |
| Scotia Partners Balanced Growth Portfolio | Scotia Partners Balanced Income & Growth Portfolio | ON | No | |
| Scotia Partners Balanced Growth Portfolio Class | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Scotia Partners Balanced Income Portfolio | Scotia Partners Income & Modest Growth Portfolio | ON | No | |
| Scotia Partners Balanced Income Portfolio Class | | ON | No | |
| Scotia Partners Growth Portfolio | Scotia Partners Moderate Growth Portfolio | ON | No | |
| Scotia Partners Growth Portfolio Class | | ON | No | |
| Scotia Partners Income Portfolio | Scotia Partners Diversified Income Portfolio | ON | No | |
| Scotia Partners Maximum Growth Portfolio | Scotia Partners Aggressive Growth Portfolio | ON | No | |
| Scotia Partners Maximum Growth Portfolio Class | | ON | No | |
| Scotia Resource Fund | Scotia Excelsior Precious Metals Fund | ON | No | |
| Scotia Responsible Investing Canadian Bond Index ETF | | ON | No | |
| Scotia Responsible Investing Canadian Equity Index ETF | | ON | No | |
| Scotia Responsible Investing International Equity Index ETF | | ON | No | |
| Scotia Responsible Investing U.S. Equity Index ETF | | ON | No | |
| Scotia Schools Trust (Cease Traded) | | NS | Yes | 1b |
| Scotia Selected Balanced Growth Portfolio | Scotia Selected Balanced Income & Growth Portfolio | ON | No | |
| Scotia Selected Balanced Income Portfolio | Scotia Selected Income & Modest Growth Portfolio | ON | No | |
| Scotia Selected Growth Portfolio | Scotia Selected Moderate Growth Portfolio | ON | No | |
| Scotia Selected Income Portfolio | | ON | No | |
| Scotia Selected Maximum Growth Portfolio | Scotia Selected Aggressive Growth Portfolio | ON | No | |
| Scotia U.S. \$ Balanced Fund | | ON | No | |
| Scotia U.S. \$ Bond Fund | Scotia Canam U.S. \$ Income Fund | ON | No | |
| Scotia U.S. \$ Money Market Fund | Scotia Canam U.S. \$ Money Market Fund | ON | No | |
| Scotia U.S. Dividend Fund | | ON | No | |
| Scotia U.S. Equity Blend Class | | ON | No | |
| Scotia U.S. Equity Fund | Scotia U.S. Blue Chip Fund | ON | No | |
| Scotia U.S. Equity Index Fund | Scotia U.S. Index Fund | ON | No | |
| Scotia U.S. Equity Index Tracker ETF | | ON | No | |
| Scotia U.S. Opportunities Fund | Scotia U.S. Value Fund | ON | No | |
| Scotia Wealth American Core-Plus Bond Pool | Scotia Private American Core-Plus Bond Pool | ON | No | |
| Scotia Wealth Canadian Bond Pool | | ON | No | |
| Scotia Wealth Canadian Core Bond Pool | Scotia Private Canadian Core Bond Pool | ON | No | |
| Scotia Wealth Canadian Corporate Bond Pool | Scotia Private Canadian Corporate Bond Pool | ON | No | |
| Scotia Wealth Canadian Equity Pool | Scotia Private Canadian Equity Pool | ON | No | |
| Scotia Wealth Canadian Growth Pool | Scotia Private Canadian Growth Pool | ON | No | |
| Scotia Wealth Canadian Small Cap Pool | Scotia Private Canadian Small Cap Pool | ON | No | |
| Scotia Wealth Canadian Value Pool | Scotia Private Canadian Value Pool | ON | No | |
| Scotia Wealth Credit Absolute Return Pool | | ON | No | |
| Scotia Wealth Emerging Markets Pool | Scotia Private Emerging Markets Pool | ON | No | |
| Scotia Wealth Floating Rate Income Pool | Scotia Private Floating Rate Income Pool | ON | No | |
| Scotia Wealth Focus International Value Pool | | ON | No | |
| Scotia Wealth Focus U.S. Growth Pool | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|--|--------------|------------|-------------------|
| Scotia Wealth Focus U.S. Value Pool | | ON | No | |
| Scotia Wealth Fundamental International Equity Pool | | ON | No | |
| Scotia Wealth Global Equity Pool | Scotia Private Global Equity Pool | ON | No | |
| Scotia Wealth Global High Yield Pool | Scotia Private Global High Yield Pool | ON | No | |
| Scotia Wealth Global Infrastructure Pool | Scotia Private Global Infrastructure Pool | ON | No | |
| Scotia Wealth Global Real Estate Pool | Scotia Private Global Real Estate Pool | ON | No | |
| Scotia Wealth High Yield Bond Pool | Scotia Private High Yield Bond Pool | ON | No | |
| Scotia Wealth High Yield Income Pool | Scotia Private High Yield Income Pool | ON | No | |
| Scotia Wealth Income Pool | Scotia Private Income Pool | ON | No | |
| Scotia Wealth International Core Equity Pool | Scotia Private International Core Equity Pool | ON | No | |
| Scotia Wealth International Equity Pool | Scotia Private International Equity Pool | ON | No | |
| Scotia Wealth International Small to Mid Cap Value Pool | Scotia Private International Small to Mid Cap Value Pool | ON | No | |
| Scotia Wealth North American Dividend Pool | Scotia Private North American Dividend Pool | ON | No | |
| Scotia Wealth Premium Payout Pool | Scotia Private Premium Payout Pool | ON | No | |
| Scotia Wealth Quantitative Canadian Small Cap Equity Pool | | ON | No | |
| Scotia Wealth Quantitative Global Small Cap Equity Pool | | ON | No | |
| Scotia Wealth Real Estate Income Pool | Scotia Private Real Estate Income Pool | ON | No | |
| Scotia Wealth Short Term Bond Pool | Scotia Private Short Term Bond Pool | ON | No | |
| Scotia Wealth Short-Mid Government Bond Pool | Scotia Private Short-Mid Government Bond Pool | ON | No | |
| Scotia Wealth Strategic Balanced Pool | Scotia Private Strategic Balanced Pool | ON | No | |
| Scotia Wealth Tactical Asset Allocation PLUS Pool | 1832 AM Tactical Asset Allocation PLUS Pool | ON | No | |
| Scotia Wealth Total Return Bond Pool | Scotia Private Total Return Bond Pool | ON | No | |
| Scotia Wealth U.S. Dividend Pool | Scotia Private U.S. Dividend Pool | ON | No | |
| Scotia Wealth U.S. Large Cap Growth Pool | Scotia Private U.S. Large Cap Growth Pool | ON | No | |
| Scotia Wealth U.S. Mid Cap Value Pool | Scotia Private U.S. Mid Cap Value Pool | ON | No | |
| Scotia Wealth U.S. Value Pool | Scotia Private U.S. Value Pool | ON | No | |
| Scotia Wealth World Infrastructure Pool | | ON | No | |
| Scotiabank Capital Trust | | ON | No | |
| SDX Energy plc | | AB | No | |
| Seabridge Gold Inc. | | ON | No | |
| Sears Canada Inc. | | ON | No | |
| Sectorwise Balanced Portfolio | | QC | No | |
| Sectorwise Conservative Portfolio | | QC | No | |
| SectorWise Global Equity Portfolio Class | RGP Global Sector Class | QC | No | |
| Sectorwise Growth Portfolio | | QC | No | |
| Secure Waste Infrastructure Corp. | Secure Energy Services Inc. | AB | No | |
| Sedibelo Resources Limited | Sedibelo Platinum Mines Limited | ON | Yes | 1a,1b,1c,1e,3 |
| Semi-Tech Corporation | International Semi-Tech Microelectronics Inc. | ON | Yes | 1a,1b |
| Sentry Select Primary Metals Corp.* | | ON | No | |
| Sernova Biotherapeutics Inc. | Sernova Corp. | ON | No | |
| Serval Integrated Energy Services | Serval Growth Fund | AB | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| ShaMaran Petroleum Corp. | Bayou Bend Petroleum Ltd. | BC | No | |
| Sheen Resources Ltd. | Pencari Resource Corporation | BC | Yes | 3 |
| Sherritt International Corporation | | ON | No | |
| Shopify Inc. | | ON | No | |
| Shoreline Energy Corp. | | AB | Yes | 1a,1b,1c,3 |
| Short Term Bond Fund | | ON | No | |
| Short Term Income Corporate Class | | ON | No | |
| Short Term Income Pool | Optima Strategy Fund - Short Term Investment Section | ON | No | |
| Short Term Investment Fund | | ON | No | |
| Sienna Senior Living Inc. | Leisureworld Senior Care Corporation | ON | No | |
| Sigma Lithium Corporation | | ON | No | |
| Silver Bear Resources Plc | | ON | Yes | 1a,1c,1d,1e,3 |
| Silver Bow Mining Corp. | | BC | No | |
| Silver Elephant Mining Corp. | Prophecy Development Corp. | BC | No | |
| Silver Mountain Resources Inc. | | ON | No | |
| Silver Spruce Resources Inc. | First Labrador Acquisitions Inc. | NS | No | |
| Silver Tiger Metals Inc. | Oceanus Resources Corporation | NS | No | |
| Silver47 Exploration Corp. | | BC | No | |
| Silvercorp Metals Inc. | | BC | No | |
| Sintana Energy Inc. | Mobius Resources Inc. | ON | No | |
| Sionna Canadian Equity Fund | Brandes Sionna Canadian Equity Fund | ON | No | |
| Sionna Opportunities Fund | | ON | No | |
| Sionna Strategic Income Fund | Sionna Canadian Balanced Fund | ON | No | |
| Sir Royalty Income Fund | | ON | No | |
| Sirius XM Holdings Inc. | | ON | No | |
| Sixth Wave Innovations Inc. | Atom Energy Inc. | NS | Yes | 1a,1c,1e,3 |
| Sixty Six Capital Inc. | Hydro66 Holdings Corp. | BC | No | |
| Skeena Resources Limited | | BC | No | |
| SKG Interactive Inc. | Sikaman Gold Resources Limited | ON | Yes | 1a,1b,3 |
| Skycap Investment Holdings Inc. | Li-Metal Corp. | ON | No | |
| Skye Bioscience, Inc. | | BC | No | |
| SLANG Worldwide Inc. | Fire Cannabis Inc. | ON | Yes | 1b,1c,1e |
| Slate Grocery REIT | Slate Retail REIT | ON | No | |
| Slater Steel Inc. | Slater Industries Inc. | ON | No | |
| SLGI MFS Blended Research Low Vol Global Fund | Sun Life MFS Low Volatility Global Equity Fund | ON | No | |
| SLGI MFS Blended Research Low Vol International Fund | Sun Life MFS Low Volatility International Equity Fund | ON | No | |
| SLMsoft Inc. | Slmsoft.Com Inc. | ON | No | |
| SmartCentres Real Estate Investment Trust | Smart Real Estate Investment Trust | ON | No | |
| SNDL Inc. | Sundial Growers Inc. | AB | No | |
| Snowline Gold Corp. | | BC | No | |
| Sobeys Inc. | Sobeys Canada Inc. | NS | No | |
| Sol Strategies Inc. | | ON | No | |
| Solana Company | Helius Medical Technologies, Inc. | BC | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|------------------------------------|--------------|------------|-------------------|
| Solaris Resources Inc. | Solaris Copper Inc. | BC | No | |
| SOLITARIO RESOURCES CORP. | Solitario Zinc Corp. | ON | No | |
| Solution Financial Inc. | Shelby Ventures Inc. | BC | No | |
| Sona Nanotech Inc. | Stockport Exploration Inc. | NS | No | |
| Sonde Resources Corp. | Canadian Superior Energy Inc. | AB | No | |
| SOPerior Fertilizer Corp. | Potash Ridge Corporation | ON | Yes | 1a,1c,1e,3 |
| Source Energy Services Ltd. | | AB | No | |
| Source Rock Royalties Ltd. | | AB | No | |
| South Bow Corporation | | AB | No | |
| Southern Energy Corp. | | AB | No | |
| SouthGobi Resources Ltd. | SouthGobi Energy Resources Ltd. | BC | No | |
| Spackman Equities Group Inc. | Centiva Capital Inc. | ON | No | |
| Spartan Delta Corp. | Return Energy Inc. | AB | No | |
| Specialty Foods Group Income Fund | | ON | Yes | 1a,1b,1c,1d,1e,3 |
| Spectra7 Microsystems Inc. | Chrysalis Capital VIII Corporation | ON | No | |
| Spectral Medical Inc. | Spectral Diagnostics Inc. | ON | No | |
| Spin Master Corp. | | ON | No | |
| Spire Global, Inc. | | ON | No | |
| Springbok Ventures Inc. | Stikine Energy Corp. | BC | No | |
| Sprott Inc. | | ON | No | |
| Sprott Physical Copper Trust* | | ON | No | |
| Sprott Physical Gold and Silver Trust* | | ON | No | |
| Sprott Physical Gold Trust | | ON | No | |
| Sprott Physical Platinum and Palladium Trust* | | ON | No | |
| Sprott Physical Silver Trust* | | ON | No | |
| Sprott Physical Uranium Trust | | ON | No | |
| Spyglass Resources Corp. | | AB | Yes | 1a,1c,1e,3 |
| Srai Capital Corp. | | BC | Yes | 1a,1c,1e,3 |
| SSC Security Services Corp. | Input Capital Corp. | SK | No | |
| SSR Mining Inc. | Silver Standard Resources Inc | BC | No | |
| St. Augustine Gold and Copper Limited | Ratel Gold Limited | ON | No | |
| Stack Capital Group Inc. | | ON | No | |
| Stage Capital Corp. | Stage Holdco Ltd. | BC | No | |
| Stagwell Inc. | MDC Partners Inc. | ON | No | |
| Stampede Drilling Inc. | | AB | No | |
| Standard Lithium Ltd. | | BC | No | |
| Standard Uranium Ltd. | | BC | No | |
| Stantec Inc. | Stanley Technology Group Inc. | AB | No | |
| Star Diamond Corporation | Shore Gold Inc. | SK | No | |
| Star Royalties Ltd. | | ON | No | |
| Stardust Metal Corp. | Mistango River Resources Inc. | ON | No | |
| Starlight Dividend Growth Class | Stone Dividend Growth Class | ON | No | |
| Starlight Global Balanced Fund | Stone Global Balanced Fund | ON | No | |
| Starlight Global Growth Fund | Stone Global Growth Fund | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Starlight Global Infrastructure Fund | | ON | No | |
| Starlight Global Real Estate Fund | | ON | No | |
| Starlight North American Equity Fund | Stone Growth Fund | ON | No | |
| Starlight Western Canada Multi-Family (No. 2) Fund* | | ON | No | |
| Stella-Jones Inc. | | QC | No | |
| Stem Holdings, Inc. | | ON | Yes | 1a,1c,1e,3 |
| Steppe Gold Ltd. | | ON | No | |
| Sterling Shoes Inc. | | BC | No | |
| Stingray Group Inc. | Stingray Digital Group Inc. | QC | No | |
| STLLR Gold Inc. | | ON | No | |
| StorageVault Canada Inc. | | ON | No | |
| STRACON Group Holding Inc. | | ON | No | |
| Strategic Fixed Income Corporate Class | Global Income Allocation Corporate Class | ON | No | |
| Strategic Fixed Income Pool | Global Income Allocation Pool | ON | No | |
| Strategic Oil & Gas Ltd. | | AB | No | |
| Strathcona Resources Ltd. | | AB | No | |
| Sucro Limited | | ON | No | |
| SugarBud Craft Growers Corp. | Relentless Resources Ltd. | AB | Yes | 1a,1c,1e,3 |
| Sun Life Acadian International Equity Fund* | | ON | No | |
| Sun Life Aditya Birla India Fund | Sun Life Excel India Fund | ON | No | |
| Sun Life Assurance Company Of Canada | | ON | No | |
| Sun Life Balanced ETF+ Portfolio* | Sun Life Tactical Balanced ETF Portfolio | ON | No | |
| Sun Life BlackRock Canadian Composite Equity Fund | Sun Life BlackRock Canadian Equity Index Fund | ON | No | |
| Sun Life BlackRock Canadian Equity Fund | | ON | No | |
| Sun Life BlackRock Canadian Universe Bond Fund | Sun Life BlackRock Canadian Bond Index Fund | ON | No | |
| Sun Life Capital Trust | | ON | No | |
| Sun Life Conservative ETF+ Portfolio* | Sun Life Tactical Conservative ETF Portfolio | ON | No | |
| Sun Life Core Advantage Credit Private Pool | | ON | No | |
| Sun Life Crescent Specialty Credit Private Pool | | ON | No | |
| Sun Life Dynamic Equity Income Fund | | ON | No | |
| Sun Life Dynamic Strategic Yield Fund | | ON | No | |
| Sun Life Equity ETF+ Portfolio* | Sun Life Tactical Equity ETF Portfolio | ON | No | |
| Sun Life Financial Inc. | Sun Life Financial Services Of Canada Inc. | ON | No | |
| Sun Life Fixed Income ETF+ Portfolio* | Sun Life Tactical Fixed Income ETF Portfolio | ON | No | |
| Sun Life Global Mid Cap Fund | Sun Life Schroder Global Mid Cap Fund | ON | No | |
| Sun Life Global Tactical Yield Private Pool | | ON | No | |
| Sun Life Granite Balanced Class | Sun Life Managed Balanced Class | ON | No | |
| Sun Life Granite Balanced Growth Class | Sun Life Managed Balanced Growth Class | ON | No | |
| Sun Life Granite Balanced Growth Portfolio | Sun Life Managed Balanced Growth Portfolio | ON | No | |
| Sun Life Granite Balanced Portfolio | Sun Life Managed Balanced Portfolio | ON | No | |
| Sun Life Granite Conservative Class | Sun Life Managed Conservative Class | ON | No | |
| Sun Life Granite Conservative Portfolio | Sun Life Managed Conservative Portfolio | ON | No | |
| Sun Life Granite Enhanced Income Portfolio | Sun Life Managed Enhanced Income Portfolio | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| Sun Life Granite Growth Class | Sun Life Managed Growth Class | ON | No | |
| Sun Life Granite Growth Portfolio | Sun Life Managed Growth Portfolio | ON | No | |
| Sun Life Granite Income Portfolio | Sun Life Managed Income Portfolio | ON | No | |
| Sun Life Granite Moderate Class | Sun Life Managed Moderate Class | ON | No | |
| Sun Life Granite Moderate Portfolio | Sun Life Managed Moderate Portfolio | ON | No | |
| Sun Life Granite Tactical Completion Fund | | ON | No | |
| Sun Life Growth ETF+ Portfolio* | Sun Life Tactical Growth ETF Portfolio | ON | No | |
| Sun Life KBI Global Dividend Private Pool | Sun Life Global Dividend Private Pool | ON | No | |
| Sun Life KBI Sustainable Infrastructure Private Pool | | ON | No | |
| Sun Life MFS Canadian Bond Fund | Sun Life MFS McLean Budden Canadian Bond Fund | ON | No | |
| Sun Life MFS Canadian Equity Fund | Sun Life MFS Canadian Equity Growth Fund | ON | No | |
| Sun Life MFS Diversified Income Fund | Sun Life MFS Dividend Income Fund | ON | No | |
| Sun Life MFS Global Core Plus Bond Fund | Sun Life Amundi Emerging Markets Debt Fund | ON | No | |
| Sun Life MFS Global Growth Class | Sun Life MFS McLean Budden Global Growth Class | ON | No | |
| Sun Life MFS Global Growth Fund | Sun Life MFS McLean Budden Global Growth Fund | ON | No | |
| Sun Life MFS Global Total Return Fund | Sun Life MFS McLean Budden Global Total Return Fund | ON | No | |
| Sun Life MFS Global Value Fund | Sun Life MFS McLean Budden Global Value Fund | ON | No | |
| Sun Life MFS International Opportunities Class | Sun Life MFS International Growth Class | ON | No | |
| Sun Life MFS International Opportunities Fund | Sun Life MFS International Growth Fund | ON | No | |
| Sun Life MFS International Value Fund | Sun Life MFS McLean Budden International Value Fund | ON | No | |
| Sun Life MFS U.S. Equity Fund | Sun Life MFS McLean Budden U.S. Equity Fund | ON | No | |
| Sun Life MFS U.S. Growth Class | Sun Life MFS McLean Budden U.S. Growth Class | ON | No | |
| Sun Life MFS U.S. Growth Fund | Sun Life MFS McLean Budden U.S. Growth Fund | ON | No | |
| Sun Life MFS U.S. Mid Cap Growth Fund | | ON | No | |
| Sun Life MFS U.S. Value Fund | Sun Life MFS McLean Budden U.S. Value Fund | ON | No | |
| Sun Life Milestone 2030 Fund | | ON | No | |
| Sun Life Milestone 2035 Fund | | ON | No | |
| Sun Life Milestone Global Equity Fund | | ON | No | |
| Sun Life Money Market Class | | ON | No | |
| Sun Life Money Market Fund | | ON | No | |
| Sun Life Multi-Strategy Bond Fund | Sun Life Beutel Goodman Canadian Bond Fund | ON | No | |
| Sun Life Net Zero Target Bond Fund | | ON | No | |
| Sun Life Nuveen Flexible Income Fund | Sun Life NWQ Flexible Income Fund | ON | No | |
| Sun Life Real Assets Private Pool | Sun Life Real Assets Fund | ON | No | |
| Sun Life Risk Managed U.S. Equity Fund | | ON | No | |
| Sun Life Schroder Emerging Markets Fund | Sun Life Excel Emerging Markets Fund | ON | No | |
| Sun Life State Street Emerging Markets Equity Index Fund | | ON | No | |
| Sun Life State Street International Equity Index Fund | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Sun Life State Street US Bond Index Fund | | ON | No | |
| Sun Life State Street US Equity Index Fund | | ON | No | |
| Sun Life U.S. Core Fixed Income Fund | Sun Life Ryan Labs U.S. Core Fixed Income Fund | ON | No | |
| Sun-Times Media Group, Inc. | Hollinger International Inc. | ON | No | |
| Suncor Energy Inc. | | AB | No | |
| Sunstone Opportunity (2006) Debenture Fund* | Sunstone Opportunity Fund (2006) Debenture Fund | BC | No | |
| Sunstone Opportunity 2006 Realty Trust* | | BC | No | |
| Superior Plus Corp. | Ballard Power Systems Inc. | ON | No | |
| SuperQ Quantum Computing Inc. | Atco Mining Inc. | BC | No | |
| Supremex Inc. | | QC | No | |
| Surge Energy Inc. | Zapata Energy Corporation | AB | No | |
| Sustainable Balanced 40/60 Fund | | ON | No | |
| Sustainable Balanced 60/40 Fund | | ON | No | |
| Sustainable Growth 100 Fund | | ON | No | |
| Sustainable Growth 80/20 Fund | | ON | No | |
| Sustainable Income 100 Fund | | ON | No | |
| Sustainable Income 20/80 Fund | | ON | No | |
| Sustainable Innovation & Health Dividend Fund* | | ON | No | |
| Swiss Water Decaffeinated Coffee Inc. | Ten Peaks Coffee Company Inc. | BC | No | |
| Sylla Gold Corp. | Atlantic Industrial Minerals Incorporated | NS | No | |
| Symmetry Balanced Portfolio | Symmetry One Registered Balanced Portfolio | ON | No | |
| Symmetry Conservative Income Portfolio | Symmetry One Registered Ultra Conservative Portfolio Fund | ON | No | |
| Symmetry Conservative Portfolio | Symmetry One Registered Conservative Portfolio | ON | No | |
| Symmetry Equity Portfolio | | ON | No | |
| Symmetry Fixed Income Portfolio | Symmetry Registered Fixed Income Pool | ON | No | |
| Symmetry Growth Portfolio | Symmetry One Registered Growth Portfolio | ON | No | |
| Symmetry Moderate Growth Portfolio | Symmetry One Registered Moderate Growth Portfolio | ON | No | |
| Synodon Inc. | | AB | No | |
| T. Rowe Price - IG Global Impact Pool | | MB | No | |
| T. Rowe Price - IG U.S. Equity Pool | | MB | No | |
| T. Rowe Price Global Allocation Fund | Morningstar Balanced Portfolio | ON | No | |
| T. Rowe Price U.S. Blue Chip Growth Fund | | ON | No | |
| Tactical Asset Allocation Balanced Class | | ON | No | |
| Tactical Asset Allocation Balanced Growth Class | | ON | No | |
| Tactical Asset Allocation Balanced Growth Pool | | ON | No | |
| Tactical Asset Allocation Balanced Pool | | ON | No | |
| Tactical Asset Allocation Conservative Balanced Class | | ON | No | |
| Tactical Asset Allocation Conservative Balanced Pool | | ON | No | |
| Tactical Asset Allocation Conservative Class | | ON | No | |
| Tactical Asset Allocation Conservative Income Class | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Tactical Asset Allocation Conservative Income Pool | | ON | No | |
| Tactical Asset Allocation Conservative Pool | | ON | No | |
| Tactical Asset Allocation Equity Class | | ON | No | |
| Tactical Asset Allocation Equity Pool | | ON | No | |
| Tactical Asset Allocation Growth Class | | ON | No | |
| Tactical Asset Allocation Growth Pool | | ON | No | |
| Tactical Asset Allocation Income Class | | ON | No | |
| Tactical Asset Allocation Income Pool | | ON | No | |
| Tactical Asset Allocation Neutral Balanced Class | | ON | No | |
| Tactical Asset Allocation Neutral Balanced Pool | | ON | No | |
| Tactical Sovereign Bond Fund | Caldwell Income Fund | ON | No | |
| TAG Oil Ltd | | BC | No | |
| Tahera Diamond Corporation | Tahera Corporation | ON | Yes | 1a,1b,1c,1e,3 |
| Taiga Building Products LTD. | Taiga Forest Products LTD. | BC | No | |
| Talon Metals Corp. | | ON | No | |
| Tamarack Valley Energy Ltd. | | AB | No | |
| Tangerine Balanced ETF Portfolio | | ON | No | |
| Tangerine Balanced Growth ETF Portfolio | | ON | No | |
| Tangerine Balanced Growth Portfolio | ING DIRECT Streetwise Balanced Growth Portfolio | ON | No | |
| Tangerine Balanced Growth SRI Portfolio | | ON | No | |
| Tangerine Balanced Income ETF Portfolio | | ON | No | |
| Tangerine Balanced Income Portfolio | ING DIRECT Streetwise Balanced Income Portfolio | ON | No | |
| Tangerine Balanced Income SRI Portfolio | | ON | No | |
| Tangerine Balanced Portfolio | ING DIRECT Streetwise Balanced Portfolio | ON | No | |
| Tangerine Balanced SRI Portfolio | | ON | No | |
| Tangerine Dividend Portfolio | | ON | No | |
| Tangerine Equity Growth ETF Portfolio | | ON | No | |
| Tangerine Equity Growth Portfolio | ING DIRECT Streetwise Equity Growth Portfolio | ON | No | |
| Tangerine Equity Growth SRI Portfolio | | ON | No | |
| Tangerine Money Market Fund | | ON | No | |
| Tantalus Systems Holding Inc. (formerly RiseTech Capital Corp.) | | BC | No | |
| Taseko Mines Limited | | BC | No | |
| TC Energy Corporation | TransCanada Corporation | AB | No | |
| TCT Logistics Inc. | Prime-Link Group LTD. | AB | Yes | 1a,1b |
| TD Active Global Enhanced Dividend ETF | | ON | No | |
| TD Active Global Equity Growth ETF | | ON | No | |
| TD Active Global Income ETF | | ON | No | |
| TD Active Global Infrastructure Equity ETF | | ON | No | |
| TD Active Global Real Estate Equity ETF | | ON | No | |
| TD Active Preferred Share ETF | | ON | No | |
| TD Active U.S. Enhanced Dividend CAD Hedged ETF* | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---|--------------|------------|-------------------|
| TD Active U.S. Enhanced Dividend ETF | | ON | No | |
| TD Active U.S. High Yield Bond ETF | | ON | No | |
| TD All-Equity ETF Portfolio | | ON | No | |
| TD Alternative Commodities Pool | | ON | No | |
| TD Alternative Long/Short Commodities Pool | | ON | No | |
| TD Alternative Risk Focused Pool | | ON | No | |
| TD Balanced ETF Portfolio* | TD One-Click Moderate ETF Portfolio | ON | No | |
| TD Balanced Growth Fund | Green Line Balanced Growth Fund | ON | No | |
| TD Balanced Index Fund | Canada Trust Balanced Index Fund | ON | No | |
| TD Canadian Aggregate Bond Index ETF | | ON | No | |
| TD Canadian Bank Dividend Index ETF* | | ON | No | |
| TD Canadian Blue Chip Dividend Fund | TD Private Canadian Blue Chip Dividend Fund | ON | No | |
| TD Canadian Bond Fund | Green Line Canadian Bond Fund | ON | No | |
| TD Canadian Bond Index Fund | Canada Trust Canadian Bond Index Fund | ON | No | |
| TD Canadian Core Plus Bond Fund | | ON | No | |
| TD Canadian Corporate Bond Fund | TD Private Canadian Corporate Bond Fund | ON | No | |
| TD Canadian Diversified Yield Fund | TD Private Canadian Diversified Yield Fund | ON | No | |
| TD Canadian Equity Class | | ON | No | |
| TD Canadian Equity Fund | Green Line Canadian Equity Fund | ON | No | |
| TD Canadian Equity Index ETF | TD S&P/TSX Capped Composite Index ETF | ON | No | |
| TD Canadian Equity Pool | | ON | No | |
| TD Canadian Equity Pool Class | | ON | No | |
| TD Canadian Index Fund | Green Line Canadian Index Fund | ON | No | |
| TD Canadian Large-Cap Equity Fund | TD Private Canadian Blue Chip Equity Fund | ON | No | |
| TD Canadian Liquidity Pool | | ON | No | |
| TD Canadian Long Term Federal Bond ETF | | ON | No | |
| TD Canadian Long Term Federal Bond Fund | | ON | No | |
| TD Canadian Low Volatility Class | | ON | No | |
| TD Canadian Low Volatility Fund | | ON | No | |
| TD Canadian Low Volatility Pool | | ON | No | |
| TD Canadian Money Market Fund | Green Line Canadian Money Market Fund | ON | No | |
| TD Canadian Small-Cap Equity Class | | ON | No | |
| TD Canadian Small-Cap Equity Fund | TD Special Equity Fund | ON | No | |
| TD Cash Management ETF | | ON | No | |
| TD China Income & Growth Fund | TD Asian Growth Fund | ON | No | |
| TD Comfort Aggressive Growth Portfolio | TD Comfort Equity Portfolio | ON | No | |
| TD Comfort Balanced Growth Portfolio | TD Comfort Balanced Portfolio | ON | No | |
| TD Comfort Balanced Income Portfolio | TD Comfort Conservative Portfolio | ON | No | |
| TD Comfort Balanced Portfolio | TD Comfort Moderate Portfolio | ON | No | |
| TD Comfort Conservative Income Portfolio | | ON | No | |
| TD Comfort Growth Portfolio | | ON | No | |
| TD Conservative ETF Portfolio* | TD One-Click Conservative ETF Portfolio | ON | No | |
| TD Diversified Monthly Income Fund | TD Monthly High Income Fund | ON | No | |
| TD Dividend Growth Class | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| TD Dividend Growth Fund | Green Line Dividend Fund | ON | No | |
| TD Dividend Income Class | | ON | No | |
| TD Dividend Income Fund | Canada Trust Dividend Income Fund | ON | No | |
| TD Dow Jones Industrial Average Index Fund | Green Line Dow Jones Industrial Average Index Fund | ON | No | |
| TD Emerald Balanced Fund | Emerald Balanced Fund | ON | No | |
| TD Emerald Canadian Bond Index Fund | Emerald Canadian Bond Index Fund | ON | No | |
| TD Emerald Canadian Equity Index Fund | Emerald Canadian Equity Index Fund | ON | No | |
| TD Emerald Canadian Short Term Investment Fund | Emerald Canadian Short Term Investment Fund | ON | No | |
| TD Emerald Canadian Treasury Management - Government Of Canada Fund | TD Canadian Treasury Management - Government Of CANAD | ON | No | |
| TD Emerald Canadian Treasury Management Fund | TD Canadian Treasury Management Fund | ON | No | |
| TD Emerald International Equity Index Fund | Emerald International Equity Index Fund | ON | No | |
| TD Emerald U.S. Market Index Fund | Emerald U.S. Market Index Fund | ON | No | |
| TD Emerging Markets Class | | ON | No | |
| TD Emerging Markets Fund | Green Line Emerging Markets Fund | ON | No | |
| TD European Index Fund | Green Line European Index Fund | ON | No | |
| TD Fixed Income Pool | | ON | No | |
| TD Global Capital Reinvestment Class | TD Global Equity Growth Class | ON | No | |
| TD Global Capital Reinvestment Fund | TD Global Equity Growth Fund | ON | No | |
| TD Global Core Plus Bond Fund | TD Global Bond Fund | ON | No | |
| TD Global Disciplined Equity Alpha Fund™ | | ON | No | |
| TD Global Entertainment & Communications Fund | TD Entertainment & Communications Fund | ON | No | |
| TD Global Equity Focused Fund | | ON | No | |
| TD Global Equity Pool | | ON | No | |
| TD Global Equity Pool Class | | ON | No | |
| TD Global Healthcare Leaders Index ETF | | ON | No | |
| TD Global Income Fund | | ON | No | |
| TD Global Low Volatility Class | | ON | No | |
| TD Global Low Volatility Fund | | ON | No | |
| TD Global Research Equity Fund | | ON | No | |
| TD Global Shareholder Yield Fund | Epoch Global Shareholder Yield Fund | ON | No | |
| TD Global Tactical Monthly Income Fund | TD Strategic Yield Fund | ON | No | |
| TD Global Technology Innovators Index ETF | | ON | No | |
| TD Global Technology Leaders CAD Hedged Index ETF* | | ON | No | |
| TD Global Technology Leaders Index ETF | | ON | No | |
| TD Global Technology Leaders Index Fund | | ON | No | |
| TD Global Unconstrained Bond Fund | | ON | No | |
| TD Growth ETF Portfolio* | TD One-Click Aggressive ETF Portfolio | ON | No | |
| TD Health Sciences Fund | Green Line Health Sciences Fund | ON | No | |
| TD High Yield Bond Fund | TD High Yield Income Fund | ON | No | |
| TD Income Advantage Portfolio | | ON | No | |
| TD Income Opportunities Pool | TD World Bond Pool | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| TD International Equity CAD Hedged Index ETF | | ON | No | |
| TD International Equity Focused Class | TD International Stock Class | ON | No | |
| TD International Equity Focused Fund | TD International Stock Fund | ON | No | |
| TD International Equity Fund | Epoch International Equity Fund | ON | No | |
| TD International Equity Index ETF | | ON | No | |
| TD International Index Currency Neutral Fund | TD International RSP Index Fund | ON | No | |
| TD International Index Fund | Canada Trust International Equity Index Fund | ON | No | |
| TD International Research Equity Fund | | ON | No | |
| TD Managed Aggressive Growth ETF Portfolio* | | ON | No | |
| TD Managed Aggressive Growth Portfolio | TD Managed Aggressive Growth RSP Portfolio | ON | No | |
| TD Managed Balanced Growth ETF Portfolio* | | ON | No | |
| TD Managed Balanced Growth Portfolio | TD Managed Balanced Growth RSP Portfolio | ON | No | |
| TD Managed Income & Moderate Growth ETF Portfolio | | ON | No | |
| TD Managed Income & Moderate Growth Portfolio | TD Managed Income & Moderate Growth RSP Portfolio | ON | No | |
| TD Managed Income ETF Portfolio* | | ON | No | |
| TD Managed Income Portfolio | TD Managed Income RSP Portfolio | ON | No | |
| TD Managed Maximum Equity Growth ETF Portfolio* | | ON | No | |
| TD Managed Maximum Equity Growth Portfolio | TD Managed Maximum Equity Growth RSP Portfolio | ON | No | |
| TD Monthly Income Fund | Canada Trust Monthly Income Fund | ON | No | |
| TD Nasdaq Index Fund | TD Nasdaq RSP Index Fund | ON | No | |
| TD North American Dividend Fund | TD U.S. Equity Fund | ON | No | |
| TD North American Sustainability Balanced Fund | | ON | No | |
| TD North American Sustainability Bond Fund | | ON | No | |
| TD North American Sustainability Equity Fund | | ON | No | |
| TD Opportunities Pool | | ON | No | |
| TD Options Premium Income Pool | | ON | No | |
| TD Precious Metals Fund | Green Line Precious Metals Fund | ON | No | |
| TD Preferred Share Fund | | ON | No | |
| TD Premium Money Market Fund | Green Line Premium Money Market Fund | ON | No | |
| TD Q Canadian Dividend ETF | | ON | No | |
| TD Q Canadian Low Volatility ETF | | ON | No | |
| TD Q Global Dividend ETF | | ON | No | |
| TD Q Global Multifactor ETF | | ON | No | |
| TD Q International Low Volatility ETF | TD Systematic International Equity Low Volatility ETF | ON | No | |
| TD Q U.S. Low Volatility ETF | | ON | No | |
| TD Q U.S. Small-Mid-Cap Equity ETF | | ON | No | |
| TD Resource Fund | Green Line Resource Fund | ON | No | |
| TD Retirement Balanced Portfolio | | ON | No | |
| TD Retirement Conservative Portfolio | | ON | No | |
| TD Risk Management Pool | | ON | No | |
| TD Risk Reduction Pool - US\$* | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| TD Risk Reduction Pool* | TD Stability Pool | ON | No | |
| TD Science & Technology Fund | Green Line Science & Technology Fund | ON | No | |
| TD Select Short Term Corporate Bond Ladder ETF | TD Active Short Term Corporate Bond Ladder ETF | ON | No | |
| TD Select U.S. Short Term Corporate Bond Ladder ETF | TD Active U.S. Short Term Corporate Bond Ladder ETF | ON | No | |
| TD Short Term Bond Fund | TD Short Term Monthly Income Fund | ON | No | |
| TD Short Term Investment Class | | ON | No | |
| TD Tactical Monthly Income Class | | ON | No | |
| TD Tactical Monthly Income Fund | | ON | No | |
| TD Tactical Pool | | ON | No | |
| TD Tactical Pool Class | | ON | No | |
| TD Target 2026 Investment Grade Bond ETF* | | ON | No | |
| TD Target 2026 Investment Grade Bond Fund | | ON | No | |
| TD Target 2026 U.S. Investment Grade Bond ETF* | | ON | No | |
| TD Target 2026 U.S. Investment Grade Bond Fund | | ON | No | |
| TD Target 2027 Investment Grade Bond ETF* | | ON | No | |
| TD Target 2027 Investment Grade Bond Fund | | ON | No | |
| TD Target 2027 U.S. Investment Grade Bond ETF* | | ON | No | |
| TD Target 2027 U.S. Investment Grade Bond Fund | | ON | No | |
| TD Target 2028 Investment Grade Bond ETF | | ON | No | |
| TD Target 2028 Investment Grade Bond Fund | | ON | No | |
| TD Target 2029 Investment Grade Bond ETF | | ON | No | |
| TD Target 2029 Investment Grade Bond Fund | | ON | No | |
| TD Target 2030 Investment Grade Bond ETF | | ON | No | |
| TD Target 2030 Investment Grade Bond Fund | | ON | No | |
| TD Target 2031 Investment Grade Bond Fund | | ON | No | |
| TD U.S. Capital Reinvestment Fund | TD U.S. Blue Chip Equity Fund | ON | No | |
| TD U.S. Cash Management ETF | | ON | No | |
| TD U.S. Corporate Bond Fund | TD Private U.S. Corporate Bond Fund | ON | No | |
| TD U.S. Disciplined Equity Alpha Fund™ | TD U.S. Quantitative Equity Fund | ON | No | |
| TD U.S. Dividend Growth Fund | | ON | No | |
| TD U.S. Equity CAD Hedged Index ETF | TD S&P 500 CAD Hedged Index ETF | ON | No | |
| TD U.S. Equity Focused Currency Neutral Fund | Epoch U.S. Blue Chip Equity Currency Neutral Fund | ON | No | |
| TD U.S. Equity Focused Fund | Epoch U.S. Blue Chip Equity Fund | ON | No | |
| TD U.S. Equity Index ETF | TD S&P 500 Index ETF | ON | No | |
| TD U.S. Equity Pool | TD U.S. Equity Portfolio | ON | No | |
| TD U.S. Index Currency Neutral Fund | TD U.S. RSP Index Fund | ON | No | |
| TD U.S. Index Fund | Green Line U.S. Index Fund | ON | No | |
| TD U.S. Large-Cap Value Class | Epoch U.S. Large-Cap Value Class | ON | No | |
| TD U.S. Large-Cap Value Fund | Epoch U.S. Large-Cap Value Fund | ON | No | |
| TD U.S. Long Term Treasury Bond ETF | | ON | No | |
| TD U.S. Long Term Treasury Bond Fund* | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|---------------------------------------|--------------|------------|-------------------|
| TD U.S. Low Volatility Fund | | ON | No | |
| TD U.S. Mid-Cap Growth Class | | ON | No | |
| TD U.S. Mid-Cap Growth Currency Neutral Fund | | ON | No | |
| TD U.S. Mid-Cap Growth Fund | Green Line U.S. Mid-Cap Growth Fund | ON | No | |
| TD U.S. Money Market Fund | Green Line U.S. Money Market Fund | ON | No | |
| TD U.S. Monthly Income Fund | | ON | No | |
| TD U.S. Monthly Income Fund - C\$ | | ON | No | |
| TD U.S. Research Equity Fund | | ON | No | |
| TD U.S. Shareholder Yield Fund | Epoch U.S. Shareholder Yield Fund | ON | No | |
| TD U.S. Small-Cap Equity Fund | Green Line U.S. Small-Cap Equity Fund | ON | No | |
| TD Ultra Short Term Bond Fund | | ON | No | |
| TD US\$ Retirement Portfolio | | ON | No | |
| TDb Split Corp. | | ON | No | |
| Teal Valley Health Inc. | Radiant Health Care Inc. | BC | Yes | 1a,1c,3 |
| TearLab Corporation | Occulogix, Inc | ON | Yes | 1a,1b,1c,1e,3 |
| Tech Innovators Yield Shares Purpose ETF | | ON | No | |
| Teck Resources Limited | Teck Cominco Limited | BC | No | |
| TECSYS Inc. | | QC | No | |
| Tee-Comm Electronics Inc. | | ON | Yes | 1a,1b |
| Teleglobe Inc. | Memotec Data Inc | QC | Yes | 1a,1b,3 |
| Telesat Corporation | | ON | No | |
| Telesat Partnership LP | | ON | No | |
| Telescene Film Group Inc. | | QC | Yes | 1a,1b |
| Telus Corporation | BCT.Telus Communications Inc. | BC | No | |
| Telus Corporation (Profile #1114) | | AB | No | |
| Temas Resources Corp. | | BC | No | |
| Templeton Emerging Markets Fund | | ON | No | |
| Templeton Global Bond Fund | Templeton Global Income Fund | ON | No | |
| Templeton Growth Fund | | ON | No | |
| TeraGo Inc. | | ON | No | |
| Terra Energy Corp. | | AB | No | |
| TerrAscend Corp. | | ON | No | |
| TerraVest Industries Inc. | TerraVest Capital Inc. | AB | No | |
| Tesla (TSLA) Yield Shares Purpose ETF | Tesla (TSLA) Yield Shares | ON | No | |
| Tethys Petroleum Limited | | AB | No | |
| Tetra Bio-Pharma Inc. | GrowPros Cannabis Ventures Inc. | ON | Yes | 1a,1c,1d,1e,3 |
| TFI International Inc. | TransForce Inc. | QC | No | |
| The Bitcoin Fund* | | ON | No | |
| The Caldwell Partners International Inc. | | ON | No | |
| The Canada Life Assurance Company | | MB | No | |
| The Canadian Chrome Company Inc. | KWG Resources Inc. | ON | No | |
| The Cannabist Company Holdings Inc. | Columbia Care Inc. | ON | Yes | 1a,1c,1d,1e,3 |
| The Children's Educational Foundation of Canada* | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| The Descartes Systems Group Inc. | | ON | No | |
| The Empire Life Insurance Company | | ON | No | |
| The Ether Fund | | ON | No | |
| The Flowr Corporation | Needle Capital Corp., The | ON | Yes | 1a,1c,1e,3 |
| The FUTR Corporation | Hank Payments Corp. | ON | No | |
| The Helical Corporation Inc. | Enervision Incorporated | NS | Yes | 1a,3,4 |
| The Lodge At Kananaskis Limited Partnership | | ON | Yes | 3 |
| The Loewen Group Inc | | BC | Yes | 1a |
| The Medipattern Corporation | | ON | No | |
| The North West Company Inc. | | MB | No | |
| The Planting Hope Company Inc. | | BC | Yes | 1a,1b,1c,1e,3 |
| The Precision Peptide Company Inc. | Pangea Wellness Inc. | BC | No | |
| The Real Brokerage Inc. | | ON | No | |
| The Supreme Cannabis Company, Inc. | | ON | No | |
| The Toronto-Dominion Bank | | ON | No | |
| The Very Good Food Company Inc. | Very Good Butchers Inc., The | BC | Yes | 1a,1c,1d,1e,3,4 |
| The Westaim Corporation | | ON | No | |
| The Western Investment Company of Canada Limited | | ON | No | |
| The Winchester Group Inc. | | ON | Yes | 1a,1b,3 |
| Theralase Technologies Inc. | | ON | No | |
| Thinkific Labs Inc. | | BC | No | |
| Thomson Reuters Corporation | Thomson Corporation, The | ON | No | |
| ThreeD Capital Inc. | Brownstone Energy Inc. | ON | No | |
| Threegold Resources Inc. | | QC | No | |
| Tidewater Midstream and Infrastructure Ltd. | | AB | No | |
| Tidewater Renewables Ltd. | | AB | No | |
| Tier One Silver Inc. | | BC | No | |
| Tilray Brands, Inc. | Tilray, Inc. | BC | No | |
| Timbercreek Financial Corp.* | | ON | No | |
| Times Three Wireless Inc. | | AB | No | |
| Tincorp Metals Inc. | Whitehorse Gold Corp. | BC | No | |
| Tinka Resources Limited | | BC | No | |
| Tiny Ltd. | WeCommerce Holdings Ltd. (formerly Brachium Capital Corp.) | BC | No | |
| Titan Mining Corporation | | BC | No | |
| Titanium Canadian Equity Private Pool | | QC | No | |
| Titanium Canadian Fixed Income Private Pool | | QC | No | |
| Titanium Global Fixed Income Private Pool | | QC | No | |
| Titanium Global Strategic Opportunities Private Pool | Titanium Global Strategics Opportunities Private Pool | QC | No | |
| Titanium International Equity Private Pool | | QC | No | |
| Titanium U.S. Equity Private Pool | | QC | No | |
| TLC Vision Corporation | TLC Laser Eye Centers Inc. | ON | No | |
| TMX Group Limited | | ON | No | |
| Toggle3D.ai Inc. | | ON | Yes | 1a,1c,1e,3 |

Nova Scotia Securities Commission

| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--------------------------------------|--------------|------------|-------------------|
| Tokenwell Platforms Inc. | TRILOGY AI CORP. | BC | No | |
| Topaz Energy Corp. | | AB | No | |
| Topicus.com Inc. | | ON | No | |
| Torex Gold Resources Inc. | Gleichen Resources Ltd. | ON | No | |
| Toromont Industries LTD. | | ON | No | |
| Toronto Hydro Corporation | | ON | No | |
| Torq Resources Inc. | | BC | No | |
| Total Energy Services Inc. | Biomerge Industries Ltd. | AB | No | |
| Touchstone Exploration Inc. | Petrobank Energy and Resources Ltd | AB | No | |
| Tourmaline Oil Corp. | | AB | No | |
| TR Finance LLC | | ON | No | |
| Tradex Bond Fund | Tradex Security Fund | ON | No | |
| Tradex Equity Fund Limited | Tradex Investment Fund Limited | ON | No | |
| Tradex Global Equity Fund | Tradex Emerging Markets Country Fund | ON | No | |
| Trading Central Quant Canada 50 Equity Index ETF | | ON | No | |
| Trading Central Quant Europe 50 Equity Index ETF | | ON | No | |
| Trading Central Quant Global 50 Equity Index ETF | | ON | No | |
| Trading Central Quant U.S. 50 Equity Index ETF | | ON | No | |
| Tralucet Global Alt (Long/Short) Equity Fund | | ON | No | |
| Transalta Corporation | | AB | No | |
| Transat A.T. Inc. | Transat A.T. Inc. | QC | No | |
| Transcanada Pipelines Limited | | AB | No | |
| TransCanada Trust | | AB | No | |
| Transcontinental Inc. | G.T.C. Transcontinental Group LTD. | QC | No | |
| Travelbyus.Com LTD. | | BC | No | |
| Tree Island Steel Ltd. | | BC | No | |
| Trevali Mining Corporation | | BC | Yes | 1b,1c,1e |
| Tribe Property Technologies Inc. | | BC | No | |
| Trican Well Service Ltd. | | AB | No | |
| Trillion Energy International Inc. | Park Place Energy Inc. | BC | Yes | 1a,1c,1e,3 |
| Trilogy Metals Inc. | NovaCopper Inc. | BC | No | |
| Triple Flag Precious Metals Corp. | | ON | No | |
| TriStar Gold Inc. | | BC | No | |
| TriSummit Utilities Inc. | AltaGas Canada Inc. | AB | No | |
| Trisura Group Ltd. | | ON | No | |
| Troilus Mining Corp. | Troilus Gold Corp. | QC | No | |
| TRU.X Exogenous Risk Pool | TruX Exogenous Risk Pool | ON | No | |
| True North Commercial Real Estate Investment Trust | | ON | No | |
| Trulieve Cannabis Corp. | Schyan Exploration Inc. | ON | No | |
| TRX Gold Corporation | | ON | No | |
| Tucows Inc. | | ON | No | |
| Tudor Gold Corp. | | BC | No | |

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|---|---|--------------|------------|-------------------|
| Tuktu Resources Ltd. | | AB | No | |
| Turnkey E&P Inc. | | AB | No | |
| TVA Group Inc. | | QC | No | |
| TWC Enterprises Limited | ClubLink Enterprises Limited | ON | No | |
| Twin Butte Energy LTD. | Altarex Corp. | AB | No | |
| U.S. All Cap Equity Index Fund | | ON | No | |
| U.S. Equity Alpha Corporate Class | US Equity Alpha Corporate Class | ON | No | |
| U.S. Equity Alpha Pool | US Equity Alpha Pool | ON | No | |
| U.S. Equity Growth Corporate Class | US Equity Growth Corporate Class | ON | No | |
| U.S. Equity Growth Pool | US Equity Growth Pool | ON | No | |
| U.S. Equity Managed Class | US Equity Managed Class | ON | No | |
| U.S. Equity Managed Pool | US Equity Managed Pool | ON | No | |
| U.S. Equity Small Cap Corporate Class | US Equity Small Cap Corporate Class | ON | No | |
| U.S. Equity Small Cap Pool | | ON | No | |
| U.S. Equity Value Corporate Class | US Equity Value Corporate Class | ON | No | |
| U.S. Equity Value Currency Hedged Corporate Class | US Equity Value Currency Hedged Corporate Class | ON | No | |
| U.S. Equity Value Currency Hedged Pool | US Equity Value Currency Hedged Pool | ON | No | |
| U.S. Equity Value Pool | US Equity Value Pool | ON | No | |
| U.S. Gold Corp. | | ON | No | |
| U.S. GoldMining Inc. | | BC | No | |
| U.S. High Yield Bond Fund | | ON | No | |
| U.S. Large Cap Index Fund | S&P 500 Synthetic Fund | ON | No | |
| U.S. Large Company Equity Fund | Primus Capital Advisors U.S. Large Company Equity Fun | ON | No | |
| U.S. Small Company Equity Fund | Primus Capital Advisors U.S. Small Company Equity Fun | ON | No | |
| Ucore Rare Metals Inc. | Ucore Uranium Inc. | NS | No | |
| UniDoc Health Corp. | | BC | No | |
| United Keno Hill Mines Limited | | ON | Yes | 1a,1b |
| United Lithium Corp | | BC | No | |
| UnitedHealth Group (UNH) Yield Shares Purpose ETF | | ON | No | |
| Ur-Energy Inc. | | ON | No | |
| Uranium Energy Corp. | | BC | No | |
| Uranium Royalty Corp. | | BC | No | |
| Urbana Corporation* | | ON | No | |
| UrtheCast Corp. | | BC | Yes | 1a,1b,1c,1d,1e,3 |
| US Financial 15 Split Corp.* | | ON | No | |
| US High Interest Savings Account Fund | | ON | No | |
| US Premium Cash Management Fund | | ON | No | |
| VAALCO Energy, Inc. | | AB | No | |
| Vail Resorts, Inc. | | BC | No | |
| Valero Energy Corporation | | ON | No | |
| Valeura Energy Inc. | | AB | No | |
| Vanguard All-Equity ETF Portfolio | | ON | No | |

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|---|---|--------------|------------|-------------------|
| Vanguard All-Equity ETF Portfolio Fund | | ON | No | |
| Vanguard Balanced ETF Portfolio | | ON | No | |
| Vanguard Balanced ETF Portfolio Fund | | ON | No | |
| Vanguard Canadian Aggregate Bond Index ETF | | ON | No | |
| Vanguard Canadian Corporate Bond Index ETF | | ON | No | |
| Vanguard Canadian Government Bond Index ETF | | ON | No | |
| Vanguard Canadian Long-Term Bond Index ETF | | ON | No | |
| Vanguard Canadian Short-Term Bond Index ETF | | ON | No | |
| Vanguard Canadian Short-Term Corporate Bond Index ETF | | ON | No | |
| Vanguard Canadian Ultra-Short Government Bond Index ETF | | ON | No | |
| Vanguard Conservative ETF Portfolio | | ON | No | |
| Vanguard Conservative ETF Portfolio Fund | | ON | No | |
| Vanguard Conservative Income ETF Portfolio | | ON | No | |
| Vanguard Developed ex-North America Dividend Appreciation Index ETF | | ON | No | |
| Vanguard FTSE Canada All Cap Index ETF | | ON | No | |
| Vanguard FTSE Canada Index ETF | Vanguard MSCI Canada Index ETF | ON | No | |
| Vanguard FTSE Canadian Capped REIT Index ETF | | ON | No | |
| Vanguard FTSE Canadian High Dividend Yield Index ETF | | ON | No | |
| Vanguard FTSE Developed All Cap ex North America Index ETF (Cad-hedged) | | ON | No | |
| Vanguard FTSE Developed All Cap ex North America Index ETF* | | ON | No | |
| Vanguard FTSE Developed All Cap ex U.S. Index ETF | Vanguard FTSE Developed ex North America Index ETF | ON | No | |
| Vanguard FTSE Developed All Cap ex U.S. Index ETF (CAD-hedged) | Vanguard FTSE Developed ex North America Index ETF (CAD-hedged) | ON | No | |
| Vanguard FTSE Developed Asia Pacific All Cap Index ETF | Vanguard FTSE Developed Asia Pacific Index ETF | ON | No | |
| Vanguard FTSE Developed Europe All Cap Index ETF | Vanguard FTSE Developed Europe Index ETF | ON | No | |
| Vanguard FTSE Developed ex North America High Dividend Yield Index ETF* | | ON | No | |
| Vanguard FTSE Emerging Markets All Cap Index ETF | Vanguard FTSE Emerging Markets Index ETF | ON | No | |
| Vanguard FTSE Global All Cap ex Canada Index ETF | Vanguard FTSE All-World ex Canada Index ETF | ON | No | |
| Vanguard Global Aggregate Bond Index ETF (CAD-hedged)* | | ON | No | |
| Vanguard Global Balanced Fund | | ON | No | |
| Vanguard Global Credit Bond Fund | | ON | No | |
| Vanguard Global Dividend Fund | | ON | No | |
| Vanguard Global Equity Fund | | ON | No | |
| Vanguard Global ex-U.S. Aggregate Bond Index ETF (CAD-hedged) | Vanguard Global ex-U.S. Aggregate Bond Index ETF | ON | No | |
| Vanguard Global Minimum Volatility ETF | | ON | No | |
| Vanguard Global Momentum Factor ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|--|--------------|------------|-------------------|
| Vanguard Global Value Factor ETF | | ON | No | |
| Vanguard Growth ETF Portfolio | | ON | No | |
| Vanguard Growth ETF Portfolio Fund | | ON | No | |
| Vanguard International Growth Fund | | ON | No | |
| Vanguard Retirement Income ETF Portfolio* | | ON | No | |
| Vanguard S&P 500 Index ETF | | ON | No | |
| Vanguard S&P 500 Index ETF (CAD-hedged) | | ON | No | |
| Vanguard U.S. Aggregate Bond Index ETF (CAD-hedged) | | ON | No | |
| Vanguard U.S. Dividend Appreciation Index ETF | | ON | No | |
| Vanguard U.S. Dividend Appreciation Index ETF (CAD-hedged) | | ON | No | |
| Vanguard U.S. High Dividend Yield Index ETF | | ON | No | |
| Vanguard U.S. High Dividend Yield Index ETF (CAD-hedged) | | ON | No | |
| Vanguard U.S. Total Market Index ETF | | ON | No | |
| Vanguard U.S. Total Market Index ETF (CAD-hedged) | Vanguard U.S. Tactical Market Index ETF (CAD-hedged) | ON | No | |
| Vanguard Windsor U.S. Value Fund | Vanguard U.S. Value Windsor Fund | ON | No | |
| Vecima Networks Inc. | Vcom Inc. | BC | No | |
| Velan Inc. | | QC | No | |
| Venator Alternative Income Fund | | ON | No | |
| Venator Ascendant Alternative Fund | Venator Founders Alternative Fund | ON | No | |
| Ventra Metals Corp. | | BC | No | |
| Verano Holdings Corp. | Verano Holdings Corp. | AB | No | |
| Verde AgriTech Ltd. | | ON | No | |
| Veritas Absolute Return Fund | | ON | No | |
| Veritas Canadian Equity Fund | | ON | No | |
| Veritas Next Edge Premium Yield Fund* | | ON | No | |
| Vermillion Energy Inc. | | AB | No | |
| VersaBank | Pacific & Western Bank of Canada | ON | No | |
| Versamet Royalties Corporation | | BC | No | |
| Verses AI Inc. | | BC | No | |
| VerticalScope Holdings Inc. | | ON | No | |
| VEXT Science, Inc. | Vapen MJ Ventures Corporation | BC | No | |
| Viavi Solutions Inc. | JDS Uniphase Corporation | BC | Yes | 1a,1b,3 |
| Victoria Gold Corp. | | ON | Yes | 1b,1c,1e |
| Viewpoint Diversified Commodities Trust | | AB | No | |
| Viewpoint Enhanced Global Multi-Asset Trust | | AB | No | |
| Viewpoint Global Multi-Asset Trust | | AB | No | |
| Village Farms International, Inc. | | BC | No | |
| Vintage Wine Estates, Inc. | Bespoke Capital Acquisition Corp. | ON | Yes | 1a,1c,1d,1e,3 |
| VIQ Solutions Inc. | | ON | No | |
| Virginia Hills Oil Corp. | | AB | No | |
| Visa Inc. | | ON | No | |
| Visible Gold Mines Inc. | | QC | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|---|---|--------------|------------|-------------------|
| Vision Alternative Income Fund | | ON | No | |
| Visionary Copper and Gold Mines Inc. | Callinex Mines Inc. | BC | No | |
| Vista Gold Corp. | Granges Inc. | BC | No | |
| Vitalist Inc. | CE Brands Inc. | AB | No | |
| Vizsla Copper Corp. | | BC | No | |
| Vizsla Royalties Corp. | | BC | No | |
| Vizsla Silver Corp. | | BC | No | |
| VM Hotel Acquisition Corp. | | ON | Yes | 1a,1c,1d,1e,3 |
| VOLATUS AEROSPACE CANADA CORP. | Volatus Aerospace Corp. | ON | No | |
| Volatus Aerospace Inc. | Drone Delivery Canada Corp. | ON | No | |
| VOTI Detection Inc. | Steamsand Capital Corp. | QC | Yes | 1a,1c,1e,3 |
| Vox Royalty Corp. | AIM3 Ventures Inc. | ON | No | |
| Voxtur Analytics Corp. | iLOOKABOUT Corp. | ON | Yes | 1b,1c,1e |
| Voyager Digital Ltd. | | ON | Yes | 1a,1c,1d,1e,3 |
| VPI Canadian Balanced Pool | VPI Dixon Mitchell Canadian Balanced Pool | MB | No | |
| VPI Canadian Equity Pool | VPI Cardinal Canadian Equity Pool | MB | No | |
| VPI Corporate Bond Pool | | MB | No | |
| VPI Dividend Growth Pool* | | MB | No | |
| VPI Global Equity Pool | VPI Foreign Equity Pool | MB | No | |
| VPI High Interest Savings Pool | | MB | No | |
| VPI Income Pool | VPI Canadian Income Pool | MB | No | |
| VPI Sustainability Leaders Pool | | MB | No | |
| VPI Total Equity Pool | | MB | No | |
| Vuzix Corporation | | ON | No | |
| Wajax Corporation | | ON | No | |
| Wallbridge Mining Company Limited | | ON | No | |
| Walton Edgemont Development Corporation | | AB | No | |
| Walton Ontario Land L.P. 1* | | AB | No | |
| Waratah Alternative Equity Income Fund | | ON | No | |
| Waratah Core Fund* | Waratah Alternative ESG Fund | ON | No | |
| Waratah Yield Fund | | ON | No | |
| Waste Connections, Inc. | Progressive Waste Solutions Ltd. | ON | No | |
| Waste Energy Corp. | MetaWorks Platforms, Inc. | BC | Yes | 3 |
| WaveFront All-Weather Alternative Fund | | ON | No | |
| Wavefront Global Diversified Investment Fund | | ON | No | |
| Waverider Communications Inc. | | ON | Yes | 1a,1b,1c,1d,1e,3 |
| Waverunner Capital Inc. | Chemistree Technology Inc. | BC | No | |
| Way of Will Inc. | | ON | Yes | 1a,1c,1e,3 |
| Wayland Group Corp. | Maricann Group Inc. | ON | Yes | 1a,1c,1e,3 |
| Wealthsimple Developed Markets ex North America | | ON | No | |
| Wealthsimple North America Socially Responsible Index ETF | | ON | No | |
| Wealthsimple North American Green Bond Index ETF (CAD-Hedged) | | ON | No | |
| Wealthsimple Shariah World Equity Index ETF | | ON | No | |

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| Issuer Name | Previous Name | Jurisdiction | In Default | Nature of Default |
|--|-------------------------------|--------------|------------|-------------------|
| WELL Health Technologies Corp. | | BC | No | |
| Wellington - IG Global Equity Hedge Pool* | | MB | No | |
| Wesdome Gold Mines Ltd. | | ON | No | |
| West Fraser Timber Co. LTD. | | BC | No | |
| West Red Lake Gold Mines Ltd. | | BC | No | |
| West Side Square Development Fund | | ON | No | |
| West Vault Mining Inc. | West Kirkland Mining Inc. | BC | No | |
| Westcoast Energy Inc. | | AB | No | |
| Western Copper and Gold Corporation | Western Copper Corporation | BC | No | |
| Western Energy Services Corp. | | AB | No | |
| Western Forest Products Inc. | | BC | No | |
| Western Plains Petroleum Ltd. | Saha Petroleum Ltd | AB | No | |
| Westgate Energy Inc. | | AB | No | |
| Westhaven Gold Corp. | | BC | No | |
| Westport Fuel Systems Inc. | Westport Innovations Inc. | BC | No | |
| Westshore Terminals Investment Corporation | | BC | No | |
| Wheaton Precious Metals Corp. | Silver Wheaton Corp. | BC | No | |
| White Gold Corp. | | ON | No | |
| White Rose Crafts And Nursery Sales Limited | | ON | Yes | 1a,1b,3 |
| Whitecap Resources Inc. | | AB | No | |
| WildBrain Ltd. | DHX Media Ltd. | ON | No | |
| Wildpack Beverage Inc. | | BC | Yes | 1a,1c,1e,3 |
| Winchester Equity Corporation | Three Valley Copper Corp. | ON | No | |
| Wishpond Technologies Ltd. | | BC | No | |
| Wolfden Resources Corporation | | ON | No | |
| Wolverine Energy and Infrastructure Inc. | | AB | Yes | 1b,1c,1e |
| WonderFi Technologies Inc. | | ON | No | |
| Woodfine Professional Centres Limited Partnership* | | BC | No | |
| World Copper Ltd. | | BC | No | |
| WSP Global Inc. | | QC | No | |
| Xali Gold Corp. | Candente Gold Corp. | BC | No | |
| Xerox Corporation | | ON | No | |
| Xtract One Technologies Inc. | Patriot One Technologies Inc. | ON | No | |
| XXL Energy Corp. | Exxel Energy Corp. | BC | No | |
| Yangarra Resources Ltd. | | AB | No | |
| Yellow Pages Limited | | QC | No | |
| Yield Shares (JPYS) Purpose ETF | | ON | No | |
| Ynvisible Interactive Inc. | Network Exploration Ltd. | BC | No | |
| Yorkville Aegon Balanced Portfolio | | ON | No | |
| Yorkville Aegon Conservative Income Portfolio | | ON | No | |
| Yorkville Aegon Growth Portfolio | | ON | No | |
| Yorkville American QVR Enhanced Protection Class | | ON | No | |
| Yorkville Canadian QVR Enhanced Protection Class | | ON | No | |

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|--|-----------------------------|--------------|------------|-------------------|
| Yorkville Crypto, Blockchain and FinTech Opportunities Class | | ON | No | |
| Yorkville Dividend Income Class | | ON | No | |
| Yorkville Enhanced Protection Class | | ON | No | |
| Yorkville Focused Growth Class | | ON | No | |
| Yorkville Global Opportunities Class | | ON | No | |
| Yorkville Health Care Opportunities Class | | ON | No | |
| Yorkville International QVR Enhanced Protection Class | | ON | No | |
| Yorkville Optimal Return Bond Class | | ON | No | |
| Young Australian Mines Ltd. | Moly Mines Limited | ON | Yes | 1a,3 |
| YourWay Cannabis Brands Inc. | Hollister Biosciences Inc. | BC | Yes | 1a,1c,1e,3 |
| YTM Capital Fixed Income Alternative Fund | | ON | No | |
| Zedcor Inc. | | AB | No | |
| Zenith Capital Corp. | Zenith Epigenetics Corp. | AB | No | |
| Zentek Ltd. | ZEN Graphene Solutions Ltd. | ON | No | |
| Zeox Corporation | C2C Zeolite Corporation | AB | Yes | 1a,1b,1c,3 |
| Zephyr Minerals Ltd. | | NS | No | |
| ZRCN Inc. | ZRCN Inc. | QC | Yes | 1a,1c,1e,3 |
| Zungui Haixi Corporation | | ON | No | |
| Zymeworks Inc. | | BC | No | |