

## Nova Scotia Securities Commission

### Please Note

The default status of a reporting issuer shown on the list is as of the date of the last update indicated. More current information may be obtained by calling 902-424-6859 or 902-424-7059 or by email: [NSSC\\_Corp\\_Finance@novascotia.ca](mailto:NSSC_Corp_Finance@novascotia.ca).

Last Updated on Aug 24, 2026

| Legend     |                                                                                                                                                                                                                                                                                                                                                                                                                          |
|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>1a.</b> | Annual financial statements                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>1b.</b> | Interim financial statements                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>1c.</b> | Annual or interim management's discussion and analysis (MD&A) or annual or interim management report of fund performance (MRFP)                                                                                                                                                                                                                                                                                          |
| <b>1d.</b> | Annual information form (AIF)                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>1e.</b> | Certification of annual or interim filings under Multilateral Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings (MI 52-109)                                                                                                                                                                                                                                                           |
| <b>1f.</b> | Proxy materials or a required information circular                                                                                                                                                                                                                                                                                                                                                                       |
| <b>1g.</b> | Issuer profile supplement on the System for Electronic Disclosure By Insiders (SEDI)                                                                                                                                                                                                                                                                                                                                     |
| <b>1h.</b> | Material change report                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>1i.</b> | Written update after filing a confidential report of a material change                                                                                                                                                                                                                                                                                                                                                   |
| <b>1j.</b> | Business acquisition report                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>1k.</b> | Annual oil and gas disclosure prescribed by National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities (NI 51-101) or a technical report for a mineral project required under National Instrument 43-101 Standards of Disclosure for Mineral Projects (NI 43-101)                                                                                                                                     |
| <b>1l.</b> | Mandatory news releases                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>1m.</b> | Corporate governance disclosure as required by National Instrument 58-101 Disclosure of Corporate Governance Practices                                                                                                                                                                                                                                                                                                   |
| <b>1n.</b> | Audit committee disclosure as required by Multilateral Instrument 52-110 Audit Committees or BC Instrument 52-509 Audit Committees                                                                                                                                                                                                                                                                                       |
| <b>1o.</b> | Disclosure in an issuer's MD&A relating to disclosure controls and procedures and their effectiveness that is referred to in a certificate filed under MI 52-109                                                                                                                                                                                                                                                         |
| <b>2a.</b> | The financial statements of the reporting issuer, or the auditor's report accompanying the financial statements, do not comply with the requirements of National Instrument 51-102 Continuous Disclosure Obligations (NI 51-102), National Instrument 81-106 Investment Fund Continuous Disclosure (NI 81-106) or National Instrument 52-107 Acceptable Accounting Principles, Auditing Standards and Reporting Currency |
| <b>2b.</b> | The reporting issuer has acknowledged that its financial statements, or the auditor's report accompanying the financial statements, may no longer be relied upon                                                                                                                                                                                                                                                         |
| <b>2c.</b> | The reporting issuer's AIF, MD&A, MRFP, information circular or business acquisition reports do not contain information for each of the content items required by NI 51-102 or NI 81-106                                                                                                                                                                                                                                 |
| <b>2d.</b> | The reporting issuer's technical disclosure or other reports do not comply with the disclosure requirements of NI 43-101 or NI 51-101                                                                                                                                                                                                                                                                                    |
| <b>3.</b>  | The reporting issuer has failed to pay a fee required by securities laws                                                                                                                                                                                                                                                                                                                                                 |
| <b>4.</b>  | The reporting issuer has failed to comply with any other requirement related to continuous disclosure                                                                                                                                                                                                                                                                                                                    |

## Nova Scotia Securities Commission

| Issuer Name                                               | Previous Name                                     | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------|---------------------------------------------------|--------------|------------|-------------------|
| @rgentum Canadian Equity Portfolio                        | Champlain Canadian Equity Portfolio               | QC           | Yes        | 1a,1b,1c,1d,3     |
| @rgentum Canadian L/S Equity Portfolio                    | Merchant Capital Canadian L/S Equity Portfolio    | QC           | Yes        | 1a,1b,1c,1d,3     |
| @rgentum Canadian Performance Portfolio                   | @Rgentum Canadian Small Company Portfolio         | QC           | Yes        | 1a,1b,1c,1d,3     |
| @rgentum Discovery Portfolio                              |                                                   | QC           | Yes        | 1a,1b,1c,3        |
| @rgentum Income Porfolio                                  | Champlain Income Portfolio                        | QC           | Yes        | 1a,1b,1c,1d,3     |
| @rgentum International Master Portfolio                   | Champlain International Master Portfolio          | QC           | Yes        | 1a,1b,1c,1d,3     |
| @rgentum Short Term Asset Portfolio                       | Champlain Short Term Asset Portfolio              | QC           | Yes        | 1a,1b,1c,1d,3     |
| @rgentum U.S. Market Neutral Portfolio                    |                                                   | QC           | Yes        | 1a,1b,1c,1d,3     |
| @rgentum U.S. Master Portfolio                            | Champlain U.S. Master Portfolio                   | QC           | Yes        | 1a,1b,1c,1d,3     |
| 01 Quantum Inc.                                           | 01 Communique Laboratory Inc.                     | ON           | No         |                   |
| 1317774 B.C. Ltd.                                         |                                                   | ON           | No         |                   |
| 1608557 Ontario Inc.                                      | Hemosol Corp.                                     | ON           | Yes        | 1a,1b,1c,1e,3     |
| 1763960 Nova Scotia Limited (Cease Traded)                | Grandview Golf & Country Club Limited             | NS           | Yes        | 1a,3              |
| 1832 AM Global Credit Pool                                | Scotia Private Global Credit Pool                 | ON           | No         |                   |
| 1832 AM International Growth Equity Pool                  | Scotia Private International Growth Equity Pool   | ON           | No         |                   |
| 1832 AM Investment Grade Canadian Corporate Bond Pool     |                                                   | ON           | No         |                   |
| 1832 AM Quantitative Global Mega Cap Equity Pool          |                                                   | ON           | No         |                   |
| 1832 AM U.S. \$ Investment Grade U.S. Corporate Bond Pool | 1832 AM Investment Grade U.S. Corporate Bond Pool | ON           | No         |                   |
| 1832 AM U.S. Core Equity Pool                             |                                                   | ON           | No         |                   |
| 1911 Gold Corporation                                     | Havilah Mining Corporation                        | BC           | No         |                   |
| 2028 Investment Grade Bond Trust                          |                                                   | ON           | No         |                   |
| 2242749 Ontario Limited                                   | Armtec Infrastructure Inc.                        | ON           | No         |                   |
| 360networks Inc.                                          | Worldwide Fiber Inc.                              | BC           | Yes        | 1a,1b             |
| 3iQ Bitcoin ETF                                           | 3iQ CoinShares Bitcoin ETF                        | ON           | No         |                   |
| 3iQ Ether Staking ETF                                     | 3iQ Ether ETF                                     | ON           | No         |                   |
| 3iQ Solana Staking ETF                                    |                                                   | ON           | No         |                   |
| 3iQ XRP ETF                                               |                                                   | ON           | No         |                   |
| 407 International Inc.                                    | 1346292 Ontario Inc.                              | ON           | No         |                   |
| 4370422 Canada Inc.                                       | Davie Yards Inc.                                  | QC           | No         |                   |
| 4504020 Canada Inc.                                       | Railpower Technologies Corp.                      | QC           | No         |                   |
| 48North Cannabis Corp.                                    |                                                   | ON           | No         |                   |
| 49 North Resources Inc.                                   | 49 North Resource Fund Inc.                       | SK           | No         |                   |
| 4Front Ventures Corp.                                     |                                                   | ON           | Yes        | 1a,1c,1e,3        |
| 5N Plus Inc.                                              |                                                   | QC           | No         |                   |
| 7936567 CANADA INC.                                       | Stornoway Diamond Corporation                     | QC           | Yes        | 1a,1b,1c,1e       |
| A2 Gold Corp.                                             | Allegiant Gold Ltd.                               | BC           | No         |                   |
| Abacan Resource Corporation                               |                                                   | AB           | Yes        | 1a,1b             |
| Abacus Mining and Exploration Corporation                 |                                                   | BC           | No         |                   |
| Abaxx Technologies Inc.                                   | New Millennium Iron Corp.                         | ON           | No         |                   |
| Abitibi Metals Corp.                                      |                                                   | ON           | No         |                   |
| Aboriginal Global Investment Fund                         |                                                   | BC           | Yes        | 1a,1b,3           |
| Abound Energy Inc.                                        | Zinc8 Energy Solutions Inc.                       | BC           | No         |                   |

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|---------------------------------------------------|---------------------------------------------------------|--------------|------------|-------------------|
| AbraSilver Resource Corp.                         |                                                         | ON           | No         |                   |
| abrdn Asia-Pacific Income Fund VCC                | Aberdeen Asia-Pacific Income Investment Company Limited | ON           | No         |                   |
| Acadian Timber Corp.                              |                                                         | NB           | No         |                   |
| Accelerate Absolute Return Fund                   | Accelerate Absolute Return Hedge Fund                   | AB           | No         |                   |
| Accelerate Arbitrage Fund                         |                                                         | AB           | No         |                   |
| Accelerate Canadian Long Short Equity Fund        | Accelerate Enhanced Canadian Benchmark Alternative Fund | AB           | No         |                   |
| Accelerate Diversified Credit Income Fund         |                                                         | AB           | No         |                   |
| Accelerate OneChoice Alternative Multi-Asset Fund | Accelerate OneChoice Alternative Portfolio ETF          | AB           | No         |                   |
| Accord Financial Corp.                            |                                                         | ON           | No         |                   |
| ACE Aviation Holdings Inc.                        |                                                         | QC           | No         |                   |
| Aclara Resources Inc.                             |                                                         | ON           | No         |                   |
| ACT Energy Technologies Ltd.                      | Cathedral Energy Services Ltd.                          | AB           | No         |                   |
| Action Energy Inc.                                |                                                         | AB           | No         |                   |
| Adaltis Inc.                                      |                                                         | QC           | No         |                   |
| ADCORE Inc.                                       |                                                         | ON           | No         |                   |
| Addenda Global Balanced Fund                      | Addenda HumaniT Balanced Growth Fund                    | QC           | No         |                   |
| Addenda Global Diversified Equity Fund            | Addenda HumaniT Balanced Moderate Fund                  | QC           | No         |                   |
| Addenda Income Focus Fund                         | Addenda HumaniT Balanced Fund                           | QC           | No         |                   |
| ADENTRA Inc.                                      | Hardwoods Distribution Inc.                             | BC           | No         |                   |
| Adex Mining Inc.                                  |                                                         | ON           | No         |                   |
| ADF Group Inc.                                    |                                                         | QC           | No         |                   |
| Admiral Inc.                                      |                                                         | ON           | Yes        | 1a,1b             |
| Advanced Education Savings Plan*                  |                                                         | ON           | No         |                   |
| Advanced Micro Devices, Inc.                      |                                                         | ON           | No         |                   |
| Advantage Energy Ltd.                             | Advantage Oil & Gas Ltd.                                | AB           | No         |                   |
| Advantedge International Inc.                     |                                                         | AB           | No         |                   |
| Aecon Group Inc.                                  |                                                         | ON           | No         |                   |
| Aegis Brands Inc.                                 | Second Cup Ltd., The                                    | ON           | No         |                   |
| AFM Hospitality Corporation                       | Rose Gold Mining Company, Limited                       | ON           | Yes        | 1a,1b,3           |
| African Copper PLC                                |                                                         | ON           | No         |                   |
| Ag Growth International Inc.                      |                                                         | MB           | No         |                   |
| AGF American Growth Class                         |                                                         | ON           | No         |                   |
| AGF American Growth Fund                          | AGF Special U.S. Fund                                   | ON           | No         |                   |
| AGF Canadian All Cap Strategic Equity Fund        |                                                         | ON           | No         |                   |
| AGF Canadian Dividend Income Fund                 | AGFiQ Canadian Dividend Income Fund                     | ON           | No         |                   |
| AGF Canadian Growth Equity Class                  | AGF Canadian Growth Equity Fund Limited                 | ON           | No         |                   |
| AGF Canadian Growth Equity Fund                   |                                                         | ON           | No         |                   |
| AGF Canadian Money Market Class                   | AGF Short-Term Income Class                             | ON           | No         |                   |
| AGF Canadian Money Market Fund                    | AGF Money Market Account                                | ON           | No         |                   |
| AGF Canadian Small Cap Fund                       | AGF Canadian Aggressive All-Cap Fund                    | ON           | No         |                   |
| AGF Canadian Strategic Balanced Fund              |                                                         | ON           | No         |                   |
| AGF Canadian Strategic Bond Fund                  |                                                         | ON           | No         |                   |
| AGF Credit Opportunities Fund                     |                                                         | ON           | No         |                   |

## Nova Scotia Securities Commission

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|-----------------------------------------------|------------------------------------|--------------|------------|-------------------|
| AGF Elements Balanced Portfolio               |                                    | ON           | No         |                   |
| AGF Elements Balanced Portfolio Class         |                                    | ON           | No         |                   |
| AGF Elements Conservative Portfolio           |                                    | ON           | No         |                   |
| AGF Elements Conservative Portfolio Class     |                                    | ON           | No         |                   |
| AGF Elements Global Portfolio                 |                                    | ON           | No         |                   |
| AGF Elements Global Portfolio Class           |                                    | ON           | No         |                   |
| AGF Elements Growth Portfolio                 |                                    | ON           | No         |                   |
| AGF Elements Growth Portfolio Class           |                                    | ON           | No         |                   |
| AGF Emerging Markets Class                    |                                    | ON           | No         |                   |
| AGF Emerging Markets Fund                     | AGF Emerging Markets Value Fund    | ON           | No         |                   |
| AGF Emerging Markets Strategic Equity Fund    |                                    | ON           | No         |                   |
| AGF Enhanced U.S. Equity Income Fund          |                                    | ON           | No         |                   |
| AGF Enhanced U.S. Income Plus Fund            |                                    | ON           | No         |                   |
| AGF European Equity Class                     | AGF European Growth Class          | ON           | No         |                   |
| AGF European Equity Fund                      |                                    | ON           | No         |                   |
| AGF Fixed Income Plus Class                   |                                    | ON           | No         |                   |
| AGF Fixed Income Plus Fund                    | Acuity Fixed Income Fund           | ON           | No         |                   |
| AGF Floating Rate Income Fund                 |                                    | ON           | No         |                   |
| AGF Global Alternatives Strategic Equity Fund |                                    | ON           | No         |                   |
| AGF Global Balanced Growth Portfolio Fund     | AGF Balanced Growth Portfolio Fund | ON           | No         |                   |
| AGF Global Conservative Portfolio Fund        | AGF Conservative Portfolio Fund    | ON           | No         |                   |
| AGF Global Convertible Bond Fund              |                                    | ON           | No         |                   |
| AGF Global Corporate Bond Fund                | AGF High Yield Bond Fund           | ON           | No         |                   |
| AGF Global Defensive Portfolio Fund           | AGF Defensive Portfolio Fund       | ON           | No         |                   |
| AGF Global Dividend Class                     |                                    | ON           | No         |                   |
| AGF Global Dividend Fund                      |                                    | ON           | No         |                   |
| AGF Global Dividend Strategic Equity Fund     |                                    | ON           | No         |                   |
| AGF Global Equity Class                       | AGF World Equity Class             | ON           | No         |                   |
| AGF Global Equity Fund                        | AGF World Companies Fund           | ON           | No         |                   |
| AGF Global ESG Equity Fund                    |                                    | ON           | No         |                   |
| AGF Global Growth Balanced Fund               | AGF Global Strategic Balanced Fund | ON           | No         |                   |
| AGF Global Growth Portfolio Fund              | AGF Growth Portfolio Fund          | ON           | No         |                   |
| AGF Global Income Portfolio Fund              | AGF Income Portfolio Fund          | ON           | No         |                   |
| AGF Global Moderate Portfolio Fund            | AGF Moderate Portfolio Fund        | ON           | No         |                   |
| AGF Global Real Assets Class                  | AGF Global Resources Class         | ON           | No         |                   |
| AGF Global Real Assets Fund                   | AGF Precious Metals Fund           | ON           | No         |                   |
| AGF Global Select Fund                        | AGF Aggressive Global Stock Fund   | ON           | No         |                   |
| AGF Global Strategic Equity Fund              |                                    | ON           | No         |                   |
| AGF Global Sustainable Balanced Class         | AGF Diversified Income Class       | ON           | No         |                   |
| AGF Global Sustainable Balanced Fund          | AGF Diversified Income Fund        | ON           | No         |                   |
| AGF Global Sustainable Growth Equity ETF      |                                    | ON           | No         |                   |
| AGF Global Sustainable Growth Equity Fund     | AGF Clean Environment Equity Fund  | ON           | No         |                   |
| AGF Global Unconstrained Strategic Bond Fund  |                                    | ON           | No         |                   |
| AGF High Interest Savings Account Fund        |                                    | ON           | No         |                   |

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|-----------------------------------------------------|--------------------------------------------------|--------------|------------|-------------------|
| AGF Management Limited                              |                                                  | ON           | No         |                   |
| AGF Monthly Canadian Dividend Income Fund           |                                                  | ON           | No         |                   |
| AGF Multi-Asset Balanced Income Portfolio           | AGF Equity Income Fund                           | ON           | No         |                   |
| AGF Multi-Asset Conservative Income Portfolio       | AGF Global Yield Fund                            | ON           | No         |                   |
| AGF Multi-Asset Conservative Income Portfolio Class | AGF Global Yield Class                           | ON           | No         |                   |
| AGF Multi-Asset Growth and Income Portfolio         | AGF Global Strategic Income Fund                 | ON           | No         |                   |
| AGF North American Dividend Income Class            | AGFIQ North American Dividend Income Class       | ON           | No         |                   |
| AGF North American Dividend Income Fund             | AGFIQ North American Dividend Income Fund        | ON           | No         |                   |
| AGF North American Small-Mid Cap Fund               |                                                  | ON           | No         |                   |
| AGF Systematic Global ESG Factors ETF               | AGFIQ Global ESG Factors ETF                     | ON           | No         |                   |
| AGF Systematic Global Infrastructure ETF            | AGFIQ Global Infrastructure ETF                  | ON           | No         |                   |
| AGF Total Return Bond Class                         |                                                  | ON           | No         |                   |
| AGF Total Return Bond Fund                          | AGF Global High Yield Bond Fund                  | ON           | No         |                   |
| AGF U.S. Sector Class                               | AGFIQ U.S. Sector Class                          | ON           | No         |                   |
| AGF U.S. Sector Fund                                |                                                  | ON           | No         |                   |
| AGF U.S. Small-Mid Cap Fund                         | AGF Aggressive U.S. Growth Fund                  | ON           | No         |                   |
| AGF US All Cap Growth Equity Fund                   |                                                  | ON           | No         |                   |
| AGF US Equity Enhanced Income Fund*                 |                                                  | ON           | No         |                   |
| AGF US Market Neutral Anti-Beta CAD-Hedged ETF      | AGFIQ US Market Neutral Anti-Beta CAD-Hedged ETF | ON           | No         |                   |
| AGF US Sector Rotation Fund                         |                                                  | ON           | No         |                   |
| Agnico Eagle Mines Limited                          |                                                  | ON           | No         |                   |
| Agrinam Acquisition Corporation                     |                                                  | ON           | Yes        | 1b,1c,1e          |
| AGT Food and Ingredients Inc.                       | Alliance Grain Traders Inc.                      | SK           | No         |                   |
| AI Artificial Intelligence Ventures Inc.            | ESG Global Impact Capital Inc.                   | BC           | No         |                   |
| AIC Mines Limited                                   | Intrepid Mines Limited                           | ON           | No         |                   |
| AIM5 Ventures Inc.                                  |                                                  | ON           | No         |                   |
| Aimglobal Technologies Company Inc.                 | AIM Safety Company Inc.                          | BC           | Yes        | 1a,1b,3           |
| Aimia Inc.                                          | Groupe Aeroplan Inc.                             | ON           | No         |                   |
| Air Canada                                          |                                                  | QC           | No         |                   |
| AIRBOSS OF AMERICA CORP.                            |                                                  | ON           | No         |                   |
| Akela Pharma Inc.                                   |                                                  | BC           | No         |                   |
| Akita Drilling Ltd.                                 |                                                  | AB           | No         |                   |
| Akrokeri-Ashanti Gold Mines Inc.                    |                                                  | ON           | Yes        | 1a,1b,3           |
| Alamos Gold Inc.                                    |                                                  | ON           | No         |                   |
| Alaris Equity Partners Income Trust                 |                                                  | AB           | No         |                   |
| Alaska Energy Metals Corporation                    | Millrock Resources Inc.                          | BC           | No         |                   |
| Alchemy Labs Inc.                                   |                                                  | ON           | No         |                   |
| Aleafia Health Inc.                                 | Canabo Medical Inc.                              | ON           | Yes        | 1b,1c,1e          |
| Algo Group Inc.                                     | Algo Group Inc.                                  | QC           | Yes        | 1a,1c,1e,3        |
| Algoma Central Corporation                          |                                                  | ON           | No         |                   |
| Algoma Steel Group Inc.                             |                                                  | ON           | No         |                   |
| Algonquin Fixed Income 2.0 Fund                     |                                                  | ON           | No         |                   |
| Algonquin Power & Utilities Corp.                   | Hydrogenics Corporation                          | ON           | No         |                   |

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|------------------------------------------------|-----------------------------------------------------|--------------|------------|-------------------|
| Alimentation Couche-Tard Inc.                  |                                                     | QC           | No         |                   |
| All-Canadian Oil & Gas ETF                     |                                                     | ON           | No         |                   |
| Allied Gold Corporation                        |                                                     | ON           | No         |                   |
| Allied Properties Real Estate Investment Trust |                                                     | ON           | No         |                   |
| Almaden Minerals Ltd.                          |                                                     | BC           | No         |                   |
| Alpha Cognition Inc.                           |                                                     | BC           | No         |                   |
| Alphabet (GOOGL) Yield Shares Purpose ETF      | Alphabet (GOOGL) Yield Shares                       | ON           | No         |                   |
| AlphaDelta Canadian Dividend Income Class      | AlphaDelta Canadian Growth of Dividend Income Class | BC           | No         |                   |
| AlphaDelta Global Dividend Income Class        | AlphaDelta Growth of Dividend Income Class          | BC           | No         |                   |
| Alphanet Telecom Inc.                          |                                                     | ON           | Yes        | 1a,1b,3           |
| ALSTOM                                         | Alstom (Not A R.I. Yet)                             | ON           | No         |                   |
| ALT House Cannabis Inc.                        |                                                     | BC           | Yes        | 1a,1c,1e,3        |
| AltaGas Ltd.                                   |                                                     | AB           | No         |                   |
| AltaLink, L.P.                                 |                                                     | AB           | No         |                   |
| Altair Gold Explorations Inc.                  | Lucas Gold Resources Corporation                    | ON           | Yes        | 1a,1b,3           |
| Altius Minerals Corporation                    |                                                     | ON           | No         |                   |
| Altus Group Limited                            |                                                     | ON           | No         |                   |
| Alvopetro Energy Ltd.                          |                                                     | AB           | No         |                   |
| Amapá Minerals Holdings Inc.                   |                                                     | ON           | No         |                   |
| Amaroq Ltd.                                    | Amaroq Minerals Ltd.                                | ON           | No         |                   |
| Amazon (AMZN) Yield Shares Purpose ETF         | Amazon (AMZN) Yield Shares                          | ON           | No         |                   |
| AMD (AMD) Yield Shares Purpose ETF             |                                                     | ON           | No         |                   |
| American Hotel Income Properties REIT LP       |                                                     | BC           | No         |                   |
| American Pacific Mining Corp.                  |                                                     | BC           | No         |                   |
| Americas Gold and Silver Corporation           | Americas Silver Corporation                         | ON           | No         |                   |
| Amt International Mining Corporation           |                                                     | ON           | Yes        | 1a,1b,3           |
| Anaergia Inc.                                  |                                                     | ON           | No         |                   |
| AnalytixInsight Inc.                           | AnalytixInsight Inc.                                | ON           | Yes        | 1a,1c,1e,3        |
| ANB Canada Inc.*                               | Griffin Skye Corporation                            | ON           | No         |                   |
| Andean Precious Metals Corp.                   |                                                     | ON           | No         |                   |
| Anfield Energy Inc.                            | Anfield Resources Inc.                              | BC           | No         |                   |
| Anthem Citizen Real Estate Development Trust   |                                                     | BC           | No         |                   |
| Antler Gold Inc.                               | Northwest Arm Capital Inc.                          | NS           | No         |                   |
| Anvil Range Mining Corporation (Cease Traded)  |                                                     | ON           | Yes        | 1a,1b             |
| Apex Critical Metals Corp.                     |                                                     | BC           | No         |                   |
| Apotex Health Corp.                            |                                                     | ON           | No         |                   |
| Appili Therapeutics Inc.                       |                                                     | NS           | No         |                   |
| Apple (AAPL) Yield Shares Purpose ETF          | Apple (AAPL) Yield Shares                           | ON           | No         |                   |
| Aquarius Surgical Technologies Inc.            | Aquarius Coatings Inc.                              | ON           | No         |                   |
| Arbutus Biopharma Corporation                  | Tekmira Pharmaceuticals Corporation                 | BC           | No         |                   |
| ARC Resources Ltd.                             |                                                     | AB           | No         |                   |
| Ares Strategic Mining Inc.                     | Lithium Energy Products Inc.                        | BC           | No         |                   |
| Aretto Wellness Inc.                           | Ritruel Superfoods Inc.                             | BC           | No         |                   |

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|--------------------------------------------|----------------------------------------------------|--------------|------------|-------------------|
| Argent Energy Trust                        |                                                    | AB           | No         |                   |
| Argo Corporation                           | STEER Technologies Inc.                            | ON           | No         |                   |
| Argosy Energy Inc.                         |                                                    | AB           | No         |                   |
| ARHT Media Inc.                            |                                                    | ON           | Yes        | 1b,1c,1e          |
| Arimetco International Inc. (Cease Traded) |                                                    | ON           | Yes        | 1a                |
| Aris Mining Corporation                    | GCM Mining Corp.                                   | BC           | No         |                   |
| Aris Mining Holdings Corp.                 | Aris Gold Corporation                              | BC           | No         |                   |
| ARISE Technologies Corporation             |                                                    | ON           | No         |                   |
| Aristotle - IG U.S. Small Cap Equity Pool  |                                                    | MB           | No         |                   |
| Aritzia Inc.                               |                                                    | BC           | No         |                   |
| Arizona Metals Corp.                       | Ring the Bell Capital Corp.                        | ON           | No         |                   |
| Arrow EC Equity Advantage Alternative Fund |                                                    | ON           | No         |                   |
| Arrow EC Income Advantage Alternative Fund |                                                    | ON           | No         |                   |
| Arrow Global Multi-Asset Alternative Fund  |                                                    | ON           | No         |                   |
| Arrow Income Alternative Fund              |                                                    | ON           | No         |                   |
| Arrow Long/Short Alternative Fund          |                                                    | ON           | No         |                   |
| Arrow Opportunities Alternative Fund       |                                                    | ON           | No         |                   |
| Artemis Gold Inc.                          |                                                    | BC           | No         |                   |
| Arway Corporation                          |                                                    | ON           | No         |                   |
| Ascalade Communications Inc.               | Ascalade Communications Inc. (Not Ri Yet)          | BC           | No         |                   |
| Ascend Wellness Holdings, Inc.             | Ascend Wellness Holdings, LLC                      | ON           | No         |                   |
| Asset Management Software Systems Corp.    | Moss Resources Inc._Reverse Take-Over<br>20may2000 | ON           | No         |                   |
| ATB Emerging Markets Equity Fund           |                                                    | AB           | No         |                   |
| ATB Global Equity Pool                     |                                                    | AB           | No         |                   |
| ATB International Disciplined Equity Fund  |                                                    | AB           | No         |                   |
| ATB International Equity Income Fund       |                                                    | AB           | No         |                   |
| ATB Monthly Income Portfolio               |                                                    | AB           | No         |                   |
| ATB US Large Cap Equity Fund               |                                                    | AB           | No         |                   |
| ATBIS Canadian Equity Pool                 |                                                    | AB           | No         |                   |
| ATBIS Fixed Income Pool                    |                                                    | AB           | No         |                   |
| ATBIS International Equity Pool            |                                                    | AB           | No         |                   |
| ATBIS U.S. Equity Pool                     |                                                    | AB           | No         |                   |
| Atco LTD.                                  |                                                    | AB           | No         |                   |
| ATERRA Metals Inc.                         | Cascada Silver Corp.                               | ON           | No         |                   |
| Athabasca Oil Corporation                  | Athabasca Oil Sands Corp.                          | AB           | No         |                   |
| Atico Mining Corporation                   |                                                    | BC           | No         |                   |
| AtkinsRéalis Group Inc.                    | SNC-Lavalin Group Inc.                             | QC           | No         |                   |
| Atlantic Horizon Capital Corp.             |                                                    | NB           | No         |                   |
| Atlas Energy Corp.                         | Willow Biosciences Inc.                            | AB           | No         |                   |
| Atmofizer Technologies Inc.                |                                                    | BC           | No         |                   |
| Atna Resources Ltd.                        |                                                    | BC           | No         |                   |
| Aton Resources Inc.                        | Alexander Nubia International Inc.                 | BC           | No         |                   |
| Atrium Mortgage Investment Corporation     |                                                    | ON           | No         |                   |
| ATS Corporation                            | ATS Automation Tooling Systems Inc.                | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                        | Previous Name                        | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------|--------------------------------------|--------------|------------|-------------------|
| Aura Minerals Inc.                                 |                                      | ON           | No         |                   |
| Aurinia Pharmaceuticals Inc.                       | Isotechnika Pharma Inc.              | AB           | No         |                   |
| Auro Metals Inc.                                   | Tincorp Metals Inc.                  | BC           | No         |                   |
| Aurora Cannabis Inc.                               |                                      | AB           | No         |                   |
| Auspice Diversified Trust                          |                                      | AB           | No         |                   |
| Auspice One Fund Trust                             |                                      | AB           | No         |                   |
| Austral Gold Limited                               |                                      | ON           | No         |                   |
| Australis Capital Inc.                             |                                      | BC           | Yes        | 1a,1b,1c,1e,3     |
| AutoCanada Inc.                                    |                                      | AB           | No         |                   |
| Automotive Finco Corp.                             | Augyva Mining Resources Inc.         | ON           | No         |                   |
| Automotive Properties Real Estate Investment Trust |                                      | ON           | No         |                   |
| Auxly Cannabis Group Inc.                          | Cannabis Wheaton Income Corp         | ON           | No         |                   |
| Avalon Advanced Materials Inc.                     | Avalon Rare Metals Inc.              | ON           | No         |                   |
| Avant Brands Inc.                                  | GTEC Holdings Ltd.                   | BC           | No         |                   |
| Avanti Helium Corp.                                | Avanti Energy Inc.                   | AB           | No         |                   |
| Avantis CIBC All-Equity Asset Allocation ETF       |                                      | ON           | No         |                   |
| Avantis CIBC Balanced Asset Allocation ETF         |                                      | ON           | No         |                   |
| Avantis CIBC Canadian Equity ETF                   |                                      | ON           | No         |                   |
| Avantis CIBC Emerging Markets Equity ETF           |                                      | ON           | No         |                   |
| Avantis CIBC Global Small Cap Value ETF            |                                      | ON           | No         |                   |
| Avantis CIBC Growth Asset Allocation ETF           |                                      | ON           | No         |                   |
| Avantis CIBC International Equity ETF              |                                      | ON           | No         |                   |
| Avantis CIBC U.S. All-Cap Equity ETF               |                                      | ON           | No         |                   |
| Avantis CIBC U.S. Large Cap Value ETF              |                                      | ON           | No         |                   |
| Avantis CIBC U.S. Small Cap Value ETF              |                                      | ON           | No         |                   |
| Avantis CIBC World Equity ETF                      |                                      | ON           | No         |                   |
| AVINO SILVER AND GOLD MINES LTD.                   |                                      | BC           | No         |                   |
| Avisa Diagnostics Inc.                             | FogChain Corp.                       | ON           | Yes        | 1b,1c,1e          |
| Axiom All Equity Portfolio                         |                                      | ON           | No         |                   |
| Axiom Balanced Growth Portfolio                    |                                      | ON           | No         |                   |
| Axiom Balanced Income Portfolio                    |                                      | ON           | No         |                   |
| Axiom Canadian Growth Portfolio                    |                                      | ON           | No         |                   |
| Axiom Diversified Monthly Income Portfolio         |                                      | ON           | No         |                   |
| Axiom Foreign Growth Portfolio                     |                                      | ON           | No         |                   |
| Axiom Global Growth Portfolio                      |                                      | ON           | No         |                   |
| Axiom Long-Term Growth Portfolio                   |                                      | ON           | No         |                   |
| Axo Metals Corp.                                   | AXO COPPER CORP.                     | NS           | No         |                   |
| Axolotl Capital Corp.                              |                                      | ON           | No         |                   |
| Axxent Inc.                                        | Oci Communications Inc.              | ON           | Yes        | 1a,1b             |
| AYA GOLD & SILVER INC.                             | Aya Gold & Silver Inc.               | QC           | No         |                   |
| Ayr Wellness Inc.                                  | Ayr Strategies Inc.                  | ON           | Yes        | 1b,1c,1e          |
| Azure Dynamics Corporation                         | Wild Horse Resources Ltd.            | ON           | No         |                   |
| B2Gold Corp.                                       |                                      | BC           | No         |                   |
| BAC Canada Finance Company                         | Merrill Lynch Canada Finance Company | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                           | Previous Name                                                | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------------------|--------------------------------------------------------------|--------------|------------|-------------------|
| Balanced 60/40 Fund                                                   | Primus Capital Advisors Balanced Fund                        | ON           | No         |                   |
| Balanced Income Portfolio                                             |                                                              | ON           | No         |                   |
| Balanced Monthly Income Fund                                          |                                                              | ON           | No         |                   |
| Ballard Power Systems Inc.                                            | 7076991 Canada Inc.                                          | BC           | No         |                   |
| BAM Finance (Canada) Inc.                                             |                                                              | ON           | No         |                   |
| BAM Finance LLC                                                       |                                                              | ON           | No         |                   |
| Bank of America Corporation                                           |                                                              | ON           | No         |                   |
| Bank of Montreal                                                      |                                                              | ON           | No         |                   |
| Bank of Nova Scotia, The                                              |                                                              | ON           | No         |                   |
| Barclays Bank PLC                                                     |                                                              | ON           | No         |                   |
| Barometer Balanced Fund                                               | Barometer Disciplined Leadership Balanced Fund               | ON           | No         |                   |
| Barometer International Equity Fund                                   | Barometer Global Equity Fund                                 | ON           | No         |                   |
| Barometer Tactical Income Growth Fund                                 | Barometer Disciplined Leadership Tactical Income Growth Fund | ON           | No         |                   |
| Barrantagh Small Cap Canadian Equity Fund                             |                                                              | ON           | No         |                   |
| Barrick Mining Corporation                                            | Barrick Gold Corporation                                     | ON           | No         |                   |
| Battery Technologies Inc.                                             |                                                              | ON           | No         |                   |
| Bausch + Lomb Corporation                                             |                                                              | ON           | No         |                   |
| Bausch Health Companies Inc.                                          | Valeant Pharmaceuticals International, Inc.                  | QC           | No         |                   |
| Baylin Technologies Inc.                                              |                                                              | ON           | No         |                   |
| Baytex Energy Corp.                                                   |                                                              | AB           | No         |                   |
| BCE Inc.                                                              |                                                              | QC           | No         |                   |
| Beamscope Canada Inc.                                                 |                                                              | ON           | No         |                   |
| Beckett Technologies Corp.                                            |                                                              | ON           | No         |                   |
| Belgravia Hartford Capital Inc.                                       | Belgravia Capital International Inc.                         | BC           | No         |                   |
| Bell Canada                                                           |                                                              | QC           | No         |                   |
| Belo Sun Mining Corp.                                                 |                                                              | ON           | No         |                   |
| Bengal Energy Ltd.                                                    |                                                              | AB           | No         |                   |
| Berkshire Hathaway (BRK) Yield Shares Purpose ETF                     | Berkshire Hathaway (BRK) Yield Shares                        | ON           | No         |                   |
| BetaPro -3x Gold Bullion Daily Leveraged Bear Alternative ETF         |                                                              | ON           | No         |                   |
| BetaPro -3x Nasdaq-100 Daily Leveraged Bear Alternative ETF           |                                                              | ON           | No         |                   |
| BetaPro -3x Russell 2000 Daily Leveraged Bear Alternative ETF         |                                                              | ON           | No         |                   |
| BetaPro -3x S&P 500 Daily Leveraged Bear Alternative ETF              |                                                              | ON           | No         |                   |
| BetaPro -3x S&P/TSX 60 Daily Leveraged Bear Alternative ETF           |                                                              | ON           | No         |                   |
| BetaPro -3x Semiconductor Daily Leveraged Bear Alternative ETF        |                                                              | ON           | No         |                   |
| BetaPro -3x Silver Daily Leveraged Bear Alternative ETF               |                                                              | ON           | No         |                   |
| BetaPro -3x US Treasury 20+ Year Daily Leveraged Bear Alternative ETF |                                                              | ON           | No         |                   |
| BetaPro 3x Gold Bullion Daily Leveraged Bull Alternative ETF          |                                                              | ON           | No         |                   |
| BetaPro 3x Nasdaq-100 Daily Leveraged Bull Alternative ETF            |                                                              | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                          | Previous Name                                             | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------------------|-----------------------------------------------------------|--------------|------------|-------------------|
| BetaPro 3x Russell 2000 Daily Leveraged Bull Alternative ETF         |                                                           | ON           | No         |                   |
| BetaPro 3x S&P 500 Daily Leveraged Bull Alternative ETF              |                                                           | ON           | No         |                   |
| BetaPro 3x S&P/TSX 60 Daily Leveraged Bull Alternative ETF           |                                                           | ON           | No         |                   |
| BetaPro 3x Semiconductor Daily Leveraged Bull Alternative ETF        |                                                           | ON           | No         |                   |
| BetaPro 3x Silver Daily Leveraged Bull Alternative ETF               |                                                           | ON           | No         |                   |
| BetaPro 3x US Treasury 20+ Year Daily Leveraged Bull Alternative ETF |                                                           | ON           | No         |                   |
| BetaPro Canadian Gold Miners -2x Daily Bear ETF                      | Horizons BetaPro S&P/TSX Global Gold Bear Plus ETF        | ON           | No         |                   |
| BetaPro Canadian Gold Miners 2x Daily Bull ETF                       | Horizons BetaPro S&P/TSX Global Gold Bull Plus ETF        | ON           | No         |                   |
| BetaPro Crude Oil Inverse Leveraged Daily Bear ETF                   | BetaPro Crude Oil -1x Daily Bear ETF                      | ON           | No         |                   |
| BetaPro Crude Oil Leveraged Daily Bull ETF                           | BetaPro Crude Oil Daily Bull ETF                          | ON           | No         |                   |
| BetaPro Gold Bullion -2x Daily Bear ETF                              | Horizons BetaPro COMEX Gold Bullion Bear Plus ETF         | ON           | No         |                   |
| BetaPro Gold Bullion 2x Daily Bull ETF                               | Horizons BetaPro COMEX Gold Bullion Bull Plus ETF         | ON           | No         |                   |
| BetaPro Inverse Bitcoin ETF                                          |                                                           | ON           | No         |                   |
| BetaPro Nasdaq-100 Daily Inverse ETF                                 |                                                           | ON           | No         |                   |
| BetaPro NASDAQ-100® -2x Daily Bear ETF                               | Horizons BetaPro NASDAQ-100 Bear Plus ETF                 | ON           | No         |                   |
| BetaPro NASDAQ-100® 2x Daily Bull ETF                                | Horizons BetaPro NASDAQ-100 Bull Plus ETF                 | ON           | No         |                   |
| BetaPro Natural Gas Inverse Leveraged Daily Bear ETF                 | BetaPro Natural Gas -2x Daily Bear ETF                    | ON           | No         |                   |
| BetaPro Natural Gas Leveraged Daily Bull ETF                         | BetaPro Natural Gas 2x Daily Bull ETF                     | ON           | No         |                   |
| BetaPro S&P 500 VIX Short-Term Futures™ ETF                          | Horizons BetaPro S&P 500 VIX Short-Term Futures Index ETF | ON           | No         |                   |
| BetaPro S&P 500® -2x Daily Bear ETF                                  | Horizons BetaPro S&P 500 Bear Plus ETF                    | ON           | No         |                   |
| BetaPro S&P 500® 2x Daily Bull ETF                                   | Horizons BetaPro S&P 500 Bull Plus ETF                    | ON           | No         |                   |
| BetaPro S&P 500® Daily Inverse ETF                                   | Horizons BetaPro S&P 500 Inverse ETF                      | ON           | No         |                   |
| BetaPro S&P/TSX 60™ -2x Daily Bear ETF                               | Horizons Betapro S&P/TSX 60 Bear Plus ETF                 | ON           | No         |                   |
| BetaPro S&P/TSX 60™ 2x Daily Bull ETF                                | Horizons Betapro S&P/TSX 60 Bull Plus ETF                 | ON           | No         |                   |
| BetaPro S&P/TSX 60™ Daily Inverse ETF                                | Horizons BetaPro S&P/TSX 60 Inverse ETF                   | ON           | No         |                   |
| BetaPro S&P/TSX Capped Energy™ -2x Daily Bear ETF                    | Horizons BetaPro S&P/TSX Capped Energy Bear Plus ETF      | ON           | No         |                   |
| BetaPro S&P/TSX Capped Energy™ 2x Daily Bull ETF                     | Horizons BetaPro S&P/TSX Capped Energy Bull Plus ETF      | ON           | No         |                   |
| BetaPro S&P/TSX Capped Financials™ -2x Daily Bear ETF                | Horizons BetaPro S&P/TSX Capped Financials Bear Plus ETF  | ON           | No         |                   |
| BetaPro S&P/TSX Capped Financials™ 2x Daily Bull ETF                 | Horizons BetaPro S&P/TSX Capped Financials Bull Plus ETF  | ON           | No         |                   |
| BetaPro Silver -2x Daily Bear ETF                                    | Horizons BetaPro COMEX Silver Bear Plus ETF               | ON           | No         |                   |
| BetaPro Silver 2x Daily Bull ETF                                     | Horizons BetaPro COMEX Silver Bull Plus ETF               | ON           | No         |                   |
| Better Plant Sciences Inc.                                           | Yield Growth Corp., The                                   | BC           | Yes        | 1a,1c,1e,3        |
| Betts Cove Minerals Limited                                          |                                                           | ON           | Yes        | 1a                |
| Beutel Goodman American Equity Fund                                  | Beutel Goodman American & International Equity Fund       | ON           | No         |                   |
| Beutel Goodman Balanced Fund                                         |                                                           | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                              | Previous Name                                        | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------|------------------------------------------------------|--------------|------------|-------------------|
| Beutel Goodman Canadian Dividend Fund                    |                                                      | ON           | No         |                   |
| Beutel Goodman Canadian Equity Fund                      |                                                      | ON           | No         |                   |
| Beutel Goodman Core Plus Bond Fund                       | Beutel Goodman Corporate/Provincial Active Bond Fund | ON           | No         |                   |
| Beutel Goodman Fundamental Canadian Equity Fund          |                                                      | ON           | No         |                   |
| Beutel Goodman Global Dividend Fund                      |                                                      | ON           | No         |                   |
| Beutel Goodman Global Equity Fund                        |                                                      | ON           | No         |                   |
| Beutel Goodman Income Fund                               |                                                      | ON           | No         |                   |
| Beutel Goodman International Equity Fund                 |                                                      | ON           | No         |                   |
| Beutel Goodman Long Term Bond Fund                       |                                                      | ON           | No         |                   |
| Beutel Goodman Money Market Fund                         |                                                      | ON           | No         |                   |
| Beutel Goodman North American Focused Equity Fund        | Beutel Goodman Canadian Intrinsic Fund               | ON           | No         |                   |
| Beutel Goodman Short Term Bond Fund                      |                                                      | ON           | No         |                   |
| Beutel Goodman Small Cap Fund                            |                                                      | ON           | No         |                   |
| Beutel Goodman Sustainable Bond Fund*                    |                                                      | ON           | No         |                   |
| Beutel Goodman Total World Equity Fund                   | Beutel Goodman Canadian Equity Plus Fund             | ON           | No         |                   |
| Beutel Goodman World Focus Equity Fund                   |                                                      | ON           | No         |                   |
| Big Banc Split Corp.*                                    |                                                      | ON           | No         |                   |
| Big Bear Exploration LTD.                                |                                                      | AB           | No         |                   |
| Big Pharma Split Corp.                                   |                                                      | ON           | No         |                   |
| Big Rock Brewery Inc.                                    |                                                      | AB           | No         |                   |
| BIGG Digital Assets Inc.                                 |                                                      | BC           | No         |                   |
| BioExx Specialty Proteins Ltd.                           |                                                      | ON           | No         |                   |
| Biomind Labs Inc.                                        | Crosswinds Holdings Inc.                             | ON           | No         |                   |
| Birchcliff Energy Ltd.                                   |                                                      | AB           | No         |                   |
| Birchtech Corp.                                          | Midwest Energy Emissions Corp.                       | NS           | No         |                   |
| Bird Construction Inc.                                   |                                                      | ON           | No         |                   |
| Bitcoin Treasury Corporation                             |                                                      | ON           | No         |                   |
| Bitcoin Well Inc.                                        |                                                      | AB           | No         |                   |
| Black Diamond Group Limited                              |                                                      | AB           | No         |                   |
| Black Iron Inc.                                          |                                                      | ON           | No         |                   |
| BlackBerry Limited                                       | Research In Motion Limited                           | ON           | No         |                   |
| BlackRock - IG Active Allocation Pool I*                 |                                                      | MB           | No         |                   |
| BlackRock - IG Active Allocation Pool II*                |                                                      | MB           | No         |                   |
| BlackRock - IG Active Allocation Pool III*               |                                                      | MB           | No         |                   |
| BlackRock - IG Active Allocation Pool IV                 |                                                      | MB           | No         |                   |
| BlackRock - IG International Equity Pool                 |                                                      | MB           | No         |                   |
| BlackRock - IG Low Volatility International Equity Pool* |                                                      | MB           | No         |                   |
| Blackrock Silver Corp.                                   | Blackrock Gold Corp.                                 | BC           | No         |                   |
| Blockchain Technologies ETF                              |                                                      | ON           | No         |                   |
| BlockchainK2 Corp                                        | Africa Hydrocarbons Inc.                             | BC           | No         |                   |
| Blue Ant Media Corporation                               | Boat Rocker Media Inc.                               | ON           | No         |                   |
| Blue Jay Gold Corp.                                      |                                                      | BC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                         | Previous Name                             | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------------|-------------------------------------------|--------------|------------|-------------------|
| Blue Moon Metals Inc                                                |                                           | ON           | No         |                   |
| Blue Note Mining Inc.                                               |                                           | QC           | No         |                   |
| Blue Ribbon Income Fund*                                            | Citadel Diversified Investment Trust      | ON           | No         |                   |
| BlueBay \$U.S. Global High Yield Bond Fund (Canada)                 |                                           | ON           | No         |                   |
| BlueBay \$U.S. Global Investment Grade Corporate Bond Fund (Canada) |                                           | ON           | No         |                   |
| BlueBay Emerging Markets Bond Fund (Canada)                         |                                           | ON           | No         |                   |
| BlueBay Emerging Markets Corporate Bond Fund                        |                                           | ON           | No         |                   |
| BlueBay Emerging Markets High Yield Corporate Bond Fund (Canada)    |                                           | ON           | No         |                   |
| BlueBay Emerging Markets Local Currency Bond Fund (Canada)          |                                           | ON           | No         |                   |
| BlueBay European High Yield Bond Fund (Canada)                      |                                           | ON           | No         |                   |
| BlueBay Global Alternative Bond Fund (Canada)*                      |                                           | ON           | No         |                   |
| BlueBay Global Convertible Bond Fund (Canada)                       |                                           | ON           | No         |                   |
| BlueBay Global High Yield Bond Fund (Canada)                        |                                           | ON           | No         |                   |
| BlueBay Global Investment Grade Corporate Bond Fund (Canada)*       |                                           | ON           | No         |                   |
| BlueBay Global Monthly Income Bond Fund                             |                                           | ON           | No         |                   |
| BlueBay Global Sovereign Bond Fund (Canada)*                        |                                           | ON           | No         |                   |
| BlueBay High Income Credit Fund                                     |                                           | ON           | No         |                   |
| BMG BullionFund                                                     | Millennium BullionFund, The               | ON           | No         |                   |
| BMG Gold BullionFund                                                |                                           | ON           | No         |                   |
| BMG Silver BullionFund                                              |                                           | ON           | No         |                   |
| BMO AAA CLO ETF Fund                                                |                                           | ON           | No         |                   |
| BMO AAA CLO ETF*                                                    |                                           | ON           | No         |                   |
| BMO Aggregate Bond ETF Fund                                         |                                           | ON           | No         |                   |
| BMO Aggregate Bond Index ETF                                        |                                           | ON           | No         |                   |
| BMO All-Equity ETF                                                  |                                           | ON           | No         |                   |
| BMO Ascent™ Balanced Portfolio                                      |                                           | ON           | No         |                   |
| BMO Ascent™ Conservative Portfolio                                  |                                           | ON           | No         |                   |
| BMO Ascent™ Equity Growth Portfolio                                 |                                           | ON           | No         |                   |
| BMO Ascent™ Growth Portfolio                                        |                                           | ON           | No         |                   |
| BMO Ascent™ Income Portfolio                                        |                                           | ON           | No         |                   |
| BMO Asian Growth and Income Fund                                    | BMO Guardian Asian Growth and Income Fund | ON           | No         |                   |
| BMO Asset Allocation All-Equity ETF Fund                            |                                           | ON           | No         |                   |
| BMO Asset Allocation Balanced ETF Fund                              |                                           | ON           | No         |                   |
| BMO Asset Allocation Conservative ETF Fund                          |                                           | ON           | No         |                   |
| BMO Asset Allocation Fund                                           | First Canadian Asset Allocation Fund      | ON           | No         |                   |
| BMO Asset Allocation Growth ETF Fund                                |                                           | ON           | No         |                   |
| BMO Asset-Backed Securities ETF*                                    |                                           | ON           | No         |                   |
| BMO Balanced ESG ETF                                                |                                           | ON           | No         |                   |
| BMO Balanced ETF                                                    | BMO Core Balanced Portfolio ETF           | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                     | Previous Name                                | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------------|----------------------------------------------|--------------|------------|-------------------|
| BMO Balanced ETF Portfolio                                      |                                              | ON           | No         |                   |
| BMO BBB CLO ETF*                                                |                                              | ON           | No         |                   |
| BMO Broad Commodity ETF Fund                                    |                                              | ON           | No         |                   |
| BMO Broad Commodity ETF*                                        |                                              | ON           | No         |                   |
| BMO Brookfield Global Renewables Infrastructure Fund            |                                              | ON           | No         |                   |
| BMO Canadian Bank Income ETF Fund                               |                                              | ON           | No         |                   |
| BMO Canadian Bank Income Index ETF                              |                                              | ON           | No         |                   |
| BMO Canadian Banks ETF Fund                                     |                                              | ON           | No         |                   |
| BMO Canadian Core Plus US Balanced ETF                          |                                              | ON           | No         |                   |
| BMO Canadian Dividend ETF                                       |                                              | ON           | No         |                   |
| BMO Canadian Equity 60 ETF Fund                                 |                                              | ON           | No         |                   |
| BMO Canadian Equity ETF Fund                                    | BMO Equity Index Fund                        | ON           | No         |                   |
| BMO Canadian Equity Fund                                        | BMO Equity Fund                              | ON           | No         |                   |
| BMO Canadian Equity Plus ETF                                    |                                              | ON           | No         |                   |
| BMO Canadian High Dividend Covered Call ETF                     | BMO Canadian High Dividend Covered Call Fund | ON           | No         |                   |
| BMO Canadian Income & Growth Fund                               |                                              | ON           | No         |                   |
| BMO Canadian MBS Index ETF                                      |                                              | ON           | No         |                   |
| BMO Canadian Small Cap Equity Fund                              | BMO Special Equity Fund                      | ON           | No         |                   |
| BMO Canadian Smart Alpha Equity Fund                            | BMO Canadian Large Cap Equity Fund           | ON           | No         |                   |
| BMO Canadian Stock Selection Fund                               |                                              | ON           | No         |                   |
| BMO Clean Energy ETF Fund                                       |                                              | ON           | No         |                   |
| BMO Clean Energy Index ETF                                      | BMO Clean Energy Index Fund                  | ON           | No         |                   |
| BMO Conservative ETF                                            | BMO Core Conservative Portfolio ETF          | ON           | No         |                   |
| BMO Conservative ETF Portfolio                                  |                                              | ON           | No         |                   |
| BMO Core Bond Fund                                              |                                              | ON           | No         |                   |
| BMO Core Plus Bond Fund                                         |                                              | ON           | No         |                   |
| BMO Core U.S. Equity ETF Fund                                   |                                              | ON           | No         |                   |
| BMO Corporate Bond ETF Fund                                     |                                              | ON           | No         |                   |
| BMO Corporate Bond Index ETF                                    |                                              | ON           | No         |                   |
| BMO Corporate Discount Bond ETF                                 |                                              | ON           | No         |                   |
| BMO Covered Call Canada High Dividend ETF Fund                  |                                              | ON           | No         |                   |
| BMO Covered Call Canadian Banks ETF                             | BMO Covered Call Canadian Banks Fund         | ON           | No         |                   |
| BMO Covered Call Canadian Banks ETF Fund                        |                                              | ON           | No         |                   |
| BMO Covered Call Dow Jones Industrial Average Hedged to CAD ETF |                                              | ON           | No         |                   |
| BMO Covered Call Energy ETF                                     | BMO Covered Call Energy Fund                 | ON           | No         |                   |
| BMO Covered Call Energy ETF Fund                                |                                              | ON           | No         |                   |
| BMO Covered Call Europe High Dividend ETF Fund                  |                                              | ON           | No         |                   |
| BMO Covered Call Health Care ETF                                |                                              | ON           | No         |                   |
| BMO Covered Call Spread Gold Bullion ETF                        |                                              | ON           | No         |                   |
| BMO Covered Call Spread Gold Bullion ETF Fund                   |                                              | ON           | No         |                   |
| BMO Covered Call Technology ETF                                 | BMO Covered Call Technology Fund             | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                 | Previous Name                                                       | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------------|---------------------------------------------------------------------|--------------|------------|-------------------|
| BMO Covered Call Technology ETF Fund                        |                                                                     | ON           | No         |                   |
| BMO Covered Call U.S. High Dividend ETF Fund                |                                                                     | ON           | No         |                   |
| BMO Covered Call US Banks ETF                               |                                                                     | ON           | No         |                   |
| BMO Covered Call Utilities ETF                              | BMO Covered Call Utilities Fund                                     | ON           | No         |                   |
| BMO Covered Call Utilities ETF Fund                         |                                                                     | ON           | No         |                   |
| BMO Credit Stress Opportunities ETF*                        |                                                                     | ON           | No         |                   |
| BMO Crossover Bond Fund                                     |                                                                     | ON           | No         |                   |
| BMO Discount Bond ETF Fund                                  |                                                                     | ON           | No         |                   |
| BMO Discount Bond Index ETF                                 |                                                                     | ON           | No         |                   |
| BMO Diversified Income Portfolio                            | BMO Diversified Income Fund                                         | ON           | No         |                   |
| BMO Dividend Fund                                           | First Canadian Dividend Fund                                        | ON           | No         |                   |
| BMO Dow Jones Industrial Average Hedged to CAD Index ETF    | BMO Dow Jones Diamonds Index ETF                                    | ON           | No         |                   |
| BMO Emerging Markets Bond Fund                              |                                                                     | ON           | No         |                   |
| BMO Emerging Markets Bond Hedged to CAD Index ETF           |                                                                     | ON           | No         |                   |
| BMO Emerging Markets Fund                                   | First Canadian Emerging Markets Fund                                | ON           | No         |                   |
| BMO Enterprise Fund                                         | BMO Guardian Enterprise Fund                                        | ON           | No         |                   |
| BMO Equal Weight Banks Index ETF                            | BMO S&P/TSX Equal Weight Banks Index ETF                            | ON           | No         |                   |
| BMO Equal Weight Global Base Metals Hedged to CAD Index ETF | BMO S&P/TSX Equal Weight Global Base Metals Hedged to CAD Index ETF | ON           | No         |                   |
| BMO Equal Weight Global Gold Index ETF                      | BMO S&P/TSX Equal Weight Global Gold Index ETF                      | ON           | No         |                   |
| BMO Equal Weight Industrials Index ETF                      | BMO S&P/TSX Equal Weight Industrials Index ETF                      | ON           | No         |                   |
| BMO Equal Weight Oil & Gas Index ETF                        | BMO S&P/TSX Equal Weight Oil & Gas Index ETF                        | ON           | No         |                   |
| BMO Equal Weight REITs Index ETF                            |                                                                     | ON           | No         |                   |
| BMO Equal Weight U.S. Equity ETF Fund                       |                                                                     | ON           | No         |                   |
| BMO Equal Weight US Banks Hedged to CAD Index ETF           |                                                                     | ON           | No         |                   |
| BMO Equal Weight US Banks Index ETF                         |                                                                     | ON           | No         |                   |
| BMO Equal Weight US Health Care Hedged to CAD Index ETF     |                                                                     | ON           | No         |                   |
| BMO Equal Weight US Health Care Index ETF                   |                                                                     | ON           | No         |                   |
| BMO Equal Weight Utilities Index ETF                        |                                                                     | ON           | No         |                   |
| BMO Equity Growth ETF Portfolio                             |                                                                     | ON           | No         |                   |
| BMO ESG Corporate Bond Index ETF                            |                                                                     | ON           | No         |                   |
| BMO ESG US Corporate Bond Hedged to CAD Index ETF           |                                                                     | ON           | No         |                   |
| BMO Europe High Dividend Covered Call ETF                   | BMO Europe High Dividend Covered Call Fund                          | ON           | No         |                   |
| BMO Europe High Dividend Covered Call Hedged to CAD ETF     |                                                                     | ON           | No         |                   |
| BMO European Fund                                           | First Canadian European Fund                                        | ON           | No         |                   |
| BMO Fixed Income ETF Portfolio                              |                                                                     | ON           | No         |                   |
| BMO Floating Rate High Yield ETF                            |                                                                     | ON           | No         |                   |
| BMO Global Climate Transition Fund                          |                                                                     | ON           | No         |                   |
| BMO Global Communications Index ETF                         |                                                                     | ON           | No         |                   |
| BMO Global Consumer Discretionary Hedged to CAD Index ETF   |                                                                     | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                              | Previous Name                                             | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------|-----------------------------------------------------------|--------------|------------|-------------------|
| BMO Global Consumer Staples Hedged to CAD Index ETF      |                                                           | ON           | No         |                   |
| BMO Global Dividend Fund                                 | BMO Global Science & Technology Fund                      | ON           | No         |                   |
| BMO Global Dividend Opportunities Fund                   |                                                           | ON           | No         |                   |
| BMO Global Energy Fund                                   |                                                           | ON           | No         |                   |
| BMO Global Enhanced Income Fund                          |                                                           | ON           | No         |                   |
| BMO Global Equity Fund                                   |                                                           | ON           | No         |                   |
| BMO Global Health Care Fund                              |                                                           | ON           | No         |                   |
| BMO Global High Dividend Covered Call ETF                |                                                           | ON           | No         |                   |
| BMO Global Income & Growth Fund                          |                                                           | ON           | No         |                   |
| BMO Global Infrastructure Fund                           | BMO Income Trust Fund                                     | ON           | No         |                   |
| BMO Global Infrastructure Index ETF                      | BMO Global Infrastructure ETF                             | ON           | No         |                   |
| BMO Global Innovators Fund                               |                                                           | ON           | No         |                   |
| BMO Global Low Volatility ETF Fund                       |                                                           | ON           | No         |                   |
| BMO Global Monthly Income Fund                           |                                                           | ON           | No         |                   |
| BMO Global Quality ETF Fund                              |                                                           | ON           | No         |                   |
| BMO Global REIT Fund                                     |                                                           | ON           | No         |                   |
| BMO Global Small Cap Fund                                | BMO Guardian Global Small Cap Fund                        | ON           | No         |                   |
| BMO Global Strategic Bond Fund                           | BMO Global High Yield Bond Fund                           | ON           | No         |                   |
| BMO Gold Bullion ETF                                     |                                                           | ON           | No         |                   |
| BMO Gold Bullion ETF Fund                                |                                                           | ON           | No         |                   |
| BMO Gold Bullion Hedged to CAD ETF                       | BMO Gold Bullion Fund                                     | ON           | No         |                   |
| BMO Government Bond Index ETF                            |                                                           | ON           | No         |                   |
| BMO Greater China Fund                                   |                                                           | ON           | No         |                   |
| BMO Growth & Income Fund                                 | BMO Guardian Growth & Income Fund                         | ON           | No         |                   |
| BMO Growth ETF                                           | BMO Core Growth Portfolio ETF                             | ON           | No         |                   |
| BMO Growth ETF Portfolio                                 |                                                           | ON           | No         |                   |
| BMO Growth Opportunities Fund                            |                                                           | ON           | No         |                   |
| BMO High Quality Corporate Bond Index ETF                |                                                           | ON           | No         |                   |
| BMO High Yield US Corporate Bond Hedged to CAD Index ETF | BMO High Yield US Corporate Bond Hedged to CAD Index Fund | ON           | No         |                   |
| BMO High Yield US Corporate Bond Index ETF               |                                                           | ON           | No         |                   |
| BMO Human Capital Factor US Equity ETF                   |                                                           | ON           | No         |                   |
| BMO Income ETF Portfolio                                 | BMO Security ETF Portfolio                                | ON           | No         |                   |
| BMO Inflation Opportunities Fund                         |                                                           | ON           | No         |                   |
| BMO International Dividend ETF                           |                                                           | ON           | No         |                   |
| BMO International Dividend Hedged to CAD ETF             |                                                           | ON           | No         |                   |
| BMO International Equity ETF Fund                        | BMO International Index Fund                              | ON           | No         |                   |
| BMO International Value Fund                             |                                                           | ON           | No         |                   |
| BMO Japan Fund                                           |                                                           | ON           | No         |                   |
| BMO Japan Index ETF                                      |                                                           | ON           | No         |                   |
| BMO Junior Gold Index ETF                                |                                                           | ON           | No         |                   |
| BMO Laddered Preferred Share Index ETF                   | BMO S&P/TSX Laddered Preferred Share Index ETF            | ON           | No         |                   |
| BMO LifeStage Plus 2030 Fund                             |                                                           | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                               | Previous Name                                | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------|----------------------------------------------|--------------|------------|-------------------|
| BMO Long Corporate Bond Index ETF                         |                                              | ON           | No         |                   |
| BMO Long Federal Bond Index ETF                           |                                              | ON           | No         |                   |
| BMO Long Provincial Bond Index ETF                        |                                              | ON           | No         |                   |
| BMO Long Short Canadian Equity ETF                        |                                              | ON           | No         |                   |
| BMO Long Short U.S. Equity ETF Fund                       |                                              | ON           | No         |                   |
| BMO Long Short US Equity ETF                              |                                              | ON           | No         |                   |
| BMO Long-Term US Treasury Bond Index ETF                  |                                              | ON           | No         |                   |
| BMO Low Volatility Canadian Equity ETF                    | BMO Low Volatility Canadian Equity Fund      | ON           | No         |                   |
| BMO Low Volatility Canadian Equity ETF Fund               |                                              | ON           | No         |                   |
| BMO Low Volatility Emerging Markets Equity ETF            |                                              | ON           | No         |                   |
| BMO Low Volatility International Equity ETF               | BMO Low Volatility International Equity Fund | ON           | No         |                   |
| BMO Low Volatility International Equity Hedged to CAD ETF |                                              | ON           | No         |                   |
| BMO Low Volatility U.S. Equity ETF Fund                   |                                              | ON           | No         |                   |
| BMO Low Volatility US Equity ETF                          | BMO Low Volatility US Equity Fund            | ON           | No         |                   |
| BMO Low Volatility US Equity Hedged to CAD ETF            |                                              | ON           | No         |                   |
| BMO Managed Balanced Portfolio                            | BMO FundSelect Balanced Portfolio            | ON           | No         |                   |
| BMO Managed Conservative Portfolio                        | BMO FundSelect Conservative Portfolio        | ON           | No         |                   |
| BMO Managed Equity Growth Portfolio                       | BMO FundSelect Equity Growth Portfolio       | ON           | No         |                   |
| BMO Managed Growth Portfolio                              | BMO FundSelect Growth Portfolio              | ON           | No         |                   |
| BMO Managed Income Portfolio                              | BMO FundSelect Income Portfolio              | ON           | No         |                   |
| BMO Market+ All Country World Equity ETF                  |                                              | ON           | No         |                   |
| BMO Market+ Canadian Equity ETF                           |                                              | ON           | No         |                   |
| BMO Market+ Global Equity ETF                             |                                              | ON           | No         |                   |
| BMO Market+ International Equity Fund                     | BMO International Equity Fund                | ON           | No         |                   |
| BMO Market+ Low Volatility Global Equity ETF              |                                              | ON           | No         |                   |
| BMO Market+ US Equity ETF                                 |                                              | ON           | No         |                   |
| BMO Mid Corporate Bond Index ETF                          |                                              | ON           | No         |                   |
| BMO Mid Federal Bond Index ETF                            | BMO Canadian Government Bond Index ETF       | ON           | No         |                   |
| BMO Mid Provincial Bond Index ETF                         |                                              | ON           | No         |                   |
| BMO Mid-Term US IG Corporate Bond Hedged to CAD Index ETF |                                              | ON           | No         |                   |
| BMO Mid-Term US IG Corporate Bond Index ETF               |                                              | ON           | No         |                   |
| BMO Mid-Term US Treasury Bond Index ETF                   |                                              | ON           | No         |                   |
| BMO Money Market Fund                                     | First Canadian Money Market Fund             | ON           | No         |                   |
| BMO Monthly Dividend Fund Ltd.                            | BMO Guardian Monthly Dividend Fund Ltd.      | ON           | No         |                   |
| BMO Monthly High Income Fund II                           | BMO Guardian Monthly High Income Fund II     | ON           | No         |                   |
| BMO Monthly Income ETF                                    |                                              | ON           | No         |                   |
| BMO Monthly Income Fund                                   | First Canadian Monthly Income Fund           | ON           | No         |                   |
| BMO Mortgage and Short-Term Income Fund                   | BMO Mortgage Fund                            | ON           | No         |                   |
| BMO MSCI All Country World High Quality Index ETF         |                                              | ON           | No         |                   |
| BMO MSCI Canada IMI High Dividend Yield Index ETF         |                                              | ON           | No         |                   |
| BMO MSCI Canada Selection Equity Index ETF                | BMO MSCI Canada ESG Leaders Index ETF        | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                          | Previous Name                                      | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------|----------------------------------------------------|--------------|------------|-------------------|
| BMO MSCI Canada Value Index ETF                      |                                                    | ON           | No         |                   |
| BMO MSCI China Selection Equity Index ETF            | BMO MSCI China ESG Leaders Index ETF               | ON           | No         |                   |
| BMO MSCI EAFE Hedged to CAD Index ETF                | BMO International Equity Hedged to CAD Index ETF   | ON           | No         |                   |
| BMO MSCI EAFE High Quality Index ETF                 |                                                    | ON           | No         |                   |
| BMO MSCI EAFE Index ETF                              |                                                    | ON           | No         |                   |
| BMO MSCI EAFE Selection Equity Index ETF             | BMO MSCI EAFE ESG Leaders Index ETF                | ON           | No         |                   |
| BMO MSCI EAFE Small-Mid Cap Index ETF                |                                                    | ON           | No         |                   |
| BMO MSCI Emerging Markets Index ETF                  | BMO Emerging Markets Equity Index ETF              | ON           | No         |                   |
| BMO MSCI Europe High Quality Hedged to CAD Index ETF |                                                    | ON           | No         |                   |
| BMO MSCI Global Selection Equity Index ETF           | BMO MSCI Global ESG Leaders Index ETF              | ON           | No         |                   |
| BMO MSCI India Selection Equity Index ETF            | BMO MSCI India ESG Leaders Index ETF               | ON           | No         |                   |
| BMO MSCI USA Equal Weight Index ETF                  |                                                    | ON           | No         |                   |
| BMO MSCI USA High Quality Index ETF                  |                                                    | ON           | No         |                   |
| BMO MSCI USA Selection Equity Index ETF              | BMO MSCI USA ESG Leaders Index ETF                 | ON           | No         |                   |
| BMO MSCI USA Value Index ETF                         |                                                    | ON           | No         |                   |
| BMO Multi-Factor Equity Fund                         |                                                    | ON           | No         |                   |
| BMO Nasdaq 100 Equity ETF Fund                       |                                                    | ON           | No         |                   |
| BMO Nasdaq 100 Equity Hedged to CAD Index ETF        |                                                    | ON           | No         |                   |
| BMO Nasdaq 100 Equity Index ETF                      |                                                    | ON           | No         |                   |
| BMO North American Dividend Fund                     | BMO Nafta Advantage Fund                           | ON           | No         |                   |
| BMO Precious Metals Fund                             | First Canadian Precious Metals Fund                | ON           | No         |                   |
| BMO Preferred Share Fund                             |                                                    | ON           | No         |                   |
| BMO Premium Yield ETF                                | BMO Premium Yield Fund                             | ON           | No         |                   |
| BMO Premium Yield ETF Fund                           |                                                    | ON           | No         |                   |
| BMO Private Canadian Bond Portfolio                  | BMO Private Canadian Short-Mid Bond Portfolio      | ON           | No         |                   |
| BMO Private Canadian Core Equity Portfolio           | BMO Private Canadian Conservative Equity Portfolio | ON           | No         |                   |
| BMO Private Canadian Corporate Bond Portfolio        | BMO Harris Canadian Corporate Bond Portfolio       | ON           | No         |                   |
| BMO Private Canadian Income Equity Portfolio         | BMO Harris Canadian Income Equity Portfolio        | ON           | No         |                   |
| BMO Private Canadian Money Market Portfolio          | BMO Harris Canadian Money Market Portfolio         | ON           | No         |                   |
| BMO Private Canadian Special Equity Portfolio        | BMO Harris Canadian Special Growth Portfolio       | ON           | No         |                   |
| BMO Private Diversified Yield Portfolio              | BMO Harris Diversified Yield Portfolio             | ON           | No         |                   |
| BMO Private Emerging Markets Equity Portfolio        | BMO Harris Emerging Markets Equity Portfolio       | ON           | No         |                   |
| BMO Private International Equity Portfolio           | BMO Harris International Equity Portfolio          | ON           | No         |                   |
| BMO Private Strategic Rate Fund I                    |                                                    | ON           | No         |                   |
| BMO Private U.S. Equity Portfolio                    | BMO Harris U.S. Equity Portfolio                   | ON           | No         |                   |
| BMO Private U.S. Growth Equity Portfolio             | BMO Private U.S. Growth Portfolio                  | ON           | No         |                   |
| BMO Private U.S. Special Equity Portfolio            | BMO Harris U.S. Special Equity Portfolio           | ON           | No         |                   |
| BMO Real Return Bond Index ETF                       |                                                    | ON           | No         |                   |
| BMO Resource Fund                                    | First Canadian Resource Fund                       | ON           | No         |                   |
| BMO Retirement Balanced Portfolio                    |                                                    | ON           | No         |                   |
| BMO Retirement Conservative Portfolio                |                                                    | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                 | Previous Name                            | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------------|------------------------------------------|--------------|------------|-------------------|
| BMO Retirement Income Portfolio                             |                                          | ON           | No         |                   |
| BMO Risk Reduction Equity Fund                              |                                          | ON           | No         |                   |
| BMO Risk Reduction Fixed Income Fund                        |                                          | ON           | No         |                   |
| BMO S&P 500 Hedged to CAD Index ETF                         | BMO US Equity Hedged to CAD Index ETF    | ON           | No         |                   |
| BMO S&P 500 Index ETF                                       |                                          | ON           | No         |                   |
| BMO S&P US Mid Cap Index ETF                                |                                          | ON           | No         |                   |
| BMO S&P US Small Cap Index ETF                              |                                          | ON           | No         |                   |
| BMO S&P/TSX 60 Index ETF                                    |                                          | ON           | No         |                   |
| BMO S&P/TSX Capped Composite Index ETF                      | BMO Dow Jones Canada Titans 60 Index ETF | ON           | No         |                   |
| BMO SelectTrust® Balanced Portfolio                         | BMO Guardian Balanced Solution           | ON           | No         |                   |
| BMO SelectTrust® Conservative Portfolio                     | BMO Guardian Conservative Solution       | ON           | No         |                   |
| BMO SelectTrust® Equity Growth Portfolio                    | BMO Guardian Aggressive Growth Solution  | ON           | No         |                   |
| BMO SelectTrust® Fixed Income Portfolio                     |                                          | ON           | No         |                   |
| BMO SelectTrust® Growth Portfolio                           | BMO Guardian Growth Solution             | ON           | No         |                   |
| BMO SelectTrust® Income Portfolio                           | BMO SelectTrust Security Portfolio       | ON           | No         |                   |
| BMO Short Corporate Bond Index ETF                          |                                          | ON           | No         |                   |
| BMO Short Federal Bond Index ETF                            |                                          | ON           | No         |                   |
| BMO Short Provincial Bond Index ETF                         |                                          | ON           | No         |                   |
| BMO Short-Term Bond Index ETF                               |                                          | ON           | No         |                   |
| BMO Short-Term Discount Bond ETF                            |                                          | ON           | No         |                   |
| BMO Short-Term Discount Bond ETF Fund                       |                                          | ON           | No         |                   |
| BMO Short-Term US IG Corporate Bond Hedged to CAD Index ETF |                                          | ON           | No         |                   |
| BMO Short-Term US TIPS Index ETF                            |                                          | ON           | No         |                   |
| BMO Short-Term US Treasury Bond Index ETF                   |                                          | ON           | No         |                   |
| BMO SIA Focused Canadian Equity Fund                        |                                          | ON           | No         |                   |
| BMO SIA Focused North American Equity Fund                  |                                          | ON           | No         |                   |
| BMO SPDR Communication Services Select Sector Index ETF     |                                          | ON           | No         |                   |
| BMO SPDR Consumer Discretionary Select Sector Index ETF     |                                          | ON           | No         |                   |
| BMO SPDR Consumer Staples Select Sector Index ETF           |                                          | ON           | No         |                   |
| BMO SPDR Energy Select Sector Index ETF                     |                                          | ON           | No         |                   |
| BMO SPDR Financials Select Sector Index ETF                 |                                          | ON           | No         |                   |
| BMO SPDR Health Care Select Sector Index ETF                |                                          | ON           | No         |                   |
| BMO SPDR Industrials Select Sector Index ETF                |                                          | ON           | No         |                   |
| BMO SPDR Materials Select Sector Index ETF                  |                                          | ON           | No         |                   |
| BMO SPDR Real Estate Select Sector Index ETF                |                                          | ON           | No         |                   |
| BMO SPDR Technology Select Sector Index ETF                 |                                          | ON           | No         |                   |
| BMO SPDR Utilities Select Sector Index ETF                  |                                          | ON           | No         |                   |
| BMO Strategic Equity Yield Fund                             |                                          | ON           | No         |                   |
| BMO Strategic Fixed Income Yield Fund                       |                                          | ON           | No         |                   |
| BMO Sustainable Balanced Portfolio                          | BMO Principle Balanced Portfolio         | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                        | Previous Name                                | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------|----------------------------------------------|--------------|------------|-------------------|
| BMO Sustainable Bond Fund                          |                                              | ON           | No         |                   |
| BMO Sustainable Conservative Portfolio             | BMO Principle Conservative Portfolio         | ON           | No         |                   |
| BMO Sustainable Equity Growth Portfolio            |                                              | ON           | No         |                   |
| BMO Sustainable Global Balanced Fund               | BMO Global Balanced Fund                     | ON           | No         |                   |
| BMO Sustainable Global Multi-Sector Bond Fund      | BMO Global Multi-Sector Bond Fund            | ON           | No         |                   |
| BMO Sustainable Growth Portfolio                   | BMO Principle Growth Portfolio               | ON           | No         |                   |
| BMO Sustainable Income Portfolio                   | BMO Principle Income Portfolio               | ON           | No         |                   |
| BMO Sustainable Opportunities Canadian Equity Fund |                                              | ON           | No         |                   |
| BMO Sustainable Opportunities China Equity Fund    |                                              | ON           | No         |                   |
| BMO Sustainable Opportunities Global Equity Fund   | BMO Fossil Fuel Free Fund                    | ON           | No         |                   |
| BMO Tactical Balanced ETF Fund                     |                                              | ON           | No         |                   |
| BMO Tactical Dividend ETF Fund                     | BMO Tactical Dividend ETF Portfolio          | ON           | No         |                   |
| BMO Tactical Global Asset Allocation ETF Fund      |                                              | ON           | No         |                   |
| BMO Tactical Global Equity ETF Fund                |                                              | ON           | No         |                   |
| BMO Tactical Global Growth ETF Fund                |                                              | ON           | No         |                   |
| BMO Target 2027 Canadian Corporate Bond ETF        |                                              | ON           | No         |                   |
| BMO Target 2028 Canadian Corporate Bond ETF        |                                              | ON           | No         |                   |
| BMO Target 2029 Canadian Corporate Bond ETF        |                                              | ON           | No         |                   |
| BMO Target Education 2030 Portfolio                |                                              | ON           | No         |                   |
| BMO Target Education 2035 Portfolio                |                                              | ON           | No         |                   |
| BMO Target Education 2040 Portfolio                |                                              | ON           | No         |                   |
| BMO Target Education 2045 Portfolio                |                                              | ON           | No         |                   |
| BMO Target Education Income Portfolio              |                                              | ON           | No         |                   |
| BMO U.S. All Cap Equity Fund                       |                                              | ON           | No         |                   |
| BMO U.S. Corporate Bond Fund                       |                                              | ON           | No         |                   |
| BMO U.S. Dividend Fund                             |                                              | ON           | No         |                   |
| BMO U.S. Dollar Balanced Fund                      |                                              | ON           | No         |                   |
| BMO U.S. Dollar Dividend Fund                      |                                              | ON           | No         |                   |
| BMO U.S. Dollar Equity Index Fund                  | First Canadian U.S. Dollar Equity Index Fund | ON           | No         |                   |
| BMO U.S. Dollar Money Market Fund                  | First Canadian U.S. Dollar Money Market Fund | ON           | No         |                   |
| BMO U.S. Dollar Monthly Income Fund                |                                              | ON           | No         |                   |
| BMO U.S. Equity ETF Fund                           | BMO U.S. Equity Index Fund                   | ON           | No         |                   |
| BMO U.S. Equity Fund                               | BMO U.S. Value Fund                          | ON           | No         |                   |
| BMO U.S. Equity Plus Fund                          |                                              | ON           | No         |                   |
| BMO U.S. High Yield Bond Fund                      |                                              | ON           | No         |                   |
| BMO U.S. Small Cap Fund                            |                                              | ON           | No         |                   |
| BMO Ultra Short-Term Bond ETF                      | BMO Ultra Short-Term Bond Fund               | ON           | No         |                   |
| BMO Ultra Short-Term Bond ETF Fund                 |                                              | ON           | No         |                   |
| BMO Ultra Short-Term US Bond ETF                   | BMO Short-Term US Bond Index ETF             | ON           | No         |                   |
| BMO US Aggregate Bond Index ETF                    |                                              | ON           | No         |                   |
| BMO US Dividend ETF                                |                                              | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                         | Previous Name                          | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------|----------------------------------------|--------------|------------|-------------------|
| BMO US Dividend Growth ETF                          |                                        | ON           | No         |                   |
| BMO US Dividend Hedged to CAD ETF                   |                                        | ON           | No         |                   |
| BMO US Equity Buffer Hedged to CAD ETF – April      |                                        | ON           | No         |                   |
| BMO US Equity Buffer Hedged to CAD ETF – January    |                                        | ON           | No         |                   |
| BMO US Equity Buffer Hedged to CAD ETF – July       |                                        | ON           | No         |                   |
| BMO US Equity Buffer Hedged to CAD ETF – October    |                                        | ON           | No         |                   |
| BMO US Equity Focused ETF                           |                                        | ON           | No         |                   |
| BMO US High Dividend Covered Call ETF               | BMO US High Dividend Covered Call Fund | ON           | No         |                   |
| BMO US High Dividend Covered Call Hedged to CAD ETF |                                        | ON           | No         |                   |
| BMO US Large Cap Disciplined Value ETF              |                                        | ON           | No         |                   |
| BMO US Preferred Share Hedged to CAD Index ETF      |                                        | ON           | No         |                   |
| BMO US Preferred Share Index ETF                    |                                        | ON           | No         |                   |
| BMO US Put Write ETF                                |                                        | ON           | No         |                   |
| BMO US Put Write Hedged to CAD ETF                  |                                        | ON           | No         |                   |
| BMO USD Balanced ETF Portfolio                      |                                        | ON           | No         |                   |
| BMO USD Cash Management ETF                         |                                        | ON           | No         |                   |
| BMO USD Conservative ETF Portfolio                  |                                        | ON           | No         |                   |
| BMO USD Income ETF Portfolio                        |                                        | ON           | No         |                   |
| BMO Women in Leadership Fund                        |                                        | ON           | No         |                   |
| BMO World Bond Fund                                 | BMO International Bond Fund            | ON           | No         |                   |
| BNP Resources Inc.                                  |                                        | AB           | No         |                   |
| Boardwalk Real Estate Investment Trust              |                                        | AB           | No         |                   |
| Bombardier Inc.                                     |                                        | QC           | No         |                   |
| Bonterra Energy Corp.                               | Bonterra Oil & Gas Ltd.                | AB           | No         |                   |
| Boralex Inc.                                        |                                        | QC           | No         |                   |
| Border Petroleum Limited                            | Border Petroleum Corp.                 | AB           | No         |                   |
| Borealis Mining Company Limited                     |                                        | BC           | No         |                   |
| Boston Pizza Royalties Income Fund                  |                                        | BC           | No         |                   |
| Boyd Group Services Inc.                            |                                        | MB           | No         |                   |
| BQE Water Inc.                                      | BioteQ Environmental Technologies Inc. | BC           | No         |                   |
| Bracknell Corporation                               |                                        | ON           | Yes        | 1a,1b,3           |
| Bragg Gaming Group Inc.                             | Breaking Data Corp.                    | ON           | No         |                   |
| Brandes Canadian Equity Fund                        |                                        | ON           | No         |                   |
| Brandes Canadian Money Market Fund                  |                                        | ON           | No         |                   |
| Brandes Corporate Focus Bond Fund                   |                                        | ON           | No         |                   |
| Brandes Emerging Markets Value Fund                 | Brandes Emerging Markets Equity Fund   | ON           | No         |                   |
| Brandes Global Equity Fund                          |                                        | ON           | No         |                   |
| Brandes Global Opportunities Fund                   |                                        | ON           | No         |                   |
| Brandes Global Small Cap Equity Fund                |                                        | ON           | No         |                   |
| Brandes International Equity Fund                   |                                        | ON           | No         |                   |
| Brandes U.S. Equity Fund                            |                                        | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                          | Previous Name                                 | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------------------|-----------------------------------------------|--------------|------------|-------------------|
| Bravo Mining Corp.                                                   |                                               | ON           | No         |                   |
| Brazil Potash Corp                                                   |                                               | ON           | No         |                   |
| Breaking Point Developments Inc.                                     |                                               | AB           | No         |                   |
| Bri-Chem Corp.                                                       |                                               | AB           | No         |                   |
| BriaCell Therapeutics Corp.                                          |                                               | BC           | No         |                   |
| Bridgehouse Canadian Bond Fund                                       | Greystone Canadian Bond Fund                  | ON           | No         |                   |
| Bridgemarq Real Estate Services Inc.                                 | Brookfield Real Estate Services Inc.          | ON           | No         |                   |
| Bright Minds Biosciences Inc.                                        |                                               | BC           | No         |                   |
| Bristol Gate Concentrated Canadian Equity ETF                        |                                               | ON           | No         |                   |
| Bristol Gate Concentrated US Equity ETF                              |                                               | ON           | No         |                   |
| British Columbia Ferry Services Inc.                                 |                                               | BC           | No         |                   |
| Brixton Metals Corporation                                           |                                               | BC           | No         |                   |
| Bro-X Minerals LTD.                                                  |                                               | AB           | Yes        | 1a                |
| Broadcom (AVGO) Yield Shares Purpose ETF                             |                                               | ON           | No         |                   |
| Bromac Resources Ltd.                                                | TGOD Acquisition Corporation                  | BC           | No         |                   |
| Brompton Canadian Cash Flow Kings ETF                                |                                               | ON           | No         |                   |
| Brompton Energy Split Corp.*                                         | Brompton Oil Split Corp.                      | ON           | No         |                   |
| Brompton Enhanced Multi-Asset Income ETF                             |                                               | ON           | No         |                   |
| Brompton European Dividend Growth ETF                                | European Dividend Growth Fund                 | ON           | No         |                   |
| Brompton Financials HighPay ETF                                      |                                               | ON           | No         |                   |
| Brompton Flaherty & Crumrine Enhanced Investment Grade Preferred ETF |                                               | ON           | No         |                   |
| Brompton Flaherty & Crumrine Investment Grade Preferred ETF          |                                               | ON           | No         |                   |
| Brompton Global Cash Flow Kings ETF                                  |                                               | ON           | No         |                   |
| Brompton Global Dividend Growth ETF                                  |                                               | ON           | No         |                   |
| Brompton Global Equity HighPay ETF                                   |                                               | ON           | No         |                   |
| Brompton Global Healthcare Income & Growth ETF                       | Global Healthcare Income & Growth ETF         | ON           | No         |                   |
| Brompton Global Infrastructure ETF                                   | Brompton Sustainable Real Assets Dividend ETF | ON           | No         |                   |
| Brompton International Cash Flow Kings ETF                           |                                               | ON           | No         |                   |
| Brompton Lifeco Split Corp.*                                         |                                               | ON           | No         |                   |
| Brompton North American Financials Dividend ETF                      |                                               | ON           | No         |                   |
| Brompton North American Low Volatility Dividend ETF                  |                                               | ON           | No         |                   |
| Brompton Split Banc Corp.*                                           |                                               | ON           | No         |                   |
| Brompton Split Corp. Enhanced Equity Income ETF                      | Brompton Split Corp. Class A Share ETF        | ON           | No         |                   |
| Brompton Split Corp. Preferred Share ETF                             |                                               | ON           | No         |                   |
| Brompton Tech Leaders Income ETF                                     | Tech Leaders Income ETF                       | ON           | No         |                   |
| Brompton Technology HighPay ETF                                      |                                               | ON           | No         |                   |
| Brompton U.S. Cash Flow Kings ETF                                    |                                               | ON           | No         |                   |
| Brompton U.S. Equity HighPay ETF                                     |                                               | ON           | No         |                   |
| Brompton Utilities & Infrastructure HighPay ETF                      |                                               | ON           | No         |                   |
| Brompton Wellington Square AAA CLO ETF                               |                                               | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                        | Previous Name                             | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------------------------|-------------------------------------------|--------------|------------|-------------------|
| Brompton Wellington Square Investment Grade CLO ETF                |                                           | ON           | No         |                   |
| Brookfield Asset Management Ltd.                                   |                                           | ON           | No         |                   |
| Brookfield Business Corporation                                    |                                           | ON           | No         |                   |
| Brookfield Capital Finance LLC                                     |                                           | ON           | No         |                   |
| Brookfield Corporation                                             | Brookfield Asset Management Inc.          | ON           | No         |                   |
| Brookfield Finance (Australia) Pty Ltd                             |                                           | ON           | No         |                   |
| Brookfield Finance I (UK) PLC                                      |                                           | ON           | No         |                   |
| Brookfield Finance II Inc.                                         |                                           | ON           | No         |                   |
| Brookfield Finance II LLC                                          |                                           | ON           | No         |                   |
| Brookfield Finance Inc.                                            |                                           | ON           | No         |                   |
| Brookfield Finance LLC                                             |                                           | ON           | No         |                   |
| Brookfield Global Infrastructure Securities Income Fund            |                                           | ON           | No         |                   |
| Brookfield Infrastructure Corporation                              |                                           | ON           | No         |                   |
| Brookfield Infrastructure Corporation Exchange Limited Partnership |                                           | ON           | No         |                   |
| Brookfield Infrastructure Finance Limited                          |                                           | ON           | No         |                   |
| Brookfield Infrastructure Finance LLC                              |                                           | ON           | No         |                   |
| Brookfield Infrastructure Finance Pty Ltd                          |                                           | ON           | No         |                   |
| Brookfield Infrastructure Finance ULC                              |                                           | ON           | No         |                   |
| Brookfield Infrastructure Partners Exchange LP                     |                                           | ON           | No         |                   |
| Brookfield Infrastructure Partners L.P.                            |                                           | ON           | No         |                   |
| Brookfield Infrastructure Preferred Equity Inc.                    |                                           | ON           | No         |                   |
| Brookfield Office Properties Inc.                                  | Brookfield Properties Corporation         | ON           | No         |                   |
| Brookfield Property Finance ULC                                    |                                           | ON           | No         |                   |
| Brookfield Property Partners L.P.                                  |                                           | ON           | No         |                   |
| Brookfield Property Preferred L.P.                                 |                                           | ON           | No         |                   |
| Brookfield Property Split Corp.                                    |                                           | ON           | No         |                   |
| Brookfield Renewable Corporation                                   |                                           | ON           | No         |                   |
| Brookfield Renewable Partners L.P.                                 | Brookfield Renewable Energy Partners L.P. | ON           | No         |                   |
| Brookfield Renewable Partners ULC                                  | Brookfield Renewable Energy Partners ULC  | ON           | No         |                   |
| Brookfield Renewable Power Preferred Equity Inc.                   |                                           | ON           | No         |                   |
| Brookfield Wealth Solutions Ltd.                                   | Brookfield Reinsurance Ltd.               | ON           | No         |                   |
| BRP Inc.                                                           |                                           | QC           | No         |                   |
| BSR Real Estate Investment Trust                                   |                                           | ON           | No         |                   |
| BTB Real Estate Investment Trust                                   |                                           | QC           | No         |                   |
| BTQ Technologies Corp.                                             | Sonora Gold & Silver Corp.                | BC           | No         |                   |
| Buchans Resources Limited                                          |                                           | NS           | No         |                   |
| Burcon NutraScience Corporation                                    |                                           | BC           | No         |                   |
| BZAM LTD.                                                          | Green Organic Dutchman Holdings Ltd., The | ON           | Yes        | 1a,1b,1c,1e,3     |
| C International Income Fund                                        | Cinram International Income Fund          | ON           | Yes        | 1c,1e             |
| C21 Investments Inc.                                               |                                           | BC           | No         |                   |
| Cabral Gold Inc.                                                   |                                           | BC           | No         |                   |
| Cadillac Mines Corporation                                         |                                           | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                        | Previous Name                                               | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------|-------------------------------------------------------------|--------------|------------|-------------------|
| Cae Inc.                                           | Cae Industries Limited                                      | QC           | No         |                   |
| Caldwell Canadian Value Momentum Fund              |                                                             | ON           | No         |                   |
| Caldwell North American Fund                       | Caldwell Balanced Fund                                      | ON           | No         |                   |
| Caldwell U.S. Dividend Advantage Fund              |                                                             | ON           | No         |                   |
| Caldwell-Lazard CorePlus Infrastructure Fund       | Caldwell CorePlus Infrastructure Fund                       | ON           | No         |                   |
| Calfrac Well Services LTD.                         |                                                             | AB           | No         |                   |
| Calian Group Ltd.                                  | Calian Technologies Ltd.                                    | ON           | No         |                   |
| Callitas Health Inc.                               | M Pharmaceutical Inc.                                       | BC           | Yes        | 1a,1c,1e,3        |
| Calmena Energy Services Inc.                       | BlackWatch Energy Services Corp.                            | AB           | No         |                   |
| Calyx Ventures Inc.                                | Calyx Bio-Ventures Inc.                                     | BC           | Yes        | 3                 |
| Cambria Gold Mines Inc.                            | Ascot Resources Ltd.                                        | BC           | No         |                   |
| Cameco Corporation                                 |                                                             | SK           | No         |                   |
| Campbell Resources Inc.                            |                                                             | QC           | No         |                   |
| Canaccord Genuity Group Inc.                       | Canaccord Financial Inc.                                    | BC           | No         |                   |
| Canacol Energy Ltd.                                |                                                             | AB           | Yes        | 1a,1c,1d,1e,3     |
| Canada 3000 Inc.                                   |                                                             | AB           | No         |                   |
| Canada Goose Holdings Inc.                         |                                                             | ON           | No         |                   |
| Canada Jetlines Operations Ltd.                    |                                                             | BC           | Yes        | 1b,1c,1e          |
| Canada Life Advanced Portfolio                     | Canada Life Advanced Folio Fund                             | ON           | No         |                   |
| Canada Life Aggressive Portfolio                   | Canada Life Aggressive Folio Fund                           | ON           | No         |                   |
| Canada Life Balanced Portfolio                     | Canada Life Balanced Folio Fund                             | ON           | No         |                   |
| Canada Life Canadian Core Bond Fund                | Canada Life Core Bond Fund (Portico)                        | ON           | No         |                   |
| Canada Life Canadian Core Fixed Income Fund        | Canada Life Pathways Core Bond Fund                         | ON           | No         |                   |
| Canada Life Canadian Core Plus Bond Fund           | Canada Life Core Plus Bond Fund (Portico)                   | ON           | No         |                   |
| Canada Life Canadian Corporate Bond Fund           | Canada Life Corporate Bond Fund (Portico)                   | ON           | No         |                   |
| Canada Life Canadian Dividend Fund                 |                                                             | ON           | No         |                   |
| Canada Life Canadian Enhanced Equity Income Fund   |                                                             | ON           | No         |                   |
| Canada Life Canadian Fixed Income Balanced Fund    |                                                             | ON           | No         |                   |
| Canada Life Canadian Focused Small-Mid Cap Fund    | Canada Life Canadian Small-Mid Cap Fund                     | ON           | No         |                   |
| Canada Life Canadian Fundamental Equity Fund       | Canada Life Canadian Concentrated Equity Fund               | ON           | No         |                   |
| Canada Life Canadian Growth Fund                   | Canada Life Canadian Growth Fund (GWLIM)                    | ON           | No         |                   |
| Canada Life Canadian Value Fund                    | Canada Life Canadian Value Fund (FGP)                       | ON           | No         |                   |
| Canada Life Capital Trust                          |                                                             | ON           | No         |                   |
| Canada Life Conservative Portfolio                 | Canada Life Conservative Folio Fund                         | ON           | No         |                   |
| Canada Life Diversified Fixed Income Portfolio     | Canada Life Diversified Fixed Income Folio Fund             | ON           | No         |                   |
| Canada Life Diversified Real Assets Fund           |                                                             | ON           | No         |                   |
| Canada Life Emerging Markets Large Cap Equity Fund | Canada Life Pathways Emerging Markets Large Cap Equity Fund | ON           | No         |                   |
| Canada Life ESG U.S. Equity Fund                   | Canada Life Sustainable U.S. Equity Fund                    | ON           | No         |                   |
| Canada Life Fixed Income Fund                      |                                                             | ON           | No         |                   |
| Canada Life Floating Rate Income Fund              |                                                             | ON           | No         |                   |
| Canada Life Foreign Equity Fund                    |                                                             | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                            | Previous Name                                           | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------------|---------------------------------------------------------|--------------|------------|-------------------|
| Canada Life Global All Cap Equity Fund                 | Canada Life Global All Cap Equity Fund (Setanta)        | ON           | No         |                   |
| Canada Life Global Balanced Fund                       |                                                         | ON           | No         |                   |
| Canada Life Global Core Plus Bond Fund                 | Canada Life Pathways Global Core Plus Bond Fund         | ON           | No         |                   |
| Canada Life Global Equity and Income Fund              | Canada Life Global Growth and Income Fund               | ON           | No         |                   |
| Canada Life Global Equity Fund                         | Canada Life Global Low Volatility Fund                  | ON           | No         |                   |
| Canada Life Global Growth Equity Fund                  | Canada Life Global Growth Equity Fund (T. Rowe Price)   | ON           | No         |                   |
| Canada Life Global Growth Opportunities Fund           |                                                         | ON           | No         |                   |
| Canada Life Global High Yield Fixed Income Fund        | Canada Life North American High Yield Fixed Income Fund | ON           | No         |                   |
| Canada Life Global Inflation-Linked Fixed Income Fund  |                                                         | ON           | No         |                   |
| Canada Life Global Multi-Sector Bond Fund              | Canada Life Pathways Global Multi Sector Bond Fund      | ON           | No         |                   |
| Canada Life Global Resources Fund                      |                                                         | ON           | No         |                   |
| Canada Life Global Small-Mid Cap Equity Fund           | Canada Life Global Small-Mid Cap Growth Fund            | ON           | No         |                   |
| Canada Life Global Strategic Income Fund               | Canada Life Global Monthly Income Fund (London Capital) | ON           | No         |                   |
| Canada Life Global Tactical Fund                       | Canada Life Pathways Global Tactical Fund               | ON           | No         |                   |
| Canada Life International Enhanced Equity Income Fund  |                                                         | ON           | No         |                   |
| Canada Life International Equity Fund                  | Canada Life International Core Equity Fund (JPMorgan)   | ON           | No         |                   |
| Canada Life International Value Fund                   | Canada Life Pathways International Equity Fund          | ON           | No         |                   |
| Canada Life Moderate Portfolio                         | Canada Life Moderate Folio Fund                         | ON           | No         |                   |
| Canada Life Money Market Fund                          | Money Market Fund                                       | ON           | No         |                   |
| Canada Life Precious Metals Fund                       |                                                         | ON           | No         |                   |
| Canada Life Risk Reduction Pool                        |                                                         | ON           | No         |                   |
| Canada Life Risk-Managed Balanced Portfolio            |                                                         | ON           | No         |                   |
| Canada Life Risk-Managed Conservative Income Portfolio |                                                         | ON           | No         |                   |
| Canada Life Risk-Managed Growth Portfolio              |                                                         | ON           | No         |                   |
| Canada Life Strategic Income Fund                      |                                                         | ON           | No         |                   |
| Canada Life Sustainable Balanced Portfolio             |                                                         | ON           | No         |                   |
| Canada Life Sustainable Conservative Portfolio         |                                                         | ON           | No         |                   |
| Canada Life Sustainable Emerging Markets Equity Fund   |                                                         | ON           | No         |                   |
| Canada Life Sustainable Global Bond Fund               |                                                         | ON           | No         |                   |
| Canada Life Sustainable Global Equity Fund             |                                                         | ON           | No         |                   |
| Canada Life Sustainable Growth Portfolio               |                                                         | ON           | No         |                   |
| Canada Life U.S. All Cap Growth Fund                   |                                                         | ON           | No         |                   |
| Canada Life U.S. Dividend Fund                         | Canada Life US Dividend Fund (GWLIM)                    | ON           | No         |                   |
| Canada Life U.S. Enhanced Equity Income Fund           |                                                         | ON           | No         |                   |
| Canada Life U.S. Small-Mid Cap Growth Fund             |                                                         | ON           | No         |                   |
| Canada Life U.S. Value Fund                            | Canada Life US Value Fund (Putnam)                      | ON           | No         |                   |
| Canada Life Unconstrained Fixed Income Fund            |                                                         | ON           | No         |                   |
| Canadian Airlines Corporation                          | Pwa Corporation                                         | AB           | Yes        | 1a                |

## Nova Scotia Securities Commission

| Issuer Name                                                | Previous Name                                        | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------|------------------------------------------------------|--------------|------------|-------------------|
| Canadian Apartment Properties Real Estate Investment Trust |                                                      | ON           | No         |                   |
| Canadian Banc Corp.*                                       | Prime Rate Plus Corp                                 | ON           | No         |                   |
| Canadian Equity Alpha Corporate Class                      |                                                      | ON           | No         |                   |
| Canadian Equity Alpha Pool                                 |                                                      | ON           | No         |                   |
| Canadian Equity Fund                                       | Primus Capital Advisors Canadian Equity Fund         | ON           | No         |                   |
| Canadian Equity Growth Corporate Class                     |                                                      | ON           | No         |                   |
| Canadian Equity Growth Pool                                | Assante Canadian Equity Growth Pool                  | ON           | No         |                   |
| Canadian Equity Managed Class                              |                                                      | ON           | No         |                   |
| Canadian Equity Managed Pool                               |                                                      | ON           | No         |                   |
| Canadian Equity Small Cap Corporate Class                  |                                                      | ON           | No         |                   |
| Canadian Equity Small Cap Pool                             | Assante Canadian Equity Small Cap Pool               | ON           | No         |                   |
| Canadian Equity Value Corporate Class                      |                                                      | ON           | No         |                   |
| Canadian Equity Value Pool                                 | Optima Strategy Fund - Canadian Equity Value Pool    | ON           | No         |                   |
| Canadian Fixed Income Corporate Class                      |                                                      | ON           | No         |                   |
| Canadian Fixed Income Fund                                 | Primus Capital Advisors Canadian Fixed Income Fund   | ON           | No         |                   |
| Canadian Fixed Income Pool                                 | Optima Strategy Fund - Canadian Fixed Income Section | ON           | No         |                   |
| Canadian General Investments, Limited*                     |                                                      | ON           | No         |                   |
| Canadian Imperial Bank of Commerce                         |                                                      | ON           | No         |                   |
| Canadian Large Cap Leaders Split Corp.*                    |                                                      | ON           | No         |                   |
| Canadian Life Companies Split Corp.                        |                                                      | ON           | No         |                   |
| Canadian Manganese Company Inc.                            | Canadian Manganese Company Inc.                      | ON           | Yes        | 1a,1c,1d,1e,3     |
| Canadian National Railway Company                          |                                                      | QC           | No         |                   |
| Canadian Natural Resources Limited                         |                                                      | AB           | No         |                   |
| Canadian Net Real Estate Investment Trust                  | Fronsac Real Estate Investment Trust                 | QC           | No         |                   |
| Canadian Overseas Petroleum Limited                        | Velo Energy Inc.                                     | AB           | Yes        | 1a,1c,1d,1e,3     |
| Canadian Pacific Kansas City Limited                       | Canadian Pacific Railway Limited                     | AB           | No         |                   |
| Canadian Pacific Railway Company                           | Canadian Pacific Limited Effective 96jul04           | AB           | No         |                   |
| Canadian Scholarship Trust CST™ Advantage Plan             | CST Advantage Plan                                   | ON           | No         |                   |
| Canadian Scholarship Trust Family Savings Plan             | Canadian Scholarship Trust Plan - Millennium Family  | ON           | No         |                   |
| Canadian Scholarship Trust Group Savings Plan              |                                                      | ON           | No         |                   |
| Canadian Scholarship Trust Group Savings Plan*             | Canadian Scholarship Trust Plan - Optional PLAN      | ON           | No         |                   |
| Canadian Scholarship Trust Individual Savings Plan         | Canadian Scholarship Trust Plan - Millennium PLAN    | ON           | No         |                   |
| Canadian Small Company Equity Fund                         |                                                      | ON           | No         |                   |
| Canadian Tire Corporation, Limited                         |                                                      | ON           | No         |                   |
| Canadian Utilities Limited                                 |                                                      | AB           | No         |                   |
| Canagold Resources Ltd.                                    | Canarc Resource Corp.                                | BC           | No         |                   |
| Canfor Corporation                                         |                                                      | BC           | No         |                   |
| Canlan Ice Sports Corp.                                    |                                                      | BC           | No         |                   |
| CannTrust Holdings Inc.                                    |                                                      | ON           | Yes        | 1b,1c,1e,3        |
| Canoe Asset Allocation Portfolio Class                     | Canoe Asset Allocation Class                         | AB           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                       | Previous Name                                                | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------------------|--------------------------------------------------------------|--------------|------------|-------------------|
| Canoe Bond Advantage Fund                                         | Canoe 'GO CANADA!' Bond Advantage Fund                       | AB           | No         |                   |
| Canoe Bond Advantage Portfolio Class                              | Canoe Bond Advantage Class                                   | AB           | No         |                   |
| Canoe Defensive Global Equity Fund                                | Fiera Capital Defensive Global Equity Fund                   | AB           | No         |                   |
| Canoe Defensive International Equity Fund                         | Canoe International Equity Fund                              | AB           | No         |                   |
| Canoe Defensive U.S. Equity Portfolio Class                       | Canoe Defensive U.S. Equity Class                            | AB           | No         |                   |
| Canoe EIT Income Fund*                                            | Enervest Diversified Income Trust                            | AB           | No         |                   |
| Canoe Energy Income Portfolio Class                               | Canoe Energy Income Class                                    | AB           | No         |                   |
| Canoe Energy Plus Fund                                            |                                                              | AB           | No         |                   |
| Canoe Energy Portfolio Class                                      | Canoe Energy Class                                           | AB           | No         |                   |
| Canoe Enhanced Income Fund                                        | Canoe 'GO CANADA!' Enhanced Income Fund                      | AB           | No         |                   |
| Canoe Enhanced Income Portfolio Class                             | Canoe Enhanced Income Class                                  | AB           | No         |                   |
| Canoe Equity Plus Fund                                            |                                                              | AB           | No         |                   |
| Canoe Equity Portfolio Class                                      | Canoe Equity Class                                           | AB           | No         |                   |
| Canoe Fundamental Global Balanced Fund                            | Canoe Defensive Global Balanced Fund                         | AB           | No         |                   |
| Canoe Fundamental Global Equity Portfolio Class                   |                                                              | AB           | No         |                   |
| Canoe Fundamental Small Mid Cap Portfolio Class                   | Canoe Canadian Small Mid Cap Portfolio Class                 | AB           | No         |                   |
| Canoe Global Equity Fund                                          | Fiera Capital Global Equity Fund                             | AB           | No         |                   |
| Canoe Global Income Fund                                          |                                                              | AB           | No         |                   |
| Canoe Global Income Portfolio Class                               | Canoe Global Income Class                                    | AB           | No         |                   |
| Canoe International Equity Portfolio Class                        | Canoe International Equity Class                             | AB           | No         |                   |
| Canoe North American Monthly Income Portfolio Class               | Canoe North American Monthly Income Class                    | AB           | No         |                   |
| Canoe Preferred Share Portfolio Class                             | Canoe Preferred Share Class                                  | AB           | No         |                   |
| Canoe Premium Yield Fund                                          | Canoe Premium Income Fund                                    | AB           | No         |                   |
| Canoe Trust Fund                                                  |                                                              | AB           | No         |                   |
| Canoe Unconstrained Bond Fund                                     |                                                              | AB           | No         |                   |
| Canoe Unconstrained Bond Portfolio Class                          | Canoe Unconstrained Bond Class                               | AB           | No         |                   |
| Canopy Growth Corporation                                         | Tweed Marijuana Inc.                                         | ON           | No         |                   |
| Canso Select Opportunities Corporation                            |                                                              | ON           | No         |                   |
| Capital Group Canadian Core Plus Fixed Income Fund™ (Canada)      | Capital International - Canadian Core Plus Fixed Income      | ON           | No         |                   |
| Capital Group Canadian Focused Equity Fund™ (Canada)              | Capital International - Growth and Income                    | ON           | No         |                   |
| Capital Group Canadian Money Market Fund™ (Canada)                |                                                              | ON           | No         |                   |
| Capital Group Capital Income Builder™ (Canada)                    |                                                              | ON           | No         |                   |
| Capital Group Emerging Markets Total Opportunities Fund™ (Canada) | Capital International - Emerging Markets Total Opportunities | ON           | No         |                   |
| Capital Group Global Balanced Fund™ (Canada)                      |                                                              | ON           | No         |                   |
| Capital Group Global Developed Equity Select ETF™ (Canada)        |                                                              | ON           | No         |                   |
| Capital Group Global Equity Fund™ (Canada)                        | Capital International - Global Equity                        | ON           | No         |                   |
| Capital Group Global Equity Select ETF™ (Canada)                  |                                                              | ON           | No         |                   |
| Capital Group International Developed Equity Select ETF™ (Canada) |                                                              | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                             | Previous Name                                          | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------|--------------------------------------------------------|--------------|------------|-------------------|
| Capital Group International Equity Fund™ (Canada)       | Capital International - International Equity           | ON           | No         |                   |
| Capital Group International Equity Select ETF™ (Canada) |                                                        | ON           | No         |                   |
| Capital Group Monthly Income Portfolio™ (Canada)        |                                                        | ON           | No         |                   |
| Capital Group Multi-Sector Income Fund™ (Canada)        |                                                        | ON           | No         |                   |
| Capital Group Multi-Sector Income Select ETF™ (Canada)  |                                                        | ON           | No         |                   |
| Capital Group U.S. Equity Fund™ (Canada)                | Capital International - U.S. Equity                    | ON           | No         |                   |
| Capital Group U.S. Equity Select ETF™ (Canada)          |                                                        | ON           | No         |                   |
| Capital Group World Bond Fund™ (Canada)                 |                                                        | ON           | No         |                   |
| Capital Group World Bond Select ETF™ (Canada)           |                                                        | ON           | No         |                   |
| Capital Power Corporation                               |                                                        | AB           | No         |                   |
| Capstone Biblically Informed Canadian Equity Fund       |                                                        | BC           | No         |                   |
| Capstone Biblically Informed U.S. Equity Fund           |                                                        | BC           | No         |                   |
| Capstone Copper Corp.                                   |                                                        | BC           | No         |                   |
| Capstone Infrastructure Corporation                     | Macquarie Power and Infrastructure Corporation         | ON           | No         |                   |
| Carbiz Inc.                                             | Carbiz.Com Inc.                                        | AB           | No         |                   |
| Cardinal Energy Ltd.                                    |                                                        | AB           | No         |                   |
| Cardiol Therapeutics Inc.                               |                                                        | ON           | No         |                   |
| CARDS II Trust                                          |                                                        | ON           | No         |                   |
| CareRx Corporation                                      | Centric Health Corporation                             | ON           | No         |                   |
| Cargojet Inc.                                           |                                                        | ON           | No         |                   |
| Caribbean Utilities Company, Ltd.                       |                                                        | ON           | No         |                   |
| Carmanah Resources Ltd                                  |                                                        | AB           | No         |                   |
| CARS and PARS Programme                                 |                                                        | ON           | No         |                   |
| Cars4u LTD.                                             | Cars4u.Com LTD.                                        | ON           | No         |                   |
| Cascades Inc.                                           |                                                        | QC           | No         |                   |
| Cash Management Pool                                    | Optima Strategy Fund - Cash Management Section         | ON           | No         |                   |
| Cassiar Gold Corp.                                      | Margaux Resources Ltd.                                 | BC           | No         |                   |
| Catalyst Metals Limited                                 |                                                        | ON           | No         |                   |
| Caterpillar Financial Services Limited                  |                                                        | ON           | No         |                   |
| Cathay Forest Products Corp.                            |                                                        | ON           | No         |                   |
| Cathedral Bitcoin Inc.                                  |                                                        | BC           | Yes        | 1b,1c,1e          |
| Cavvy Energy Ltd.                                       | Pieridae Energy Limited                                | AB           | No         |                   |
| CC&L Absolute Return Bond Fund                          | CC&L Alternative Income Fund                           | ON           | No         |                   |
| CC&L Core Income and Growth Fund                        | Connor, Clark & Lunn Conservative Income & Growth Fund | ON           | No         |                   |
| CC&L Diversified Income Fund                            | CC&L Diversified Income Portfolio                      | ON           | No         |                   |
| CC&L Equity Income and Growth Fund                      |                                                        | ON           | No         |                   |
| CC&L Global Alpha Fund                                  |                                                        | ON           | No         |                   |
| CC&L Global Long Short Fund                             | CC&L Alternative Canadian Equity Fund                  | ON           | No         |                   |
| CC&L Global Market Neutral II Fund                      | CC&L Alternative Global Equity Fund                    | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                    | Previous Name                                                       | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------------|---------------------------------------------------------------------|--------------|------------|-------------------|
| CC&L High Yield Bond Fund                                      |                                                                     | ON           | No         |                   |
| CCL Industries Inc.                                            |                                                                     | ON           | No         |                   |
| Celestial Acquisition Corp.                                    |                                                                     | ON           | No         |                   |
| Celestica Inc.                                                 |                                                                     | ON           | No         |                   |
| Celtic Tiger Minerals Exploration Inc. (Cease Traded)          |                                                                     | NS           | No         |                   |
| Cenovus Energy Inc.                                            | 7050372 Canada Inc.                                                 | AB           | No         |                   |
| Centaurus Energy Inc.                                          | Madalena Energy Inc.                                                | AB           | No         |                   |
| Centerra Gold Inc.                                             |                                                                     | ON           | No         |                   |
| CENTR Brands Corp.                                             |                                                                     | BC           | No         |                   |
| Century Lithium Corp.                                          | Cypress Development Corp.                                           | BC           | No         |                   |
| CES Energy Solutions Corp.                                     | Canadian Energy Services & Technology Corp.                         | AB           | No         |                   |
| CGI Inc.                                                       | CGI Group Inc.                                                      | QC           | No         |                   |
| CGX Energy Inc.                                                |                                                                     | ON           | No         |                   |
| Champion Iron Limited                                          |                                                                     | ON           | No         |                   |
| Charlotte's Web Holdings, Inc.                                 | Stanley Brothers Holdings Inc.                                      | ON           | No         |                   |
| Chartwell Retirement Residences                                | Chartwell Seniors Housing Real Estate Investment Trust              | ON           | No         |                   |
| Chauvco Resources International LTD.                           | Chauvco International Resources LTD.                                | ON           | No         |                   |
| Chemokine Therapeutics Corp.                                   |                                                                     | BC           | No         |                   |
| Chemtrade Logistics Income Fund                                |                                                                     | ON           | No         |                   |
| Chesapeake Gold Corp.                                          |                                                                     | BC           | No         |                   |
| Chesswood Group Limited                                        |                                                                     | ON           | Yes        | 1b,1c,1e          |
| Cheyenne Energy Inc.                                           |                                                                     | AB           | No         |                   |
| Chieftain Metals Corp.                                         |                                                                     | ON           | No         |                   |
| China Education Resources Inc.                                 | China Ventures Inc.                                                 | BC           | Yes        | 1a,1c,1e,3        |
| CHIP Mortgage Trust                                            |                                                                     | ON           | No         |                   |
| CHITOGEX INC                                                   | Ortho Regenerative Technologies Inc.                                | QC           | Yes        | 1a,1c,1e,3        |
| Choice Properties Real Estate Investment Trust                 |                                                                     | ON           | No         |                   |
| Chorus Aviation Inc.                                           |                                                                     | NS           | No         |                   |
| Chou Asia Fund                                                 |                                                                     | ON           | No         |                   |
| Chou Associates Fund                                           |                                                                     | ON           | No         |                   |
| Chou Bond Fund                                                 |                                                                     | ON           | No         |                   |
| Chou Europe Fund                                               |                                                                     | ON           | No         |                   |
| Chou RRSP Fund                                                 |                                                                     | ON           | No         |                   |
| CI 1-5 Year Laddered Government Strip Bond Index ETF           | CI First Asset 1-5 Year Laddered Government Strip Bond Index ETF    | ON           | No         |                   |
| CI 1-5 Year Laddered Investment Grade Corporate Bond Index ETF | Invesco 1-5 Year Laddered Investment Grade Corporate Bond Index ETF | ON           | No         |                   |
| CI AIMvest Canadian Class                                      | Invesco Canadian Class                                              | ON           | No         |                   |
| CI AIMvest Canadian Fund                                       | Invesco Canadian Fund                                               | ON           | No         |                   |
| CI AIMvest Canadian Plus Dividend Class                        | Invesco Canadian Plus Dividend Class                                | ON           | No         |                   |
| CI AIMvest Diversified Yield Class                             | Invesco Diversified Yield Class                                     | ON           | No         |                   |
| CI AIMvest Income Growth Fund                                  | Invesco Income Growth Fund                                          | ON           | No         |                   |
| CI AIMvest Pure Canadian Equity Class                          | Invesco Pure Canadian Equity Class                                  | ON           | No         |                   |
| CI AIMvest Pure Canadian Equity Fund                           | Invesco Pure Canadian Equity Fund                                   | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                           | Previous Name                                             | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------|-----------------------------------------------------------|--------------|------------|-------------------|
| CI AIMvest Select Balanced Fund                       | Invesco Select Balanced Fund                              | ON           | No         |                   |
| CI AIMvest Select Canadian Equity Fund                | Invesco Select Canadian Equity Fund                       | ON           | No         |                   |
| CI Alternative Credit Opportunities Fund              |                                                           | ON           | No         |                   |
| CI Alternative Diversified Opportunities Fund         | Marret Diversified Opportunities Fund                     | ON           | No         |                   |
| CI Alternative Equity Premium Yield Fund              |                                                           | ON           | No         |                   |
| CI Alternative Investment Grade Credit Fund           | CI Lawrence Park Alternative Investment Grade Credit Fund | ON           | No         |                   |
| CI Alternative Multi-Strategy Fund                    |                                                           | ON           | No         |                   |
| CI Alternative North American Opportunities Fund      |                                                           | ON           | No         |                   |
| CI Auspice Alternative Diversified Corporate Class    |                                                           | ON           | No         |                   |
| CI Auspice Broad Commodity Fund                       | CI Auspice Broad Commodity ETF                            | ON           | No         |                   |
| CI Balanced Asset Allocation ETF                      |                                                           | ON           | No         |                   |
| CI Balanced Asset Allocation ETF Fund                 | CI Balanced Asset Allocation Fund                         | ON           | No         |                   |
| CI Balanced Growth Asset Allocation ETF               |                                                           | ON           | No         |                   |
| CI Balanced Growth Asset Allocation ETF Fund          | CI Balanced Growth Asset Allocation Fund                  | ON           | No         |                   |
| CI Balanced Income Asset Allocation ETF               |                                                           | ON           | No         |                   |
| CI Balanced Income Asset Allocation ETF Fund          | CI Balanced Income Asset Allocation Fund                  | ON           | No         |                   |
| CI Balanced+ Asset Allocation ETF Fund                |                                                           | ON           | No         |                   |
| CI Bitcoin Fund                                       |                                                           | ON           | No         |                   |
| CI Canada Quality Dividend Growth Index ETF           | CI WisdomTree Canada Quality Dividend Growth Index ETF    | ON           | No         |                   |
| CI Canada Quality Dividend Growth Index Fund          | CI WisdomTree Canada Quality Dividend Growth Index Fund   | ON           | No         |                   |
| CI Canadian Aggregate Bond Index ETF                  | CI Yield Enhanced Canada Aggregate Bond Index ETF         | ON           | No         |                   |
| CI Canadian All Cap Equity Income Class               | CI Canadian Equity Income Class                           | ON           | No         |                   |
| CI Canadian All Cap Equity Income Fund                | Sentry All Cap Income Fund                                | ON           | No         |                   |
| CI Canadian Asset Allocation Corporate Class          | Cambridge Asset Allocation Corporate Class                | ON           | No         |                   |
| CI Canadian Asset Allocation Fund                     | Cambridge Asset Allocation Fund                           | ON           | No         |                   |
| CI Canadian Balanced Corporate Class                  | Signature Canadian Balanced Corporate Class               | ON           | No         |                   |
| CI Canadian Balanced Fund                             | Signature Canadian Balanced Fund                          | ON           | No         |                   |
| CI Canadian Banks Covered Call Income Class ETF       | CI Canadian Banks Income Class ETF                        | ON           | No         |                   |
| CI Canadian Banks Covered Call Income Corporate Class |                                                           | ON           | No         |                   |
| CI Canadian Bond Corporate Class                      | Signature Canadian Bond Corporate Class                   | ON           | No         |                   |
| CI Canadian Bond Fund                                 | Signature Canadian Bond Fund                              | ON           | No         |                   |
| CI Canadian Bond Private Pool                         | CI Canadian Fixed Income Private Pool                     | ON           | No         |                   |
| CI Canadian Convertible Bond ETF                      | CI First Asset Canadian Convertible Bond ETF              | ON           | No         |                   |
| CI Canadian Convertible Bond Fund                     | First Asset Canadian Convertible Bond Fund                | ON           | No         |                   |
| CI Canadian Dividend Corporate Class                  | Cambridge Canadian Dividend Corporate Class               | ON           | No         |                   |
| CI Canadian Dividend Fund                             | Cambridge Canadian Dividend Fund                          | ON           | No         |                   |
| CI Canadian Dividend Index ETF                        | Invesco Canadian Dividend Index ETF                       | ON           | No         |                   |
| CI Canadian Dividend Private Pool                     |                                                           | ON           | No         |                   |
| CI Canadian Equity Growth Corporate Class             | CI Canadian Equity Corporate Class                        | ON           | No         |                   |
| CI Canadian Equity Growth Fund                        | CI Canadian Equity Fund                                   | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                      | Previous Name                                                | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------|--------------------------------------------------------------|--------------|------------|-------------------|
| CI Canadian Equity Growth Private Pool           | CI Canadian Equity Private Pool                              | ON           | No         |                   |
| CI Canadian Equity Income Private Trust          | Sentry Canadian Equity Income Private Trust                  | ON           | No         |                   |
| CI Canadian Equity Index ETF                     |                                                              | ON           | No         |                   |
| CI Canadian Government Floating Rate Index ETF   | Invesco Canadian Government Floating Rate Index ETF          | ON           | No         |                   |
| CI Canadian Income & Growth Corporate Class      | Signature Income & Growth Corporate Class                    | ON           | No         |                   |
| CI Canadian Income & Growth Fund                 | Signature Income & Growth Fund                               | ON           | No         |                   |
| CI Canadian Income & Growth Private Pool         |                                                              | ON           | No         |                   |
| CI Canadian Investment Corporate Class           | CI Canadian Investment Sector Fund                           | ON           | No         |                   |
| CI Canadian Investment Fund                      | Spectrum United Canadian Investment Fund                     | ON           | No         |                   |
| CI Canadian Long-Term Bond Pool                  | Cambridge Canadian Long-Term Bond Pool                       | ON           | No         |                   |
| CI Canadian REIT ETF                             | CI First Asset Canadian REIT ETF                             | ON           | No         |                   |
| CI Canadian REIT Fund                            | First Asset REIT Income Fund                                 | ON           | No         |                   |
| CI Canadian Short-Term Aggregate Bond Index ETF  | CI Yield Enhanced Canada Short-Term Aggregate Bond Index ETF | ON           | No         |                   |
| CI Canadian Small/Mid Cap Equity Corporate Class | CI Pure Canadian Small/Mid Cap Equity Corporate Class        | ON           | No         |                   |
| CI Canadian Small/Mid Cap Equity Fund            | CI Pure Canadian Small/Mid Cap Equity Fund                   | ON           | No         |                   |
| CI Conservative Asset Allocation ETF             |                                                              | ON           | No         |                   |
| CI Conservative Asset Allocation ETF Fund        | CI Conservative Asset Allocation Fund                        | ON           | No         |                   |
| CI Corporate Bond Class                          | Signature Corporate Bond Class                               | ON           | No         |                   |
| CI Corporate Bond Corporate Class                | Signature Corporate Bond Corporate Class                     | ON           | No         |                   |
| CI Corporate Bond Fund                           | Signature Corporate Bond Fund                                | ON           | No         |                   |
| CI Digital Security Index ETF                    | CI Digital Security ETF                                      | ON           | No         |                   |
| CI Diversified Yield Corporate Class             | Signature Diversified Yield Corporate Class                  | ON           | No         |                   |
| CI Diversified Yield Fund                        | Signature Diversified Yield Fund                             | ON           | No         |                   |
| CI Dividend Income & Growth Corporate Class      | Signature Dividend Corporate Class                           | ON           | No         |                   |
| CI Dividend Income & Growth Fund                 | Signature Dividend Fund                                      | ON           | No         |                   |
| CI DoubleLine Total Return Bond US\$ Fund        |                                                              | ON           | No         |                   |
| CI Emerging Markets Alpha ETF                    |                                                              | ON           | No         |                   |
| CI Emerging Markets Bond Fund                    |                                                              | ON           | No         |                   |
| CI Emerging Markets Corporate Class              | Signature Emerging Markets Corporate Class                   | ON           | No         |                   |
| CI Emerging Markets Dividend Index ETF           | CI WisdomTree Emerging Markets Dividend Index ETF            | ON           | No         |                   |
| CI Emerging Markets Fund                         | Signature Emerging Markets Fund                              | ON           | No         |                   |
| CI Energy Giants Covered Call ETF                | CI First Asset Energy Giants Covered Call ETF                | ON           | No         |                   |
| CI Energy Giants Covered Call Fund               |                                                              | ON           | No         |                   |
| CI Enhanced Government Bond ETF                  | CI First Asset Enhanced Government Bond ETF                  | ON           | No         |                   |
| CI Enhanced Short Duration Bond Fund             | CI First Asset Enhanced Short Duration Bond Fund             | ON           | No         |                   |
| CI Equity Asset Allocation ETF                   |                                                              | ON           | No         |                   |
| CI Equity Asset Allocation ETF Fund              | CI Equity Asset Allocation Fund                              | ON           | No         |                   |
| CI Equity Premium Yield Fund                     |                                                              | ON           | No         |                   |
| CI Equity+ Asset Allocation ETF Fund             |                                                              | ON           | No         |                   |
| CI ESG NASDAQ 100 Index ETF                      | Invesco ESG NASDAQ 100 Index ETF                             | ON           | No         |                   |
| CI Ethereum Fund                                 |                                                              | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                      | Previous Name                                | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------|----------------------------------------------|--------------|------------|-------------------|
| CI Europe Hedged Equity Index ETF                | CI WisdomTree Europe Hedged Equity Index ETF | ON           | No         |                   |
| CI Financial Corp.*                              |                                              | ON           | No         |                   |
| CI Floating Rate Income Fund                     | Signature Floating Rate Income Fund          | ON           | No         |                   |
| CI Forge First Conservative Alternative Fund     | Forge First Conservative Alternative Fund    | ON           | No         |                   |
| CI Forge First Long Short Alternative Fund       | Forge First Long Short Alternative Fund      | ON           | No         |                   |
| CI G5 20 2038 Q3 Fund                            |                                              | ON           | No         |                   |
| CI G5 20 2038 Q4 Fund                            |                                              | ON           | No         |                   |
| CI G5 20 2039 Q2 Fund                            |                                              | ON           | No         |                   |
| CI G5 20 2039 Q3 Fund*                           |                                              | ON           | No         |                   |
| CI G5 20 2040 Q1 Fund                            |                                              | ON           | No         |                   |
| CI G5 20 2040 Q4 Fund*                           |                                              | ON           | No         |                   |
| CI G5 20i 2035 Q1 Fund*                          |                                              | ON           | No         |                   |
| CI G5 20i 2035 Q2 Fund*                          |                                              | ON           | No         |                   |
| CI G5 20i 2036 Q1 Fund                           |                                              | ON           | No         |                   |
| CI G5 20i 2036 Q2 Fund*                          |                                              | ON           | No         |                   |
| CI Galaxy Bitcoin ETF                            |                                              | ON           | No         |                   |
| CI Galaxy Blockchain Index ETF                   | CI Galaxy Blockchain ETF                     | ON           | No         |                   |
| CI Galaxy Core Multi-Crypto ETF                  |                                              | ON           | No         |                   |
| CI Galaxy Ethereum ETF                           |                                              | ON           | No         |                   |
| CI Galaxy Multi-Crypto Navigator ETF             | CI Galaxy Multi-Crypto ETF                   | ON           | No         |                   |
| CI Galaxy Solana ETF                             |                                              | ON           | No         |                   |
| CI Global Alpha Innovators Corporate Class       | Signature Global Technology Corporate Class  | ON           | No         |                   |
| CI Global Alpha Innovators ETF                   | CI Global Alpha Innovation ETF               | ON           | No         |                   |
| CI Global Artificial Intelligence Fund           | CI Global Artificial Intelligence ETF        | ON           | No         |                   |
| CI Global Asset Allocation Private Pool          |                                              | ON           | No         |                   |
| CI Global Balanced Corporate Class               | Black Creek Global Balanced Corporate Class  | ON           | No         |                   |
| CI Global Balanced Fund                          | Black Creek Global Balanced Fund             | ON           | No         |                   |
| CI Global Bond Corporate Class                   | Signature Global Bond Corporate Class        | ON           | No         |                   |
| CI Global Bond Currency Neutral Fund             |                                              | ON           | No         |                   |
| CI Global Bond Fund                              | Signature Global Bond Fund                   | ON           | No         |                   |
| CI Global Climate Leaders Fund                   |                                              | ON           | No         |                   |
| CI Global Core Plus Bond Fund                    | Signature Core Bond Plus Fund                | ON           | No         |                   |
| CI Global Core Plus Equity ETF                   | CI ONE Global Equity ETF                     | ON           | No         |                   |
| CI Global Dividend Corporate Class               | Signature Global Dividend Corporate Class    | ON           | No         |                   |
| CI Global Dividend Fund                          | Signature Global Dividend Fund               | ON           | No         |                   |
| CI Global Dividend Opportunities Corporate Class | Cambridge Global Dividend Corporate Class    | ON           | No         |                   |
| CI Global Dividend Opportunities Fund            | Cambridge Global Dividend Fund               | ON           | No         |                   |
| CI Global Dividend Private Pool                  |                                              | ON           | No         |                   |
| CI Global Energy Corporate Class                 | Signature Global Energy Corporate Class      | ON           | No         |                   |
| CI Global Enhanced Government Bond Private Pool  |                                              | ON           | No         |                   |
| CI Global Equity Alpha Private Pool              |                                              | ON           | No         |                   |
| CI Global Equity Corporate Class                 | Cambridge Global Equity Corporate Class      | ON           | No         |                   |
| CI Global Equity Fund                            | Cambridge Global Equity Fund                 | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                      | Previous Name                                      | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------|----------------------------------------------------|--------------|------------|-------------------|
| CI Global Financial Sector ETF                   | CI First Asset Global Financial Sector ETF         | ON           | No         |                   |
| CI Global Green Bond Fund                        |                                                    | ON           | No         |                   |
| CI Global Health Sciences Corporate Class        | CI Global Health Sciences Sector Fund              | ON           | No         |                   |
| CI Global Healthcare Leaders Index ETF           |                                                    | ON           | No         |                   |
| CI Global Income & Growth Corporate Class        | Signature Global Income & Growth Corporate Class   | ON           | No         |                   |
| CI Global Income & Growth Fund                   | Signature Global Income & Growth Fund              | ON           | No         |                   |
| CI Global Infrastructure Fund                    | Signature Global Infrastructure Fund               | ON           | No         |                   |
| CI Global Infrastructure Private Pool            |                                                    | ON           | No         |                   |
| CI Global Investment Grade Class                 | CI Global Investment Grade Private Pool Class      | ON           | No         |                   |
| CI Global Investment Grade ETF                   |                                                    | ON           | No         |                   |
| CI Global Investment Grade Fund                  |                                                    | ON           | No         |                   |
| CI Global Leaders Corporate Class                | Black Creek Global Leaders Corporate Class         | ON           | No         |                   |
| CI Global Leaders Fund                           | Black Creek Global Leaders Fund                    | ON           | No         |                   |
| CI Global Longevity Economy Fund                 |                                                    | ON           | No         |                   |
| CI Global Minimum Downside Volatility Index Fund | CI Global Minimum Downside Volatility Index ETF    | ON           | No         |                   |
| CI Global Quality Dividend Growth Index ETF      |                                                    | ON           | No         |                   |
| CI Global Quality Dividend Growth Index Fund     |                                                    | ON           | No         |                   |
| CI Global Real Asset Private Pool                |                                                    | ON           | No         |                   |
| CI Global REIT Class                             | Signature Global REIT Class                        | ON           | No         |                   |
| CI Global REIT Fund                              | Signature Global REIT Fund                         | ON           | No         |                   |
| CI Global REIT Private Pool                      |                                                    | ON           | No         |                   |
| CI Global Resource Corporate Class               | Signature Global Resource Corporate Class          | ON           | No         |                   |
| CI Global Resource Fund                          | Signature Global Resource Fund                     | ON           | No         |                   |
| CI Global Short-Term Bond Fund                   |                                                    | ON           | No         |                   |
| CI Global Small/Mid Cap Equity Corporate Class   | CI Global Smaller Companies Corporate Class        | ON           | No         |                   |
| CI Global Small/Mid Cap Equity Fund              | CI Global Smaller Companies Fund                   | ON           | No         |                   |
| CI Global Small/Mid Cap Equity Private Pool      | CI Global Smaller Companies Private Pool           | ON           | No         |                   |
| CI Global Stock Selection Fund                   | Harbour Global Analyst Fund                        | ON           | No         |                   |
| CI Global Sustainable Infrastructure Fund        |                                                    | ON           | No         |                   |
| CI Global Unconstrained Bond Fund                | CI Global Unconstrained Bond Private Pool          | ON           | No         |                   |
| CI Global Value Corporate Class                  | CI Global Value Sector Fund                        | ON           | No         |                   |
| CI Global Value Fund                             | C.I. Global Value Fund                             | ON           | No         |                   |
| CI Gold Bullion ETF                              | CI Gold Bullion Fund                               | ON           | No         |                   |
| CI Gold Bullion Fund*                            |                                                    | ON           | No         |                   |
| CI Gold Corporate Class                          | Signature Gold Corporate Class                     | ON           | No         |                   |
| CI Gold+ Giants Covered Call ETF                 | CI First Asset Gold+ Giants Covered Call ETF       | ON           | No         |                   |
| CI Gold+ Giants Covered Call Fund                |                                                    | ON           | No         |                   |
| CI Growth Asset Allocation ETF                   |                                                    | ON           | No         |                   |
| CI Growth Asset Allocation ETF Fund              | CI Growth Asset Allocation Fund                    | ON           | No         |                   |
| CI Health Care Giants Covered Call ETF           | CI First Asset Health Care Giants Covered Call ETF | ON           | No         |                   |
| CI High Income Corporate Class                   | Signature High Income Corporate Class              | ON           | No         |                   |
| CI High Income Fund                              | Signature High Income Fund                         | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                | Previous Name                                                         | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------|-----------------------------------------------------------------------|--------------|------------|-------------------|
| CI High Interest Savings ETF                               | CI First Asset High Interest Savings ETF                              | ON           | No         |                   |
| CI High Interest Savings Fund                              |                                                                       | ON           | No         |                   |
| CI High Yield Bond Corporate Class                         | Signature High Yield Bond Corporate Class                             | ON           | No         |                   |
| CI High Yield Bond Fund                                    | Signature High Yield Bond Fund                                        | ON           | No         |                   |
| CI High Yield Bond Private Pool                            | CI Global High Yield Credit Private Pool                              | ON           | No         |                   |
| CI ICBCUBS S&P China 500 Index ETF                         | CI ICBCCS S&P China 500 Index ETF                                     | ON           | No         |                   |
| CI Income Fund                                             | CI Income Advantage Fund                                              | ON           | No         |                   |
| CI International Equity Alpha Private Pool                 |                                                                       | ON           | No         |                   |
| CI International Equity Corporate Class                    | Black Creek International Equity Corporate Class                      | ON           | No         |                   |
| CI International Equity Fund                               | Black Creek International Equity Fund                                 | ON           | No         |                   |
| CI International Equity Growth Private Pool                |                                                                       | ON           | No         |                   |
| CI International Quality Dividend Growth Index ETF         | CI WisdomTree International Quality Dividend Growth Index ETF         | ON           | No         |                   |
| CI International Quality Dividend Growth Index Hedged Fund | CI WisdomTree International Quality Dividend Growth Index Hedged Fund | ON           | No         |                   |
| CI International Value Corporate Class                     | CI International Value Sector Fund                                    | ON           | No         |                   |
| CI International Value Fund                                | C.I. International Value Fund                                         | ON           | No         |                   |
| CI Invesco International Developed Multifactor Index ETF   | Invesco International Developed Multifactor Index ETF                 | ON           | No         |                   |
| CI Invesco NASDAQ 100 Index ETF                            | Invesco NASDAQ 100 Index ETF                                          | ON           | No         |                   |
| CI Invesco NASDAQ Next Gen 100 Index ETF                   | Invesco NASDAQ Next Gen 100 Index ETF                                 | ON           | No         |                   |
| CI Invesco RAFI Global Small-Mid ETF                       | Invesco RAFI Global Small-Mid ETF                                     | ON           | No         |                   |
| CI Invesco RAFI U.S. Index ETF                             | Invesco RAFI U.S. Index ETF                                           | ON           | No         |                   |
| CI Invesco RAFI U.S. Index ETF II                          | Invesco RAFI U.S. Index ETF II                                        | ON           | No         |                   |
| CI Invesco Russell 1000 Multifactor Index ETF              | Invesco Russell 1000 Multifactor Index ETF                            | ON           | No         |                   |
| CI Invesco S&P 500 Equal Weight Index ETF                  | Invesco S&P 500 Equal Weight Index ETF                                | ON           | No         |                   |
| CI Invesco S&P 500 Low Volatility Index ETF                | Invesco S&P 500 Low Volatility Index ETF                              | ON           | No         |                   |
| CI Investment Grade Bond ETF                               | CI First Asset Investment Grade Bond ETF                              | ON           | No         |                   |
| CI Investment Grade Bond Fund                              | Marret Investment Grade Bond Fund                                     | ON           | No         |                   |
| CI Investment Grade Bond Private Pool                      | CI Global Investment Grade Credit Private Pool                        | ON           | No         |                   |
| CI Japan Equity Index ETF                                  | CI WisdomTree Japan Equity Index ETF                                  | ON           | No         |                   |
| CI Long Term Government Bond Index ETF                     | Invesco Long Term Government Bond Index ETF                           | ON           | No         |                   |
| CI Marret Alternative Absolute Return Bond Fund            | Marret Alternative Absolute Return Bond Fund                          | ON           | No         |                   |
| CI Marret Alternative Enhanced Yield Fund                  |                                                                       | ON           | No         |                   |
| CI Money Market Class                                      | Sentry Money Market Class                                             | ON           | No         |                   |
| CI Money Market Corporate Class                            | CI Short-Term Corporate Class                                         | ON           | No         |                   |
| CI Money Market ETF                                        |                                                                       | ON           | No         |                   |
| CI Money Market Fund                                       | C.I. Money Market Fund                                                | ON           | No         |                   |
| CI Morningstar Canada Momentum Index ETF                   | CI First Asset Morningstar Canada Momentum Index ETF                  | ON           | No         |                   |
| CI Morningstar Canada Value Index ETF                      | CI First Asset Morningstar Canada Value Index ETF                     | ON           | No         |                   |
| CI Morningstar Global Energy Transition Index ETF          | Invesco Morningstar Global Energy Transition Index ETF                | ON           | No         |                   |
| CI Morningstar Global Next Gen AI Index ETF                | Invesco Morningstar Global Next Gen AI Index ETF                      | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                           | Previous Name                                               | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------|-------------------------------------------------------------|--------------|------------|-------------------|
| CI Morningstar International Momentum Index ETF       | CI First Asset Morningstar International Momentum Index ETF | ON           | No         |                   |
| CI Morningstar International Value Hedged Index Fund* |                                                             | ON           | No         |                   |
| CI Morningstar International Value Index ETF          | CI First Asset Morningstar International Value Index ETF    | ON           | No         |                   |
| CI Morningstar National Bank Québec Index ETF         | CI First Asset Morningstar National Bank Québec Index ETF   | ON           | No         |                   |
| CI Mosaic Balanced ETF Portfolio                      |                                                             | ON           | No         |                   |
| CI Mosaic Balanced ETF Portfolio Class                | CI Balanced Income Personal Portfolio                       | ON           | No         |                   |
| CI Mosaic Balanced Growth ETF Portfolio               |                                                             | ON           | No         |                   |
| CI Mosaic Balanced Growth ETF Portfolio Class         | CI Growth & Income Personal Portfolio                       | ON           | No         |                   |
| CI Mosaic Balanced Income ETF Portfolio               |                                                             | ON           | No         |                   |
| CI Mosaic Balanced Income ETF Portfolio Class         | CI Conservative Income Personal Portfolio                   | ON           | No         |                   |
| CI Mosaic ESG Balanced ETF Portfolio                  |                                                             | ON           | No         |                   |
| CI Mosaic Growth ETF Portfolio                        |                                                             | ON           | No         |                   |
| CI Mosaic Growth ETF Portfolio Class                  | CI Growth Personal Portfolio                                | ON           | No         |                   |
| CI Mosaic Income ETF Portfolio                        |                                                             | ON           | No         |                   |
| CI Mosaic Income ETF Portfolio Class                  | CI Defensive Income Personal Portfolio                      | ON           | No         |                   |
| CI MSCI World ESG Impact Index ETF                    | CI MSCI World ESG Impact ETF                                | ON           | No         |                   |
| CI MSCI World ESG Impact Index Fund                   | CI MSCI World ESG Impact Fund                               | ON           | No         |                   |
| CI Multi-Sector Covered Call Fund                     |                                                             | ON           | No         |                   |
| CI Munro Alternative Global Growth Fund               | Munro Alternative Global Growth Fund                        | ON           | No         |                   |
| CI Munro Global Growth Equity Fund                    | Munro Global Growth Equity Fund                             | ON           | No         |                   |
| CI NASDAQ 100 Equal Weight Index ETF                  | Invesco NASDAQ 100 Equal Weight Index ETF                   | ON           | No         |                   |
| CI North American Core Plus Bond ETF                  | CI ONE North American Core Plus Bond ETF                    | ON           | No         |                   |
| CI North American Equity Fund                         | CI North American Dividend Fund                             | ON           | No         |                   |
| CI North American Small/Mid Cap Equity Class          | CI Canadian Small/Mid Cap Equity Income Class               | ON           | No         |                   |
| CI North American Small/Mid Cap Equity Fund           | CI Canadian Small/Mid Cap Equity Income Fund                | ON           | No         |                   |
| CI Portfolio Series Balanced Fund                     | Portfolio Series Balanced Fund                              | ON           | No         |                   |
| CI Portfolio Series Balanced Growth Fund              | Portfolio Series Balanced Growth Fund                       | ON           | No         |                   |
| CI Portfolio Series Conservative Balanced Fund        | CI Portfolio Series Conservative Balanced Fund              | ON           | No         |                   |
| CI Portfolio Series Conservative Fund                 | Portfolio Series Conservative Fund                          | ON           | No         |                   |
| CI Portfolio Series Growth Fund                       | Portfolio Series Growth Fund                                | ON           | No         |                   |
| CI Portfolio Series Income Fund                       | Portfolio Series Income Fund                                | ON           | No         |                   |
| CI Portfolio Series Maximum Growth Fund               | Portfolio Series Maximum Growth Fund                        | ON           | No         |                   |
| CI Precious Metals Class                              | Sentry Precious Metals Class                                | ON           | No         |                   |
| CI Precious Metals Fund                               | Sentry Precious Metals Fund                                 | ON           | No         |                   |
| CI Preferred Share ETF                                | CI First Asset Preferred Share ETF                          | ON           | No         |                   |
| CI Preferred Share Fund                               | Signature Preferred Share Fund                              | ON           | No         |                   |
| CI RAFI Canadian Index ETF                            | Invesco RAFI Canadian Index ETF                             | ON           | No         |                   |
| CI S&P 500 ESG Index ETF                              | Invesco S&P 500 ESG Index ETF                               | ON           | No         |                   |
| CI S&P Europe 350 Equal Weight Index ETF              | Invesco S&P Europe 350 Equal Weight Index ETF               | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                       | Previous Name                                                          | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------------------|------------------------------------------------------------------------|--------------|------------|-------------------|
| CI S&P International Developed Dividend Aristocrats ESG Index ETF | Invesco S&P International Developed Dividend Aristocrats ESG Index ETF | ON           | No         |                   |
| CI S&P International Developed ESG Index ETF                      | Invesco S&P International Developed ESG Index ETF                      | ON           | No         |                   |
| CI S&P US Dividend Aristocrats ESG Index ETF                      | Invesco S&P US Dividend Aristocrats ESG Index ETF                      | ON           | No         |                   |
| CI S&P/TSX 60 Equal Weight Index ETF                              | Invesco S&P/TSX 60 Equal Weight Index ETF                              | ON           | No         |                   |
| CI S&P/TSX Canadian Dividend Aristocrats ESG Index ETF            | Invesco S&P/TSX Canadian Dividend Aristocrats ESG Index ETF            | ON           | No         |                   |
| CI S&P/TSX Composite ESG Index ETF                                | Invesco S&P/TSX Composite ESG Index ETF                                | ON           | No         |                   |
| CI S&P/TSX Composite Low Volatility Index ETF                     | Invesco S&P/TSX Composite Low Volatility Index ETF                     | ON           | No         |                   |
| CI Select 100e Managed Portfolio Corporate Class                  | Select 100e Managed Portfolio Corporate Class                          | ON           | No         |                   |
| CI Select 20i80e Managed Portfolio Corporate Class                | Select 20i80e Managed Portfolio Corporate Class                        | ON           | No         |                   |
| CI Select 30i70e Managed Portfolio Corporate Class                | Select 30i70e Managed Portfolio Corporate Class                        | ON           | No         |                   |
| CI Select 40i60e Managed Portfolio Corporate Class                | Select 40i60e Managed Portfolio Corporate Class                        | ON           | No         |                   |
| CI Select 50i50e Managed Portfolio Corporate Class                | Select 50i50e Managed Portfolio Corporate Class                        | ON           | No         |                   |
| CI Select 60i40e Managed Portfolio Corporate Class                | Select 60i40e Managed Portfolio Corporate Class                        | ON           | No         |                   |
| CI Select 70i30e Managed Portfolio Corporate Class                | Select 70i30e Managed Portfolio Corporate Class                        | ON           | No         |                   |
| CI Select 80i20e Managed Portfolio Corporate Class                | Select 80i20e Managed Portfolio Corporate Class                        | ON           | No         |                   |
| CI Select Canadian Equity Corporate Class                         | Signature Select Canadian Corporate Class                              | ON           | No         |                   |
| CI Select Canadian Equity Fund                                    | Signature Select Canadian Fund                                         | ON           | No         |                   |
| CI Select Canadian Equity Managed Corporate Class                 | Select Canadian Equity Managed Corporate Class                         | ON           | No         |                   |
| CI Select Canadian Equity Managed Fund*                           | Select Canadian Equity Managed Fund                                    | ON           | No         |                   |
| CI Select Canadian Equity Private Pool                            |                                                                        | ON           | No         |                   |
| CI Select Global Equity Corporate Class                           | Signature Global Equity Corporate Class                                | ON           | No         |                   |
| CI Select Global Equity Fund                                      | Signature Global Equity Fund                                           | ON           | No         |                   |
| CI Select Global Equity Private Pool                              | CI Global Concentrated Equity Private Pool                             | ON           | No         |                   |
| CI Select Income Managed Corporate Class                          | Select Income Managed Corporate Class                                  | ON           | No         |                   |
| CI Select International Equity Managed Corporate Class            | Select International Equity Managed Corporate Class                    | ON           | No         |                   |
| CI Select International Equity Managed Fund                       | Select International Equity Managed Fund                               | ON           | No         |                   |
| CI Select Staging Fund                                            | Select Staging Fund                                                    | ON           | No         |                   |
| CI Select U.S. Equity Managed Corporate Class                     | Select U.S. Equity Managed Corporate Class                             | ON           | No         |                   |
| CI Select U.S. Equity Managed Fund                                | Select U.S. Equity Managed Fund                                        | ON           | No         |                   |
| CI Solana Fund                                                    |                                                                        | ON           | No         |                   |
| CI Structured Premium Yield Fund                                  |                                                                        | ON           | No         |                   |
| CI Synergy American Corporate Class                               | Synergy American Corporate Class                                       | ON           | No         |                   |
| CI Synergy American Fund                                          | Synergy American Fund                                                  | ON           | No         |                   |
| CI Synergy Canadian Corporate Class                               | Synergy Canadian Corporate Class                                       | ON           | No         |                   |
| CI Synergy Global Corporate Class                                 | Synergy Global Corporate Class                                         | ON           | No         |                   |
| CI Target 2028 Investment Grade Bond Fund                         |                                                                        | ON           | No         |                   |
| CI Target 2029 Investment Grade Bond Fund                         |                                                                        | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                   | Previous Name                                          | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------|--------------------------------------------------------|--------------|------------|-------------------|
| CI Target 2030 Investment Grade Bond Fund                     |                                                        | ON           | No         |                   |
| CI Tech Giants Covered Call ETF                               | CI First Asset Tech Giants Covered Call ETF            | ON           | No         |                   |
| CI Tech Giants Covered Call Fund                              |                                                        | ON           | No         |                   |
| CI U.S. & Canada Lifeco Covered Call ETF                      | CI U.S. & Canada Lifeco Income ETF                     | ON           | No         |                   |
| CI U.S. 1000 Index ETF                                        |                                                        | ON           | No         |                   |
| CI U.S. 500 Index ETF                                         |                                                        | ON           | No         |                   |
| CI U.S. Aggregate Bond Covered Call ETF                       |                                                        | ON           | No         |                   |
| CI U.S. Aggregate Bond Covered Call Fund                      |                                                        | ON           | No         |                   |
| CI U.S. Dividend Fund                                         | Cambridge U.S. Dividend Fund                           | ON           | No         |                   |
| CI U.S. Dividend Registered Fund                              | Cambridge U.S. Dividend Registered Fund                | ON           | No         |                   |
| CI U.S. Dividend US\$ Fund                                    | Cambridge U.S. Dividend US\$ Fund                      | ON           | No         |                   |
| CI U.S. Enhanced Momentum Index ETF                           |                                                        | ON           | No         |                   |
| CI U.S. Enhanced Value Index Fund                             | CI U.S. Enhanced Value Index ETF                       | ON           | No         |                   |
| CI U.S. Equity Class                                          | Sentry U.S. Growth and Income Class                    | ON           | No         |                   |
| CI U.S. Equity Currency Neutral Class*                        | Sentry U.S. Growth and Income Currency Neutral Class   | ON           | No         |                   |
| CI U.S. Equity Fund                                           | Sentry U.S. Growth and Income Fund                     | ON           | No         |                   |
| CI U.S. Equity Private Pool                                   |                                                        | ON           | No         |                   |
| CI U.S. Equity Private Trust                                  | Sentry U.S. Equity Income Private Trust                | ON           | No         |                   |
| CI U.S. Income US\$ Fund                                      | CI U.S. Income US\$ Pool                               | ON           | No         |                   |
| CI U.S. MidCap Dividend Index ETF                             | CI WisdomTree U.S. MidCap Dividend Index ETF           | ON           | No         |                   |
| CI U.S. Minimum Downside Volatility Index ETF                 |                                                        | ON           | No         |                   |
| CI U.S. Money Market Corporate Class                          | CI Short-Term US\$ Corporate Class                     | ON           | No         |                   |
| CI U.S. Money Market ETF                                      |                                                        | ON           | No         |                   |
| CI U.S. Money Market Fund                                     | C.I. US Money Market Fund                              | ON           | No         |                   |
| CI U.S. Monthly Income Fund                                   | CI U.S. Equity & Income Fund                           | ON           | No         |                   |
| CI U.S. Monthly Income Private Pool                           |                                                        | ON           | No         |                   |
| CI U.S. Quality Dividend Growth Index ETF                     | CI WisdomTree U.S. Quality Dividend Growth Index ETF   | ON           | No         |                   |
| CI U.S. Quality Dividend Growth Index Fund                    | CI WisdomTree U.S. Quality Dividend Growth Index Fund  | ON           | No         |                   |
| CI U.S. Small/Mid Cap Equity Corporate Class                  | CI American Small Companies Corporate Class            | ON           | No         |                   |
| CI U.S. Small/Mid Cap Equity Fund                             | CI American Small Companies Fund                       | ON           | No         |                   |
| CI U.S. Small/Mid Cap Equity Private Pool                     |                                                        | ON           | No         |                   |
| CI U.S. Stock Selection Corporate Class                       | CI American Value Corporate Class                      | ON           | No         |                   |
| CI U.S. Stock Selection Fund                                  | CI American Value Fund                                 | ON           | No         |                   |
| CI U.S. Stock Selection Private Pool                          |                                                        | ON           | No         |                   |
| CI U.S. Treasury Inflation-linked Bond Index ETF (CAD Hedged) |                                                        | ON           | No         |                   |
| CI US Treasury Floating Rate Note Index ETF (USD)             | Invesco US Treasury Floating Rate Note Index ETF (USD) | ON           | No         |                   |
| CI Utilities Giants Covered Call ETF                          |                                                        | ON           | No         |                   |
| CIBC 1-5 Year Laddered Investment Grade Bond Fund             |                                                        | ON           | No         |                   |
| CIBC 1–5 Year U.S. Laddered Investment Grade Bond Fund        |                                                        | ON           | No         |                   |
| CIBC 2026 Investment Grade Bond Fund                          |                                                        | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                         | Previous Name                                  | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------|------------------------------------------------|--------------|------------|-------------------|
| CIBC 2026 U.S. Investment Grade Bond Fund           |                                                | ON           | No         |                   |
| CIBC 2027 Investment Grade Bond Fund                |                                                | ON           | No         |                   |
| CIBC 2027 U.S. Investment Grade Bond Fund           |                                                | ON           | No         |                   |
| CIBC 2028 Investment Grade Bond Fund                |                                                | ON           | No         |                   |
| CIBC 2028 U.S. Investment Grade Bond Fund           |                                                | ON           | No         |                   |
| CIBC 2029 Investment Grade Bond Fund                |                                                | ON           | No         |                   |
| CIBC 2029 U.S. Investment Grade Bond Fund           |                                                | ON           | No         |                   |
| CIBC 2030 Investment Grade Bond Fund                |                                                | ON           | No         |                   |
| CIBC 2030 U.S. Investment Grade Bond Fund           |                                                | ON           | No         |                   |
| CIBC 2031 Investment Grade Bond Fund                |                                                | ON           | No         |                   |
| CIBC 2031 U.S. Investment Grade Bond Fund           |                                                | ON           | No         |                   |
| CIBC Active Investment Grade Corporate Bond ETF     |                                                | ON           | No         |                   |
| CIBC Active Investment Grade Floating Rate Bond ETF |                                                | ON           | No         |                   |
| CIBC All-Equity ETF Portfolio                       |                                                | ON           | No         |                   |
| CIBC Alternative Credit Strategy                    |                                                | ON           | No         |                   |
| CIBC Asia Pacific Fund                              | CIBC Far East Prosperity Fund                  | ON           | No         |                   |
| CIBC Asia Pacific Index Fund                        |                                                | ON           | No         |                   |
| CIBC Balanced ETF Portfolio                         | CIBC Balanced Passive Portfolio                | ON           | No         |                   |
| CIBC Balanced Fund                                  | CIBC Balanced Income And Growth Fund           | ON           | No         |                   |
| CIBC Balanced Growth ETF Portfolio                  | CIBC Balanced Growth Passive Portfolio         | ON           | No         |                   |
| CIBC Balanced Index Fund                            |                                                | ON           | No         |                   |
| CIBC Canadian Banks Covered Call ETF                |                                                | ON           | No         |                   |
| CIBC Canadian Bond Fund                             |                                                | ON           | No         |                   |
| CIBC Canadian Bond Index ETF                        |                                                | ON           | No         |                   |
| CIBC Canadian Bond Index Fund                       |                                                | ON           | No         |                   |
| CIBC Canadian Equity Fund                           | CIBC Core Canadian Equity Fund                 | ON           | No         |                   |
| CIBC Canadian Equity Private Pool                   | Renaissance Canadian Equity Private Pool       | ON           | No         |                   |
| CIBC Canadian Equity Value Fund                     | Canadian Imperial Equity Fund                  | ON           | No         |                   |
| CIBC Canadian Fixed Income Private Pool             | Renaissance Canadian Fixed Income Private Pool | ON           | No         |                   |
| CIBC Canadian Government Long-Term Bond ETF         |                                                | ON           | No         |                   |
| CIBC Canadian High Dividend Covered Call ETF        |                                                | ON           | No         |                   |
| CIBC Canadian Index Fund                            |                                                | ON           | No         |                   |
| CIBC Canadian Real Estate Fund                      |                                                | ON           | No         |                   |
| CIBC Canadian Resources Fund                        |                                                | ON           | No         |                   |
| CIBC Canadian Short-Term Bond Index ETF             |                                                | ON           | No         |                   |
| CIBC Canadian Short-Term Bond Index Fund            | CIBC Canadian Short-Term Bond Fund             | ON           | No         |                   |
| CIBC Canadian Small-Cap Fund                        | CIBC Capital Appreciation Fund                 | ON           | No         |                   |
| CIBC Canadian T-Bill Fund                           | CIBC Premium Canadian T-Bill Fund              | ON           | No         |                   |
| CIBC Clean Energy Index ETF                         |                                                | ON           | No         |                   |
| CIBC Conservative ETF Portfolio                     | CIBC Conservative Passive Portfolio            | ON           | No         |                   |
| CIBC Conservative Fixed Income Pool                 |                                                | ON           | No         |                   |
| CIBC Core Fixed Income Pool                         |                                                | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                       | Previous Name                                    | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------|--------------------------------------------------|--------------|------------|-------------------|
| CIBC Core Plus Fixed Income Pool                  |                                                  | ON           | No         |                   |
| CIBC Diversified Fixed Income Fund                |                                                  | ON           | No         |                   |
| CIBC Dividend Growth Fund                         | CIBC Dividend Fund                               | ON           | No         |                   |
| CIBC Dividend Income Fund                         | CIBC Diversified Income Fund                     | ON           | No         |                   |
| CIBC Emerging Markets Equity Private Pool         | Renaissance Emerging Markets Equity Private Pool | ON           | No         |                   |
| CIBC Emerging Markets Fund                        | CIBC Emerging Economies Fund                     | ON           | No         |                   |
| CIBC Emerging Markets Index Fund                  |                                                  | ON           | No         |                   |
| CIBC Emerging Markets Local Currency Bond Fund    |                                                  | ON           | No         |                   |
| CIBC Energy Fund                                  |                                                  | ON           | No         |                   |
| CIBC Equity Income Private Pool                   | Renaissance Equity Income Private Pool           | ON           | No         |                   |
| CIBC European Equity Fund                         |                                                  | ON           | No         |                   |
| CIBC European Index Fund                          |                                                  | ON           | No         |                   |
| CIBC Financial Companies Fund                     |                                                  | ON           | No         |                   |
| CIBC Flexible Yield ETF (CAD-Hedged)              |                                                  | ON           | No         |                   |
| CIBC Global Bond ex-Canada Index ETF (CAD-Hedged) |                                                  | ON           | No         |                   |
| CIBC Global Bond Fund                             |                                                  | ON           | No         |                   |
| CIBC Global Bond Index Fund                       |                                                  | ON           | No         |                   |
| CIBC Global Bond Private Pool                     | Renaissance Global Bond Private Pool             | ON           | No         |                   |
| CIBC Global Credit Fund                           |                                                  | ON           | No         |                   |
| CIBC Global Equity Fund                           |                                                  | ON           | No         |                   |
| CIBC Global Equity Private Pool                   | Renaissance Global Equity Private Pool           | ON           | No         |                   |
| CIBC Global Growth Balanced Fund                  |                                                  | ON           | No         |                   |
| CIBC Global Growth ETF                            |                                                  | ON           | No         |                   |
| CIBC Global Monthly Income Fund                   |                                                  | ON           | No         |                   |
| CIBC Graduation Portfolio                         |                                                  | ON           | No         |                   |
| CIBC Income Advantage Fund                        |                                                  | ON           | No         |                   |
| CIBC International Equity ETF                     |                                                  | ON           | No         |                   |
| CIBC International Equity Fund                    | CIBC Disciplined International Equity Fund       | ON           | No         |                   |
| CIBC International Equity Private Pool            | Renaissance International Equity Private Pool    | ON           | No         |                   |
| CIBC International Index Fund                     |                                                  | ON           | No         |                   |
| CIBC International Small Companies Fund           |                                                  | ON           | No         |                   |
| CIBC Managed Balanced Growth Portfolio            | CIBX Managed Balanced Growth RRSP Portfolio      | ON           | No         |                   |
| CIBC Managed Balanced Portfolio                   | CIBC Balanced Portfolio                          | ON           | No         |                   |
| CIBC Managed Growth Plus Portfolio                | CIBC Managed Aggressive Growth Portfolio         | ON           | No         |                   |
| CIBC Managed Growth Portfolio                     | CIBC Managed Growth RRSP Portfolio               | ON           | No         |                   |
| CIBC Managed Income Plus Portfolio                | CIBC Income Plus Portfolio                       | ON           | No         |                   |
| CIBC Managed Income Portfolio                     | CIBC Income Portfolio                            | ON           | No         |                   |
| CIBC Managed Monthly Income Balanced Portfolio    | CIBC Managed Monthly Income And Growth Portfolio | ON           | No         |                   |
| CIBC Money Market Fund                            |                                                  | ON           | No         |                   |
| CIBC Monthly Income Fund                          |                                                  | ON           | No         |                   |
| CIBC MSCI Canada Equity Index ETF                 | CIBC Canadian Equity Index ETF                   | ON           | No         |                   |
| CIBC MSCI EAFE Equity Index ETF                   | CIBC International Equity Index ETF              | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                          | Previous Name                                      | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------|----------------------------------------------------|--------------|------------|-------------------|
| CIBC MSCI EAFE Equity Index ETF (CAD-Hedged)         | CIBC International Equity Index ETF (CAD-Hedged)   | ON           | No         |                   |
| CIBC MSCI Emerging Markets Equity Index ETF          | CIBC Emerging Markets Equity Index ETF             | ON           | No         |                   |
| CIBC MSCI USA Equity Index ETF                       | CIBC U.S. Equity Index ETF                         | ON           | No         |                   |
| CIBC MSCI USA Equity Index ETF (CAD-Hedged)          | CIBC U.S. Equity Index ETF (CAD-Hedged)            | ON           | No         |                   |
| CIBC Multi-Asset Absolute Return Strategy            |                                                    | ON           | No         |                   |
| CIBC Multi-Sector Fixed Income Private Pool          | Renaissance Multi-Sector Fixed Income Private Pool | ON           | No         |                   |
| CIBC Nasdaq Index Fund                               |                                                    | ON           | No         |                   |
| CIBC Precious Metals Fund                            |                                                    | ON           | No         |                   |
| CIBC Premium Cash Management ETF                     |                                                    | ON           | No         |                   |
| CIBC Private Wealth Canadian Core Equity Pool        |                                                    | ON           | No         |                   |
| CIBC Private Wealth Canadian Core Pool               |                                                    | ON           | No         |                   |
| CIBC Private Wealth Canadian Dividend Growth Pool    |                                                    | ON           | No         |                   |
| CIBC Private Wealth North American Yield Equity Pool |                                                    | ON           | No         |                   |
| CIBC Private Wealth North American Yield Pool        |                                                    | ON           | No         |                   |
| CIBC Private Wealth U.S. Core Equity Pool            |                                                    | ON           | No         |                   |
| CIBC Qx Canadian Low Volatility Dividend ETF         |                                                    | ON           | No         |                   |
| CIBC Qx International Low Volatility Dividend ETF    |                                                    | ON           | No         |                   |
| CIBC Qx U.S. Low Volatility Dividend ETF             |                                                    | ON           | No         |                   |
| CIBC Real Assets Private Pool                        | Renaissance Real Assets Private Pool               | ON           | No         |                   |
| CIBC Short-Term Income Fund                          | CIBC Mortgage and Short-Term Income Fund           | ON           | No         |                   |
| CIBC Smart Balanced Growth Solution                  |                                                    | ON           | No         |                   |
| CIBC Smart Balanced Income Solution                  |                                                    | ON           | No         |                   |
| CIBC Smart Balanced Solution                         |                                                    | ON           | No         |                   |
| CIBC Smart Growth Solution                           |                                                    | ON           | No         |                   |
| CIBC Smart Income Solution                           |                                                    | ON           | No         |                   |
| CIBC Structured Equity Yield Fund                    |                                                    | ON           | No         |                   |
| CIBC Sustainable Balanced Growth Solution            |                                                    | ON           | No         |                   |
| CIBC Sustainable Balanced Solution                   |                                                    | ON           | No         |                   |
| CIBC Sustainable Canadian Core Plus Bond Fund        | CIBC ex. Fossil Fuel Canadian Core Plus Bond Fund  | ON           | No         |                   |
| CIBC Sustainable Canadian Equity Fund                | CIBC ex. Fossil Fuel Canadian Equity Fund          | ON           | No         |                   |
| CIBC Sustainable Conservative Balanced Solution      |                                                    | ON           | No         |                   |
| CIBC Sustainable Global Equity Fund                  | CIBC ex. Fossil Fuel Global Equity Fund            | ON           | No         |                   |
| CIBC Target 2030 Education Portfolio                 |                                                    | ON           | No         |                   |
| CIBC Target 2035 Education Portfolio                 |                                                    | ON           | No         |                   |
| CIBC Target 2040 Education Portfolio                 |                                                    | ON           | No         |                   |
| CIBC Target 2045 Education Portfolio                 |                                                    | ON           | No         |                   |
| CIBC Technology Innovation Fund                      | CIBC Global Technology Fund                        | ON           | No         |                   |
| CIBC U.S. Broad Market Index Fund                    | CIBC U.S. Equity Index Fund                        | ON           | No         |                   |
| CIBC U.S. Dollar Income Advantage Fund               |                                                    | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                     | Previous Name                                         | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------------|-------------------------------------------------------|--------------|------------|-------------------|
| CIBC U.S. Dollar Managed Balanced Portfolio                     |                                                       | ON           | No         |                   |
| CIBC U.S. Dollar Managed Growth Portfolio                       |                                                       | ON           | No         |                   |
| CIBC U.S. Dollar Managed Income Portfolio                       |                                                       | ON           | No         |                   |
| CIBC U.S. Dollar Money Market Fund                              |                                                       | ON           | No         |                   |
| CIBC U.S. Equity Currency Neutral Private Pool                  | Renaissance U.S. Equity Currency Neutral Private Pool | ON           | No         |                   |
| CIBC U.S. Equity Fund                                           | CIBC Disciplined U.S. Equity Fund                     | ON           | No         |                   |
| CIBC U.S. Equity Private Pool                                   | Renaissance U.S. Equity Private Pool                  | ON           | No         |                   |
| CIBC U.S. High Dividend Covered Call ETF                        |                                                       | ON           | No         |                   |
| CIBC U.S. Index Fund                                            | CIBC U.S. Index RRSP Fund                             | ON           | No         |                   |
| CIBC U.S. Small Companies Fund                                  | CIBC U.S. Opportunities Fund                          | ON           | No         |                   |
| CIBC USD Premium Cash Management ETF                            |                                                       | ON           | No         |                   |
| Cineplex Inc.                                                   |                                                       | ON           | No         |                   |
| Cinram International Limited Partnership                        |                                                       | ON           | No         |                   |
| Cipher Pharmaceuticals Inc.                                     |                                                       | ON           | No         |                   |
| Citadel Income Fund*                                            | Crown Hill Fund                                       | ON           | No         |                   |
| Cizzle Brands Corporation                                       |                                                       | ON           | No         |                   |
| Clarke Inc.                                                     | 3442896 Canada Inc.                                   | NS           | No         |                   |
| Clean Air Metals Inc.                                           | Regency Gold Corp.                                    | ON           | No         |                   |
| Clean Harbors, Inc.                                             |                                                       | AB           | No         |                   |
| CleanTech Vanadium Mining Corp.                                 | Flying Nickel Mining Corp.                            | BC           | No         |                   |
| Clear Sky Land Lease Communities Fund I                         |                                                       | ON           | No         |                   |
| CMP Next Edge 2025 Critical and Precious Metals Short Duration* |                                                       | ON           | No         |                   |
| CMP Next Edge 2026 Critical and Precious Metals Short Duration* |                                                       | ON           | No         |                   |
| CMP Next Edge Resource Class                                    | Dundee Resource Class                                 | ON           | No         |                   |
| CNH Capital Canada Receivables Trust                            |                                                       | ON           | No         |                   |
| Co-Op Atlantic                                                  |                                                       | NB           | No         |                   |
| Co-operators General Insurance Company                          |                                                       | ON           | No         |                   |
| Cobrun Mining Corporation                                       |                                                       | ON           | Yes        | 1a,1b,1c,1d,1e,3  |
| Coelacanth Energy Inc.                                          |                                                       | AB           | No         |                   |
| Cogeco Communications Inc.                                      | Cogeco Cable Inc.                                     | QC           | No         |                   |
| Cogeco Inc                                                      |                                                       | QC           | No         |                   |
| CohBar, Inc.                                                    |                                                       | BC           | Yes        | 1b,1c,1e          |
| Coinbase (COIN) Yield Shares Purpose ETF                        |                                                       | ON           | No         |                   |
| Colabor Group Inc.                                              | Conjuchem Biotechnologies Inc.                        | QC           | Yes        | 1a,1c,1d,1e,3     |
| Collective Mining Ltd.                                          |                                                       | ON           | No         |                   |
| Colliers International Group Inc.                               | FirstService Corporation                              | ON           | No         |                   |
| Colossus Minerals Inc.                                          |                                                       | ON           | Yes        | 1a,1b,1c,1d,1e,3  |
| Coloured Ties Capital Inc.                                      | GrowMax Resources Corp.                               | BC           | No         |                   |
| Cominar Real Estate Investment Trust                            |                                                       | QC           | No         |                   |
| Communicorp Corporation                                         | Great Lakes Minerals Inc.                             | ON           | Yes        | 1a,1b             |
| Compass Balanced Growth Portfolio                               |                                                       | AB           | No         |                   |
| Compass Balanced Portfolio                                      |                                                       | AB           | No         |                   |
| Compass Conservative Balanced Portfolio                         |                                                       | AB           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                               | Previous Name                              | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------|--------------------------------------------|--------------|------------|-------------------|
| Compass Conservative Portfolio            |                                            | AB           | No         |                   |
| Compass Growth Portfolio                  |                                            | AB           | No         |                   |
| Compass Maximum Growth Portfolio          |                                            | AB           | No         |                   |
| Concert Industries LTD.                   |                                            | BC           | No         |                   |
| Condor Energies Inc.                      | Condor Petroleum Inc.                      | AB           | No         |                   |
| Conifex Timber Inc.                       |                                            | BC           | No         |                   |
| ConjuChem Biotechnologies Inc.            |                                            | QC           | No         |                   |
| Conservative Income Portfolio             |                                            | ON           | No         |                   |
| Conservative Monthly Income Fund          |                                            | ON           | No         |                   |
| Constellation Copper Corporation          |                                            | ON           | No         |                   |
| Constellation Software Inc.               |                                            | ON           | No         |                   |
| CopAur Minerals Inc.                      |                                            | BC           | No         |                   |
| Copper Giant Resources Corp.              | Libero Copper & Gold Corporation           | BC           | No         |                   |
| Copper One Resources Corp.                | Giant Mining Corp.                         | BC           | No         |                   |
| Corton Enhanced Income Fund               |                                            | ON           | No         |                   |
| CORUS Entertainment Inc.                  |                                            | ON           | No         |                   |
| COSCIENS Biopharma Inc.                   | AEterna Zentaris Inc.                      | ON           | No         |                   |
| Costco (COST) Yield Shares Purpose ETF    |                                            | ON           | No         |                   |
| Counsel All Equity Portfolio              |                                            | ON           | No         |                   |
| Counsel Balanced ETF Portfolio            | Counsel Essentials Balanced Portfolio      | ON           | No         |                   |
| Counsel Balanced Portfolio                |                                            | ON           | No         |                   |
| Counsel Canadian Core Fixed Income*       |                                            | ON           | No         |                   |
| Counsel Canadian Dividend                 |                                            | ON           | No         |                   |
| Counsel Canadian Growth                   |                                            | ON           | No         |                   |
| Counsel Canadian Value                    |                                            | ON           | No         |                   |
| Counsel Conservative ETF Portfolio        | Counsel Essentials Income Portfolio        | ON           | No         |                   |
| Counsel Conservative Portfolio            |                                            | ON           | No         |                   |
| Counsel Core Fixed Income                 | IPC Private Wealth Visio Core Fixed Income | ON           | No         |                   |
| Counsel Fixed Income                      |                                            | ON           | No         |                   |
| Counsel Focus Balanced Portfolio          | IPC Focus Balanced Portfolio               | ON           | No         |                   |
| Counsel Focus Conservative Portfolio      | IPC Focus Conservative Portfolio           | ON           | No         |                   |
| Counsel Focus Equity Portfolio            |                                            | ON           | No         |                   |
| Counsel Focus Growth Portfolio            | IPC Focus Growth Portfolio                 | ON           | No         |                   |
| Counsel Global Dividend                   |                                            | ON           | No         |                   |
| Counsel Global Fixed Income               |                                            | ON           | No         |                   |
| Counsel Global Income & Growth Portfolio  | IPC Global Income & Growth Portfolio       | ON           | No         |                   |
| Counsel Global Listed Private Equity Pool |                                            | ON           | No         |                   |
| Counsel Global Real Estate                |                                            | ON           | No         |                   |
| Counsel Global Small Cap                  | Counsel Select Small Cap                   | ON           | No         |                   |
| Counsel Growth ETF Portfolio              | Counsel Essentials Growth Portfolio        | ON           | No         |                   |
| Counsel Growth Portfolio                  |                                            | ON           | No         |                   |
| Counsel High Interest Savings Fund        | IPC High Interest Savings Fund             | ON           | No         |                   |
| Counsel High Yield Fixed Income           |                                            | ON           | No         |                   |
| Counsel Income ETF Portfolio              | Counsel Conservative Income Portfolio      | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                           | Previous Name                                               | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------|-------------------------------------------------------------|--------------|------------|-------------------|
| Counsel International Growth                          |                                                             | ON           | No         |                   |
| Counsel Money Market                                  |                                                             | ON           | No         |                   |
| Counsel Monthly Income Portfolio                      | IPC Monthly Income Portfolio                                | ON           | No         |                   |
| Counsel Multi-Factor Canadian Equity                  | IPC Multi-Factor Canadian Equity                            | ON           | No         |                   |
| Counsel Multi-Factor International Equity             | IPC Multi-Factor International Equity                       | ON           | No         |                   |
| Counsel Multi-Factor U.S. Equity                      | IPC Multi-Factor U.S. Equity                                | ON           | No         |                   |
| Counsel North American Equity High Income             | IPC Private Wealth Visio North American Equity High Income  | ON           | No         |                   |
| Counsel North American High Yield Bond                |                                                             | ON           | No         |                   |
| Counsel Short Term Bond                               |                                                             | ON           | No         |                   |
| Counsel U.S. Growth                                   |                                                             | ON           | No         |                   |
| Counsel U.S. Growth Equity                            |                                                             | ON           | No         |                   |
| Counsel Visio Balanced Growth Pool                    | IPC Private Wealth Visio Balanced Growth Pool               | ON           | No         |                   |
| Counsel Visio Balanced Income Pool                    | IPC Private Wealth Visio Balanced Income Pool               | ON           | No         |                   |
| Counsel Visio Balanced Pool                           | IPC Private Wealth Visio Balanced Pool                      | ON           | No         |                   |
| Counsel Visio Global Advantage Balanced Pool          | IPC Private Wealth Visio Global Advantage Balanced Pool     | ON           | No         |                   |
| Counsel Visio Global Opportunities Balanced Pool      | IPC Private Wealth Visio Global Opportunities Balanced Pool | ON           | No         |                   |
| Counsel Visio Growth Pool                             | IPC Private Wealth Visio Growth Pool                        | ON           | No         |                   |
| Counsel Visio Income Pool                             | IPC Private Wealth Visio Income Pool                        | ON           | No         |                   |
| Counterpoint Global CIBC Global Permanence ETF        |                                                             | ON           | No         |                   |
| Counterpoint Global CIBC International Permanence ETF |                                                             | ON           | No         |                   |
| Counterpoint Global CIBC U.S. Large Cap Growth ETF    |                                                             | ON           | No         |                   |
| Counterpoint Global CIBC U.S. Small Cap Growth ETF    |                                                             | ON           | No         |                   |
| Coveo Solutions Inc.                                  |                                                             | QC           | No         |                   |
| CPI Card Group Inc.                                   |                                                             | BC           | No         |                   |
| CPI Plastics Group Limited                            |                                                             | ON           | No         |                   |
| CRAFT 1861 Global Holdings Inc.                       | BGP Acquisition Corp.                                       | ON           | Yes        | 1a,1b,1c,1d,1e,3  |
| Crailar Technologies Inc.                             | Naturally Advanced Technologies Inc.                        | BC           | No         |                   |
| Cresco Labs Inc.                                      |                                                             | BC           | No         |                   |
| Criterium Energy Ltd.                                 |                                                             | AB           | No         |                   |
| Critical Elements Lithium Corporation                 |                                                             | QC           | No         |                   |
| Crombie Real Estate Investment Trust                  |                                                             | NS           | No         |                   |
| Cronos Group Inc.                                     | PharmaCan Capital Corp.                                     | ON           | No         |                   |
| Crown Capital Partners Inc.                           |                                                             | AB           | Yes        | 1a,1c,1d,1e,3     |
| Crown Point Energy Inc.                               | Crown Point Ventures Ltd.                                   | AB           | No         |                   |
| CRS Preferred NT Ltd.*                                |                                                             | ON           | Yes        | 1a,1b             |
| Crystallex International Corporation                  |                                                             | ON           | No         |                   |
| CST Spark 2026 Education Portfolio                    |                                                             | ON           | No         |                   |
| CST Spark 2029 Education Portfolio                    |                                                             | ON           | No         |                   |
| CST Spark 2032 Education Portfolio                    |                                                             | ON           | No         |                   |
| CST Spark 2035 Education Portfolio                    |                                                             | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                     | Previous Name                                       | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------------|-----------------------------------------------------|--------------|------------|-------------------|
| CST Spark 2038 Education Portfolio                              |                                                     | ON           | No         |                   |
| CST Spark 2041 Education Portfolio                              |                                                     | ON           | No         |                   |
| CST Spark 2044 Education Portfolio                              |                                                     | ON           | No         |                   |
| CST Spark Graduation Portfolio                                  |                                                     | ON           | No         |                   |
| CT Real Estate Investment Trust                                 |                                                     | ON           | No         |                   |
| CU Inc.                                                         |                                                     | AB           | No         |                   |
| CubicFarm Systems Corp.                                         |                                                     | BC           | Yes        | 1a,1b,1c,1e,3     |
| Culico Metals Inc.                                              |                                                     | ON           | No         |                   |
| Curaleaf Holdings, Inc.                                         | Lead Ventures Inc.                                  | BC           | No         |                   |
| CVF Technologies Corporation                                    | CVF Corporation                                     | ON           | Yes        | 1a,1c,1e,3        |
| Cybin Inc.                                                      |                                                     | ON           | No         |                   |
| Cymat Technologies Ltd.                                         | Cymat Corp.                                         | ON           | No         |                   |
| Cymbria Corporation                                             |                                                     | ON           | No         |                   |
| D-Box Technologies Inc.                                         |                                                     | QC           | No         |                   |
| D2L Inc.                                                        |                                                     | ON           | No         |                   |
| Danier Leather Inc.                                             | Royal Leather Goods Limited                         | ON           | No         |                   |
| DATA Communications Management Corp.                            | Data Group Ltd.                                     | ON           | No         |                   |
| Decibel Cannabis Company Inc.                                   | Westleaf Inc.                                       | AB           | No         |                   |
| Decisive Dividend Corporation                                   |                                                     | BC           | No         |                   |
| DeepSpatial Inc.                                                | Aylen Capital Inc.                                  | ON           | Yes        | 1a,1c,1e,3        |
| Defense Metals Corp.                                            |                                                     | BC           | No         |                   |
| DeFi Technologies Inc.                                          | Valour Inc.                                         | ON           | No         |                   |
| Defiance Silver Corp.                                           |                                                     | BC           | No         |                   |
| Definity Financial Corporation                                  |                                                     | ON           | No         |                   |
| Definium Therapeutics, Inc.                                     | Mind Medicine (MindMed) Inc.                        | BC           | No         |                   |
| DEFSEC TECHNOLOGIES INC.                                        | KWESST Micro Systems Inc.                           | ON           | No         |                   |
| Delivra Health Brands Inc.                                      | Harvest One Cannabis Inc.                           | BC           | No         |                   |
| Denison Mines Corp.                                             | International Uranium Corporation                   | ON           | No         |                   |
| Denninghouse Inc.                                               | A Buck Or Two Stores Corporation                    | ON           | Yes        | 1a,1b,3           |
| Desert Mountain Energy Corp.                                    |                                                     | BC           | No         |                   |
| Desjardins 1-5 year Laddered Canadian Corporate Bond Index ETF  |                                                     | QC           | No         |                   |
| Desjardins 1-5 year Laddered Canadian Government Bond Index ETF |                                                     | QC           | No         |                   |
| Desjardins Absolute Return Global Equity Markets ETF            | Desjardins Alt Long/Short Global Equity Markets ETF | QC           | No         |                   |
| Desjardins Active Canadian Bond Universe ETF                    |                                                     | QC           | No         |                   |
| Desjardins Active Strategy Aggressive Portfolio                 | Melodia Maximum Growth Portfolio                    | QC           | No         |                   |
| Desjardins Active Strategy Balanced Portfolio                   | Melodia Diversified Growth Portfolio                | QC           | No         |                   |
| Desjardins Active Strategy Conservative Portfolio               | Chorus II Conservative Low Volatility Portfolio     | QC           | No         |                   |
| Desjardins Active Strategy Global Equity Portfolio              | Melodia 100 per cent Equity Growth Portfolio        | QC           | No         |                   |
| Desjardins Active Strategy Growth Portfolio                     | Melodia Balanced Growth Portfolio                   | QC           | No         |                   |
| Desjardins Active Strategy Moderate Portfolio                   | Chorus II Moderate Low Volatility Portfolio         | QC           | No         |                   |
| Desjardins Agressive ETF Portfolio                              | Wise Aggressive ETF Portfolio                       | QC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                             | Previous Name                                  | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------|------------------------------------------------|--------------|------------|-------------------|
| Desjardins American Equity Fund                         |                                                | QC           | No         |                   |
| Desjardins American Equity Growth Currency Neutral Fund |                                                | QC           | No         |                   |
| Desjardins American Equity Growth Fund                  | Desjardins CI Value Trust Corporate Class Fund | QC           | No         |                   |
| Desjardins American Equity Index ETF                    |                                                | QC           | No         |                   |
| Desjardins American Equity Value Fund                   |                                                | QC           | No         |                   |
| Desjardins American Mid Cap Equity Index ETF            |                                                | QC           | No         |                   |
| Desjardins Balanced ETF Portfolio                       | Wise Balanced 50 ETF Portfolio                 | QC           | No         |                   |
| Desjardins Canadian Bond Fund                           | Desjardins Bond Fund                           | QC           | No         |                   |
| Desjardins Canadian Corporate Bond Fund                 |                                                | QC           | No         |                   |
| Desjardins Canadian Corporate Bond Index ETF            |                                                | QC           | No         |                   |
| Desjardins Canadian Equity Focused Fund                 | Desjardins Canadian Equity Value Fund          | QC           | No         |                   |
| Desjardins Canadian Equity Fund                         |                                                | QC           | No         |                   |
| Desjardins Canadian Equity Income Fund                  |                                                | QC           | No         |                   |
| Desjardins Canadian Equity Index ETF                    |                                                | QC           | No         |                   |
| Desjardins Canadian Equity Leaders ETF                  |                                                | QC           | No         |                   |
| Desjardins Canadian Equity Plus Fund                    |                                                | QC           | No         |                   |
| Desjardins Canadian Preferred Share Fund                |                                                | QC           | No         |                   |
| Desjardins Canadian Preferred Share Index ETF           |                                                | QC           | No         |                   |
| Desjardins Canadian Short Term Bond Index ETF           |                                                | QC           | No         |                   |
| Desjardins Canadian Small Cap Equity Fund               | Desjardins Growth Fund                         | QC           | No         |                   |
| Desjardins Canadian Universe Bond Index ETF             |                                                | QC           | No         |                   |
| Desjardins Conservative ETF Portfolio                   | Wise Conservative ETF Portfolio                | QC           | No         |                   |
| Desjardins Dividend Balanced Fund                       | Desjardins Dividend Income Fund                | QC           | No         |                   |
| Desjardins Dividend Growth Fund                         |                                                | QC           | No         |                   |
| Desjardins Emerging Markets Bond Fund                   |                                                | QC           | No         |                   |
| Desjardins Emerging Markets Equity Index ETF            | Desjardins Emerging Market Equity Index ETF    | QC           | No         |                   |
| Desjardins Emerging Markets Fund                        |                                                | QC           | No         |                   |
| Desjardins Emerging Markets Opportunities Fund          |                                                | QC           | No         |                   |
| Desjardins Enhanced Bond Fund                           |                                                | QC           | No         |                   |
| Desjardins Floating Rate Income Fund                    |                                                | QC           | No         |                   |
| Desjardins Fundamental Global Equity Fund               |                                                | QC           | No         |                   |
| Desjardins Global Balanced Growth Fund                  | Desjardins Tactical Balanced Fund              | QC           | No         |                   |
| Desjardins Global Balanced Strategic Income Fund        |                                                | QC           | No         |                   |
| Desjardins Global Corporate Bond Fund                   |                                                | QC           | No         |                   |
| Desjardins Global Dividend Fund                         | Desjardins Global Equity Value Fund            | QC           | No         |                   |
| Desjardins Global Equity ETF Portfolio                  | Wise 100 per cent Equity ETF Portfolio         | QC           | No         |                   |
| Desjardins Global Equity Fund                           |                                                | QC           | No         |                   |
| Desjardins Global Equity Growth Fund                    |                                                | QC           | No         |                   |
| Desjardins Global Government Bond Index ETF             |                                                | QC           | No         |                   |
| Desjardins Global Government Bond Index Fund            |                                                | QC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                         | Previous Name                                                      | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------------|--------------------------------------------------------------------|--------------|------------|-------------------|
| Desjardins Global High Yield Bond Fund                              |                                                                    | QC           | No         |                   |
| Desjardins Global Infrastructure Fund                               |                                                                    | QC           | No         |                   |
| Desjardins Global Macro ETF                                         |                                                                    | QC           | No         |                   |
| Desjardins Global Managed Bond Fund                                 |                                                                    | QC           | No         |                   |
| Desjardins Global Opportunities ETF                                 |                                                                    | QC           | No         |                   |
| Desjardins Global Opportunities Fund                                |                                                                    | QC           | No         |                   |
| Desjardins Global Small Cap Equity Fund                             | Desjardins Fidelity Small Cap America Fund                         | QC           | No         |                   |
| Desjardins Global Tactical Bond Fund                                |                                                                    | QC           | No         |                   |
| Desjardins Global Total Return Bond Fund                            | Desjardins Global Inflation Linked Bond Fund                       | QC           | No         |                   |
| Desjardins Growth ETF Portfolio                                     | Wise Growth ETF Portfolio                                          | QC           | No         |                   |
| Desjardins International Equity Index ETF                           |                                                                    | QC           | No         |                   |
| Desjardins International Equity Value Fund                          |                                                                    | QC           | No         |                   |
| Desjardins Market Neutral ETF                                       | Desjardins Alt Long/Short Equity Market Neutral ETF                | QC           | No         |                   |
| Desjardins Market Neutral ETF Fund                                  | Desjardins Alt Long/Short Equity Market Neutral ETF Fund           | QC           | No         |                   |
| Desjardins Moderate ETF Portfolio                                   | Wise Moderate ETF Portfolio                                        | QC           | No         |                   |
| Desjardins Money Market Fund                                        |                                                                    | QC           | No         |                   |
| Desjardins Multifactor International Equity Fund                    |                                                                    | QC           | No         |                   |
| Desjardins Overseas Equity Fund                                     | Desjardins Overseas Equity Value Fund                              | QC           | No         |                   |
| Desjardins Overseas Equity Growth Fund                              |                                                                    | QC           | No         |                   |
| Desjardins Quebec Balanced Fund                                     | Desjardins Quebec Fund                                             | QC           | No         |                   |
| Desjardins Quebec Equity ETF                                        |                                                                    | QC           | No         |                   |
| Desjardins RI Active Canadian Bond - Net-Zero Emissions Pathway ETF | Desjardins RI Active Canadian Bond - Low CO2 ETF                   | QC           | No         |                   |
| Desjardins RI Canada - Net-Zero Emissions Pathway ETF               | Desjardins RI Canada - Low CO2 Index ETF                           | QC           | No         |                   |
| Desjardins RI Canada Multifactor - Net-Zero Emissions Pathway ETF   | Desjardins RI Canada Multifactor - Low CO2 ETF                     | QC           | No         |                   |
| Desjardins RI Developed ex-USA ex-Canada - Net-Zero Emissions       | Desjardins RI Developed ex-USA ex-Canada - Low CO2 Index ETF       | QC           | No         |                   |
| Desjardins RI Developed ex-USA ex-Canada Multifactor -              | Desjardins RI Developed ex-USA ex-Canada Multifactor - Low CO2 ETF | QC           | No         |                   |
| Desjardins RI Emerging Markets - Net-Zero Emissions                 | Desjardins RI Emerging Markets - Low CO2 Index ETF                 | QC           | No         |                   |
| Desjardins RI Emerging Markets Multifactor - Net-Zero Emissions     | Desjardins RI Emerging Markets Multifactor - Low CO2 ETF           | QC           | No         |                   |
| Desjardins RI Global Multifactor - Fossil Fuel Reserves Free ETF    |                                                                    | QC           | No         |                   |
| Desjardins RI USA - Net-Zero Emissions Pathway ETF                  | Desjardins RI USA - Low CO2 Index ETF                              | QC           | No         |                   |
| Desjardins RI USA Multifactor - Net-Zero Emissions Pathway ETF      | Desjardins RI USA Multifactor - Low CO2 ETF                        | QC           | No         |                   |
| Desjardins Short-Term Income Fund                                   | Desjardins Mortgage Fund                                           | QC           | No         |                   |
| Desjardins Sustainable Aggressive Portfolio                         | Desjardins Sustainable Maximum Growth Portfolio                    | QC           | No         |                   |
| Desjardins Sustainable American Equity Fund                         | Desjardins SocieTerra American Equity Fund                         | QC           | No         |                   |
| Desjardins Sustainable Balanced Portfolio                           | SocieTerra Balanced Portfolio                                      | QC           | No         |                   |
| Desjardins Sustainable Canadian Bond Fund                           | Desjardins SocieTerra Canadian Bond Fund                           | QC           | No         |                   |
| Desjardins Sustainable Canadian Corporate Bond Fund                 |                                                                    | QC           | No         |                   |
| Desjardins Sustainable Canadian Equity Fund                         | Desjardins SocieTerra Canadian Equity Fund                         | QC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                             | Previous Name                                      | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------|----------------------------------------------------|--------------|------------|-------------------|
| Desjardins Sustainable Canadian Equity Plus Fund        |                                                    | QC           | No         |                   |
| Desjardins Sustainable Cleantech Fund                   | Desjardins SocieTerra Cleantech Fund               | QC           | No         |                   |
| Desjardins Sustainable Conservative Portfolio           | SocieTerra Conservative Portfolio                  | QC           | No         |                   |
| Desjardins Sustainable Diversity Fund                   | Desjardins SocieTerra Diversity Fund               | QC           | No         |                   |
| Desjardins Sustainable Emerging Markets Bond Fund       | Desjardins SocieTerra Emerging Markets Bond Fund   | QC           | No         |                   |
| Desjardins Sustainable Emerging Markets Equity Fund     | Desjardins SocieTerra Emerging Markets Equity Fund | QC           | No         |                   |
| Desjardins Sustainable Environmental Bond Fund          | Desjardins SocieTerra Environmental Bond Fund      | QC           | No         |                   |
| Desjardins Sustainable Fixed Income Portfolio           | SocieTerra Fixed Income Portfolio                  | QC           | No         |                   |
| Desjardins Sustainable Global Balanced Fund             | Desjardins SocieTerra Global Balanced Fund         | QC           | No         |                   |
| Desjardins Sustainable Global Bond Fund                 | Desjardins SocieTerra Global Bond Fund             | QC           | No         |                   |
| Desjardins Sustainable Global Corporate Bond Fund       | Desjardins SocieTerra Global Corporate Bond Fund   | QC           | No         |                   |
| Desjardins Sustainable Global Dividend Fund             | Desjardins SocieTerra Global Dividend Fund         | QC           | No         |                   |
| Desjardins Sustainable Global Equity Portfolio          | Desjardins Sustainable 100 % Equity Portfolio      | QC           | No         |                   |
| Desjardins Sustainable Global Opportunities Fund        | Desjardins SocieTerra Global Opportunities Fund    | QC           | No         |                   |
| Desjardins Sustainable Growth Portfolio                 | SocieTerra Growth Portfolio                        | QC           | No         |                   |
| Desjardins Sustainable International EquityFund         | Desjardins SocieTerra International Equity Fund    | QC           | No         |                   |
| Desjardins Sustainable Moderate Portfolio               | SocieTerra Moderate Portfolio                      | QC           | No         |                   |
| Desjardins Sustainable Positive Change Fund             | Desjardins SocieTerra Positive Change Fund         | QC           | No         |                   |
| Desjardins Sustainable Short-Term Income Fund           | Desjardins SocieTerra Short-Term Income Fund       | QC           | No         |                   |
| Desjardins Tactical Asset Allocation Fund               |                                                    | QC           | No         |                   |
| Desjardins Target 2026 Investment Grade Bond Fund       |                                                    | QC           | No         |                   |
| Desjardins Target 2027 Investment Grade Bond Fund       |                                                    | QC           | No         |                   |
| Desjardins Target 2028 Investment Grade Bond Fund       |                                                    | QC           | No         |                   |
| Desjardins Target 2029 Investment Grade Bond Fund       |                                                    | QC           | No         |                   |
| Desjardins Target 2030 Investment Grade Bond Fund       |                                                    | QC           | No         |                   |
| Desjardins Target 2031 Investment Grade Bond Fund       |                                                    | QC           | No         |                   |
| Desjardins US Investment Grade Corporate Bond Index ETF |                                                    | QC           | No         |                   |
| Deutsche Bank Aktiengesellschaft                        |                                                    | ON           | No         |                   |
| Deveron Corp.                                           | Deveron UAS Corp.                                  | ON           | No         |                   |
| Devine Entertainment Corporation                        |                                                    | ON           | Yes        | 1a,1b,1c,1e,3     |
| Devonian Health Group Inc                               |                                                    | QC           | No         |                   |
| Dexterra Group Inc.                                     | Horizon North Logistics Inc.                       | ON           | No         |                   |
| DFA Canadian Core Equity Fund                           |                                                    | BC           | No         |                   |
| DFA Canadian Vector Equity Fund                         |                                                    | BC           | No         |                   |
| DFA Five-Year Global Fixed Income Fund                  | Five-Year Global Fixed Income Fund                 | BC           | No         |                   |
| DFA Global 40EQ-60FI Portfolio                          | DFA Global Conservative Fund                       | BC           | No         |                   |
| DFA Global 50EQ-50FI Portfolio                          |                                                    | BC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                   | Previous Name                        | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------|--------------------------------------|--------------|------------|-------------------|
| DFA Global 60EQ-40FI Portfolio                | DFA Global Balanced Fund             | BC           | No         |                   |
| DFA Global 70EQ-30FI Portfolio                |                                      | BC           | No         |                   |
| DFA Global 80EQ-20FI Portfolio                |                                      | BC           | No         |                   |
| DFA Global Equity Portfolio                   | DFA Global Equity Fund               | BC           | No         |                   |
| DFA Global Fixed Income Portfolio             |                                      | BC           | No         |                   |
| DFA Global Investment Grade Fixed Income Fund |                                      | BC           | No         |                   |
| DFA Global Real Estate Securities Fund        |                                      | BC           | No         |                   |
| DFA Global Sustainability Core Equity Fund    |                                      | BC           | No         |                   |
| DFA Global Targeted Credit Fund               |                                      | BC           | No         |                   |
| DFA International Core Equity Fund            |                                      | BC           | No         |                   |
| DFA International Vector Equity Fund          | DFA International Value Fund         | BC           | No         |                   |
| DFA U.S. Core Equity Fund                     | DFA U.S. Applied Core Equity Fund    | BC           | No         |                   |
| DFA U.S. Vector Equity Fund                   | DFA U.S. Value Fund                  | BC           | No         |                   |
| DFA World Equity Portfolio                    |                                      | BC           | No         |                   |
| DGTL Holdings Inc.                            | Conscience Capital Inc.              | ON           | No         |                   |
| Digi Power X Inc.                             | Digihost Technology Inc.             | ON           | No         |                   |
| Digital Asset Technologies Inc.               | Eat & Beyond Global Holdings Inc.    | BC           | No         |                   |
| Dinnerex Limited Partnership Viii             |                                      | ON           | Yes        | 1a,1b,1c,1e,3     |
| Dinnerex National Iii Limited Partnership     |                                      | ON           | Yes        | 1a,1b,1c,1e,3     |
| Dinnerex National IV Limited Partnership      |                                      | ON           | Yes        | 1a,1b,1c,1e,3     |
| Dion Entertainment Corp.                      | Patcor Capital Inc                   | BC           | Yes        | 1a,1b,3           |
| DIRTT Environmental Solutions Ltd.            |                                      | AB           | No         |                   |
| Discovery 2025 Short Duration LP*             |                                      | ON           | No         |                   |
| Discovery 2026 Short Duration LP*             |                                      | ON           | No         |                   |
| Discovery Air Inc.                            |                                      | ON           | No         |                   |
| Discovery Silver Corp.                        |                                      | ON           | No         |                   |
| Distinct Infrastructure Group Inc.            | QE2 Acquisition Corp.                | ON           | No         |                   |
| Diversified Royalty Corp.                     | BENEV Capital Inc.                   | BC           | No         |                   |
| Divestco Inc.                                 |                                      | AB           | No         |                   |
| Dividend 15 Split Corp. II*                   |                                      | ON           | No         |                   |
| Dividend 15 Split Corp.*                      |                                      | ON           | No         |                   |
| Dividend Growth Split Corp.*                  |                                      | ON           | No         |                   |
| Dividend Select 15 Corp.*                     |                                      | ON           | No         |                   |
| DMG Blockchain Solutions Inc.                 | Aim Explorations Ltd.                | BC           | No         |                   |
| Docebo Inc.                                   |                                      | ON           | No         |                   |
| Dollarama Inc.                                |                                      | QC           | No         |                   |
| Dolly Varden Silver Corporation               |                                      | BC           | No         |                   |
| Doman Building Materials Group Ltd.           | CanWel Building Materials Group Ltd. | BC           | No         |                   |
| Doman Industries Limited                      |                                      | BC           | No         |                   |
| Dominion Citrus Income Fund                   |                                      | ON           | No         |                   |
| Donnelley Financial Solutions, Inc.           |                                      | ON           | No         |                   |
| Dorel Industries Inc.                         |                                      | QC           | No         |                   |
| DPM Metals Inc.                               | DPM Metals Inc.                      | ON           | No         |                   |
| DragonWave Inc.                               |                                      | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                             | Previous Name                                        | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------|------------------------------------------------------|--------------|------------|-------------------|
| Dream Impact Trust                                      | Dream Hard Asset Alternatives Trust                  | ON           | No         |                   |
| Dream Industrial Real Estate Investment Trust           | Dundee Industrial Real Estate Investment Trust       | ON           | No         |                   |
| Dream Office Real Estate Investment Trust               | Dundee Real Estate Investment Trust                  | ON           | No         |                   |
| Dream Summit Industrial LP                              |                                                      | NS           | No         |                   |
| DREAM Unlimited Corp.                                   |                                                      | ON           | No         |                   |
| DRI Healthcare Trust                                    |                                                      | ON           | No         |                   |
| Dundee Corporation                                      | Dundee Bancorp Inc.                                  | ON           | No         |                   |
| Dundee Energy Limited                                   | Eurogas Corporation                                  | ON           | No         |                   |
| Dune Oil Corp.                                          | Trillion Energy International Inc.                   | BC           | No         |                   |
| Dye & Durham Limited                                    |                                                      | ON           | No         |                   |
| Dynacor Group Inc.                                      |                                                      | QC           | No         |                   |
| Dynamic Active Balanced ETF Portfolio                   |                                                      | ON           | No         |                   |
| Dynamic Active Bond ETF                                 |                                                      | ON           | No         |                   |
| Dynamic Active Canadian Bond ETF                        |                                                      | ON           | No         |                   |
| Dynamic Active Canadian Dividend ETF                    | Dynamic iShares Active Canadian Dividend ETF         | ON           | No         |                   |
| Dynamic Active Conservative ETF Portfolio               |                                                      | ON           | No         |                   |
| Dynamic Active Core Bond Private Pool                   |                                                      | ON           | No         |                   |
| Dynamic Active Corporate Bond ETF                       |                                                      | ON           | No         |                   |
| Dynamic Active Credit Strategies Private Pool           |                                                      | ON           | No         |                   |
| Dynamic Active Crossover Bond ETF                       | Dynamic iShares Active Crossover Bond ETF            | ON           | No         |                   |
| Dynamic Active Discount Bond ETF                        |                                                      | ON           | No         |                   |
| Dynamic Active Emerging Markets ETF                     |                                                      | ON           | No         |                   |
| Dynamic Active Enhanced Yield Covered Options ETF       |                                                      | ON           | No         |                   |
| Dynamic Active Global Dividend ETF                      | Dynamic iShares Active Global Dividend ETF           | ON           | No         |                   |
| Dynamic Active Global Equity Income ETF                 |                                                      | ON           | No         |                   |
| Dynamic Active Global Financial Services ETF            | Dynamic iShares Active Global Financial Services ETF | ON           | No         |                   |
| Dynamic Active Global Gold ETF                          |                                                      | ON           | No         |                   |
| Dynamic Active Global Infrastructure ETF                |                                                      | ON           | No         |                   |
| Dynamic Active Growth ETF Portfolio                     |                                                      | ON           | No         |                   |
| Dynamic Active Income ETF Portfolio                     |                                                      | ON           | No         |                   |
| Dynamic Active Innovation and Disruption ETF            |                                                      | ON           | No         |                   |
| Dynamic Active International Dividend ETF               |                                                      | ON           | No         |                   |
| Dynamic Active International ETF                        |                                                      | ON           | No         |                   |
| Dynamic Active Mining Opportunities ETF                 |                                                      | ON           | No         |                   |
| Dynamic Active Multi-Crypto ETF                         |                                                      | ON           | No         |                   |
| Dynamic Active Preferred Shares ETF                     | Dynamic iShares Active Preferred Shares ETF          | ON           | No         |                   |
| Dynamic Active Real Estate ETF                          |                                                      | ON           | No         |                   |
| Dynamic Active Tactical Bond ETF                        | Dynamic iShares Active Tactical Bond ETF             | ON           | No         |                   |
| Dynamic Active U.S. Discount Bond ETF                   |                                                      | ON           | No         |                   |
| Dynamic Active U.S. Dividend ETF                        | Dynamic iShares Active U.S. Dividend ETF             | ON           | No         |                   |
| Dynamic Active U.S. Equity ETF                          |                                                      | ON           | No         |                   |
| Dynamic Active U.S. Investment Grade Corporate Bond ETF |                                                      | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                   | Previous Name                                     | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------|---------------------------------------------------|--------------|------------|-------------------|
| Dynamic Active U.S. Mid-Cap ETF               | Dynamic iShares Active U.S. Mid-Cap ETF           | ON           | No         |                   |
| Dynamic Active Ultra Short Term Bond ETF      | Dynamic Active Investment Grade Floating Rate ETF | ON           | No         |                   |
| Dynamic Advantage Bond Class                  |                                                   | ON           | No         |                   |
| Dynamic Advantage Bond Fund                   | Strategicnova World Convertible Debentures Fund   | ON           | No         |                   |
| Dynamic Alpha Performance II Fund             |                                                   | ON           | No         |                   |
| Dynamic Alternative Yield Class               |                                                   | ON           | No         |                   |
| Dynamic Alternative Yield Fund                |                                                   | ON           | No         |                   |
| Dynamic American Class                        | Dynamic American Value Class                      | ON           | No         |                   |
| Dynamic American Fund                         | Dynamic American Value Fund                       | ON           | No         |                   |
| Dynamic Asia Pacific Equity Fund              | Dynamic Far East Value Fund                       | ON           | No         |                   |
| Dynamic Asset Allocation Private Pool         |                                                   | ON           | No         |                   |
| Dynamic Blue Chip Balanced Fund               | Dynamic Focus+ Balanced Fund                      | ON           | No         |                   |
| Dynamic Canadian Bond Fund                    | Dynamic Income Fund                               | ON           | No         |                   |
| Dynamic Canadian Dividend Fund                |                                                   | ON           | No         |                   |
| Dynamic Canadian Equity Private Pool Class    |                                                   | ON           | No         |                   |
| Dynamic Canadian Value Class                  |                                                   | ON           | No         |                   |
| Dynamic Conservative Yield Private Pool       |                                                   | ON           | No         |                   |
| Dynamic Conservative Yield Private Pool Class |                                                   | ON           | No         |                   |
| Dynamic Corporate Bond Strategies Class       |                                                   | ON           | No         |                   |
| Dynamic Corporate Bond Strategies Fund        |                                                   | ON           | No         |                   |
| Dynamic Credit Absolute Return Fund           |                                                   | ON           | No         |                   |
| Dynamic Credit Opportunities Fund             |                                                   | ON           | No         |                   |
| Dynamic Diversified Inflation Focused Fund    | Dynamic Diversified Real Asset Fund               | ON           | No         |                   |
| Dynamic Dividend Advantage Class              |                                                   | ON           | No         |                   |
| Dynamic Dividend Advantage Fund               | Dynamic Dividend Value Fund                       | ON           | No         |                   |
| Dynamic Dividend Fund                         |                                                   | ON           | No         |                   |
| Dynamic Dividend Income Class                 |                                                   | ON           | No         |                   |
| Dynamic Dollar-Cost Averaging Fund            | Dundee Dollar-Cost Averaging Fund                 | ON           | No         |                   |
| Dynamic Emerging Markets Equity Fund          |                                                   | ON           | No         |                   |
| Dynamic Energy Evolution Fund                 |                                                   | ON           | No         |                   |
| Dynamic Energy Income Fund                    | Dynamic Focus+ Energy Income Trust Fund           | ON           | No         |                   |
| Dynamic Equity Income Fund                    | Dynamic Focus+ Diversified Income Fund            | ON           | No         |                   |
| Dynamic Financial Services Fund               | Dynamic Focus+ Wealth Management Fund             | ON           | No         |                   |
| Dynamic Global Asset Allocation Class         |                                                   | ON           | No         |                   |
| Dynamic Global Asset Allocation Fund          | Dynamic Global Value Balanced Fund                | ON           | No         |                   |
| Dynamic Global Balanced Fund                  |                                                   | ON           | No         |                   |
| Dynamic Global Discovery Class                |                                                   | ON           | No         |                   |
| Dynamic Global Discovery Fund                 | Dynamic U.S. Small Cap Value Fund                 | ON           | No         |                   |
| Dynamic Global Dividend Class                 | Dynamic Global Dividend Value Class               | ON           | No         |                   |
| Dynamic Global Dividend Fund                  | Dynamic Global Dividend Value Fund                | ON           | No         |                   |
| Dynamic Global Equity Fund                    |                                                   | ON           | No         |                   |
| Dynamic Global Equity Income Fund             |                                                   | ON           | No         |                   |
| Dynamic Global Equity Private Pool Class      |                                                   | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                        | Previous Name                                       | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------|-----------------------------------------------------|--------------|------------|-------------------|
| Dynamic Global Fixed Income Fund                   |                                                     | ON           | No         |                   |
| Dynamic Global Growth Opportunities Fund           | Dynamic Power Hedge Fund                            | ON           | No         |                   |
| Dynamic Global Infrastructure Class                |                                                     | ON           | No         |                   |
| Dynamic Global Infrastructure Fund                 |                                                     | ON           | No         |                   |
| Dynamic Global Real Estate Fund                    | Dynamic Focus+ Real Estate Fund                     | ON           | No         |                   |
| Dynamic Global Small Cap Fund                      |                                                     | ON           | No         |                   |
| Dynamic Global Strategic Yield Fund                |                                                     | ON           | No         |                   |
| Dynamic Global Value Fund                          |                                                     | ON           | No         |                   |
| Dynamic Global Yield Private Pool                  |                                                     | ON           | No         |                   |
| Dynamic Global Yield Private Pool Class            |                                                     | ON           | No         |                   |
| Dynamic High Yield Bond Fund                       | Dynamic Canadian High Yield Bond Fund I             | ON           | No         |                   |
| Dynamic Integrated Balanced Class Portfolio        | Marquis Balanced Class Portfolio                    | ON           | No         |                   |
| Dynamic Integrated Balanced Growth Class Portfolio | Marquis Balanced Growth Class Portfolio             | ON           | No         |                   |
| Dynamic Integrated Balanced Growth Portfolio       | Marquis Balanced Growth Portfolio                   | ON           | No         |                   |
| Dynamic Integrated Balanced Income Portfolio       | Marquis Balanced Income Portfolio                   | ON           | No         |                   |
| Dynamic Integrated Balanced Portfolio              | Marquis Balanced Portfolio                          | ON           | No         |                   |
| Dynamic Integrated Equity Portfolio                | Marquis Equity Portfolio                            | ON           | No         |                   |
| Dynamic Integrated Growth Portfolio                | Marquis Growth Portfolio                            | ON           | No         |                   |
| Dynamic International Discovery Fund               |                                                     | ON           | No         |                   |
| Dynamic International Dividend Private Pool        |                                                     | ON           | No         |                   |
| Dynamic International Equity Fund                  | Dynamic Global Value Fund                           | ON           | No         |                   |
| Dynamic Liquid Alternatives Private Pool           |                                                     | ON           | No         |                   |
| Dynamic Money Market Class                         |                                                     | ON           | No         |                   |
| Dynamic Money Market Fund                          |                                                     | ON           | No         |                   |
| Dynamic Multi-Alternative PLUS Fund                |                                                     | ON           | No         |                   |
| Dynamic North American Dividend Private Pool       |                                                     | ON           | No         |                   |
| Dynamic Payout Portfolio*                          |                                                     | ON           | No         |                   |
| Dynamic Power American Growth Class                | Dynamic Power U.S. Growth Class                     | ON           | No         |                   |
| Dynamic Power American Growth Fund                 | Dynamic Power American Fund                         | ON           | No         |                   |
| Dynamic Power Balanced Fund                        | Power Balanced Fund                                 | ON           | No         |                   |
| Dynamic Power Canadian Growth Fund                 | Dynamic Canadian Growth Fund                        | ON           | No         |                   |
| Dynamic Power Global Balanced Class                |                                                     | ON           | No         |                   |
| Dynamic Power Global Growth Class                  | Dynamic Power International Growth Class            | ON           | No         |                   |
| Dynamic Power Global Growth Fund                   |                                                     | ON           | No         |                   |
| Dynamic Power Small Cap Fund                       | Strategicnova Canadian Small Cap Fund               | ON           | No         |                   |
| Dynamic Precious Metals Fund                       | Dynamic Canadian Precious Metals Fund               | ON           | No         |                   |
| Dynamic Preferred Yield Class                      |                                                     | ON           | No         |                   |
| Dynamic Premium Balanced Private Pool Class        | Dynamic Alternative Managed Risk Private Pool Class | ON           | No         |                   |
| Dynamic Premium Bond Private Pool                  |                                                     | ON           | No         |                   |
| Dynamic Premium Bond Private Pool Class            |                                                     | ON           | No         |                   |
| Dynamic Premium Yield Class                        |                                                     | ON           | No         |                   |
| Dynamic Premium Yield Fund                         |                                                     | ON           | No         |                   |
| Dynamic Premium Yield PLUS Fund                    |                                                     | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                         | Previous Name                               | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------|---------------------------------------------|--------------|------------|-------------------|
| Dynamic Real Estate & Infrastructure Income II Fund |                                             | ON           | No         |                   |
| Dynamic Retirement Income Fund                      | Dynamic Retirement Income+ Fund             | ON           | No         |                   |
| Dynamic Short Term Bond Fund                        |                                             | ON           | No         |                   |
| Dynamic Short Term Credit PLUS Fund                 |                                             | ON           | No         |                   |
| Dynamic Small Business Fund                         | Dynamic Focus+ Small Business Fund          | ON           | No         |                   |
| Dynamic Strategic Energy Class                      | Dynamic Global Energy Class                 | ON           | No         |                   |
| Dynamic Strategic Gold Class                        |                                             | ON           | No         |                   |
| Dynamic Strategic Mining Class                      | Dynamic Strategic Resource Class            | ON           | No         |                   |
| Dynamic Strategic Yield Class                       |                                             | ON           | No         |                   |
| Dynamic Strategic Yield Fund                        |                                             | ON           | No         |                   |
| Dynamic Sustainable Credit Fund                     | Dynamic Sustainable Credit Private Pool     | ON           | No         |                   |
| Dynamic Sustainable Equity Fund                     |                                             | ON           | No         |                   |
| Dynamic Tactical Bond Private Pool                  |                                             | ON           | No         |                   |
| Dynamic Total Return Bond Class                     | Dynamic Aurion Total Return Bond Class      | ON           | No         |                   |
| Dynamic Total Return Bond Fund                      | Dynamic Aurion Total Return Bond Fund       | ON           | No         |                   |
| Dynamic U.S. Balanced Class                         | Dynamic Blue Chip U.S. Balanced Class       | ON           | No         |                   |
| Dynamic U.S. Equity Income Fund                     |                                             | ON           | No         |                   |
| Dynamic U.S. Equity Private Pool Class              |                                             | ON           | No         |                   |
| Dynamic U.S. Strategic Yield Fund                   | Dynamic U.S. Monthly Income Fund            | ON           | No         |                   |
| Dynamic Ultra Short Term Bond Fund                  | Dynamic Investment Grade Floating Rate Fund | ON           | No         |                   |
| Dynamic Value Balanced Class                        |                                             | ON           | No         |                   |
| Dynamic Value Balanced Fund                         | Strategicnova Canadian Balanced Fund        | ON           | No         |                   |
| Dynamic Value Fund of Canada                        | Dynamic Fund Of Canada                      | ON           | No         |                   |
| DynamicEdge Balanced Class Portfolio                |                                             | ON           | No         |                   |
| DynamicEdge Balanced Growth Class Portfolio         |                                             | ON           | No         |                   |
| DynamicEdge Balanced Growth Portfolio               |                                             | ON           | No         |                   |
| DynamicEdge Balanced Income Portfolio               | Dynamic Strategic Income Portfolio          | ON           | No         |                   |
| DynamicEdge Balanced Portfolio                      |                                             | ON           | No         |                   |
| DynamicEdge Conservative Class Portfolio            |                                             | ON           | No         |                   |
| DynamicEdge Defensive Portfolio                     |                                             | ON           | No         |                   |
| DynamicEdge Equity Class Portfolio                  |                                             | ON           | No         |                   |
| DynamicEdge Equity Portfolio                        |                                             | ON           | No         |                   |
| DynamicEdge Growth Class Portfolio                  |                                             | ON           | No         |                   |
| DynamicEdge Growth Portfolio                        |                                             | ON           | No         |                   |
| E Automotive Inc.                                   | E Automotive Inc. d/b/a E Inc.              | ON           | No         |                   |
| E Split Corp.*                                      |                                             | ON           | No         |                   |
| E-L Financial Corporation Limited                   |                                             | ON           | No         |                   |
| E2Gold Inc.                                         |                                             | ON           | Yes        | 1a,1c,1e,3        |
| E3 Lithium Ltd.                                     | E3 Metals Corp.                             | AB           | No         |                   |
| Eagle Credit Card Trust                             |                                             | ON           | No         |                   |
| EarthLabs Inc.                                      | Goldspot Discoveries Corp.                  | ON           | No         |                   |
| East Side Games Group Inc.                          | Leaf Mobile Inc.                            | BC           | No         |                   |
| Eastern Platinum Limited                            |                                             | BC           | No         |                   |
| ECN Capital Corp.                                   |                                             | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                     | Previous Name                        | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------|--------------------------------------|--------------|------------|-------------------|
| Eco Oro Minerals Corp.                          | Greystar Resources Ltd.              | BC           | No         |                   |
| EcoSynthetix Inc.                               |                                      | ON           | No         |                   |
| Eden Empire Inc.                                |                                      | BC           | Yes        | 1a,1c,1e,3        |
| Edge Copper Corporation                         |                                      | BC           | No         |                   |
| EdgePoint Canadian Growth & Income Portfolio    |                                      | ON           | No         |                   |
| EdgePoint Canadian Portfolio                    |                                      | ON           | No         |                   |
| EdgePoint Global Growth & Income Portfolio      |                                      | ON           | No         |                   |
| EdgePoint Global Portfolio                      |                                      | ON           | No         |                   |
| EdgePoint Monthly Income Portfolio              |                                      | ON           | No         |                   |
| eFunds Bloomberg U.S. Internet Index Fund*      |                                      | ON           | Yes        | 1a,1b,1c,3        |
| Eguana Technologies Inc.                        | Sustainable Energy Technologies Ltd. | AB           | Yes        | 1a,1c,1e,3        |
| EHP Alpha Strategies Alternative Fund           | EHP Advantage Alternative Fund       | ON           | No         |                   |
| EHP Select Alternative Fund                     |                                      | ON           | No         |                   |
| EHP Strategic Income Alternative Fund           |                                      | ON           | No         |                   |
| EHP Tactical Growth Alternative Fund            |                                      | ON           | No         |                   |
| Elcora Advanced Materials Corp.                 | Elcora Resources Corp.               | NS           | No         |                   |
| Eldorado Gold Corporation                       |                                      | BC           | No         |                   |
| Electra Battery Materials Corporation           | First Cobalt Corp.                   | ON           | No         |                   |
| Electric Royalties Ltd.                         |                                      | BC           | No         |                   |
| Electrovaya Inc.                                | Electrofuel Inc.                     | ON           | No         |                   |
| Element Fleet Management Corp.                  | Element Financial Corporation        | ON           | No         |                   |
| Elemental Royalty Corporation                   | Elemental Altus Royalties Corp.      | BC           | No         |                   |
| Elevate All-Equity Portfolio                    |                                      | ON           | No         |                   |
| Elevate Balanced Growth Portfolio               |                                      | ON           | No         |                   |
| Elevate Balanced Portfolio                      |                                      | ON           | No         |                   |
| Elevate Conservative Portfolio                  |                                      | ON           | No         |                   |
| Elevate Service Group Inc.                      | AIM6 Ventures Inc.                   | ON           | No         |                   |
| Elevation Gold Mining Corporation               |                                      | BC           | Yes        | 1b,1c,1e          |
| Elite Canadian Equity Income Pool               |                                      | QC           | No         |                   |
| Elite Core Canadian Equity Pool                 |                                      | QC           | No         |                   |
| Elite Core Canadian Fixed Income Pool           |                                      | QC           | No         |                   |
| Elite Core Global Equity Pool                   |                                      | QC           | No         |                   |
| Elite Core Plus Canadian Equity Pool            |                                      | QC           | No         |                   |
| Elite Core Plus Global Equity Pool              |                                      | QC           | No         |                   |
| Elite Core Plus Global Fixed Income Pool        |                                      | QC           | No         |                   |
| Elite Core Plus High Growth Unified Portfolio   |                                      | QC           | No         |                   |
| Elite Global Equity Income Pool                 |                                      | QC           | No         |                   |
| Elite Income Balanced Unified Portfolio         |                                      | QC           | No         |                   |
| Elite Index Plus Balanced Unified Portfolio     |                                      | QC           | No         |                   |
| Elite Index Plus Canadian Equity Pool           |                                      | QC           | No         |                   |
| Elite Index Plus Canadian Fixed Income Pool     |                                      | QC           | No         |                   |
| Elite Index Plus Conservative Unified Portfolio |                                      | QC           | No         |                   |
| Elite Index Plus Global Equity Pool             |                                      | QC           | No         |                   |
| Elite Index Plus High Growth Unified Portfolio  |                                      | QC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                              | Previous Name                                        | Jurisdiction | In Default | Nature of Default |
|------------------------------------------|------------------------------------------------------|--------------|------------|-------------------|
| Eloro Resources Ltd.                     |                                                      | ON           | No         |                   |
| Else Nutrition Holdings Inc.             |                                                      | BC           | No         |                   |
| Elysian Mineral Exploration Corp.        | Elysian Capital Corp.                                | BC           | No         |                   |
| Embark Select Conservative Plan          |                                                      | ON           | No         |                   |
| Embark Student Plan                      |                                                      | ON           | No         |                   |
| Emblem Corp.                             | Saber Capital Corp.                                  | ON           | No         |                   |
| Emera Incorporated                       | NS Power Holdings Incorporated_Effct 10/7/2000       | NS           | No         |                   |
| Emerge Commerce Ltd.                     |                                                      | ON           | No         |                   |
| Emerging Markets Equity Corporate Class  |                                                      | ON           | No         |                   |
| Emerging Markets Equity Fund             | Primus Capital Advisors Emerging Markets Equity Fund | ON           | No         |                   |
| Emerging Markets Equity Pool             |                                                      | ON           | No         |                   |
| Empire Alliance Properties Inc.          | Commonwealth Richmond Properties Inc                 | BC           | Yes        | 1a,1b,3           |
| Empire Company Limited                   |                                                      | NS           | No         |                   |
| Empire Exchangeco LTD.                   |                                                      | AB           | Yes        | 1a,1b,3           |
| Empress Royalty Corp.                    |                                                      | BC           | No         |                   |
| Enablence Technologies Inc.              |                                                      | ON           | No         |                   |
| Enbridge Gas Inc.                        |                                                      | ON           | No         |                   |
| Enbridge Inc.                            | Ipl Energy Inc.                                      | AB           | No         |                   |
| Encasa Canadian Bond Fund                |                                                      | ON           | No         |                   |
| Encasa Canadian Short-Term Bond Fund     |                                                      | ON           | No         |                   |
| Encasa Equity Fund                       |                                                      | ON           | No         |                   |
| enCore Energy Corp.                      |                                                      | BC           | No         |                   |
| Endeavour Mining plc                     |                                                      | BC           | No         |                   |
| Endeavour Silver Corp.                   |                                                      | BC           | No         |                   |
| Endo International plc                   |                                                      | QC           | Yes        | 1b,1c,1e          |
| Enerflex Ltd.                            |                                                      | AB           | No         |                   |
| Energir Inc.                             | Gaz Metro Inc.                                       | QC           | No         |                   |
| Energy Credit Opportunities Income Fund* |                                                      | ON           | No         |                   |
| Energy Fuels Inc.                        | Volanic Metals Exploration Inc.                      | ON           | No         |                   |
| Energy Income Fund*                      | Sustainable Production Energy Trust                  | ON           | No         |                   |
| Enhanced Income Portfolio                |                                                      | ON           | No         |                   |
| EnQuest Energy Services Corp.            | Sikanni Services LTD.                                | AB           | No         |                   |
| Enseco Energy Services Corp.             |                                                      | AB           | No         |                   |
| Entheon Biomedical Corp.                 |                                                      | BC           | No         |                   |
| Enthusiast Gaming Holdings Inc.          |                                                      | ON           | No         |                   |
| ENTREC Corporation                       | Entrec Transportation Services Ltd.                  | AB           | No         |                   |
| Entree Resources Ltd.                    | Entree Gold Inc.                                     | BC           | No         |                   |
| EnWave Corporation                       |                                                      | BC           | No         |                   |
| EPCOR Utilities Inc.                     |                                                      | AB           | No         |                   |
| Epsilon Energy Ltd.                      |                                                      | AB           | No         |                   |
| EQ Inc.                                  | Cyberplex Inc.                                       | ON           | No         |                   |
| EQB Inc.                                 | Equitable Group Inc.                                 | ON           | No         |                   |
| Equinox Gold Corp.                       | Trek Mining Inc.                                     | BC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                 | Previous Name                               | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------------|---------------------------------------------|--------------|------------|-------------------|
| Erdene Resource Development Corporation                     | Erdene Gold Inc.                            | NS           | No         |                   |
| Ero Copper Corp.                                            |                                             | BC           | No         |                   |
| ESE Entertainment Inc.                                      |                                             | BC           | Yes        | 1a,1c,1e,3        |
| Eupraxia Pharmaceuticals Inc.                               |                                             | BC           | No         |                   |
| Euro Sun Mining Inc.                                        | Carpathian Gold Inc.                        | ON           | No         |                   |
| Eurogas International Inc.                                  |                                             | ON           | Yes        | 1a,1c,1e,3        |
| Euston Capital Corp.                                        |                                             | ON           | Yes        | 1a,1b,1c,1e,3     |
| EV Nickel Inc.                                              |                                             | ON           | No         |                   |
| EverGen Infrastructure Corp.                                |                                             | BC           | No         |                   |
| Evertz Technologies Limited                                 |                                             | ON           | No         |                   |
| EvokAI Creative Labs Inc.                                   |                                             | BC           | Yes        | 1a,1c,1e,3        |
| Evolution PowerX Corp.                                      | Enterprise Group, Inc.                      | AB           | No         |                   |
| Evolve Active Canadian Preferred Share Fund                 | Evolve Active Canadian Preferred Share ETF  | ON           | No         |                   |
| Evolve Active Core Fixed Income Fund                        | Evolve Active Core Fixed Income ETF         | ON           | No         |                   |
| Evolve Active Global Fixed Income Fund                      | Evolve Active Global Fixed Income ETF       | ON           | No         |                   |
| Evolve All-in-One UltraYield ETF*                           |                                             | ON           | No         |                   |
| Evolve Artificial Intelligence Fund                         |                                             | ON           | No         |                   |
| Evolve Automobile Innovation Index Fund                     | Evolve Automobile Innovation Index ETF      | ON           | No         |                   |
| Evolve Big Six Canadian Banks UltraYield Index ETF*         |                                             | ON           | No         |                   |
| Evolve Bitcoin ETF                                          | Bitcoin ETF                                 | ON           | No         |                   |
| Evolve Canadian Aggregate Bond Enhanced Yield Fund          |                                             | ON           | No         |                   |
| Evolve Canadian Banks and Lifecos Enhanced Yield Index Fund |                                             | ON           | No         |                   |
| Evolve Canadian Energy Enhanced Yield Index Fund            |                                             | ON           | No         |                   |
| Evolve Canadian Equity UltraYield ETF*                      |                                             | ON           | No         |                   |
| Evolve Canadian Financials Yield Fund                       |                                             | ON           | No         |                   |
| Evolve Canadian Utilities Enhanced Yield Index Fund         |                                             | ON           | No         |                   |
| Evolve Canadian Utilities Yield Fund                        |                                             | ON           | No         |                   |
| Evolve Cloud Computing Index Fund                           |                                             | ON           | No         |                   |
| Evolve Cryptocurrencies ETF                                 |                                             | ON           | No         |                   |
| Evolve Cyber Security Index Fund                            | Evolve Cyber Security Index ETF             | ON           | No         |                   |
| Evolve E-Gaming Index ETF                                   |                                             | ON           | No         |                   |
| Evolve Enhanced Yield Bond Fund                             |                                             | ON           | No         |                   |
| Evolve Enhanced Yield Mid Term Bond Fund                    |                                             | ON           | No         |                   |
| Evolve Ether ETF                                            | Ether ETF                                   | ON           | No         |                   |
| Evolve European Banks Enhanced Yield ETF                    |                                             | ON           | No         |                   |
| Evolve FANGMA Index ETF                                     |                                             | ON           | No         |                   |
| Evolve Future Leadership Fund                               |                                             | ON           | No         |                   |
| Evolve Global Defense & Aerospace Index ETF*                |                                             | ON           | No         |                   |
| Evolve Global Healthcare Enhanced Yield Fund                | Evolve Global Healthcare Enhanced Yield ETF | ON           | No         |                   |
| Evolve Global Materials & Mining Enhanced Yield Index ETF   |                                             | ON           | No         |                   |
| Evolve Innovation Index Fund                                | Evolve Innovation Index ETF                 | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                        | Previous Name                      | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------|------------------------------------|--------------|------------|-------------------|
| Evolve International Equity UltraYield ETF*        |                                    | ON           | No         |                   |
| Evolve Levered Bitcoin ETF                         |                                    | ON           | No         |                   |
| Evolve Levered Ether ETF                           |                                    | ON           | No         |                   |
| Evolve NASDAQ Technology Enhanced Yield Index Fund |                                    | ON           | No         |                   |
| Evolve NASDAQ Technology Index Fund                |                                    | ON           | No         |                   |
| Evolve NASDAQ Technology UltraYield ETF*           |                                    | ON           | No         |                   |
| Evolve Royalties Ltd.                              | Voyageur Mineral Explorers Corp.   | BC           | No         |                   |
| Evolve S&P 500® Enhanced Yield Fund                |                                    | ON           | No         |                   |
| Evolve S&P/TSX 60 Enhanced Yield Fund              |                                    | ON           | No         |                   |
| Evolve Solana ETF*                                 |                                    | ON           | No         |                   |
| Evolve US Banks Enhanced Yield Fund                | Evolve US Banks Enhanced Yield ETF | ON           | No         |                   |
| Evolve US Equity UltraYield ETF*                   |                                    | ON           | No         |                   |
| Evolve XRP ETF*                                    |                                    | ON           | No         |                   |
| Evolved Digital Systems Inc.                       | Itec-Mineral Inc.                  | QC           | No         |                   |
| Evovest Global Equity ETF*                         |                                    | QC           | No         |                   |
| Exall Energy Corporation                           |                                    | AB           | No         |                   |
| Excellon Resources Inc.                            |                                    | ON           | No         |                   |
| Exchange Income Corporation                        | HMY Airways Inc.                   | MB           | No         |                   |
| Exemplar Global Growth and Income Fund             |                                    | ON           | No         |                   |
| Exemplar Growth and Income Fund                    |                                    | ON           | No         |                   |
| Exemplar Performance Fund                          |                                    | ON           | No         |                   |
| Exponential Balanced Growth Fund                   |                                    | ON           | No         |                   |
| Exro Technologies Inc.                             |                                    | AB           | Yes        | 1b,1c,1e          |
| Extendicare Inc.                                   |                                    | ON           | No         |                   |
| Faircourt Gold Income Corp.*                       |                                    | ON           | No         |                   |
| Fairfax Financial Holdings Limited                 |                                    | ON           | No         |                   |
| Fairfax India Holdings Corporation*                |                                    | ON           | No         |                   |
| Falco Resources Ltd.                               | Falco Pacific Resource Group Inc.  | QC           | No         |                   |
| Falcon Energy Materials plc                        | SRG Mining Inc.                    | QC           | No         |                   |
| Falcon Well Services Ltd                           |                                    | AB           | Yes        | 1a,1b             |
| Fantom Technologies Inc.                           | Iona Appliances Inc.               | ON           | Yes        | 1a,1b,3           |
| Faraday Copper Corp.                               |                                    | BC           | No         |                   |
| Fathom Nickel Inc.                                 |                                    | AB           | No         |                   |
| Fédération des caisses Desjardins du Québec        |                                    | QC           | No         |                   |
| Fennec Pharmaceuticals Inc.                        | Adherex Technologies Inc.          | ON           | No         |                   |
| Feronia Inc.                                       | G.T.M. Capital Corporation         | ON           | No         |                   |
| Fidelity - IG Canadian Equity Pool*                |                                    | MB           | No         |                   |
| Fidelity Absolute Income Fund                      |                                    | ON           | No         |                   |
| Fidelity Advanced U.S. Equity Fund                 |                                    | ON           | No         |                   |
| Fidelity Advantage Bitcoin ETF Fund®               |                                    | ON           | No         |                   |
| Fidelity Advantage Bitcoin ETF®                    |                                    | ON           | No         |                   |
| Fidelity Advantage Ether ETF®                      |                                    | ON           | No         |                   |
| Fidelity Advantage Ether ETF® Fund                 | Fidelity Advantage Ether ETF Fund® | ON           | No         |                   |
| Fidelity All-American Equity ETF                   |                                    | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                         | Previous Name                                                    | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------------|------------------------------------------------------------------|--------------|------------|-------------------|
| Fidelity All-American Equity ETF Fund                               |                                                                  | ON           | No         |                   |
| Fidelity All-Canadian Equity ETF                                    |                                                                  | ON           | No         |                   |
| Fidelity All-Canadian Equity ETF Fund                               |                                                                  | ON           | No         |                   |
| Fidelity All-in-One Balanced ETF                                    |                                                                  | ON           | No         |                   |
| Fidelity All-in-One Balanced ETF Fund                               |                                                                  | ON           | No         |                   |
| Fidelity All-in-One Conservative ETF                                |                                                                  | ON           | No         |                   |
| Fidelity All-in-One Conservative ETF Fund                           |                                                                  | ON           | No         |                   |
| Fidelity All-in-One Conservative Income ETF                         |                                                                  | ON           | No         |                   |
| Fidelity All-in-One Conservative Income ETF Fund                    |                                                                  | ON           | No         |                   |
| Fidelity All-in-One Equity ETF                                      |                                                                  | ON           | No         |                   |
| Fidelity All-in-One Equity ETF Fund                                 |                                                                  | ON           | No         |                   |
| Fidelity All-in-One Fixed Income ETF                                |                                                                  | ON           | No         |                   |
| Fidelity All-in-One Fixed Income ETF Fund                           |                                                                  | ON           | No         |                   |
| Fidelity All-in-One Growth ETF                                      |                                                                  | ON           | No         |                   |
| Fidelity All-in-One Growth ETF Fund                                 |                                                                  | ON           | No         |                   |
| Fidelity All-International Equity ETF                               |                                                                  | ON           | No         |                   |
| Fidelity All-International Equity ETF Fund                          |                                                                  | ON           | No         |                   |
| Fidelity Alternative Bond Fund                                      |                                                                  | ON           | No         |                   |
| Fidelity American Balanced Currency Neutral Fund                    |                                                                  | ON           | No         |                   |
| Fidelity American Balanced Fund                                     |                                                                  | ON           | No         |                   |
| Fidelity American Disciplined Equity® Class                         |                                                                  | ON           | No         |                   |
| Fidelity American Disciplined Equity® Currency Neutral Class        |                                                                  | ON           | No         |                   |
| Fidelity American Disciplined Equity® Fund                          |                                                                  | ON           | No         |                   |
| Fidelity American Equity Class                                      | Fidelity American Value Class                                    | ON           | No         |                   |
| Fidelity American Equity Currency Neutral Class                     |                                                                  | ON           | No         |                   |
| Fidelity American Equity Currency Neutral Multi-Asset Base Fund     |                                                                  | ON           | No         |                   |
| Fidelity American Equity Fund                                       | Fidelity American Value Fund                                     | ON           | No         |                   |
| Fidelity American Equity Multi-Asset Base Fund                      |                                                                  | ON           | No         |                   |
| Fidelity American High Yield Currency Neutral Fund                  | Fidelity American High Yield Currency Hedged Fund                | ON           | No         |                   |
| Fidelity American High Yield Currency Neutral Multi-Asset Base Fund |                                                                  | ON           | No         |                   |
| Fidelity American High Yield Fund                                   | Fidelity Emerging Markets Bond Fund                              | ON           | No         |                   |
| Fidelity American High Yield Multi-Asset Base Fund                  |                                                                  | ON           | No         |                   |
| Fidelity AsiaStar® Class                                            |                                                                  | ON           | No         |                   |
| Fidelity AsiaStar® Fund                                             | Fidelity Asia Pacific Fund                                       | ON           | No         |                   |
| Fidelity Asset Allocation Currency Neutral Private Pool             | Fidelity Tactical Asset Allocation Currency Neutral Private Pool | ON           | No         |                   |
| Fidelity Asset Allocation Private Pool                              | Fidelity Tactical Asset Allocation Private Pool                  | ON           | No         |                   |
| Fidelity Asset Allocation Private Pool Trust                        |                                                                  | ON           | No         |                   |
| Fidelity Balanced Class Portfolio                                   | Fidelity Portfolio Class Model 3                                 | ON           | No         |                   |
| Fidelity Balanced Currency Neutral Private Pool                     |                                                                  | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                        | Previous Name                                             | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------------------------|-----------------------------------------------------------|--------------|------------|-------------------|
| Fidelity Balanced Income Currency Neutral Private Pool             |                                                           | ON           | No         |                   |
| Fidelity Balanced Income Private Pool                              | Fidelity Balanced Income Pool                             | ON           | No         |                   |
| Fidelity Balanced Income Private Pool Trust                        |                                                           | ON           | No         |                   |
| Fidelity Balanced Managed Risk Portfolio                           | Fidelity Multi-Asset Balanced Fund                        | ON           | No         |                   |
| Fidelity Balanced Portfolio                                        | Fidelity Portfolio Fund Model 3                           | ON           | No         |                   |
| Fidelity Balanced Private Pool                                     | Fidelity Balanced Pool                                    | ON           | No         |                   |
| Fidelity Balanced Private Pool Trust                               |                                                           | ON           | No         |                   |
| Fidelity Blue Chip Growth Multi-Asset Base Fund                    |                                                           | ON           | No         |                   |
| Fidelity Canadian Asset Allocation Class                           |                                                           | ON           | No         |                   |
| Fidelity Canadian Asset Allocation Fund                            |                                                           | ON           | No         |                   |
| Fidelity Canadian Balanced Class                                   |                                                           | ON           | No         |                   |
| Fidelity Canadian Balanced Fund                                    |                                                           | ON           | No         |                   |
| Fidelity Canadian Bond Fund                                        | Fidelity Government Bond Fund                             | ON           | No         |                   |
| Fidelity Canadian Bond Multi-Asset Base Fund                       |                                                           | ON           | No         |                   |
| Fidelity Canadian Core Equity Fund                                 |                                                           | ON           | No         |                   |
| Fidelity Canadian Disciplined Equity® Class                        | Fidelity Disciplined Equity Class                         | ON           | No         |                   |
| Fidelity Canadian Disciplined Equity® Fund                         | Fidelity Disciplined Equity Fund                          | ON           | No         |                   |
| Fidelity Canadian Equity Multi-Asset Base Fund                     | Fidelity Canadian Equity Investment Trust                 | ON           | No         |                   |
| Fidelity Canadian Equity Private Pool                              | Fidelity Canadian Equity Pool                             | ON           | No         |                   |
| Fidelity Canadian Focused Equity Multi-Asset Base Fund             | Fidelity Canadian Focused Equity Investment Trust         | ON           | No         |                   |
| Fidelity Canadian Fundamental Equity Multi-Asset Base Fund         |                                                           | ON           | No         |                   |
| Fidelity Canadian Government Long Bond Index Multi-Asset Base Fund |                                                           | ON           | No         |                   |
| Fidelity Canadian Growth Company Class                             |                                                           | ON           | No         |                   |
| Fidelity Canadian Growth Company Fund                              | Fidelity Growth Company Fund                              | ON           | No         |                   |
| Fidelity Canadian High Dividend ETF                                | Fidelity Canadian High Dividend Index ETF                 | ON           | No         |                   |
| Fidelity Canadian High Dividend ETF Fund                           | Fidelity Canadian High Dividend Index ETF Fund            | ON           | No         |                   |
| Fidelity Canadian High Quality ETF                                 | Fidelity Canadian High Quality Index ETF                  | ON           | No         |                   |
| Fidelity Canadian Large Cap Class                                  |                                                           | ON           | No         |                   |
| Fidelity Canadian Large Cap Fund                                   | Fidelity Capital Builder Fund                             | ON           | No         |                   |
| Fidelity Canadian Large Cap Multi-Asset Base Fund                  |                                                           | ON           | No         |                   |
| Fidelity Canadian Long/Short Alternative Fund                      |                                                           | ON           | No         |                   |
| Fidelity Canadian Low Volatility ETF                               | Fidelity Canadian Low Volatility Index ETF                | ON           | No         |                   |
| Fidelity Canadian Momentum ETF                                     | Fidelity Canadian Momentum ETF                            | ON           | No         |                   |
| Fidelity Canadian Money Market Fund                                | Fidelity Canadian Short Term Asset Fund                   | ON           | No         |                   |
| Fidelity Canadian Money Market Investment Trust                    |                                                           | ON           | No         |                   |
| Fidelity Canadian Opportunities Class                              |                                                           | ON           | No         |                   |
| Fidelity Canadian Opportunities Fund                               | Fidelity Canadian Aggressive Fund                         | ON           | No         |                   |
| Fidelity Canadian Real Return Bond Index Multi-Asset Base Fund     | Fidelity Canadian Real Return Bond Index Investment Trust | ON           | No         |                   |
| Fidelity Canadian Short Term Bond Fund                             | Fidelity Canadian Income Fund                             | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                         | Previous Name                                              | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------------|------------------------------------------------------------|--------------|------------|-------------------|
| Fidelity Canadian Short Term Corporate Bond ETF                     |                                                            | ON           | No         |                   |
| Fidelity Canadian Short Term Corporate Bond ETF Fund                |                                                            | ON           | No         |                   |
| Fidelity Canadian Short Term Fixed Income Multi-Asset Base Fund     | Fidelity Canadian Short Term Fixed Income Investment Trust | ON           | No         |                   |
| Fidelity Canadian Short Term Income Class                           |                                                            | ON           | No         |                   |
| Fidelity Canadian Value ETF                                         | Fidelity Canadian Value Index ETF                          | ON           | No         |                   |
| Fidelity CanAm Opportunities Class                                  | Fidelity Active Equity Class                               | ON           | No         |                   |
| Fidelity CanAm Opportunities Currency Neutral Class                 | Fidelity Active Equity Currency Neutral Class              | ON           | No         |                   |
| Fidelity China Class                                                |                                                            | ON           | No         |                   |
| Fidelity China Fund                                                 |                                                            | ON           | No         |                   |
| Fidelity ClearPath® 2010 Portfolio                                  |                                                            | ON           | No         |                   |
| Fidelity ClearPath® 2015 Portfolio                                  |                                                            | ON           | No         |                   |
| Fidelity ClearPath® 2020 Portfolio                                  |                                                            | ON           | No         |                   |
| Fidelity ClearPath® 2025 Portfolio                                  |                                                            | ON           | No         |                   |
| Fidelity ClearPath® 2030 Portfolio                                  |                                                            | ON           | No         |                   |
| Fidelity ClearPath® 2035 Portfolio                                  |                                                            | ON           | No         |                   |
| Fidelity ClearPath® 2040 Portfolio                                  |                                                            | ON           | No         |                   |
| Fidelity ClearPath® 2045 Portfolio                                  |                                                            | ON           | No         |                   |
| Fidelity ClearPath® 2050 Portfolio                                  |                                                            | ON           | No         |                   |
| Fidelity ClearPath® 2055 Portfolio                                  |                                                            | ON           | No         |                   |
| Fidelity ClearPath® 2060 Portfolio                                  |                                                            | ON           | No         |                   |
| Fidelity ClearPath® 2065 Portfolio                                  |                                                            | ON           | No         |                   |
| Fidelity ClearPath® Income Portfolio                                |                                                            | ON           | No         |                   |
| Fidelity Climate Leadership Balanced Fund®                          |                                                            | ON           | No         |                   |
| Fidelity Climate Leadership Bond Fund®                              |                                                            | ON           | No         |                   |
| Fidelity Climate Leadership Fund®                                   |                                                            | ON           | No         |                   |
| Fidelity Commodity Strategy Currency Neutral Multi-Asset Base Fund* |                                                            | ON           | No         |                   |
| Fidelity Concentrated Canadian Equity Multi-Asset Base Fund         | Fidelity Concentrated Canadian Equity Investment Trust     | ON           | No         |                   |
| Fidelity Concentrated Canadian Equity Private Pool                  | Fidelity Concentrated Canadian Equity Pool                 | ON           | No         |                   |
| Fidelity Concentrated Value Investment Trust                        | Fidelity Canadian Focused Equity Investment Trust          | ON           | No         |                   |
| Fidelity Concentrated Value Private Pool                            | Fidelity Canadian Focused Equity Private Pool              | ON           | No         |                   |
| Fidelity Conservative Income Fund                                   |                                                            | ON           | No         |                   |
| Fidelity Conservative Income Private Pool                           |                                                            | ON           | No         |                   |
| Fidelity Conservative Managed Risk Portfolio                        | Fidelity Multi-Asset Balanced Income Fund                  | ON           | No         |                   |
| Fidelity Convertible Securities Multi-Asset Base Fund               | Fidelity Convertible Securities Investment Trust           | ON           | No         |                   |
| Fidelity Core U.S. Bond ETF                                         |                                                            | ON           | No         |                   |
| Fidelity Corporate Bond Class                                       | Fidelity Corporate Bond Capital Yield Class                | ON           | No         |                   |
| Fidelity Corporate Bond Fund                                        |                                                            | ON           | No         |                   |
| Fidelity Developed International Bond Multi-Asset Base Fund         |                                                            | ON           | No         |                   |
| Fidelity Disruptive™ Automation Class                               |                                                            | ON           | No         |                   |
| Fidelity Disruptors® Class                                          |                                                            | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                          | Previous Name                                                  | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------------------|----------------------------------------------------------------|--------------|------------|-------------------|
| Fidelity Dividend Class                                              |                                                                | ON           | No         |                   |
| Fidelity Dividend Fund                                               | Fidelity Canadian Dividend And Income Fund                     | ON           | No         |                   |
| Fidelity Dividend Multi-Asset Base Fund                              | Fidelity Dividend Investment Trust                             | ON           | No         |                   |
| Fidelity Dividend Plus Class                                         |                                                                | ON           | No         |                   |
| Fidelity Dividend Plus Fund                                          | Fidelity Income Trust Fund                                     | ON           | No         |                   |
| Fidelity Dividend Plus Multi-Asset Base Fund                         |                                                                | ON           | No         |                   |
| Fidelity Emerging Markets Class                                      |                                                                | ON           | No         |                   |
| Fidelity Emerging Markets Debt Multi-Asset Base Fund                 | Fidelity Emerging Markets Debt Investment Trust                | ON           | No         |                   |
| Fidelity Emerging Markets Equity Multi-Asset Base Fund               | Fidelity Emerging Markets Equity Investment Trust              | ON           | No         |                   |
| Fidelity Emerging Markets Fund                                       | Fidelity Emerging Markets Portfolio Fund                       | ON           | No         |                   |
| Fidelity Emerging Markets Local Currency Debt Multi-Asset Base Fund  | Fidelity Emerging Markets Local Currency Debt Investment Trust | ON           | No         |                   |
| Fidelity Emerging Markets Opportunities Fund                         |                                                                | ON           | No         |                   |
| Fidelity Equity Premium Yield ETF                                    |                                                                | ON           | No         |                   |
| Fidelity Equity Premium Yield ETF Fund                               |                                                                | ON           | No         |                   |
| Fidelity Europe Class                                                | Fidelity European Growth Class                                 | ON           | No         |                   |
| Fidelity Europe Fund                                                 | Fidelity European Growth Fund                                  | ON           | No         |                   |
| Fidelity Far East Class                                              |                                                                | ON           | No         |                   |
| Fidelity Far East Fund                                               |                                                                | ON           | No         |                   |
| Fidelity Floating Rate High Income Currency Neutral Fund             |                                                                | ON           | No         |                   |
| Fidelity Floating Rate High Income Currency Neutral Multi-Asset Base |                                                                | ON           | No         |                   |
| Fidelity Floating Rate High Income Fund                              |                                                                | ON           | No         |                   |
| Fidelity Floating Rate High Income Multi-Asset Base Fund             | Fidelity Floating Rate High Income Investment Trust            | ON           | No         |                   |
| Fidelity Founders Class®                                             |                                                                | ON           | No         |                   |
| Fidelity Founders Currency Neutral Class™                            |                                                                | ON           | No         |                   |
| Fidelity Founders Investment Trust™                                  |                                                                | ON           | No         |                   |
| Fidelity Global Asset Allocation Currency Neutral Private Pool       |                                                                | ON           | No         |                   |
| Fidelity Global Asset Allocation Fund                                | Fidelity Asset Manager Fund                                    | ON           | No         |                   |
| Fidelity Global Asset Allocation Private Pool                        |                                                                | ON           | No         |                   |
| Fidelity Global Balanced Class Portfolio                             | Fidelity Portfolio Class Model 4                               | ON           | No         |                   |
| Fidelity Global Balanced Portfolio                                   | Fidelity Portfolio Fund Model 4                                | ON           | No         |                   |
| Fidelity Global Bond Currency Neutral Fund                           |                                                                | ON           | No         |                   |
| Fidelity Global Bond Currency Neutral Multi-Asset Base Fund          | Fidelity Global Bond Currency Neutral Investment Trust         | ON           | No         |                   |
| Fidelity Global Bond Fund                                            |                                                                | ON           | No         |                   |
| Fidelity Global Bond Multi-Asset Base Fund                           | Fidelity Global Bond Investment Trust                          | ON           | No         |                   |
| Fidelity Global Class                                                | Fidelity International Portfolio Class                         | ON           | No         |                   |
| Fidelity Global Concentrated Equity Class                            | Fidelity Global Opportunities Class                            | ON           | No         |                   |
| Fidelity Global Concentrated Equity Currency Neutral Fund            |                                                                | ON           | No         |                   |
| Fidelity Global Concentrated Equity Fund                             | Fidelity Global Opportunities Fund                             | ON           | No         |                   |
| Fidelity Global Concentrated Value Fund                              |                                                                | ON           | No         |                   |
| Fidelity Global Consumer Brands Class                                | Fidelity Global Consumer Industries Class                      | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                            | Previous Name                                         | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------------------|-------------------------------------------------------|--------------|------------|-------------------|
| Fidelity Global Consumer Brands Fund                                   | Fidelity Global Consumer Industries Fund              | ON           | No         |                   |
| Fidelity Global Core Plus Bond ETF                                     | Fidelity Global Core Plus ETF                         | ON           | No         |                   |
| Fidelity Global Core Plus Bond ETF Fund                                | Fidelity Global Core Plus ETF Fund                    | ON           | No         |                   |
| Fidelity Global Credit Ex-U.S. Currency Neutral Multi-Asset Base Fund  |                                                       | ON           | No         |                   |
| Fidelity Global Credit Ex-U.S. Investment Trust                        |                                                       | ON           | No         |                   |
| Fidelity Global Developed Markets Sovereign Bond Index Hedged Multi-As |                                                       | ON           | No         |                   |
| Fidelity Global Disciplined Equity® Class                              |                                                       | ON           | No         |                   |
| Fidelity Global Disciplined Equity® Currency Neutral Class             |                                                       | ON           | No         |                   |
| Fidelity Global Disciplined Equity® Fund                               |                                                       | ON           | No         |                   |
| Fidelity Global Dividend Class                                         |                                                       | ON           | No         |                   |
| Fidelity Global Dividend Fund                                          |                                                       | ON           | No         |                   |
| Fidelity Global Dividend Investment Trust                              |                                                       | ON           | No         |                   |
| Fidelity Global Equity Class Portfolio                                 |                                                       | ON           | No         |                   |
| Fidelity Global Equity Currency Neutral Private Pool                   |                                                       | ON           | No         |                   |
| Fidelity Global Equity Investment Trust                                |                                                       | ON           | No         |                   |
| Fidelity Global Equity Portfolio                                       |                                                       | ON           | No         |                   |
| Fidelity Global Equity Private Pool                                    | Fidelity Global Equity Pool                           | ON           | No         |                   |
| Fidelity Global Equity+ Balanced Fund                                  |                                                       | ON           | No         |                   |
| Fidelity Global Equity+ Fund                                           |                                                       | ON           | No         |                   |
| Fidelity Global Financial Services Class                               | Fidelity Focus Financial Services Class               | ON           | No         |                   |
| Fidelity Global Financial Services Fund                                | Fidelity Focus Financial Services Fund                | ON           | No         |                   |
| Fidelity Global Fund                                                   | Fidelity International Portfolio Fund                 | ON           | No         |                   |
| Fidelity Global Growth and Value Class                                 | Fidelity Core Global Equity Class                     | ON           | No         |                   |
| Fidelity Global Growth and Value Currency Neutral Class                | Fidelity Core Global Equity Currency Neutral Class    | ON           | No         |                   |
| Fidelity Global Growth and Value Investment Trust                      | Fidelity Core Global Equity Investment Trust          | ON           | No         |                   |
| Fidelity Global Growth Class Portfolio                                 | Fidelity Portfolio Class Model 6                      | ON           | No         |                   |
| Fidelity Global Growth Portfolio                                       | Fidelity Portfolio Fund Model 6                       | ON           | No         |                   |
| Fidelity Global Growth Private Pool                                    |                                                       | ON           | No         |                   |
| Fidelity Global Health Care Class                                      | Fidelity Focus Health Care Class                      | ON           | No         |                   |
| Fidelity Global Health Care Fund                                       | Fidelity Focus Health Care Fund                       | ON           | No         |                   |
| Fidelity Global High Yield Multi-Asset Base Fund                       | Fidelity Global High Yield Investment Trust           | ON           | No         |                   |
| Fidelity Global Income Class Portfolio                                 | Fidelity Portfolio Class Model 2                      | ON           | No         |                   |
| Fidelity Global Income Portfolio                                       | Fidelity Portfolio Fund Model 2                       | ON           | No         |                   |
| Fidelity Global Inflation-Linked Bond Index Hedged Multi-Asset         |                                                       | ON           | No         |                   |
| Fidelity Global Innovators® Class                                      | Fidelity Emerging Technologies Class                  | ON           | No         |                   |
| Fidelity Global Innovators® Currency Neutral Class                     | Fidelity Emerging Technologies Currency Neutral Class | ON           | No         |                   |
| Fidelity Global Innovators® ETF                                        |                                                       | ON           | No         |                   |
| Fidelity Global Innovators® Investment Trust                           | Fidelity Emerging Technologies Investment Trust       | ON           | No         |                   |
| Fidelity Global Intrinsic Value Class                                  |                                                       | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                         | Previous Name                                                | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------------|--------------------------------------------------------------|--------------|------------|-------------------|
| Fidelity Global Intrinsic Value Currency Neutral Class              |                                                              | ON           | No         |                   |
| Fidelity Global Intrinsic Value Fund                                |                                                              | ON           | No         |                   |
| Fidelity Global Intrinsic Value Investment Trust                    | Fidelity Global Small/Mid Cap Equity Investment Trust        | ON           | No         |                   |
| Fidelity Global Investment Grade Bond ETF                           |                                                              | ON           | No         |                   |
| Fidelity Global Investment Grade Bond ETF Fund                      |                                                              | ON           | No         |                   |
| Fidelity Global Large Cap Class                                     |                                                              | ON           | No         |                   |
| Fidelity Global Large Cap Currency Neutral Class                    |                                                              | ON           | No         |                   |
| Fidelity Global Large Cap Fund                                      | Fidelity Global Large Cap Equity Fund                        | ON           | No         |                   |
| Fidelity Global Micro-Cap Fund                                      |                                                              | ON           | No         |                   |
| Fidelity Global Monthly Income Currency Neutral Fund                |                                                              | ON           | No         |                   |
| Fidelity Global Monthly Income Fund                                 |                                                              | ON           | No         |                   |
| Fidelity Global Natural Resources Class                             | Fidelity Focus Natural Resources Class                       | ON           | No         |                   |
| Fidelity Global Natural Resources Fund                              | Fidelity Focus Global Natural Resources Fund                 | ON           | No         |                   |
| Fidelity Global Opportunities Long/Short Fund                       |                                                              | ON           | No         |                   |
| Fidelity Global Real Estate Class                                   |                                                              | ON           | No         |                   |
| Fidelity Global Real Estate Fund                                    |                                                              | ON           | No         |                   |
| Fidelity Global Real Estate Multi-Asset Base Fund                   | Fidelity Global Real Estate Investment Trust                 | ON           | No         |                   |
| Fidelity Global Small Cap Opportunities Fund                        |                                                              | ON           | No         |                   |
| Fidelity Global Small-Mid Cap Equity Fund                           |                                                              | ON           | No         |                   |
| Fidelity Global Value Long/Short Fund                               |                                                              | ON           | No         |                   |
| Fidelity Global Value Long/Short Multi-Asset Base Fund              |                                                              | ON           | No         |                   |
| Fidelity Greater Canada Class                                       | Fidelity Canadian Equity Class                               | ON           | No         |                   |
| Fidelity Greater Canada Fund                                        |                                                              | ON           | No         |                   |
| Fidelity Growth Class Portfolio                                     | Fidelity Portfolio Class Model 5                             | ON           | No         |                   |
| Fidelity Growth Portfolio                                           | Fidelity Portfolio Fund Model 5                              | ON           | No         |                   |
| Fidelity High Income Commercial Real Estate Currency Neutral Multi- |                                                              | ON           | No         |                   |
| Fidelity High Income Commercial Real Estate Multi-Asset Base Fund   | Fidelity High Income Commercial Real Estate Investment Trust | ON           | No         |                   |
| Fidelity Income Allocation Fund                                     | Fidelity Monthly High Income Fund                            | ON           | No         |                   |
| Fidelity Income Class Portfolio                                     | Fidelity Portfolio Class Model 1                             | ON           | No         |                   |
| Fidelity Income Portfolio                                           | Fidelity Portfolio Fund Model 1                              | ON           | No         |                   |
| Fidelity Inflation-Focused Fund                                     |                                                              | ON           | No         |                   |
| Fidelity Insights Class®                                            | Fidelity Global Growth Equity Class                          | ON           | No         |                   |
| Fidelity Insights Currency Neutral Class™                           | Fidelity Global Growth Equity Currency Neutral Class         | ON           | No         |                   |
| Fidelity Insights Currency Neutral Multi-Asset Base Fund™           |                                                              | ON           | No         |                   |
| Fidelity Insights Investment Trust™                                 | Fidelity Global Growth Equity Investment Trust               | ON           | No         |                   |
| Fidelity Insights Systematic Currency Hedged Fund™                  |                                                              | ON           | No         |                   |
| Fidelity International Concentrated Equity Currency Neutral Fund    |                                                              | ON           | No         |                   |
| Fidelity International Concentrated Equity Fund                     | Fidelity International Value Fund                            | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                          | Previous Name                                       | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------------------|-----------------------------------------------------|--------------|------------|-------------------|
| Fidelity International Disciplined Equity® Class                     |                                                     | ON           | No         |                   |
| Fidelity International Disciplined Equity® Currency Neutral Class    |                                                     | ON           | No         |                   |
| Fidelity International Disciplined Equity® Fund                      |                                                     | ON           | No         |                   |
| Fidelity International Equity Currency Neutral Investment Trust      |                                                     | ON           | No         |                   |
| Fidelity International Equity Currency Neutral Private Pool          |                                                     | ON           | No         |                   |
| Fidelity International Equity Investment Trust                       |                                                     | ON           | No         |                   |
| Fidelity International Equity Multi-Asset Base Fund                  | Fidelity International Equity Central Fund          | ON           | No         |                   |
| Fidelity International Equity Private Pool                           | Fidelity International Equity Pool                  | ON           | No         |                   |
| Fidelity International Growth Class                                  | Fidelity Overseas Class                             | ON           | No         |                   |
| Fidelity International Growth Currency Neutral Multi-Asset Base Fund |                                                     | ON           | No         |                   |
| Fidelity International Growth Fund                                   | Fidelity Overseas Fund                              | ON           | No         |                   |
| Fidelity International Growth Multi-Asset Base Fund                  | Fidelity International Growth Investment Trust      | ON           | No         |                   |
| Fidelity International High Dividend ETF                             | Fidelity International High Dividend Index ETF      | ON           | No         |                   |
| Fidelity International High Dividend ETF Fund                        | Fidelity International High Dividend Index ETF Fund | ON           | No         |                   |
| Fidelity International High Quality ETF                              | Fidelity International High Quality Index ETF       | ON           | No         |                   |
| Fidelity International High Quality ETF Fund                         | Fidelity International High Quality Index ETF Fund  | ON           | No         |                   |
| Fidelity International Low Volatility ETF                            | Fidelity International Low Volatility Index ETF     | ON           | No         |                   |
| Fidelity International Momentum ETF                                  | Fidelity International Momentum Index ETF           | ON           | No         |                   |
| Fidelity International Value ETF                                     | Fidelity International Value Index ETF              | ON           | No         |                   |
| Fidelity International Value ETF Fund                                |                                                     | ON           | No         |                   |
| Fidelity International Value Multi-Asset Base Fund                   |                                                     | ON           | No         |                   |
| Fidelity Investment Grade Total Bond Currency Neutral Fund           |                                                     | ON           | No         |                   |
| Fidelity Investment Grade Total Bond Fund                            |                                                     | ON           | No         |                   |
| Fidelity Japan Class                                                 | Fidelity Japanese Growth Class                      | ON           | No         |                   |
| Fidelity Japan Fund                                                  | Fidelity Japanese Growth Fund                       | ON           | No         |                   |
| Fidelity Long/Short Alternative Fund                                 |                                                     | ON           | No         |                   |
| Fidelity Long/Short Alternative Multi-Asset Base Fund                |                                                     | ON           | No         |                   |
| Fidelity Market Neutral Alternative Fund                             |                                                     | ON           | No         |                   |
| Fidelity Market Neutral Alternative Multi-Asset Base Fund            |                                                     | ON           | No         |                   |
| Fidelity Minerals Corp.                                              | Montan Mining Corp.                                 | BC           | No         |                   |
| Fidelity Monthly Income Class                                        | Fidelity Equity and Income Class                    | ON           | No         |                   |
| Fidelity Monthly Income Fund                                         | Fidelity Diversified Income & Growth Fund           | ON           | No         |                   |
| Fidelity Multi-Alt Balanced Fund                                     |                                                     | ON           | No         |                   |
| Fidelity Multi-Alt Equity Fund                                       |                                                     | ON           | No         |                   |
| Fidelity Multi-Asset Innovation Fund                                 |                                                     | ON           | No         |                   |
| Fidelity Multi-Sector Bond Currency Neutral Fund                     |                                                     | ON           | No         |                   |
| Fidelity Multi-Sector Bond Fund                                      |                                                     | ON           | No         |                   |
| Fidelity Multi-Sector Bond Hedged Multi-Asset Base Fund              |                                                     | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                               | Previous Name                                                     | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------|-------------------------------------------------------------------|--------------|------------|-------------------|
| Fidelity Multi-Sector Bond Multi-Asset Base Fund          |                                                                   | ON           | No         |                   |
| Fidelity North American Equity Class                      |                                                                   | ON           | No         |                   |
| Fidelity North American Equity Investment Trust           |                                                                   | ON           | No         |                   |
| Fidelity NorthStar® Balanced Currency Neutral Fund        |                                                                   | ON           | No         |                   |
| Fidelity NorthStar® Balanced Fund                         |                                                                   | ON           | No         |                   |
| Fidelity NorthStar® Class                                 | Fidelity North American Equity Class                              | ON           | No         |                   |
| Fidelity NorthStar® Currency Neutral Class                |                                                                   | ON           | No         |                   |
| Fidelity NorthStar® Fund                                  |                                                                   | ON           | No         |                   |
| Fidelity Premium Fixed Income Private Pool                | Fidelity Fixed Income Pool                                        | ON           | No         |                   |
| Fidelity Premium Fixed Income Private Pool Class          | Fidelity Premium Fixed Income Capital Yield Private Pool          | ON           | No         |                   |
| Fidelity Premium Money Market Private Pool                | Fidelity Money Market Private Pool                                | ON           | No         |                   |
| Fidelity Premium Tactical Fixed Income Private Pool       | Fidelity Premium Tactical Fixed Income Capital Yield Private Pool | ON           | No         |                   |
| Fidelity Small Cap America Class                          |                                                                   | ON           | No         |                   |
| Fidelity Small Cap America Currency Neutral Class         |                                                                   | ON           | No         |                   |
| Fidelity Small Cap America Fund                           |                                                                   | ON           | No         |                   |
| Fidelity SmartHedge® U.S. Equity Fund                     |                                                                   | ON           | No         |                   |
| Fidelity SmartHedge® U.S. Equity Multi-Asset Base Fund    |                                                                   | ON           | No         |                   |
| Fidelity Special Situations Class                         |                                                                   | ON           | No         |                   |
| Fidelity Special Situations Fund                          | Fidelity Canadian Equity Fund                                     | ON           | No         |                   |
| Fidelity Strategic Income Currency Neutral Fund           |                                                                   | ON           | No         |                   |
| Fidelity Strategic Income Fund                            | Fidelity Global Income Fund                                       | ON           | No         |                   |
| Fidelity Sustainable World ETF                            |                                                                   | ON           | No         |                   |
| Fidelity Sustainable World ETF Fund                       |                                                                   | ON           | No         |                   |
| Fidelity Systematic Canadian Bond Index ETF               |                                                                   | ON           | No         |                   |
| Fidelity Systematic Canadian Bond Index ETF Fund          |                                                                   | ON           | No         |                   |
| Fidelity Tactical Credit Fund                             | Fidelity Tactical Yield Fund                                      | ON           | No         |                   |
| Fidelity Tactical Fixed Income Fund                       | Fidelity Total Bond Fund                                          | ON           | No         |                   |
| Fidelity Tactical Global Dividend ETF Fund                |                                                                   | ON           | No         |                   |
| Fidelity Tactical High Income Currency Neutral Fund       |                                                                   | ON           | No         |                   |
| Fidelity Tactical High Income Fund                        |                                                                   | ON           | No         |                   |
| Fidelity Technology Innovators Class                      | Fidelity Global Technology Class                                  | ON           | No         |                   |
| Fidelity Technology Innovators Fund                       | Fidelity Global Technology Fund                                   | ON           | No         |                   |
| Fidelity True North® Class                                |                                                                   | ON           | No         |                   |
| Fidelity True North® Fund                                 |                                                                   | ON           | No         |                   |
| Fidelity U.S. All Cap Class                               | Fidelity U.S. Growth Class                                        | ON           | No         |                   |
| Fidelity U.S. All Cap Currency Neutral Class              |                                                                   | ON           | No         |                   |
| Fidelity U.S. All Cap Fund                                | Fidelity U.S. Growth Fund                                         | ON           | No         |                   |
| Fidelity U.S. Bond Currency Neutral Multi-Asset Base Fund |                                                                   | ON           | No         |                   |
| Fidelity U.S. Bond Multi-Asset Base Fund                  | Fidelity U.S. Bond Investment Trust                               | ON           | No         |                   |
| Fidelity U.S. Core Equity Fund                            |                                                                   | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                              | Previous Name                                          | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------|--------------------------------------------------------|--------------|------------|-------------------|
| Fidelity U.S. Dividend Currency Neutral Fund             |                                                        | ON           | No         |                   |
| Fidelity U.S. Dividend for Rising Rates ETF              | Fidelity U.S. Dividend for Rising Rates Index ETF      | ON           | No         |                   |
| Fidelity U.S. Dividend Fund                              |                                                        | ON           | No         |                   |
| Fidelity U.S. Dividend Investment Trust                  |                                                        | ON           | No         |                   |
| Fidelity U.S. Dividend Private Pool                      |                                                        | ON           | No         |                   |
| Fidelity U.S. Dividend Registered Fund                   | Fidelity U.S. Dividend Portfolio Fund                  | ON           | No         |                   |
| Fidelity U.S. Equity Currency Neutral Private Pool       |                                                        | ON           | No         |                   |
| Fidelity U.S. Equity Investment Trust                    |                                                        | ON           | No         |                   |
| Fidelity U.S. Equity Private Pool                        | Fidelity U.S. Equity Pool                              | ON           | No         |                   |
| Fidelity U.S. Focused Stock Class                        | Fidelity Growth America Class                          | ON           | No         |                   |
| Fidelity U.S. Focused Stock Currency Neutral Class       |                                                        | ON           | No         |                   |
| Fidelity U.S. Focused Stock Fund                         | Fidelity Growth America Fund                           | ON           | No         |                   |
| Fidelity U.S. Growth and Income Private Pool             |                                                        | ON           | No         |                   |
| Fidelity U.S. Growth Opportunities Class                 |                                                        | ON           | No         |                   |
| Fidelity U.S. Growth Opportunities Investment Trust      |                                                        | ON           | No         |                   |
| Fidelity U.S. High Dividend Currency Neutral ETF         | Fidelity U.S. High Dividend Currency Neutral Index ETF | ON           | No         |                   |
| Fidelity U.S. High Dividend ETF                          | Fidelity U.S. High Dividend Index ETF                  | ON           | No         |                   |
| Fidelity U.S. High Dividend ETF Fund                     | Fidelity U.S. High Dividend Index ETF Fund             | ON           | No         |                   |
| Fidelity U.S. High Quality Currency Neutral ETF          | Fidelity U.S. High Quality Currency Neutral Index ETF  | ON           | No         |                   |
| Fidelity U.S. High Quality ETF                           | Fidelity U.S. High Quality Index ETF                   | ON           | No         |                   |
| Fidelity U.S. High Quality ETF Fund                      | Fidelity U.S. High Quality Index ETF Fund              | ON           | No         |                   |
| Fidelity U.S. Low Volatility ETF                         | Fidelity U.S. Low Volatility Index ETF                 | ON           | No         |                   |
| Fidelity U.S. Low Volatility ETF Fund                    | Fidelity U.S. Low Volatility Index ETF Fund            | ON           | No         |                   |
| Fidelity U.S. Momentum ETF                               | Fidelity U.S. Momentum Index ETF                       | ON           | No         |                   |
| Fidelity U.S. Money Market Fund                          |                                                        | ON           | No         |                   |
| Fidelity U.S. Money Market Investment Trust              |                                                        | ON           | No         |                   |
| Fidelity U.S. Monthly Income Currency Neutral Fund       |                                                        | ON           | No         |                   |
| Fidelity U.S. Monthly Income Fund                        |                                                        | ON           | No         |                   |
| Fidelity U.S. Small/Mid-Cap Equity Multi-Asset Base Fund | Fidelity U.S. Small/Mid Cap Equity Investment Trust    | ON           | No         |                   |
| Fidelity U.S. Value Currency Neutral ETF                 | Fidelity U.S. Value Currency Neutral Index ETF         | ON           | No         |                   |
| Fidelity U.S. Value ETF                                  | Fidelity U.S. Value Index ETF                          | ON           | No         |                   |
| Fidelity U.S. Value ETF Fund                             |                                                        | ON           | No         |                   |
| Fidelity Women's Leadership Fund                         |                                                        | ON           | No         |                   |
| Field Trip Health & Wellness Ltd.                        |                                                        | ON           | Yes        | 1a,1c,1e,3        |
| Fiera Capital Corporation                                | Fiera Sceptre Inc.                                     | QC           | No         |                   |
| Filament Health Corp.                                    |                                                        | BC           | Yes        | 1a,1c,1e,3        |
| Financial 15 Split Corp.                                 |                                                        | ON           | No         |                   |
| Finning International Inc.                               | Finning Limited                                        | BC           | No         |                   |
| Firan Technology Group Corporation                       | Circuit World Corporation/CORPORATION CIRCUIT WORLD    | ON           | No         |                   |
| FireFly Metals Ltd.                                      |                                                        | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                       | Previous Name                                                         | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------------------|-----------------------------------------------------------------------|--------------|------------|-------------------|
| FireFox Gold Corp.                                                |                                                                       | BC           | No         |                   |
| Firm Capital Apartment Real Estate Investment Trust               | Firm Capital American Realty Partners Trust                           | ON           | No         |                   |
| Firm Capital Mortgage Investment Corporation*                     |                                                                       | ON           | No         |                   |
| Firm Capital Property Trust                                       |                                                                       | ON           | No         |                   |
| First Capital Real Estate Investment Trust                        |                                                                       | ON           | No         |                   |
| First Helium Inc.                                                 |                                                                       | BC           | No         |                   |
| First Lithium Minerals Corp.                                      | PetroCorp Group Inc.                                                  | ON           | No         |                   |
| First Majestic Silver Corp.                                       |                                                                       | BC           | No         |                   |
| First Mining Gold Corp.                                           | First Mining Finance Corp.                                            | BC           | No         |                   |
| First National Financial Corporation                              |                                                                       | ON           | No         |                   |
| First Phosphate Corp.                                             |                                                                       | ON           | No         |                   |
| First Quantum Minerals Ltd                                        |                                                                       | ON           | No         |                   |
| First Trust AlphaDEX™ U.S. Health Care Sector Index ETF           |                                                                       | ON           | No         |                   |
| First Trust AlphaDEX™ U.S. Industrials Sector Index ETF           |                                                                       | ON           | No         |                   |
| First Trust AlphaDEX™ U.S. Technology Sector Index ETF            |                                                                       | ON           | No         |                   |
| First Trust Bloomberg Nuclear Power ETF*                          |                                                                       | ON           | No         |                   |
| First Trust Canadian Capital Strength ETF                         | First Trust Canadian Capital Strength Portfolio                       | ON           | No         |                   |
| First Trust Cloud Computing ETF                                   | First Trust AlphaDEX U.S. Utilities Sector Index ETF                  | ON           | No         |                   |
| First Trust Dow Jones Internet ETF                                | First Trust AlphaDEX U.S. Consumer Staples Sector Index ETF           | ON           | No         |                   |
| First Trust Global Risk Managed Income Index ETF                  |                                                                       | ON           | No         |                   |
| First Trust Indxx Innovative Transaction and Process ETF          |                                                                       | ON           | No         |                   |
| First Trust Indxx NextG ETF                                       | First Trust AlphaDEX U.S. Energy Sector Index ETF                     | ON           | No         |                   |
| First Trust International Capital Strength ETF                    |                                                                       | ON           | No         |                   |
| First Trust JFL Fixed Income Core Plus ETF                        |                                                                       | ON           | No         |                   |
| First Trust JFL Global Equity ETF                                 |                                                                       | ON           | No         |                   |
| First Trust Long/Short Equity ETF*                                |                                                                       | ON           | No         |                   |
| First Trust Morningstar Dividend Leaders ETF (CAD-Hedged)         | First Trust Dorsey Wright U.S. Sector Rotation Index ETF (CAD-Hedged) | ON           | No         |                   |
| First Trust Nasdaq Cybersecurity ETF                              | First Trust AlphaDEX U.S. Consumer Discretionary Sector Index ETF     | ON           | No         |                   |
| First Trust NASDAQ® Clean Edge® Green Energy ETF                  | First Trust AlphaDEX U.S. Financial Sector Index ETF                  | ON           | No         |                   |
| First Trust Nasdaq® Clean Edge® Smart Grid Infrastructure ETF*    |                                                                       | ON           | No         |                   |
| First Trust NYSE Arca Biotechnology ETF                           | First Trust AlphaDEX U.S. Materials Sector Index ETF                  | ON           | No         |                   |
| First Trust Senior Loan ETF (CAD-Hedged)                          |                                                                       | ON           | No         |                   |
| First Trust SMID Cap Rising Dividend Achievers ETF                |                                                                       | ON           | No         |                   |
| First Trust Value Line® Dividend Index ETF (CAD-Hedged)           | First Trust AlphaDEX U.S. Dividend Plus ETF (CAD-Hedged)(Ceased)      | ON           | No         |                   |
| First Trust Vest Fund of Buffer ETFs (Canada) ETF                 | First Trust Cboe Vest Fund of Buffer ETFs (Canada) ETF                | ON           | No         |                   |
| First Trust Vest SMID Rising Dividend Achievers Target Income ETF |                                                                       | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                        | Previous Name                                           | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------|---------------------------------------------------------|--------------|------------|-------------------|
| First Trust Vest U.S. Equity Buffer ETF - August   | First Trust Cboe Vest U.S. Equity Buffer ETF - August   | ON           | No         |                   |
| First Trust Vest U.S. Equity Buffer ETF - February | First Trust Cboe Vest U.S. Equity Buffer ETF - February | ON           | No         |                   |
| First Trust Vest U.S. Equity Buffer ETF - May      | First Trust Cboe Vest U.S. Equity Buffer ETF - May      | ON           | No         |                   |
| First Trust Vest U.S. Equity Buffer ETF - November | First Trust Cboe Vest U.S. Equity Buffer ETF - November | ON           | No         |                   |
| FirstService Corporation                           |                                                         | ON           | No         |                   |
| Fixed Income Managed Class                         |                                                         | ON           | No         |                   |
| Fixed Income Managed Pool                          |                                                         | ON           | No         |                   |
| Flagship Communities Real Estate Investment Trust  |                                                         | ON           | No         |                   |
| FLINT Corp.                                        | ClearStream Energy Services Inc.                        | AB           | No         |                   |
| Flow Capital Corp.                                 | LOGiQ Asset Management Inc.                             | ON           | No         |                   |
| Flower One Holdings Inc.                           | Theia Resources Ltd.                                    | BC           | Yes        | 1b,1c,1e          |
| FLUENT Corp.                                       | CANSORTIUM INC.                                         | ON           | No         |                   |
| Flutter Entertainment plc                          |                                                         | ON           | No         |                   |
| FMF Capital Group LTD.                             |                                                         | ON           | No         |                   |
| FMS Capital Trust                                  |                                                         | ON           | No         |                   |
| FNX Inc.                                           | S2 Minerals Inc.                                        | ON           | No         |                   |
| FOBI AI Inc.                                       | Loop Insights Inc.                                      | BC           | No         |                   |
| Focus Industrial UK Corp. I                        |                                                         | ON           | No         |                   |
| Foraco International SA                            |                                                         | ON           | No         |                   |
| ForAll Core & More U.S. Equity Index ETF           |                                                         | ON           | No         |                   |
| Forbes Energy Services Ltd.                        |                                                         | AB           | No         |                   |
| Ford Credit Canada Company                         | Ford Credit Canada Limited                              | ON           | No         |                   |
| FormerXBC Inc.                                     | Xebec Adsorption Inc.                                   | QC           | Yes        | 1b,1c,1e          |
| Forstrong Global Balanced ETF                      |                                                         | BC           | No         |                   |
| Forstrong Global Growth ETF                        |                                                         | BC           | No         |                   |
| Forstrong Global Income ETF                        |                                                         | BC           | No         |                   |
| Fortaleza Energy Inc.                              | Alvopetro Inc.                                          | AB           | No         |                   |
| Forte Minerals Corp.                               |                                                         | BC           | No         |                   |
| Fortified Trust                                    |                                                         | ON           | No         |                   |
| Fortis Inc.                                        |                                                         | ON           | No         |                   |
| FortisAlberta Inc.                                 |                                                         | AB           | No         |                   |
| FortisBC Energy Inc.                               | Terasen Gas Inc.                                        | BC           | No         |                   |
| FortisBC Inc.                                      |                                                         | BC           | No         |                   |
| Fortress Global Enterprises Inc.                   | Fortress Paper Ltd.                                     | BC           | Yes        | 1a,1b,1c,1d,1e,3  |
| Fortuna Mining Corp.                               | Fortuna Silver Mines Inc.                               | BC           | No         |                   |
| Fortune Bay Corp.                                  | 9617337 Canada Limited                                  | NS           | No         |                   |
| Fortune Minerals Limited                           |                                                         | ON           | No         |                   |
| Forum Student Living Fund I                        |                                                         | ON           | No         |                   |
| Foundation Wealth Diversifier Pool                 |                                                         | ON           | No         |                   |
| Foundation Wealth Equity Pool                      |                                                         | ON           | No         |                   |
| Foundation Wealth Income Pool                      |                                                         | ON           | No         |                   |
| Fracmaster LTD.                                    | Canadian Fracmaster LTD.                                | AB           | Yes        | 1a,1b             |

## Nova Scotia Securities Commission

| Issuer Name                                              | Previous Name                                                      | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------|--------------------------------------------------------------------|--------------|------------|-------------------|
| Franchise Services Of North America Inc.                 |                                                                    | AB           | No         |                   |
| Franco-Nevada Corporation                                |                                                                    | ON           | No         |                   |
| Franklin All-Equity ETF Portfolio                        |                                                                    | ON           | No         |                   |
| Franklin Brandywine Global Balanced Fund                 | Franklin Brandywine Global Sustainable Balanced Fund               | ON           | No         |                   |
| Franklin Brandywine Global Income Optimiser Fund         | Franklin Brandywine Global Sustainable Income Optimiser Fund       | ON           | No         |                   |
| Franklin Brandywine U.S. High Yield Fund                 | Franklin High Income Fund                                          | ON           | No         |                   |
| Franklin Canadian Balanced Fund                          | Franklin Bissett Canadian Balanced Fund                            | ON           | No         |                   |
| Franklin Canadian Bond Fund                              | Franklin Bissett Canadian Bond Fund                                | ON           | No         |                   |
| Franklin Canadian Core Equity Fund                       |                                                                    | ON           | No         |                   |
| Franklin Canadian Core Plus Bond Fund                    | Franklin Bissett Core Plus Bond Fund                               | ON           | No         |                   |
| Franklin Canadian Corporate Bond Fund                    | Franklin Bissett Corporate Bond Fund                               | ON           | No         |                   |
| Franklin Canadian Government Bond Fund                   | Franklin Bissett Canadian Government Bond Fund                     | ON           | No         |                   |
| Franklin Canadian Low Volatility High Dividend Index ETF |                                                                    | ON           | No         |                   |
| Franklin Canadian Money Market Fund                      | Franklin Bissett Money Market Fund                                 | ON           | No         |                   |
| Franklin Canadian Monthly Income and Growth Fund         | Franklin Bissett Monthly Income and Growth Fund                    | ON           | No         |                   |
| Franklin Canadian Short Term Bond Fund                   | Franklin Bissett Short Duration Bond Fund                          | ON           | No         |                   |
| Franklin Canadian Ultra Short Term Bond Fund             | FRANKLIN BISSETT ULTRA SHORT BOND FUND                             | ON           | No         |                   |
| Franklin ClearBridge Canada Plus Equity Fund             | Franklin Bissett Canada Plus Equity Fund                           | ON           | No         |                   |
| Franklin ClearBridge Canadian Dividend Fund              | Franklin Bissett Canadian Dividend Fund                            | ON           | No         |                   |
| Franklin Clearbridge Canadian Equity Fund                | Franklin Bissett Canadian Equity Fund                              | ON           | No         |                   |
| Franklin ClearBridge Canadian Small Cap Fund             | Franklin Bissett Small Cap Fund                                    | ON           | No         |                   |
| Franklin ClearBridge Dividend Income Fund                | Franklin Bissett Dividend Income Fund                              | ON           | No         |                   |
| Franklin ClearBridge Emerging Markets Fund               | Franklin Martin Currie Sustainable Emerging Markets Fund           | ON           | No         |                   |
| Franklin ClearBridge Global Infrastructure Income Fund   | Franklin ClearBridge Sustainable Global Infrastructure Income Fund | ON           | No         |                   |
| Franklin ClearBridge International Growth Fund           | Franklin Clearbridge Sustainable International Growth Fund         | ON           | No         |                   |
| Franklin ClearBridge International Growth Fund II*       | Franklin ClearBridge Sustainable International Growth Fund II      | ON           | No         |                   |
| Franklin ClearBridge U.S. Sustainability Leaders Fund    |                                                                    | ON           | No         |                   |
| Franklin Conservative Income ETF Portfolio               |                                                                    | ON           | No         |                   |
| Franklin Core ETF Portfolio                              |                                                                    | ON           | No         |                   |
| Franklin Emerging Markets Core Equity Fund               |                                                                    | ON           | No         |                   |
| Franklin Emerging Markets Equity Index ETF               | Franklin LibertyQT Emerging Markets Index ETF                      | ON           | No         |                   |
| Franklin FTSE Canada All Cap Index ETF                   |                                                                    | ON           | No         |                   |
| Franklin FTSE India Index ETF                            |                                                                    | ON           | No         |                   |
| Franklin FTSE Japan Index ETF                            |                                                                    | ON           | No         |                   |
| Franklin FTSE U.S. Index ETF                             |                                                                    | ON           | No         |                   |
| Franklin Global Core Bond Fund                           | Franklin Global Aggregate Bond Active ETF                          | ON           | No         |                   |
| Franklin Global Core Equity Fund*                        |                                                                    | ON           | No         |                   |
| Franklin Global Growth Fund                              | Franklin World Growth Fund                                         | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                   | Previous Name                                         | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------|-------------------------------------------------------|--------------|------------|-------------------|
| Franklin Growth ETF Portfolio                                 |                                                       | ON           | No         |                   |
| Franklin Innovation Fund                                      |                                                       | ON           | No         |                   |
| Franklin International Core Equity Fund                       |                                                       | ON           | No         |                   |
| Franklin International Equity Index ETF                       | Franklin FTSE Europe ex U.K. Index ETF                | ON           | No         |                   |
| Franklin International Low Volatility High Dividend Index ETF |                                                       | ON           | No         |                   |
| Franklin Putnam U.S. Large Cap Value Fund                     |                                                       | ON           | No         |                   |
| Franklin Quotential Balanced Growth Portfolio                 | Quotential Balanced Growth Portfolio                  | ON           | No         |                   |
| Franklin Quotential Balanced Income Portfolio                 | Quotential Balanced Income Portfolio                  | ON           | No         |                   |
| Franklin Quotential Diversified Equity Portfolio              | Franklin Quotential Global Growth Portfolio           | ON           | No         |                   |
| Franklin Quotential Diversified Income Portfolio              | Quotential Diversified Income Portfolio               | ON           | No         |                   |
| Franklin Quotential Growth Portfolio                          | Quotential Growth Portfolio                           | ON           | No         |                   |
| Franklin Royce Global Small Cap Premier Fund                  | Templeton Global Smaller Companies Fund               | ON           | No         |                   |
| Franklin Sustainable Canadian Core Equity Fund                |                                                       | ON           | No         |                   |
| Franklin Sustainable International Core Equity Fund           |                                                       | ON           | No         |                   |
| Franklin Sustainable U.S. Core Equity Fund                    |                                                       | ON           | No         |                   |
| Franklin U.S. Core Equity Fund                                |                                                       | ON           | No         |                   |
| Franklin U.S. Large Cap Multifactor Index ETF                 | Franklin LibertyQT U.S. Equity Index ETF              | ON           | No         |                   |
| Franklin U.S. Low Volatility High Dividend Index ETF          |                                                       | ON           | No         |                   |
| Franklin U.S. Mid Cap Multifactor Index ETF                   |                                                       | ON           | No         |                   |
| Franklin U.S. Monthly Income Fund                             | Franklin Income Fund                                  | ON           | No         |                   |
| Franklin U.S. Opportunities Fund                              | Franklin Flex Cap Growth Fund                         | ON           | No         |                   |
| Franklin U.S. Quality Moat Dividend Index ETF                 |                                                       | ON           | No         |                   |
| Franklin U.S. Rising Dividends Fund                           | Franklin Templeton U.S. Rising Dividends Fund         | ON           | No         |                   |
| Franklin Unconstrained Global Equity Fund                     | Franklin Martin Currie Sustainable Global Equity Fund | ON           | No         |                   |
| Freehold Royalties Ltd.                                       |                                                       | AB           | No         |                   |
| Freeman Gold Corp.                                            | Lodge Resources Inc.                                  | BC           | No         |                   |
| Friedberg Asset Allocation Fund                               |                                                       | ON           | No         |                   |
| Friedberg Global-Macro Hedge Fund                             |                                                       | ON           | No         |                   |
| FRNT Financial Inc.                                           |                                                       | ON           | No         |                   |
| Frontenac Mortgage Investment Corporation                     |                                                       | ON           | Yes        | 1a,1b,1c,1e,3     |
| Frontera Energy Corporation                                   | Pacific Exploration & Production Corporation          | AB           | No         |                   |
| FRONTIER LITHIUM INC.                                         |                                                       | ON           | No         |                   |
| Frontline Gold Corporation                                    | Chrysos Capital Corporation                           | ON           | Yes        | 1a,1c,1e,3        |
| Fulcra Credit Opportunities Fund                              |                                                       | BC           | No         |                   |
| Fury Gold Mines Limited                                       |                                                       | ON           | No         |                   |
| FUTURE MINERAL RESOURCES INC.                                 | Sulliden Mining Capital Inc.                          | ON           | No         |                   |
| G Mining Ventures Corp.                                       | 16144616 Canada Inc.                                  | QC           | No         |                   |
| Gabriel Resources Ltd.                                        |                                                       | BC           | No         |                   |
| Galaxy Digital Inc.                                           | Galaxy Digital Holdings Ltd.                          | ON           | No         |                   |
| Galiano Gold Inc.                                             | Asanko Gold Inc.                                      | BC           | No         |                   |
| GameSquare Holdings, Inc.                                     | Engine Gaming and Media, Inc.                         | ON           | No         |                   |
| Gandalf Technologies Inc.                                     |                                                       | ON           | Yes        | 1a,1b             |

## Nova Scotia Securities Commission

| Issuer Name                             | Previous Name                                      | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------|----------------------------------------------------|--------------|------------|-------------------|
| Garda World Security Corporation        |                                                    | QC           | No         |                   |
| GBW Alternative All-Weather Growth Fund |                                                    | ON           | No         |                   |
| GBW Alternative Short-Term Growth Fund  |                                                    | ON           | No         |                   |
| GC One Equity Portfolio                 |                                                    | ON           | No         |                   |
| GC One Fixed Income Portfolio           |                                                    | ON           | No         |                   |
| GE Capital Canada Funding Company       |                                                    | ON           | No         |                   |
| Geiger Energy Corporation               | Baselode Energy Corp.                              | BC           | No         |                   |
| Gemdale Gold Inc.                       |                                                    | ON           | No         |                   |
| General Motors Company                  |                                                    | ON           | No         |                   |
| Generation Mining Limited               |                                                    | ON           | No         |                   |
| Generative AI Solutions Corp.           | Idle Lifestyle Inc.                                | BC           | Yes        | 1a,1b,1c,1e,3     |
| Genesis Land Development Corp.          |                                                    | AB           | No         |                   |
| Genesis Trust II                        |                                                    | ON           | No         |                   |
| Genesis Worldwide Inc.                  |                                                    | ON           | No         |                   |
| Genoil Inc.                             |                                                    | AB           | No         |                   |
| Gensource Potash Corporation            |                                                    | SK           | No         |                   |
| Genus High Impact Equity Fund           | Genus Fossil Free High Impact Equity Fund (Ceased) | BC           | No         |                   |
| Geodrill Limited                        |                                                    | ON           | No         |                   |
| Geopetro Resources Company              |                                                    | AB           | Yes        | 1a,1b             |
| George Weston Limited                   |                                                    | ON           | No         |                   |
| Georgian Bancorp Inc                    |                                                    | ON           | Yes        | 1a,1b             |
| GFL Environmental Inc.                  |                                                    | ON           | No         |                   |
| Gibson Energy Inc.                      |                                                    | AB           | No         |                   |
| GIGA Metals Corporation                 |                                                    | BC           | No         |                   |
| Gildan Activewear Inc.                  |                                                    | QC           | No         |                   |
| Giyani Metals Corp.                     |                                                    | ON           | No         |                   |
| Glacier Credit Card Trust               | Canadian Tire Receivables Trust                    | ON           | No         |                   |
| Glacier Media Inc.                      | Glacier Ventures International Corp.               | BC           | No         |                   |
| Glass House Brands Inc.                 | Mercer Park Brand Acquisition Corp.                | ON           | No         |                   |
| GLG Life Tech Corporation               |                                                    | BC           | No         |                   |
| Global Atomic Corporation               |                                                    | ON           | No         |                   |
| Global Balanced Growth Pool             | Balanced Growth Fund                               | ON           | No         |                   |
| Global Copper Corp.                     | Li3 Lithium Corp.                                  | BC           | No         |                   |
| Global Crossing Airlines Group Inc.     | Global Crossing Airlines Inc.                      | BC           | No         |                   |
| Global Dividend Growth Split Corp.*     |                                                    | ON           | No         |                   |
| Global Equity Allocation Pool           |                                                    | ON           | No         |                   |
| Global Equity Growth Pool               | Global Equity Pool                                 | ON           | No         |                   |
| Global Equity Pool                      | All Equity Fund                                    | ON           | No         |                   |
| Global Fixed Income Corporate Class     |                                                    | ON           | No         |                   |
| Global Fixed Income Pool                | Assante Global Fixed Income Pool                   | ON           | No         |                   |
| Global Iman Fund                        |                                                    | ON           | No         |                   |
| Global Managed Volatility Fund          |                                                    | ON           | No         |                   |
| Global Neutral Balanced Pool            | Neutral Balanced Fund                              | ON           | No         |                   |
| Global Real Assets Trust*               | Starlight Private Global Real Assets Trust         | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                      | Previous Name                                              | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------------|------------------------------------------------------------|--------------|------------|-------------------|
| Global Stevia Corp.                                              |                                                            | NS           | Yes        | 1a,1b,1c          |
| Global Water Resources, Inc.                                     |                                                            | ON           | No         |                   |
| Global X 0-3 Month T-Bill ETF                                    | Horizons 0-3 Month T-Bill ETF                              | ON           | No         |                   |
| Global X 0-3 Month U.S. T-Bill ETF                               | Horizons 0-3 Month U.S. T-Bill ETF                         | ON           | No         |                   |
| Global X 1-3 Year U.S. Treasury Bond Index ETF                   |                                                            | ON           | No         |                   |
| Global X 20+ Year U.S. Treasury Bond Index ETF                   |                                                            | ON           | No         |                   |
| Global X Active Canadian Bond ETF                                | Horizons Active Cdn Bond ETF                               | ON           | No         |                   |
| Global X Active Canadian Dividend ETF                            | Horizons Active Cdn Dividend ETF                           | ON           | No         |                   |
| Global X Active Canadian Municipal Bond ETF                      | Horizons Active Cdn Municipal Bond ETF                     | ON           | No         |                   |
| Global X Active Corporate Bond ETF                               | Horizons Active Corporate Bond ETF                         | ON           | No         |                   |
| Global X Active Global Dividend ETF                              | Horizons Active Global Dividend ETF                        | ON           | No         |                   |
| Global X Active Global Fixed Income ETF                          | Horizons Active Global Fixed Income ETF                    | ON           | No         |                   |
| Global X Active Hybrid Bond and Preferred Share ETF              | Horizons Active Hybrid Bond and Preferred Share ETF        | ON           | No         |                   |
| Global X Active Preferred Share ETF                              | Horizons Active Preferred Share ETF                        | ON           | No         |                   |
| Global X Active U.S. Dividend ETF                                |                                                            | ON           | No         |                   |
| Global X Active Ultra-Short Term Investment Grade Bond ETF       | Horizons Active Ultra-Short Term Investment Grade Bond ETF | ON           | No         |                   |
| Global X All-Equity Asset Allocation Covered Call ETF            |                                                            | ON           | No         |                   |
| Global X All-Equity Asset Allocation ETF                         | Horizons All-Equity Asset Allocation ETF                   | ON           | No         |                   |
| Global X All-In-One Commodity Producers Equity Covered Call ETF  |                                                            | ON           | No         |                   |
| Global X All-In-One Commodity Producers Equity ETF               |                                                            | ON           | No         |                   |
| Global X Artificial Intelligence & Technology Index ETF          |                                                            | ON           | No         |                   |
| Global X Artificial Intelligence Infrastructure Index ETF        |                                                            | ON           | No         |                   |
| Global X Artificial Intelligence Semiconductor Index ETF         | Global X Semiconductor Index ETF                           | ON           | No         |                   |
| Global X Balanced Asset Allocation ETF                           | Horizons Balanced Asset Allocation ETF                     | ON           | No         |                   |
| Global X Big Data & Hardware Index ETF                           | Horizons Big Data & Hardware Index ETF                     | ON           | No         |                   |
| Global X Bitcoin Covered Call ETF                                |                                                            | ON           | No         |                   |
| Global X Canadian High Dividend Index Corporate Class ETF        | Horizons Cdn High Dividend Index ETF                       | ON           | No         |                   |
| Global X Canadian Oil and Gas Equity Covered Call ETF            | Horizons Canadian Oil and Gas Equity Covered Call ETF      | ON           | No         |                   |
| Global X Canadian Select Universe Bond Index Corporate Class ETF | Horizons Cdn Select Universe Bond ETF                      | ON           | No         |                   |
| Global X Cash Maximizer Corporate Class ETF                      | Horizons Cash Maximizer ETF                                | ON           | No         |                   |
| Global X China Hang Seng TECH Index ETF                          |                                                            | ON           | No         |                   |
| Global X Conservative Asset Allocation ETF                       | Horizons Conservative Asset Allocation ETF                 | ON           | No         |                   |
| Global X Copper Producer Equity Covered Call ETF                 |                                                            | ON           | No         |                   |
| Global X Copper Producers Index ETF                              | Horizons Copper Producers Index ETF                        | ON           | No         |                   |
| Global X Crude Oil ETF                                           | Horizons Crude Oil ETF                                     | ON           | No         |                   |
| Global X Defence Tech Index ETF                                  |                                                            | ON           | No         |                   |
| Global X Emerging Markets Equity Index Corporate Class ETF       | Horizons Emerging Markets Equity Index ETF                 | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                        | Previous Name                                                  | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------------------------|----------------------------------------------------------------|--------------|------------|-------------------|
| Global X Enhanced All-Equity Asset Allocation Covered Call ETF     | Horizons Enhanced All-Equity Asset Allocation Covered Call ETF | ON           | No         |                   |
| Global X Enhanced All-Equity Asset Allocation ETF                  | Horizons Enhanced All-Equity Asset Allocation ETF              | ON           | No         |                   |
| Global X Enhanced All-In-One Commodity Producers Equity            |                                                                | ON           | No         |                   |
| Global X Enhanced Bitcoin Covered Call ETF                         |                                                                | ON           | No         |                   |
| Global X Enhanced Canadian Oil and Gas Equity Covered Call ETF     | Horizons Enhanced Canadian Oil and Gas Equity Covered Call ETF | ON           | No         |                   |
| Global X Enhanced Equal Weight Banks Index ETF                     | Horizons Enhanced Equal Weight Banks Index ETF                 | ON           | No         |                   |
| Global X Enhanced Equal Weight Canadian Banks Covered Call ETF     | Horizons Enhanced Equal Weight Canadian Banks Covered Call ETF | ON           | No         |                   |
| Global X Enhanced Equal Weight Canadian Telecommunications Covered |                                                                | ON           | No         |                   |
| Global X Enhanced Gold Producer Equity Covered Call ETF            |                                                                | ON           | No         |                   |
| Global X Enhanced MSCI EAFE Covered Call ETF                       |                                                                | ON           | No         |                   |
| Global X Enhanced MSCI Emerging Markets Covered Call ETF           |                                                                | ON           | No         |                   |
| Global X Enhanced Nasdaq-100 Covered Call ETF                      | Horizons Enhanced NASDAQ-100 Covered Call ETF                  | ON           | No         |                   |
| Global X Enhanced NASDAQ-100 Index ETF                             |                                                                | ON           | No         |                   |
| Global X Enhanced Russell 2000 Covered Call ETF                    |                                                                | ON           | No         |                   |
| Global X Enhanced S&P 500 Covered Call ETF                         | Horizons Enhanced US Large Cap Equity Covered Call ETF         | ON           | No         |                   |
| Global X Enhanced S&P 500 Index ETF                                |                                                                | ON           | No         |                   |
| Global X Enhanced S&P/TSX 60 Covered Call ETF                      | Horizons Enhanced Canadian Large Cap Equity Covered Call ETF   | ON           | No         |                   |
| Global X Enhanced S&P/TSX 60 Index ETF                             | Horizons Enhanced S&P/TSX 60 Index ETF                         | ON           | No         |                   |
| Global X Enhanced Silver Miners Covered Call ETF                   |                                                                | ON           | No         |                   |
| Global X Equal Weight Canadian Bank Covered Call ETF               | Horizons Equal Weight Canadian Bank Covered Call ETF           | ON           | No         |                   |
| Global X Equal Weight Canadian Banks Index Corporate Class ETF     | Horizons Equal Weight Canada Banks Index ETF                   | ON           | No         |                   |
| Global X Equal Weight Canadian Banks Index ETF                     | Horizons Equal Weight Banks Index ETF                          | ON           | No         |                   |
| Global X Equal Weight Canadian Groceries & Staples Index ETF       |                                                                | ON           | No         |                   |
| Global X Equal Weight Canadian Insurance Index ETF                 |                                                                | ON           | No         |                   |
| Global X Equal Weight Canadian Oil & Gas Index ETF                 |                                                                | ON           | No         |                   |
| Global X Equal Weight Canadian Pipelines Index ETF                 | Global X Pipelines & Energy Services Index ETF                 | ON           | No         |                   |
| Global X Equal Weight Canadian REITs Index Corporate Class ETF     | Horizons Equal Weight Canada REIT Index ETF                    | ON           | No         |                   |
| Global X Equal Weight Canadian REITs Index ETF                     |                                                                | ON           | No         |                   |
| Global X Equal Weight Canadian Telecommunications Covered Call ETF |                                                                | ON           | No         |                   |
| Global X Equal Weight Canadian Telecommunications Index ETF        |                                                                | ON           | No         |                   |
| Global X Equal Weight Canadian Utilities Index ETF                 | Global X Canadian Utility Services High Dividend Index ETF     | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                          | Previous Name                                        | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------------------|------------------------------------------------------|--------------|------------|-------------------|
| Global X Equal Weight Global Healthcare Index ETF                    |                                                      | ON           | No         |                   |
| Global X Equal Weight U.S. Banks Index ETF                           |                                                      | ON           | No         |                   |
| Global X Equal Weight U.S. Groceries & Staples Index ETF             |                                                      | ON           | No         |                   |
| Global X Europe 50 Index Corporate Class ETF                         | Horizons Europe 50 Index ETF                         | ON           | No         |                   |
| Global X Global Sustainability Leaders Index ETF                     | Horizons Global Sustainability Leaders Index ETF     | ON           | No         |                   |
| Global X Gold ETF                                                    | Horizons Gold ETF                                    | ON           | No         |                   |
| Global X Gold Producer Equity Covered Call ETF                       | Horizons Gold Producer Equity Covered Call ETF       | ON           | No         |                   |
| Global X Gold Producers Index ETF                                    |                                                      | ON           | No         |                   |
| Global X Gold Yield ETF                                              | Horizons Gold Yield ETF                              | ON           | No         |                   |
| Global X Growth Asset Allocation Covered Call ETF                    | Horizons Growth Asset Allocation Covered Call ETF    | ON           | No         |                   |
| Global X Growth Asset Allocation ETF                                 | Horizons Growth Asset Allocation ETF                 | ON           | No         |                   |
| Global X High Interest Savings ETF                                   | Horizons High Interest Savings ETF                   | ON           | No         |                   |
| Global X India Nifty 50 Index ETF                                    |                                                      | ON           | No         |                   |
| Global X Innovative Bluechip Top 10 Index ETF                        | Global X Bluechip Innovation Tech Top 10 Index ETF   | ON           | No         |                   |
| Global X Inovestor Canadian Equity Index ETF                         | Horizons Inovestor Canadian Equity Index ETF         | ON           | No         |                   |
| Global X Intl Developed Markets Equity Index Corporate Class ETF     | Horizons Intl Developed Markets Equity Index ETF     | ON           | No         |                   |
| Global X Laddered Canadian Preferred Share Index Corporate Class ETF | Horizons Laddered Canadian Preferred Share Index ETF | ON           | No         |                   |
| Global X Lithium Producers Index ETF                                 | Horizons Global Lithium Producers Index ETF          | ON           | No         |                   |
| Global X Long-Term Government Bond Premium Yield ETF                 |                                                      | ON           | No         |                   |
| Global X Long-Term U.S. Treasury Premium Yield ETF                   | Horizons Long-Term U.S. Treasury Premium Yield ETF   | ON           | No         |                   |
| Global X Marijuana Life Sciences Index ETF                           | Horizons Marijuana Life Sciences Index ETF           | ON           | No         |                   |
| Global X Mid-Term Government Bond Premium Yield ETF                  |                                                      | ON           | No         |                   |
| Global X Mid-Term U.S. Treasury Premium Yield ETF                    | Horizons Mid-Term U.S. Treasury Premium Yield ETF    | ON           | No         |                   |
| Global X MSCI EAFE Covered Call ETF                                  |                                                      | ON           | No         |                   |
| Global X MSCI EAFE Index ETF                                         |                                                      | ON           | No         |                   |
| Global X MSCI Emerging Markets Covered Call ETF                      |                                                      | ON           | No         |                   |
| Global X MSCI Emerging Markets Index ETF                             |                                                      | ON           | No         |                   |
| Global X Nasdaq-100 Covered Call ETF                                 | Horizons NASDAQ-100 Covered Call ETF                 | ON           | No         |                   |
| Global X Nasdaq-100 Index Corporate Class ETF                        | Horizons NASDAQ-100® Index ETF                       | ON           | No         |                   |
| Global X Nasdaq-100 Index ETF                                        | Global X NASDAQ-100® Index ETF                       | ON           | No         |                   |
| Global X Natural Gas ETF                                             | Horizons Natural Gas ETF                             | ON           | No         |                   |
| Global X NYSE 100 Index ETF                                          |                                                      | ON           | No         |                   |
| Global X Robotics & AI Index ETF                                     | Horizons Robotics & AI Index ETF                     | ON           | No         |                   |
| Global X Russell 2000 Covered Call ETF                               |                                                      | ON           | No         |                   |
| Global X Russell 2000 Index ETF                                      |                                                      | ON           | No         |                   |
| Global X S&P 500 CAD Hedged Index Corporate Class ETF                | Horizons S&P 500 CAD Hedged Index ETF                | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                   | Previous Name                                       | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------|-----------------------------------------------------|--------------|------------|-------------------|
| Global X S&P 500 Covered Call ETF                             | Horizons US Large Cap Equity Covered Call ETF       | ON           | No         |                   |
| Global X S&P 500 Index Corporate Class ETF                    | Horizons S&P 500® Index ETF                         | ON           | No         |                   |
| Global X S&P 500 Index ETF                                    |                                                     | ON           | No         |                   |
| Global X S&P/TSX 60 Covered Call ETF                          | Horizons Canadian Large Cap Equity Covered Call ETF | ON           | No         |                   |
| Global X S&P/TSX 60 Index Corporate Class ETF                 | Horizons S&P/TSX 60 Index ETF                       | ON           | No         |                   |
| Global X S&P/TSX 60 Index ETF                                 |                                                     | ON           | No         |                   |
| Global X S&P/TSX Capped Composite Index Corporate Class ETF   | Horizons S&P/TSX Capped Composite Index ETF         | ON           | No         |                   |
| Global X S&P/TSX Capped Energy Index Corporate Class ETF      | Horizons S&P/TSX Capped Energy Index ETF            | ON           | No         |                   |
| Global X S&P/TSX Capped Financials Index Corporate Class ETF  | Horizons S&P/TSX Capped Financials Index ETF        | ON           | No         |                   |
| Global X Seasonal Rotation ETF                                | Horizons Seasonal Rotation ETF                      | ON           | No         |                   |
| Global X Short-Term Government Bond Premium Yield ETF         |                                                     | ON           | No         |                   |
| Global X Short-Term U.S. Treasury Premium Yield ETF           | Horizons Short-Term U.S. Treasury Premium Yield ETF | ON           | No         |                   |
| Global X Silver Covered Call ETF                              |                                                     | ON           | No         |                   |
| Global X Silver ETF                                           | Horizons Silver ETF                                 | ON           | No         |                   |
| Global X Silver Miners Covered Call ETF                       |                                                     | ON           | No         |                   |
| Global X Silver Miners Index ETF                              |                                                     | ON           | No         |                   |
| Global X Space Tech Index ETF                                 |                                                     | ON           | No         |                   |
| Global X Tokenization Ecosystem Index ETF                     | Global X Stablecoins & Tokenization Index ETF       | ON           | No         |                   |
| Global X U.S. Infrastructure Development Index ETF            |                                                     | ON           | No         |                   |
| Global X Uranium Covered Call ETF                             |                                                     | ON           | No         |                   |
| Global X Uranium Index ETF                                    | Horizons Global Uranium Index ETF                   | ON           | No         |                   |
| Global X US 7-10 Year Treasury Bond Index Corporate Class ETF | Horizons US 7-10 Year Treasury Bond ETF             | ON           | No         |                   |
| Global X US Dollar Currency ETF                               | Horizons US Dollar Currency ETF                     | ON           | No         |                   |
| Global X US Large Cap Index Corporate Class ETF               | Horizons US Large Cap Index ETF                     | ON           | No         |                   |
| Global X USD Cash Maximizer Corporate Class ETF               | Horizons USD Cash Maximizer ETF                     | ON           | No         |                   |
| Global X USD High Interest Savings ETF                        | Horizons USD High Interest Savings ETF              | ON           | No         |                   |
| GO Residential Real Estate Investment Trust                   |                                                     | ON           | No         |                   |
| Goat Industries Ltd.                                          | Billy Goat Brands Ltd.                              | BC           | No         |                   |
| goeasy Ltd.                                                   | easyhome Ltd.                                       | ON           | No         |                   |
| GoGold Resources Inc.                                         |                                                     | NS           | No         |                   |
| Gold Flora Corporation                                        | TPCO Holding Corp.                                  | ON           | Yes        | 1a,1c,1d,1e,3     |
| Gold Reserve Ltd.                                             | Gold Reserve Inc.                                   | ON           | No         |                   |
| Gold Royalty Corp.                                            |                                                     | BC           | No         |                   |
| Gold Springs Resource Corp.                                   | TriMetals Mining Inc.                               | BC           | No         |                   |
| Gold Strike Resources Corp.                                   | Sanatana Resources Inc.                             | BC           | No         |                   |
| Gold Terra Resource Corp.                                     | TerraX Minerals Inc.                                | BC           | No         |                   |
| GOLD X2 MINING INC.                                           | GOLDSHORE RESOURCES INC.                            | BC           | No         |                   |
| GoldCoast Resource Corp.                                      | Psyence Group Inc.                                  | ON           | No         |                   |
| GoldMining Inc.                                               |                                                     | BC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                       | Previous Name                          | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------|----------------------------------------|--------------|------------|-------------------|
| Goldsky Resources Corp.                           | First Nordic Metals Corp.              | ON           | No         |                   |
| Goodfood Market Corp.                             | Mira VII Acquisition Corp.             | QC           | No         |                   |
| Goodwood Capital Fund                             |                                        | ON           | No         |                   |
| GQG Partners Emerging Markets Quality Equity Fund |                                        | ON           | No         |                   |
| GQG Partners Global Quality Equity Fund           |                                        | ON           | No         |                   |
| GQG Partners International Quality Equity Fund    |                                        | ON           | No         |                   |
| GQG Partners U.S. Quality Equity Fund             |                                        | ON           | No         |                   |
| Grad & Walker Energy Corporation                  |                                        | AB           | Yes        | 1a,1b             |
| Gran Tierra Energy Inc.                           |                                        | AB           | No         |                   |
| Grand Okanagan Resort Limited Partnership         |                                        | AB           | Yes        | 1a,1b,1c,1d,1e,3  |
| Granite Real Estate Investment Trust              |                                        | ON           | No         |                   |
| Granite REIT Holdings Limited Partnership         |                                        | ON           | No         |                   |
| Graphene Manufacturing Group Ltd.                 |                                        | BC           | No         |                   |
| Graphite One Inc.                                 |                                        | BC           | No         |                   |
| Gray Wolf Capital Corporation*                    | Diversified Monthly Income Corporation | ON           | Yes        | 1a,1b,1c,1e,3     |
| Great Basin Gold Ltd.                             |                                        | BC           | No         |                   |
| Great Pacific Gold Corp.                          |                                        | BC           | No         |                   |
| Great Panther Mining Limited                      | Great Panther Silver Limited           | BC           | Yes        | 1b,1c,1e          |
| Great-West Lifeco Inc.                            |                                        | MB           | No         |                   |
| Greater Toronto Airports Authority                |                                        | ON           | No         |                   |
| Green Bridge Metals Corporation                   |                                        | BC           | No         |                   |
| Green Growth Brands Inc.                          | Xanthic Biopharma Inc.                 | ON           | No         |                   |
| Green Impact Partners Inc.                        |                                        | AB           | Yes        | 1a,1c,1e,3        |
| Green Shift Commodities Ltd.                      | U3O8 Corp.                             | ON           | No         |                   |
| Green Thumb Industries Inc.                       | Bayswater Uranium Corporation          | BC           | No         |                   |
| Greenfire Resources Ltd.                          |                                        | AB           | No         |                   |
| GreenFirst Forest Products Inc.                   |                                        | ON           | No         |                   |
| Greenheart Gold Inc.                              |                                        | ON           | No         |                   |
| GreenSpace Brands Inc.                            | Aumento IV Capital Corporation         | ON           | Yes        | 1a,1c,1e,3        |
| GreenWise Balanced Portfolio                      |                                        | QC           | No         |                   |
| GreenWise Conservative Portfolio                  |                                        | QC           | No         |                   |
| GreenWise Growth Portfolio                        |                                        | QC           | No         |                   |
| Grey Matters Health Inc.                          | Algernon Health Inc.                   | BC           | No         |                   |
| Greyhound Canada Transportation Corp.             |                                        | AB           | Yes        | 1a,1b             |
| Greyvest Capital Inc                              | Greyvest Financial Services Inc        | ON           | No         |                   |
| Grosvenor Resource Corporation                    | Reva Resources Corporation             | BC           | No         |                   |
| Groupe Dynamite Inc.                              |                                        | QC           | No         |                   |
| Grown Rogue International Inc.                    |                                        | ON           | No         |                   |
| Growth 100 Fund                                   | Growth 100 Fund                        | ON           | No         |                   |
| Growth 80/20 Fund                                 | Balanced Growth Plus Fund              | ON           | No         |                   |
| GrowthWorks Canadian Fund Ltd.*                   | Growthworks WV Canadian Fund Inc.      | ON           | Yes        | 1a,1b,1c,1d,3     |
| GT Group Telecom Inc.                             |                                        | ON           | No         |                   |
| GT Resources Inc.                                 | Palladium One Mining Inc.              | BC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                | Previous Name                                   | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------|-------------------------------------------------|--------------|------------|-------------------|
| Guanajuato Silver Company Ltd.                             |                                                 | BC           | No         |                   |
| GuardBonds TM 1-3 Year Laddered Investment Grade Bond Fund |                                                 | ON           | No         |                   |
| GuardBonds TM 2026 Investment Grade Bond Fund              |                                                 | ON           | No         |                   |
| GuardBonds TM 2027 Investment Grade Bond Fund              |                                                 | ON           | No         |                   |
| GuardBonds TM 2028 Investment Grade Bond Fund              |                                                 | ON           | No         |                   |
| GuardBonds TM 2029 Investment Grade Bond Fund              |                                                 | ON           | No         |                   |
| Guardian Canadian Bond Fund                                | Vega Canadian Bond Fund                         | ON           | No         |                   |
| Guardian Canadian Diversified Core Equity Fund             | Guardian Canadian Sector Controlled Equity Fund | ON           | No         |                   |
| Guardian Canadian Equity Fund                              | Vector Canadian Equity Fund                     | ON           | No         |                   |
| Guardian Canadian Equity Income Fund                       | Guardian Equity Income Fund                     | ON           | No         |                   |
| Guardian Canadian Equity Select Fund                       |                                                 | ON           | No         |                   |
| Guardian Canadian Focused Equity Fund                      |                                                 | ON           | No         |                   |
| Guardian Canadian Short-Term Investment Fund               |                                                 | ON           | No         |                   |
| Guardian Directed Equity Path Portfolio                    | Guardian SteadyPace Equity Fund                 | ON           | No         |                   |
| Guardian Directed Premium Yield Portfolio                  | Guardian SteadyFlow Equity Fund                 | ON           | No         |                   |
| Guardian Emerging Markets Equity Fund                      |                                                 | ON           | No         |                   |
| Guardian Fixed Income Select Fund                          | Guardian Private Wealth Bond Fund               | ON           | No         |                   |
| Guardian Fundamental Global Equity Fund                    |                                                 | ON           | No         |                   |
| Guardian i <sup>3</sup> AI Technology and Innovation Fund* |                                                 | ON           | No         |                   |
| Guardian i <sup>3</sup> Canadian Dividend Growth Fund*     |                                                 | ON           | No         |                   |
| Guardian i <sup>3</sup> Global Core Equity Fund            | Guardian i3 Global Quality Growth Fund          | ON           | No         |                   |
| Guardian i3 Global Dividend Growth Fund                    | Guardian Global Dividend Growth Fund            | ON           | No         |                   |
| Guardian i3 Global Dividend Premium Yield Fund*            |                                                 | ON           | No         |                   |
| Guardian i <sup>3</sup> International Core Equity Fund     | Guardian i3 International Quality Growth Fund   | ON           | No         |                   |
| Guardian i <sup>3</sup> U.S. Core Equity Fund              | Guardian i <sup>3</sup> US Quality Growth Fund  | ON           | No         |                   |
| Guardian International Equity Select Fund                  |                                                 | ON           | No         |                   |
| Guardian Investment Grade Corporate Bond Fund              |                                                 | ON           | No         |                   |
| Guardian Managed Balanced Portfolio                        | Guardian Balanced Fund                          | ON           | No         |                   |
| Guardian Managed Growth Portfolio                          |                                                 | ON           | No         |                   |
| Guardian Managed Income & Growth Portfolio                 |                                                 | ON           | No         |                   |
| Guardian Managed Income Portfolio                          |                                                 | ON           | No         |                   |
| Guardian Risk Managed Conservative Portfolio               |                                                 | ON           | No         |                   |
| Guardian Short Duration Bond Fund                          |                                                 | ON           | No         |                   |
| Guardian Strategic Income Fund                             |                                                 | ON           | No         |                   |
| Guardian U.S. Equity All Cap Growth Fund                   |                                                 | ON           | No         |                   |
| Guardian U.S. Equity Select Fund                           |                                                 | ON           | No         |                   |
| Guardian Ultra-Short Canadian T-Bill Fund                  |                                                 | ON           | No         |                   |
| Guardian Ultra-Short U.S. T-Bill Fund                      |                                                 | ON           | No         |                   |
| Gunnison Copper Corp.                                      | Excelsior Mining Corp.                          | BC           | No         |                   |
| GURU Organic Energy Corp.                                  |                                                 | QC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                               | Previous Name                                    | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------|--------------------------------------------------|--------------|------------|-------------------|
| H&R Real Estate Investment Trust                          |                                                  | ON           | No         |                   |
| Haemacure Corporation                                     |                                                  | QC           | No         |                   |
| Haivision Systems Inc.                                    |                                                  | QC           | No         |                   |
| Halo Collective Inc.                                      | Halo Labs Inc.                                   | ON           | Yes        | 1b,1c,1e          |
| Hamilton Australian Bank Equal-Weight Index ETF           |                                                  | ON           | No         |                   |
| Hamilton Canadian Bank Mean Reversion Index ETF           |                                                  | ON           | No         |                   |
| Hamilton Canadian Equity YIELD MAXIMIZER™ ETF             |                                                  | ON           | No         |                   |
| Hamilton Canadian Financials YIELD MAXIMIZER™ ETF         | Hamilton Canadian Financials Yield Maximizer ETF | ON           | No         |                   |
| HAMILTON CHAMPIONST™ Canadian Bank Equal-Weight Index ETF | Hamilton Canadian Bank Equal-Weight Index ETF    | ON           | No         |                   |
| HAMILTON CHAMPIONST™ Canadian Dividend Index ETF          |                                                  | ON           | No         |                   |
| HAMILTON CHAMPIONST™ Canadian Financials Index ETF        | Hamilton Canadian Financials Index ETF           | ON           | No         |                   |
| HAMILTON CHAMPIONST™ Enhanced Canadian Dividend ETF       |                                                  | ON           | No         |                   |
| HAMILTON CHAMPIONST™ Enhanced U.S. Dividend ETF           |                                                  | ON           | No         |                   |
| HAMILTON CHAMPIONST™ U.S. Dividend Index ETF              |                                                  | ON           | No         |                   |
| HAMILTON CHAMPIONST™ U.S. Technology Index ETF            |                                                  | ON           | No         |                   |
| HAMILTON CHAMPIONST™ Utilities Index ETF                  |                                                  | ON           | No         |                   |
| Hamilton Energy YIELD MAXIMIZER™ ETF                      | Hamilton Energy Yield Maximizer ETF              | ON           | No         |                   |
| Hamilton Enhanced Bitcoin DayMAX™ ETF                     |                                                  | ON           | No         |                   |
| Hamilton Enhanced Canadian Bank ETF                       | Hamilton Canadian Bank 1.25x Leverage ETF        | ON           | No         |                   |
| Hamilton Enhanced Canadian Covered Call ETF               | Hamilton Enhanced Multi-Sector Covered Call ETF  | ON           | No         |                   |
| Hamilton Enhanced Canadian Equity DayMAX™ ETF             |                                                  | ON           | No         |                   |
| Hamilton Enhanced Canadian Financials ETF                 |                                                  | ON           | No         |                   |
| Hamilton Enhanced Mixed Asset Allocation ETF              | Hamilton Enhanced Mixed Asset ETF                | ON           | No         |                   |
| Hamilton Enhanced Technology DayMAX™ ETF                  |                                                  | ON           | No         |                   |
| Hamilton Enhanced U.S. Covered Call ETF                   |                                                  | ON           | No         |                   |
| Hamilton Enhanced U.S. Equity DayMAX™ ETF                 |                                                  | ON           | No         |                   |
| Hamilton Enhanced Utilities ETF                           |                                                  | ON           | No         |                   |
| Hamilton Global Financials ETF                            |                                                  | ON           | No         |                   |
| Hamilton Gold Producer YIELD MAXIMIZER™ ETF               | Hamilton Gold Producer Yield Maximizer ETF       | ON           | No         |                   |
| Hamilton Healthcare YIELD MAXIMIZER™ ETF                  | Hamilton Healthcare Yield Maximizer ETF          | ON           | No         |                   |
| Hamilton International Equity YIELD MAXIMIZER™ ETF        |                                                  | ON           | No         |                   |
| Hamilton REITs YIELD MAXIMIZER™ ETF                       |                                                  | ON           | No         |                   |
| Hamilton Technology YIELD MAXIMIZER™ ETF                  | Hamilton Technology Yield Maximizer ETF          | ON           | No         |                   |
| Hamilton U.S. Bond YIELD MAXIMIZER™ ETF                   | Hamilton U.S. Bond Yield Maximizer ETF           | ON           | No         |                   |
| Hamilton U.S. Equity YIELD MAXIMIZER™ ETF                 | Hamilton U.S. Equity Yield Maximizer ETF         | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                          | Previous Name                                | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------|----------------------------------------------|--------------|------------|-------------------|
| Hamilton U.S. Financials YIELD MAXIMIZER™ ETF        | Hamilton U.S. Financials Yield Maximizer ETF | ON           | No         |                   |
| Hamilton U.S. Mid-Cap Financials ETF                 | Hamilton U.S. Mid/Small-Cap Financials ETF   | ON           | No         |                   |
| Hamilton U.S. T-Bill YIELD MAXIMIZER™ ETF            |                                              | ON           | No         |                   |
| Hamilton Utilities YIELD MAXIMIZER™ ETF              | Hamilton Utilities Yield Maximizer ETF       | ON           | No         |                   |
| Hammond Power Solutions Inc.                         |                                              | ON           | No         |                   |
| Hampton Financial Corporation                        |                                              | ON           | No         |                   |
| Hanfeng Evergreen Inc.                               |                                              | ON           | Yes        | 1b,1c,1e,3        |
| Harvest Agnico Eagle Enhanced High Income Shares ETF |                                              | ON           | No         |                   |
| Harvest Alphabet Enhanced High Income Shares ETF     |                                              | ON           | No         |                   |
| Harvest Amazon Enhanced High Income Shares ETF       |                                              | ON           | No         |                   |
| Harvest Amazon High Income Shares ETF                |                                              | ON           | No         |                   |
| Harvest AMD Enhanced High Income Shares ETF          |                                              | ON           | No         |                   |
| Harvest Apple Enhanced High Income Shares ETF        |                                              | ON           | No         |                   |
| Harvest Balanced Income & Growth Enhanced ETF        |                                              | ON           | No         |                   |
| Harvest Balanced Income & Growth ETF                 |                                              | ON           | No         |                   |
| Harvest BCE Enhanced High Income Shares ETF          |                                              | ON           | No         |                   |
| Harvest Bitcoin Enhanced Income ETF                  |                                              | ON           | No         |                   |
| Harvest Bitcoin Leaders Enhanced Income ETF          |                                              | ON           | No         |                   |
| Harvest Block Enhanced High Income Shares ETF        |                                              | ON           | No         |                   |
| Harvest Broadcom Enhanced High Income Shares ETF     |                                              | ON           | No         |                   |
| Harvest Cameco Enhanced High Income Shares ETF       |                                              | ON           | No         |                   |
| Harvest Canadian Dividend Leaders Income ETF         | Harvest Canadian Equity Income Leaders ETF   | ON           | No         |                   |
| Harvest Canadian High Income Shares ETF              |                                              | ON           | No         |                   |
| Harvest Canadian T-Bill ETF                          |                                              | ON           | No         |                   |
| Harvest Circle Enhanced High Income Shares ETF       |                                              | ON           | No         |                   |
| Harvest Clean Energy ETF                             |                                              | ON           | No         |                   |
| Harvest CNQ Enhanced High Income Shares ETF          |                                              | ON           | No         |                   |
| Harvest Coinbase Enhanced High Income Shares ETF     |                                              | ON           | No         |                   |
| Harvest Coinbase High Income Shares ETF              |                                              | ON           | No         |                   |
| Harvest Costco Enhanced High Income Shares ETF       |                                              | ON           | No         |                   |
| Harvest CrowdStrike Enhanced High Income Shares ETF  |                                              | ON           | No         |                   |
| Harvest Diversified Equity Income ETF                |                                              | ON           | No         |                   |
| Harvest Diversified High Income Shares ETF           |                                              | ON           | No         |                   |
| Harvest Diversified Monthly Income ETF               |                                              | ON           | No         |                   |
| Harvest Eli Lilly Enhanced High Income Shares ETF    |                                              | ON           | No         |                   |
| Harvest Eli Lilly High Income Shares ETF             |                                              | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                       | Previous Name                                      | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------|----------------------------------------------------|--------------|------------|-------------------|
| Harvest Enbridge Enhanced High Income Shares ETF  |                                                    | ON           | No         |                   |
| Harvest Energy Leaders Income ETF                 | Harvest Energy Leaders Plus Income ETF             | ON           | No         |                   |
| Harvest Enhanced High Income RY-Linked Shares ETF | Harvest Royal Bank Enhanced High Income Shares ETF | ON           | No         |                   |
| Harvest Enhanced High Income TD-Linked Shares ETF | Harvest TD Bank Enhanced High Income Shares ETF    | ON           | No         |                   |
| Harvest Global Gold Giants Index ETF              |                                                    | ON           | No         |                   |
| Harvest Healthcare Leaders Enhanced Income ETF    |                                                    | ON           | No         |                   |
| Harvest Healthcare Leaders Income ETF             | Healthcare Leaders Income ETF                      | ON           | No         |                   |
| Harvest Industrial Leaders Enhanced Income ETF    |                                                    | ON           | No         |                   |
| Harvest Industrial Leaders Income ETF             |                                                    | ON           | No         |                   |
| Harvest International High Income Shares ETF      |                                                    | ON           | No         |                   |
| Harvest JnJ Enhanced High Income Shares ETF       |                                                    | ON           | No         |                   |
| Harvest JPHE Enhanced High Income Shares ETF      |                                                    | ON           | No         |                   |
| Harvest JPMorgan Enhanced High Income Shares ETF  |                                                    | ON           | No         |                   |
| Harvest Low Volatility Canadian Equity ETF        |                                                    | ON           | No         |                   |
| Harvest Low Volatility Canadian Equity Income ETF |                                                    | ON           | No         |                   |
| Harvest Meta Enhanced High Income Shares ETF      |                                                    | ON           | No         |                   |
| Harvest Microsoft Enhanced High Income Shares ETF |                                                    | ON           | No         |                   |
| Harvest Microsoft High Income Shares ETF          |                                                    | ON           | No         |                   |
| Harvest Netflix Enhanced High Income Shares ETF   |                                                    | ON           | No         |                   |
| Harvest Novo Enhanced High Income Shares ETF      |                                                    | ON           | No         |                   |
| Harvest NVIDIA Enhanced High Income Shares ETF    |                                                    | ON           | No         |                   |
| Harvest NVIDIA High Income Shares ETF             |                                                    | ON           | No         |                   |
| Harvest Oracle Enhanced High Income Shares ETF    |                                                    | ON           | No         |                   |
| Harvest Palantir Enhanced High Income Shares ETF  |                                                    | ON           | No         |                   |
| Harvest Premium Yield 7-10 Year Treasury ETF      |                                                    | ON           | No         |                   |
| Harvest Premium Yield Canadian Bank ETF           |                                                    | ON           | No         |                   |
| Harvest Premium Yield Enhanced ETF                |                                                    | ON           | No         |                   |
| Harvest Premium Yield Gold ETF                    |                                                    | ON           | No         |                   |
| Harvest Premium Yield Treasury ETF                |                                                    | ON           | No         |                   |
| Harvest Reddit Enhanced High Income Shares ETF    |                                                    | ON           | No         |                   |
| Harvest REIT Leaders Income ETF                   | Harvest Global REIT Leaders Income ETF             | ON           | No         |                   |
| Harvest Robinhood Enhanced High Income Shares ETF |                                                    | ON           | No         |                   |
| Harvest Shopify Enhanced High Income Shares ETF   |                                                    | ON           | No         |                   |
| Harvest SoFi Enhanced High Income Shares ETF      |                                                    | ON           | No         |                   |
| Harvest SpaceX Enhanced High Income Shares ETF    |                                                    | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                           | Previous Name                                             | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------|-----------------------------------------------------------|--------------|------------|-------------------|
| Harvest Strategy Inc. Enhanced High Income Shares ETF | Harvest MicroStrategy Enhanced High Income Shares ETF     | ON           | No         |                   |
| Harvest Strategy Inc. High Income Shares ETF          | Harvest MicroStrategy High Income Shares ETF              | ON           | No         |                   |
| Harvest Suncor Enhanced High Income Shares ETF        |                                                           | ON           | No         |                   |
| Harvest Tech Leaders Enhanced Income ETF              | Harvest Tech Achievers Enhanced Income ETF                | ON           | No         |                   |
| Harvest Tech Leaders Income ETF                       | Harvest Tech Achievers Growth & Income ETF                | ON           | No         |                   |
| Harvest TELUS Enhanced High Income Shares ETF         |                                                           | ON           | No         |                   |
| Harvest Tesla Enhanced High Income Shares ETF         |                                                           | ON           | No         |                   |
| Harvest Travel & Leisure Income ETF                   |                                                           | ON           | No         |                   |
| Harvest Travel & Leisure Index ETF                    |                                                           | ON           | No         |                   |
| Harvest US Bank Leaders Income ETF                    |                                                           | ON           | No         |                   |
| Harvest US Equity Leaders Income ETF                  | Harvest Brand Leaders Plus Income ETF                     | ON           | No         |                   |
| Harvest Utilities Leaders Enhanced Income ETF         | Harvest Equal Weight Global Utilities Enhanced Income ETF | ON           | No         |                   |
| Harvest Utilities Leaders Income ETF                  | Harvest Equal Weight Global Utilities Income ETF          | ON           | No         |                   |
| HAVN Life Sciences Inc.                               |                                                           | BC           | Yes        | 1a,1b,1c,1e,3     |
| Hazelview Alternative Real Estate Fund                |                                                           | ON           | No         |                   |
| Hazelview Global Real Estate Fund                     | Timbercreek Global Real Estate Income Fund                | ON           | No         |                   |
| Headwater Exploration Inc.                            | Corridor Resources Inc.                                   | AB           | No         |                   |
| Healthcare Special Opportunities Fund*                |                                                           | ON           | No         |                   |
| HEALWELL AI Inc.                                      | MCI Onehealth Technologies Inc.                           | ON           | No         |                   |
| Heating Oil Partners Income Fund                      |                                                           | ON           | Yes        | 1a,1b,1c,1d,1e,3  |
| Hecla Mining Company                                  |                                                           | BC           | No         |                   |
| Helios Fairfax Partners Corporation                   | Fairfax Africa Holdings Corporation                       | ON           | No         |                   |
| Heliostar Metals Ltd.                                 |                                                           | BC           | No         |                   |
| Hempfusion Wellness Inc.                              |                                                           | BC           | Yes        | 1a,1c,1d,1e,3     |
| Heritage Global Inc.                                  |                                                           | ON           | No         |                   |
| Hertz Energy Inc.                                     | Hertz Lithium Inc.                                        | BC           | No         |                   |
| HEXO Corp.                                            | Hydroponthecary Corporation, The                          | QC           | No         |                   |
| High Interest Savings Account Fund                    | High Interest Savings Account ETF                         | ON           | No         |                   |
| High Liner Foods Incorporated                         | HLG Holdings, Inc. (Ignore)                               | NS           | No         |                   |
| High Tide Inc.                                        |                                                           | AB           | No         |                   |
| Highland Copper Company Inc.                          |                                                           | ON           | No         |                   |
| Highlander Silver Corp.                               |                                                           | ON           | No         |                   |
| HIVE Digital Technologies Ltd.                        | HIVE Blockchain Technologies Ltd.                         | BC           | No         |                   |
| HLS Therapeutics Inc.                                 |                                                           | ON           | No         |                   |
| HM Exploration Corp.                                  |                                                           | BC           | No         |                   |
| HMZ Metals Inc.                                       |                                                           | ON           | Yes        | 1a,1b,1c,1d,1e,3  |
| Hollinger Inc.                                        |                                                           | ON           | Yes        | 1a,1b,3           |
| Home Products Inc.                                    | Contour Consumer Products Inc.                            | ON           | Yes        | 1a,1b,1c,1d,1e,3  |
| Homeserve Technologies Inc.                           | Microforum Inc.                                           | ON           | Yes        | 1b,1c,1e          |
| Horizon Petroleum Ltd.                                |                                                           | AB           | No         |                   |
| Horizons Europe 50 Index ETF                          |                                                           | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                         | Previous Name                                    | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------|--------------------------------------------------|--------------|------------|-------------------|
| Horizonte Minerals PLC                              |                                                  | ON           | Yes        | 1a,1b             |
| Hot Chili Limited                                   |                                                  | ON           | No         |                   |
| Hudbay Minerals Inc.                                | HudBay Minerals Inc.                             | ON           | No         |                   |
| Humilis Fundamental Opportunities ETF               |                                                  | ON           | No         |                   |
| Humilis North American Dividend Growth ETF          |                                                  | ON           | No         |                   |
| Humilis North American Tactical Equity Fund         |                                                  | ON           | No         |                   |
| Hydro One Inc.                                      |                                                  | ON           | No         |                   |
| Hydro One Limited                                   |                                                  | ON           | No         |                   |
| HYLQ STRATEGY CORP.                                 | Tony G Co-Investment Holdings Ltd.               | ON           | No         |                   |
| Hypercharge Networks Corp.                          |                                                  | BC           | No         |                   |
| i-80 Gold Corp.                                     |                                                  | ON           | No         |                   |
| IA Clarington Agile Core Plus Bond Fund             | IA Clarington Core Plus Bond Fund                | QC           | No         |                   |
| IA Clarington Agile Global Total Return Income Fund |                                                  | QC           | No         |                   |
| IA Clarington Canadian Dividend Fund                | Clarington Canadian Dividend Fund                | QC           | No         |                   |
| IA Clarington Canadian Leaders Class                |                                                  | QC           | No         |                   |
| IA Clarington Dividend Growth Class                 |                                                  | QC           | No         |                   |
| IA Clarington Global Balanced Plus Portfolio        |                                                  | QC           | No         |                   |
| IA Clarington Global Dividend Fund                  |                                                  | QC           | No         |                   |
| IA Clarington Global Equity Advantage Fund          |                                                  | QC           | No         |                   |
| IA Clarington Global Equity Exposure Fund           | BNP Paribas Global Equity Exposure Fund          | QC           | No         |                   |
| IA Clarington Global Equity Plus Portfolio          |                                                  | QC           | No         |                   |
| IA Clarington Global Fixed Income Advantage Fund    |                                                  | QC           | No         |                   |
| IA Clarington Global Health Care Fund               |                                                  | QC           | No         |                   |
| IA Clarington Global Macro Advantage Fund           |                                                  | QC           | No         |                   |
| IA Clarington Global Multifactor Equity Fund        | IA Clarington Global Value Fund                  | QC           | No         |                   |
| IA Clarington Global Risk-Managed Income Portfolio  |                                                  | QC           | No         |                   |
| IA Clarington Inhance Balanced SRI Portfolio        |                                                  | QC           | No         |                   |
| IA Clarington Inhance Bond SRI Fund                 |                                                  | QC           | No         |                   |
| IA Clarington Inhance Canadian Equity SRI Class     |                                                  | QC           | No         |                   |
| IA Clarington Inhance Conservative SRI Portfolio    |                                                  | QC           | No         |                   |
| IA Clarington Inhance Global Equity SRI Class       |                                                  | QC           | No         |                   |
| IA Clarington Inhance Global Equity SRI Fund        |                                                  | QC           | No         |                   |
| IA Clarington Inhance Global Small Cap SRI Fund     |                                                  | QC           | No         |                   |
| IA Clarington Inhance Growth SRI Portfolio          |                                                  | QC           | No         |                   |
| IA Clarington Inhance High Growth SRI Portfolio     |                                                  | QC           | No         |                   |
| IA Clarington Inhance Moderate SRI Portfolio        | IA Clarington Inhance Conservative SRI Portfolio | QC           | No         |                   |
| IA Clarington Inhance Monthly Income SRI Fund       |                                                  | QC           | No         |                   |
| IA Clarington International Multifactor Equity Fund |                                                  | QC           | No         |                   |
| IA Clarington Loomis Floating Rate Income Fund      | IA Clarington Floating Rate Income Fund          | QC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                | Previous Name                                         | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------|-------------------------------------------------------|--------------|------------|-------------------|
| IA Clarington Loomis Global Allocation Class               | IA Clarington Global Allocation Class                 | QC           | No         |                   |
| IA Clarington Loomis Global Allocation Fund                | IA Clarington Global Allocation Fund                  | QC           | No         |                   |
| IA Clarington Loomis Global Equity Opportunities Fund      |                                                       | QC           | No         |                   |
| IA Clarington Loomis Global Multisector Bond Fund          |                                                       | QC           | No         |                   |
| IA Clarington Loomis International Growth Fund             |                                                       | QC           | No         |                   |
| IA Clarington Loomis U.S. All Cap Growth Fund              |                                                       | QC           | No         |                   |
| IA Clarington Loomis U.S. Dollar Floating Rate Income Fund | IA Clarington U.S. Dollar Floating Rate Income Fund   | QC           | No         |                   |
| IA Clarington Money Market Fund                            | IA Money Market Fund                                  | QC           | No         |                   |
| IA Clarington Monthly Income Balanced Fund                 | R Monthly Income Balanced Fund                        | QC           | No         |                   |
| IA Clarington Multi-Strategy Alternative Pool              |                                                       | QC           | No         |                   |
| IA Clarington QV Canadian Small Cap Class                  | IA Clarington Canadian Small Cap Class                | QC           | No         |                   |
| IA Clarington QV Canadian Small Cap Fund                   | IA Clarington Canadian Small Cap Fund                 | QC           | No         |                   |
| IA Clarington QV Global Equity Fund                        | IA Clarington Global Equity Fund                      | QC           | No         |                   |
| IA Clarington QV U.S. Equity Class                         | IA Clarington U.S. Equity Class                       | QC           | No         |                   |
| IA Clarington QV U.S. Equity Currency Neutral Fund         | IA Clarington U.S. Equity Currency Neutral Fund       | QC           | No         |                   |
| IA Clarington Strategic Corporate Bond Fund                |                                                       | QC           | No         |                   |
| IA Clarington Strategic Equity Income Class                | Distinction Monthly Income Class                      | QC           | No         |                   |
| IA Clarington Strategic Equity Income Fund                 | IA Clarington Dividend Income Fund                    | QC           | No         |                   |
| IA Clarington Strategic Income Fund                        | IA Clarington Canadian Income Fund                    | QC           | No         |                   |
| IA Clarington Tactical Income Class                        |                                                       | QC           | No         |                   |
| IA Clarington Target Click 2030 Fund                       |                                                       | QC           | No         |                   |
| IA Clarington Thematic Innovation Class                    | IA Clarington Focused U.S. Equity Class               | QC           | No         |                   |
| IA Clarington U.S. Dividend Growth Fund                    | IA Clarington American Fund                           | QC           | No         |                   |
| IA Clarington U.S. Mid Cap Innovation Fund                 |                                                       | QC           | No         |                   |
| iA Financial Corporation Inc.                              |                                                       | QC           | No         |                   |
| IA Wealth Balanced Portfolio                               | IA Clarington Balanced Portfolio                      | QC           | No         |                   |
| IA Wealth Conservative Portfolio                           | IA Clarington Conservative Portfolio                  | QC           | No         |                   |
| IA Wealth Core Bond Pool                                   | IA Clarington Bond Fund                               | QC           | No         |                   |
| IA Wealth Enhanced Bond Pool                               |                                                       | QC           | No         |                   |
| IA Wealth Growth Portfolio                                 | IA Clarington Growth Portfolio                        | QC           | No         |                   |
| IA Wealth High Growth Portfolio                            | IA Clarington Maximum Growth Portfolio                | QC           | No         |                   |
| IA Wealth Moderate Portfolio                               | IA Clarington Moderate Portfolio                      | QC           | No         |                   |
| IAMGOLD Corporation                                        | Iamgold International African Mining GOLD Corporation | ON           | No         |                   |
| iAnthus Capital Holdings, Inc.                             |                                                       | ON           | No         |                   |
| Ids Intelligent Detection Systems Inc.                     |                                                       | ON           | Yes        | 1a,1b             |
| iFabric Corp.                                              |                                                       | ON           | No         |                   |
| IG Beutel Goodman Canadian Small Cap Fund                  | Beutel Goodman Small Cap Equity Fund                  | MB           | No         |                   |
| IG BlackRock International Equity Fund                     |                                                       | MB           | No         |                   |
| IG Climate Action Portfolio - Global Equity                |                                                       | MB           | No         |                   |
| IG Climate Action Portfolio - Global Equity Balanced       |                                                       | MB           | No         |                   |
| IG Climate Action Portfolio - Global Fixed Income Balanced |                                                       | MB           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                           | Previous Name                                           | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------|---------------------------------------------------------|--------------|------------|-------------------|
| IG Climate Action Portfolio - Global Neutral Balanced |                                                         | MB           | No         |                   |
| IG Core Portfolio - Balanced                          | Allegro Moderate Portfolio                              | MB           | No         |                   |
| IG Core Portfolio - Balanced Growth                   | Allegro Moderate Aggressive Portfolio                   | MB           | No         |                   |
| IG Core Portfolio - Global Income                     | Investors Global Fixed Income Flex Portfolio            | MB           | No         |                   |
| IG Core Portfolio - Growth                            | Allegro Aggressive Portfolio                            | MB           | No         |                   |
| IG Core Portfolio - Income                            | Investors Fixed Income Flex Portfolio                   | MB           | No         |                   |
| IG Core Portfolio - Income Balanced                   | Allegro Moderate Conservative Portfolio                 | MB           | No         |                   |
| IG Core Portfolio - Income Focus                      | Allegro Conservative Portfolio                          | MB           | No         |                   |
| IG Core Portfolio - Income Plus                       | Investors Income Plus Portfolio                         | MB           | No         |                   |
| IG Fi Canadian Equity Fund                            |                                                         | MB           | No         |                   |
| IG Franklin ClearBridge Canadian Equity Fund          | IG Franklin Bissett Canadian Equity Fund                | MB           | No         |                   |
| IG Graduation Portfolio                               |                                                         | MB           | No         |                   |
| IG Growth Portfolio – Canadian Balanced               | IG Managed Growth Portfolio - Canadian Neutral Balanced | MB           | No         |                   |
| IG Growth Portfolio – Canadian Equity                 | IG Managed Growth Portfolio - Canadian Focused Equity   | MB           | No         |                   |
| IG Growth Portfolio – Global Balanced                 | IG Managed Growth Portfolio - Global Neutral Balanced   | MB           | No         |                   |
| IG Growth Portfolio – Global Equity                   | IG Managed Growth Portfolio - Global Equity             | MB           | No         |                   |
| IG Growth Portfolio – Global Equity Balanced          | IG Managed Growth Portfolio - Global Equity Balanced    | MB           | No         |                   |
| IG Income Portfolio                                   | IG Managed Payout Portfolio                             | MB           | No         |                   |
| IG Income Portfolio – Growth                          | IG Managed Payout Portfolio with Growth                 | MB           | No         |                   |
| IG Income Portfolio – Growth Plus                     | IG Managed Payout Portfolio with Enhanced Growth        | MB           | No         |                   |
| IG JPMorgan Emerging Markets Equity Fund              | IG JPMorgan Emerging Markets Fund II                    | MB           | No         |                   |
| IG Low Volatility Portfolio – Balanced                | IG Managed Risk Portfolio - Balanced                    | MB           | No         |                   |
| IG Low Volatility Portfolio – Growth                  | IG Managed Risk Portfolio - Growth Focus                | MB           | No         |                   |
| IG Low Volatility Portfolio – Income Balanced         | IG Managed Risk Portfolio - Income Balanced             | MB           | No         |                   |
| IG Low Volatility Portfolio – Income Focus            | IG Managed Risk Portfolio - Income Focus                | MB           | No         |                   |
| IG Mackenzie Betterworld SRI Fund                     | IG Mackenzie Summa SRI Fund                             | MB           | No         |                   |
| IG Mackenzie Canadian Bond Fund                       | IG MacKenzie Income Fund                                | MB           | No         |                   |
| IG Mackenzie Canadian Corporate Bond Fund             | Investors Canadian Corporate Bond Fund                  | MB           | No         |                   |
| IG Mackenzie Canadian Dividend Fund                   | IG Mackenzie Canadian Dividend & Income Equity Fund     | MB           | No         |                   |
| IG Mackenzie Canadian Equity Fund                     | IG MacKenzie Dividend Growth Fund                       | MB           | No         |                   |
| IG Mackenzie Canadian Money Market Fund               | Investors Canadian Money Market Fund                    | MB           | No         |                   |
| IG Mackenzie Canadian Small Cap Fund                  | IG Mackenzie Canadian Small/Mid Cap Fund                | MB           | No         |                   |
| IG Mackenzie Dividend Fund                            | Investors Dividend Fund                                 | MB           | No         |                   |
| IG Mackenzie European Equity Fund                     | Investors European Equity Fund                          | MB           | No         |                   |
| IG Mackenzie European Mid-Cap Equity Fund             | Investors European Mid-Cap Equity Fund                  | MB           | No         |                   |
| IG Mackenzie Floating Rate Income Fund                |                                                         | MB           | No         |                   |
| IG Mackenzie Global Consumer Companies Fund           |                                                         | MB           | No         |                   |
| IG Mackenzie Global Dividend Fund                     | Investors Global Dividend Fund                          | MB           | No         |                   |
| IG Mackenzie Global Financial Services Fund           | Investors Global Financial Services Fund                | MB           | No         |                   |
| IG Mackenzie Global Fund                              | Investors Global Fund                                   | MB           | No         |                   |
| IG Mackenzie Global Fund II                           | IG AGF Global Equity Fund                               | MB           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                  | Previous Name                                  | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------------------|------------------------------------------------|--------------|------------|-------------------|
| IG Mackenzie Global Health Care Fund                         |                                                | MB           | No         |                   |
| IG Mackenzie Global Infrastructure Fund                      |                                                | MB           | No         |                   |
| IG Mackenzie Global Precious Metals Fund                     |                                                | MB           | No         |                   |
| IG Mackenzie Global Resource Fund                            | IG Mackenzie Global Natural Resources Fund     | MB           | No         |                   |
| IG Mackenzie Global Resources Fund II                        | IG Mackenzie Global Natural Resources Fund II  | MB           | No         |                   |
| IG Mackenzie Global Science & Technology Fund                | Investors Global Science & Technology Fund     | MB           | No         |                   |
| IG Mackenzie Global Science & Technology Fund II             |                                                | MB           | No         |                   |
| IG Mackenzie GQE U.S. Small-Mid Cap Equity Fund              | IG Mackenzie U.S. Small-Mid Cap Growth Fund    | MB           | No         |                   |
| IG Mackenzie GQE U.S. Small-Mid Cap Equity Fund II           | IG Mackenzie U.S. Small-Mid Cap Growth Fund II | MB           | No         |                   |
| IG Mackenzie International Small Cap Fund                    | Investors International Small Cap Fund         | MB           | No         |                   |
| IG Mackenzie Mortgage and Short Term Income Fund             | Investors Mortgage and Short Term Income Fund  | MB           | No         |                   |
| IG Mackenzie Mutual of Canada                                | Investors Mutual of Canada                     | MB           | No         |                   |
| IG Mackenzie North American Corporate Bond Fund              | IG Mackenzie High Yield Fixed Income Fund      | MB           | No         |                   |
| IG Mackenzie North American Equity Fund                      | Investors North American Equity Fund           | MB           | No         |                   |
| IG Mackenzie Pacific International Fund                      | Investors Pacific International Fund           | MB           | No         |                   |
| IG Mackenzie Pacific International Fund II                   |                                                | MB           | No         |                   |
| IG Mackenzie Pan Asian Equity Fund                           | Investors Pan Asian Growth Fund                | MB           | No         |                   |
| IG Mackenzie Real Property Fund                              | Investors Real Property Fund                   | MB           | No         |                   |
| IG Mackenzie Strategic Income Fund                           | IG Mackenzie Sentinel Strategic Income Fund    | MB           | No         |                   |
| IG Mackenzie U.S. Dividend Registered Fund                   | Investors U.S. Dividend Registered Fund        | MB           | No         |                   |
| IG Mackenzie U.S. Dollar Fund - Global Equity                |                                                | MB           | No         |                   |
| IG Mackenzie U.S. Dollar Fund - Global Equity Balanced       |                                                | MB           | No         |                   |
| IG Mackenzie U.S. Dollar Fund - Global Fixed Income Balanced |                                                | MB           | No         |                   |
| IG Mackenzie U.S. Dollar Fund - Global Neutral Balanced      |                                                | MB           | No         |                   |
| IG Mackenzie U.S. Equity Fund                                | IG Mackenzie Core U.S. Equity Fund             | MB           | No         |                   |
| IG Mackenzie U.S. Money Market Fund                          | Investors U.S. Money Market Fund               | MB           | No         |                   |
| IG Manulife Strategic Income Fund                            |                                                | MB           | No         |                   |
| IG PIMCO Global Bond Fund                                    |                                                | MB           | No         |                   |
| IG Putnam U.S. Growth Fund                                   |                                                | MB           | No         |                   |
| IG Putnam U.S. High Yield Income Fund                        |                                                | MB           | No         |                   |
| IG Strategic Wealth - European Equity Pool                   |                                                | MB           | No         |                   |
| IG Strategic Wealth - Global Core Fixed Income Pool          |                                                | MB           | No         |                   |
| IG Strategic Wealth - Global Managed Beta Pool               |                                                | MB           | No         |                   |
| IG Strategic Wealth - Global Small Cap Equity Pool           |                                                | MB           | No         |                   |
| IG T. Rowe Price U.S. Large Cap Equity Fund                  | IG FI U.S. Large Cap Equity Fund               | MB           | No         |                   |
| IG Target Education 2030 Portfolio                           |                                                | MB           | No         |                   |
| IG Target Education 2035 Portfolio                           |                                                | MB           | No         |                   |
| IG Target Education 2040 Portfolio                           |                                                | MB           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                               | Previous Name                                | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------|----------------------------------------------|--------------|------------|-------------------|
| IG U.S. Taxpayer Portfolio - Global Equity                |                                              | MB           | No         |                   |
| IG U.S. Taxpayer Portfolio - Global Equity Balanced       |                                              | MB           | No         |                   |
| IG U.S. Taxpayer Portfolio - Global Fixed Income Balanced |                                              | MB           | No         |                   |
| IG U.S. Taxpayer Portfolio - Global Neutral Balanced      |                                              | MB           | No         |                   |
| IGM Financial Inc.                                        | Investors Group Inc.                         | MB           | No         |                   |
| illumin Holdings Inc.                                     | AcuityAds Holdings Inc.                      | ON           | No         |                   |
| IM Cannabis Corp.                                         |                                              | BC           | No         |                   |
| Image Sculpting International Inc.                        |                                              | ON           | No         |                   |
| IMarketing Solutions Group Inc.                           | Xentel DM Incorporated                       | ON           | No         |                   |
| IMAX Corporation                                          |                                              | ON           | No         |                   |
| Impax Energy Services Income Trust*                       |                                              | AB           | Yes        | 1a,1b,1c,1d,1e,3  |
| Imperial Canadian Bond Pool                               | CIBC Trust Canadian Bond Pool                | ON           | No         |                   |
| Imperial Canadian Diversified Income Pool                 | Imperial Canadian Dividend Pool              | ON           | No         |                   |
| Imperial Canadian Dividend Income Pool                    |                                              | ON           | No         |                   |
| Imperial Canadian Equity Pool                             | CIBC Trust Canadian Equity Pool              | ON           | No         |                   |
| Imperial Emerging Economies Pool                          |                                              | ON           | No         |                   |
| Imperial Equity High Income Pool                          | Imperial Canadian Income Trust Pool          | ON           | No         |                   |
| Imperial Global Equity Income Pool                        |                                              | ON           | No         |                   |
| Imperial International Bond Pool                          |                                              | ON           | No         |                   |
| Imperial International Equity Pool                        | CIBC Trust International Equity Pool         | ON           | No         |                   |
| Imperial Money Market Pool                                | CIBC Trust Money Market Pool                 | ON           | No         |                   |
| Imperial Oil Limited                                      |                                              | AB           | No         |                   |
| Imperial Overseas Equity Pool                             | Tal Private International Equity Fund        | ON           | No         |                   |
| Imperial Short-Term Bond Pool                             | Imperial Short-Term Bond Fund                | ON           | No         |                   |
| Imperial U.S. Equity Pool                                 | CIBC Trust U.S. Equity Pool                  | ON           | No         |                   |
| IMRIS Inc.                                                |                                              | ON           | Yes        | 1b,1c,1e          |
| IMV Inc.                                                  | ImmunoVaccine Inc.                           | NS           | Yes        | 1b,1c,1e          |
| Income 100 Fund                                           | Conservative Income Fund                     | ON           | No         |                   |
| Income 20/80 Fund                                         | Diversified Income Fund                      | ON           | No         |                   |
| Income 40/60 Fund                                         | Balanced Income Fund                         | ON           | No         |                   |
| Income Balanced Pool                                      | Income Balanced Fund                         | ON           | No         |                   |
| Income Financial Trust*                                   | International Financial Services Yield Trust | ON           | No         |                   |
| Indiva Limited                                            |                                              | ON           | Yes        | 1b,1c,1e          |
| INEO Tech Corp.                                           |                                              | BC           | No         |                   |
| Infinico Metals Corp.                                     | Burin Gold Corp.                             | BC           | No         |                   |
| Infrastructure Dividend Split Corp.*                      |                                              | ON           | No         |                   |
| InnoCan Pharma Corporation                                |                                              | AB           | No         |                   |
| Innovative Composites International Inc.                  |                                              | ON           | No         |                   |
| Inovalis Real Estate Investment Trust                     |                                              | ON           | No         |                   |
| InPlay Oil Corp.                                          | Anderson Energy Inc.                         | AB           | No         |                   |
| Inscape Corporation                                       | Office Specialty Inc.                        | ON           | Yes        | 1b,1c,1e          |
| Intact Financial Corporation                              | ING Canada Inc.                              | ON           | No         |                   |
| Integra Resources Corp.                                   | Mag Copper Limited                           | BC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                | Previous Name                                           | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------|---------------------------------------------------------|--------------|------------|-------------------|
| IntelliPharmaCeutics International Inc.                    |                                                         | ON           | Yes        | 1a,1c,1d,1e,3     |
| Inter Pipeline Ltd.                                        |                                                         | AB           | No         |                   |
| Intercure Ltd.                                             |                                                         | ON           | No         |                   |
| Interfor Corporation                                       | International Forest Products Limited                   | BC           | No         |                   |
| Interlock Consolidated Enterprises Inc.                    | Dunfrazier Gold Explorations Inc                        | ON           | Yes        | 1a,1b,3           |
| Intermap Technologies Corporation                          |                                                         | AB           | No         |                   |
| International Equity Alpha Corporate Class                 |                                                         | ON           | No         |                   |
| International Equity Alpha Pool                            |                                                         | ON           | No         |                   |
| International Equity Fund                                  | EAFE Equity Fund                                        | ON           | No         |                   |
| International Equity Growth Corporate Class                |                                                         | ON           | No         |                   |
| International Equity Growth Pool                           | Assante International Equity Growth Pool                | ON           | No         |                   |
| International Equity Managed Class                         |                                                         | ON           | No         |                   |
| International Equity Managed Pool                          |                                                         | ON           | No         |                   |
| International Equity Value Corporate Class                 |                                                         | ON           | No         |                   |
| International Equity Value Currency Hedged Corporate Class |                                                         | ON           | No         |                   |
| International Equity Value Currency Hedged Pool            |                                                         | ON           | No         |                   |
| International Equity Value Pool                            | Optima Strategy International Equity Value Pool         | ON           | No         |                   |
| International Financial Income and Growth Trust*           |                                                         | ON           | No         |                   |
| InterRent Real Estate Investment Trust                     |                                                         | ON           | No         |                   |
| Intouch Insight Ltd.                                       | In-Touch Survey Systems Ltd.                            | ON           | No         |                   |
| Intrepid Metals Corp.                                      | Voleo Trading Systems Inc.                              | BC           | No         |                   |
| Inverpower Controls LTD.                                   |                                                         | ON           | No         |                   |
| Invesco 1-5 Year Laddered Corporate Bond Index ETF Fund    | PowerShares 1-5 Year Laddered Corporate Bond Index Fund | ON           | No         |                   |
| Invesco American Franchise Class                           | Invesco U.S. Companies Class                            | ON           | No         |                   |
| Invesco American Franchise Fund                            | Invesco U.S. Companies Fund                             | ON           | No         |                   |
| Invesco Balanced ETF Portfolio                             | Balanced Portfolio                                      | ON           | No         |                   |
| Invesco Balanced Growth Portfolio                          | Invesco Intactive Balanced Growth Portfolio             | ON           | No         |                   |
| Invesco Balanced Growth Portfolio Class                    | Invesco Intactive Balanced Growth Portfolio Class       | ON           | No         |                   |
| Invesco Balanced Income Portfolio                          | Invesco Intactive Balanced Income Portfolio             | ON           | No         |                   |
| Invesco Balanced Income Portfolio Class                    | Invesco Intactive Balanced Income Portfolio Class       | ON           | No         |                   |
| Invesco Canada Money Market Fund                           | AIM Canada Money Market Fund                            | ON           | No         |                   |
| Invesco Canadian Core Plus Bond ETF                        |                                                         | ON           | No         |                   |
| Invesco Canadian Core Plus Bond Fund                       | Invesco Canadian Bond Fund                              | ON           | No         |                   |
| Invesco Canadian Dividend Index ETF Class                  | PowerShares Canadian Dividend Index Class               | ON           | No         |                   |
| Invesco Canadian Premier Balanced Class                    | Invesco Core Canadian Balanced Class                    | ON           | No         |                   |
| Invesco Canadian Premier Balanced Fund                     | Invesco Canadian Balanced Fund                          | ON           | No         |                   |
| Invesco Conservative ETF Portfolio                         | Conservative Portfolio                                  | ON           | No         |                   |
| Invesco Developing Markets Class                           | Invesco Emerging Markets Class                          | ON           | No         |                   |
| Invesco Developing Markets Fund                            | Invesco Emerging Markets Fund                           | ON           | No         |                   |
| Invesco Diversified Income Portfolio                       | Invesco Intactive Diversified Income Portfolio          | ON           | No         |                   |
| Invesco Diversified Income Portfolio Class                 | Invesco Intactive Diversified Income Portfolio Class    | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                       | Previous Name                                    | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------|--------------------------------------------------|--------------|------------|-------------------|
| Invesco EQV Canadian Premier Equity Class         | Invesco Canadian Premier Growth Class            | ON           | No         |                   |
| Invesco EQV Canadian Premier Equity Fund          | Invesco Canadian Premier Growth Fund             | ON           | No         |                   |
| Invesco EQV International Equity Class            | Invesco International Growth Class               | ON           | No         |                   |
| Invesco EQV International Equity Fund             | Invesco International Growth Fund                | ON           | No         |                   |
| Invesco ESG Canadian Core Plus Bond ETF           | Invesco Tactical Bond ETF                        | ON           | No         |                   |
| Invesco ESG Canadian Core Plus Bond ETF Fund      | Invesco Tactical Bond ETF Fund                   | ON           | No         |                   |
| Invesco European Equity Class                     | Invesco EQV European Equity Class                | ON           | No         |                   |
| Invesco European Equity Fund                      | Invesco EQV European Equity Fund                 | ON           | No         |                   |
| Invesco Floating Rate Income Fund                 | Trimark Floating Rate Income Fund                | ON           | No         |                   |
| Invesco Global Balanced Class                     | Trimark Global Balanced Class                    | ON           | No         |                   |
| Invesco Global Balanced ESG ETF Fund              |                                                  | ON           | No         |                   |
| Invesco Global Balanced Fund                      | Trimark Global Balanced Fund                     | ON           | No         |                   |
| Invesco Global Bond ETF                           |                                                  | ON           | No         |                   |
| Invesco Global Bond Fund                          |                                                  | ON           | No         |                   |
| Invesco Global Companies Fund                     | Trimark Fund                                     | ON           | No         |                   |
| Invesco Global Diversified Income Fund            | Trimark Global Diversified Income Fund           | ON           | No         |                   |
| Invesco Global Dividend Class                     | Trimark Global Dividend Class                    | ON           | No         |                   |
| Invesco Global Dividend ESG ETF Fund              | Invesco Global Dividend Achievers ETF Fund       | ON           | No         |                   |
| Invesco Global Equity Income Advantage Fund       |                                                  | ON           | No         |                   |
| Invesco Global Opportunities Class                | Invesco Global Small Companies Class             | ON           | No         |                   |
| Invesco Global Select Equity Class                | Invesco Global Diversified Companies Class       | ON           | No         |                   |
| Invesco Global Select Equity Fund                 | Invesco Global Diversified Companies Fund        | ON           | No         |                   |
| Invesco Growth ETF Portfolio                      | Growth Portfolio                                 | ON           | No         |                   |
| Invesco Growth Portfolio                          | Invesco Intactive Growth Portfolio               | ON           | No         |                   |
| Invesco Growth Portfolio Class                    | Invesco Intactive Growth Portfolio Class         | ON           | No         |                   |
| Invesco International Growth Class                | Invesco Oppenheimer International Growth Class   | ON           | No         |                   |
| Invesco International Growth Fund                 | Invesco Oppenheimer International Growth Fund    | ON           | No         |                   |
| Invesco Main Street U.S. Small Cap Class          | Invesco U.S. Small Companies Class               | ON           | No         |                   |
| Invesco Maximum Growth Portfolio                  | Invesco Intactive Maximum Growth Portfolio       | ON           | No         |                   |
| Invesco Maximum Growth Portfolio Class            | Invesco Intactive Maximum Growth Portfolio Class | ON           | No         |                   |
| Invesco Monthly Income ETF Portfolio              | PowerShares Monthly Income Fund                  | ON           | No         |                   |
| Invesco NASDAQ 100 Income Advantage ETF           |                                                  | ON           | No         |                   |
| Invesco NASDAQ 100 Index ETF Fund                 |                                                  | ON           | No         |                   |
| Invesco RAFI Canadian Index ETF Class             | Invesco FTSE RAFI Canadian Index ETF Class       | ON           | No         |                   |
| Invesco RAFI Global+ ETF Fund                     | Invesco FTSE RAFI Global+ ETF Fund               | ON           | No         |                   |
| Invesco RAFI U.S. ETF Fund                        | Invesco FTSE RAFI U.S. ETF Fund                  | ON           | No         |                   |
| Invesco S&P 500 Equal Weight Income Advantage ETF |                                                  | ON           | No         |                   |
| Invesco S&P 500 ESG Index ETF Fund                |                                                  | ON           | No         |                   |
| Invesco Strategic Yield Fund                      | Investco Intactive Strategic Yield Portfolio     | ON           | No         |                   |
| Invesco Unconstrained Bond Fund                   | Invesco Active Multi-Sector Credit Fund          | ON           | No         |                   |
| Invesque Inc.                                     | Mainstreet Health Investments Inc.               | ON           | No         |                   |
| Iona Energy Inc                                   |                                                  | AB           | Yes        | 1a,1b,1c,1e       |

## Nova Scotia Securities Commission

| Issuer Name                                                            | Previous Name                                       | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------------------|-----------------------------------------------------|--------------|------------|-------------------|
| Ionik Corporation                                                      | PopReach Corporation                                | ON           | No         |                   |
| iProfile Active Allocation Private Pool I                              |                                                     | MB           | No         |                   |
| iProfile Active Allocation Private Pool II                             |                                                     | MB           | No         |                   |
| iProfile Active Allocation Private Pool III                            |                                                     | MB           | No         |                   |
| iProfile Active Allocation Private Pool IV                             |                                                     | MB           | No         |                   |
| iProfile Alternatives Private Pool                                     |                                                     | MB           | No         |                   |
| iProfile Canadian Dividend and Income Equity Private Pool              |                                                     | MB           | No         |                   |
| iProfile Canadian Equity Private Pool                                  | iProfile Canadian Equity Pool                       | MB           | No         |                   |
| iProfile Emerging Markets Private Pool                                 | iProfile Emerging Markets Pool                      | MB           | No         |                   |
| iProfile Enhanced Monthly Income Portfolio – Canadian Fixed Income     |                                                     | MB           | No         |                   |
| iProfile Enhanced Monthly Income Portfolio – Canadian Neutral Balanced |                                                     | MB           | No         |                   |
| iProfile ETF Private Pool                                              |                                                     | MB           | No         |                   |
| iProfile Fixed Income Private Pool                                     | iProfile Fixed Income Pool                          | MB           | No         |                   |
| iProfile International Equity Private Pool                             | iProfile International Equity Pool                  | MB           | No         |                   |
| iProfile Low Volatility Private Pool                                   |                                                     | MB           | No         |                   |
| iProfile Portfolio - Global Equity                                     |                                                     | MB           | No         |                   |
| iProfile Portfolio - Global Equity Balanced                            |                                                     | MB           | No         |                   |
| iProfile Portfolio - Global Fixed Income Balanced                      |                                                     | MB           | No         |                   |
| iProfile Portfolio - Global Neutral Balanced                           |                                                     | MB           | No         |                   |
| iProfile U.S. Equity Private Pool                                      | iProfile U.S. Equity Pool                           | MB           | No         |                   |
| Irving Resources Inc.                                                  |                                                     | BC           | No         |                   |
| ISE Limited                                                            |                                                     | ON           | No         |                   |
| iShares 0-5 Year TIPS Bond Index ETF                                   |                                                     | ON           | No         |                   |
| iShares 0-5 Year TIPS Bond Index ETF (CAD-Hedged)                      |                                                     | ON           | No         |                   |
| iShares 1-10 Year Laddered Corporate Bond Index ETF                    | iShares 1-10 Yr Laddered Corporate Bond Index Fund  | ON           | No         |                   |
| iShares 1-10 Year Laddered Government Bond Index ETF                   | iShares 1-10 Yr Laddered Government Bond Index Fund | ON           | No         |                   |
| iShares 1-5 Year Laddered Corporate Bond Index ETF                     | iShares 1-5 Year Laddered Corporate Bond Index Fund | ON           | No         |                   |
| iShares 1-5 year Laddered Government Bond Index ETF                    | iShares 1-5 Yr Laddered Government Bond Index Fund  | ON           | No         |                   |
| iShares 1-5 Year U.S. IG Corporate Bond Index ETF                      |                                                     | ON           | No         |                   |
| iShares 1-5 Year U.S. IG Corporate Bond Index ETF (CAD-Hedged)         |                                                     | ON           | No         |                   |
| iShares 20+ Year U.S. Treasury Bond Index ETF                          |                                                     | ON           | No         |                   |
| iShares 20+ Year U.S. Treasury Bond Index ETF (CAD-Hedged)             |                                                     | ON           | No         |                   |
| iShares A.I. Innovation and Tech ETF                                   |                                                     | ON           | No         |                   |
| iShares Bitcoin ETF*                                                   |                                                     | ON           | No         |                   |
| iShares Canadian Financial Monthly Income ETF                          | iShares Canadian Financial Monthly Income Fund      | ON           | No         |                   |
| iShares Canadian Fundamental Index ETF                                 | iShares Canadian Fundamental Index Fund             | ON           | No         |                   |
| iShares Canadian Growth Index ETF                                      | iShares Dow Jones Canada Select Growth Index Fund   | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                      | Previous Name                                                     | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------------|-------------------------------------------------------------------|--------------|------------|-------------------|
| iShares Canadian HYBRID Corporate Bond Index ETF                 | iShares DEX HYBRID Bond Index Fund                                | ON           | No         |                   |
| iShares Canadian Real Return Bond Index ETF                      | iShares DEX Real Return Bond Index Fund                           | ON           | No         |                   |
| iShares Canadian Select Dividend Index ETF                       | iShares Dow Jones Canada Select Dividend Index Fund               | ON           | No         |                   |
| iShares Canadian Value Index ETF                                 | iShares Dow Jones Canada Select Value Index Fund                  | ON           | No         |                   |
| iShares China Index ETF                                          | iShares China Index Fund                                          | ON           | No         |                   |
| iShares Conservative Short Term Strategic Fixed Income ETF       |                                                                   | ON           | No         |                   |
| iShares Conservative Strategic Fixed Income ETF                  |                                                                   | ON           | No         |                   |
| iShares Convertible Bond Index ETF                               | iShares Advantaged Convertible Bond Index Fund                    | ON           | No         |                   |
| iShares Core Balanced ETF Portfolio                              | iShares Balanced Income CorePortfolio TM Fund                     | ON           | No         |                   |
| iShares Core Canadian 15+ Year Federal Bond Index ETF            |                                                                   | ON           | No         |                   |
| iShares Core Canadian Corporate Bond Index ETF                   | iShares Canadian Corporate Bond Index ETF                         | ON           | No         |                   |
| iShares Core Canadian Government Bond Index ETF                  | iShares Canadian Government Bond Index ETF                        | ON           | No         |                   |
| iShares Core Canadian Long Term Bond Index ETF                   | iShares Canadian Long Term Bond Index ETF                         | ON           | No         |                   |
| iShares Core Canadian Short Term Bond Index ETF                  | iShares Canadian Short Term Bond Index ETF                        | ON           | No         |                   |
| iShares Core Canadian Short Term Corporate Bond Index ETF        | iShares Core Canadian Short Term Corporate + Maple Bond Index ETF | ON           | No         |                   |
| iShares Core Canadian Short-Mid Term Universe Bond Index ETF     |                                                                   | ON           | No         |                   |
| iShares Core Canadian Universe Bond Index ETF                    | iShares Canadian Universe Bond Index ETF                          | ON           | No         |                   |
| iShares Core Conservative Balanced ETF Portfolio                 |                                                                   | ON           | No         |                   |
| iShares Core Equity ETF Portfolio                                |                                                                   | ON           | No         |                   |
| iShares Core Growth ETF Portfolio                                | iShares Balanced Growth CorePortfolio TM Fund                     | ON           | No         |                   |
| iShares Core Income Balanced ETF Portfolio                       |                                                                   | ON           | No         |                   |
| iShares Core MSCI All Country World ex Canada Index ETF          |                                                                   | ON           | No         |                   |
| iShares Core MSCI All-International Equity Index ETF             |                                                                   | ON           | No         |                   |
| iShares Core MSCI Canadian Quality Dividend Index ETF            |                                                                   | ON           | No         |                   |
| iShares Core MSCI EAFE IMI Index ETF                             | iShares MSCI EAFE IMI Index ETF                                   | ON           | No         |                   |
| iShares Core MSCI EAFE IMI Index ETF (CAD-Hedged)                |                                                                   | ON           | No         |                   |
| iShares Core MSCI Emerging Markets IMI Index ETF                 | iShares MSCI Emerging Markets IMI Index ETF                       | ON           | No         |                   |
| iShares Core MSCI Global Quality Dividend Index ETF              |                                                                   | ON           | No         |                   |
| iShares Core MSCI Global Quality Dividend Index ETF (CAD-Hedged) |                                                                   | ON           | No         |                   |
| iShares Core MSCI US Quality Dividend Index ETF                  |                                                                   | ON           | No         |                   |
| iShares Core MSCI US Quality Dividend Index ETF (CAD-Hedged)     |                                                                   | ON           | No         |                   |
| iShares Core S&P 500 Index ETF                                   | iShares S&P 500 Index ETF                                         | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                     | Previous Name                                         | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------------|-------------------------------------------------------|--------------|------------|-------------------|
| iShares Core S&P 500 Index ETF (CAD-Hedged)                     | iShares S&P 500 Index Fund (CAD-Hedged)               | ON           | No         |                   |
| iShares Core S&P Total U.S. Stock Market Index ETF              |                                                       | ON           | No         |                   |
| iShares Core S&P Total U.S. Stock Market Index ETF (CAD-Hedged) |                                                       | ON           | No         |                   |
| iShares Core S&P U.S. Total Market Index ETF                    | iShares Core S&P U.S. Total market Index ETF          | ON           | No         |                   |
| iShares Core S&P U.S. Total Market Index ETF (CAD-Hedged)       |                                                       | ON           | No         |                   |
| iShares Core S&P/TSX Capped Composite Index ETF                 | iShares S&P/TSX Capped Composite Index Fund           | ON           | No         |                   |
| iShares Cybersecurity and Tech Index ETF                        |                                                       | ON           | No         |                   |
| iShares Diversified Monthly Income ETF                          | iShares Diversified Monthly Income Fund               | ON           | No         |                   |
| iShares Emerging Markets Fundamental Index ETF                  | iShares Broad Emerging Markets Fund                   | ON           | No         |                   |
| iShares Equal Weight Banc & Lifeco ETF                          | iShares Equal Weight Banc & Lifeco Fund               | ON           | No         |                   |
| iShares Equity + Bitcoin ETF Portfolio                          |                                                       | ON           | No         |                   |
| iShares ESG Advanced 1-5 Year Canadian Corporate Bond Index ETF |                                                       | ON           | No         |                   |
| iShares ESG Advanced Canadian Corporate Bond Index ETF          |                                                       | ON           | No         |                   |
| iShares ESG Advanced MSCI Canada Index ETF                      | iShares ESG MSCI Canada Advanced Index ETF            | ON           | No         |                   |
| iShares ESG Advanced MSCI EAFE Index ETF                        | iShares ESG MSCI EAFE Advanced Index ETF              | ON           | No         |                   |
| iShares ESG Advanced MSCI USA Index ETF                         | iShares ESG MSCI USA Advanced Index ETF               | ON           | No         |                   |
| iShares ESG Aware Canadian Aggregate Bond Index ETF             | iShares ESG Canadian Aggregate Bond Index ETF         | ON           | No         |                   |
| iShares ESG Aware Canadian Short Term Bond Index ETF            | iShares ESG Canadian Short Term Bond Index ETF        | ON           | No         |                   |
| iShares ESG Aware MSCI Canada Index ETF                         | iShares ESG MSCI Canada Index ETF                     | ON           | No         |                   |
| iShares ESG Aware MSCI EAFE Index ETF                           | iShares ESG MSCI EAFE Index ETF                       | ON           | No         |                   |
| iShares ESG Aware MSCI Emerging Markets Index ETF               | iShares ESG MSCI Emerging Markets Index ETF           | ON           | No         |                   |
| iShares ESG Aware MSCI USA Index ETF                            | iShares ESG MSCI USA Index ETF                        | ON           | No         |                   |
| iShares ESG Balanced ETF Portfolio                              |                                                       | ON           | No         |                   |
| iShares ESG Conservative Balanced ETF Portfolio                 |                                                       | ON           | No         |                   |
| iShares ESG Equity ETF Portfolio                                |                                                       | ON           | No         |                   |
| iShares ESG Growth ETF Portfolio                                |                                                       | ON           | No         |                   |
| iShares Exponential Technologies Index ETF                      |                                                       | ON           | No         |                   |
| iShares Flexible Monthly Income ETF                             |                                                       | ON           | No         |                   |
| iShares Flexible Monthly Income ETF (CAD-Hedged)                |                                                       | ON           | No         |                   |
| iShares Floating Rate Index ETF                                 | iShares DEX Floating Rate Note Index Fund             | ON           | No         |                   |
| iShares Genomics Immunology and Healthcare Index ETF            |                                                       | ON           | No         |                   |
| iShares Global Agriculture Index ETF                            | iShares Global Agriculture Index Fund                 | ON           | No         |                   |
| iShares Global Clean Energy Index ETF                           |                                                       | ON           | No         |                   |
| iShares Global Electric and Autonomous Vehicles Index ETF       |                                                       | ON           | No         |                   |
| iShares Global Government Bond Index ETF (CAD-Hedged)           | RBC Global Government Bond (CAD Hedged) Index ETF     | ON           | No         |                   |
| iShares Global Healthcare Index ETF (CAD-Hedged)                | iShares S&P Global Healthcare Index Fund (CAD-Hedged) | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                          | Previous Name                                                         | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------------------|-----------------------------------------------------------------------|--------------|------------|-------------------|
| iShares Global Infrastructure Index ETF                              | iShares Global Infrastructure Index Fund                              | ON           | No         |                   |
| iShares Global Monthly Dividend Index ETF (CAD-Hedged)               | iShares Global Monthly Advantaged Dividend Index Fund                 | ON           | No         |                   |
| iShares Global Real Estate Index ETF                                 | iShares Global Real Estate Index Fund                                 | ON           | No         |                   |
| iShares Global Water Index ETF                                       | iShares S&P Global Water Index ETF                                    | ON           | No         |                   |
| iShares Gold Bullion ETF                                             | Claymore Gold Bullion ETF                                             | ON           | No         |                   |
| iShares High Quality Canadian Bond Index ETF                         | iShares Core High Quality Canadian Bond Index ETF                     | ON           | No         |                   |
| iShares India Index ETF                                              | iShares CNX Nifty India Index ETF                                     | ON           | No         |                   |
| iShares International Fundamental Index ETF                          | iShares International Fundamental Index Fund                          | ON           | No         |                   |
| iShares J.P. Morgan USD Emerging Markets Bond Index ETF (CAD-Hedged) | iShares J.P. Morgan USD Emerging Markets Bond Index Fund (CAD-Hedged) | ON           | No         |                   |
| iShares Jantzi Social Index ETF                                      | iShares Jantzi Social Index Fund                                      | ON           | No         |                   |
| iShares Japan Fundamental Index ETF (CAD-Hedged)                     | iShares Japan Fundamental Index Fund (CAD-Hedged)                     | ON           | No         |                   |
| iShares MSCI EAFE Index ETF (CAD-Hedged)                             | iShares MSCI EAFE Index Fund (CAD-Hedged)                             | ON           | No         |                   |
| iShares MSCI Emerging Markets ex China Index ETF                     |                                                                       | ON           | No         |                   |
| iShares MSCI Emerging Markets Index ETF                              | iShares MSCI Emerging Markets Index Fund                              | ON           | No         |                   |
| iShares MSCI Europe IMI Index ETF                                    |                                                                       | ON           | No         |                   |
| iShares MSCI Europe IMI Index ETF (CAD-Hedged)                       |                                                                       | ON           | No         |                   |
| iShares MSCI Min Vol Canada Index ETF                                | iShares Edge MSCI Min Vol Canada Index ETF                            | ON           | No         |                   |
| iShares MSCI Min Vol EAFE Index ETF                                  | iShares Edge MSCI Min Vol EAFE Index ETF                              | ON           | No         |                   |
| iShares MSCI Min Vol EAFE Index ETF (CAD-Hedged)                     | iShares Edge MSCI Min Vol EAFE Index ETF (CAD-Hedged)                 | ON           | No         |                   |
| iShares MSCI Min Vol Emerging Markets Index ETF                      | iShares Edge MSCI Min Vol Emerging Markets Index ETF                  | ON           | No         |                   |
| iShares MSCI Min Vol Global Index ETF                                | iShares Edge MSCI Min Vol Global Index ETF                            | ON           | No         |                   |
| iShares MSCI Min Vol Global Index ETF (CAD-Hedged)                   | iShares Edge MSCI Min Vol Global Index ETF (CAD-Hedged)               | ON           | No         |                   |
| iShares MSCI Min Vol USA Index ETF                                   | iShares Edge MSCI Min Vol USA Index ETF                               | ON           | No         |                   |
| iShares MSCI Min Vol USA Index ETF (CAD-Hedged)                      | iShares Edge MSCI Min Vol USA Index ETF (CAD-Hedged)                  | ON           | No         |                   |
| iShares MSCI USA Momentum Factor Index ETF                           |                                                                       | ON           | No         |                   |
| iShares MSCI USA Quality Factor Index ETF                            |                                                                       | ON           | No         |                   |
| iShares MSCI USA Value Factor Index ETF                              |                                                                       | ON           | No         |                   |
| iShares MSCI World Index ETF                                         | iShares MSCI World Index Fund                                         | ON           | No         |                   |
| iShares NASDAQ 100 Index ETF                                         |                                                                       | ON           | No         |                   |
| iShares NASDAQ 100 Index ETF (CAD-Hedged)                            | iShares NASDAQ 100 Index Fund (CAD-Hedged)                            | ON           | No         |                   |
| iShares Premium Money Market ETF                                     | iShares Premium Money Market Fund                                     | ON           | No         |                   |
| iShares S&P 500 3% Capped Index ETF                                  |                                                                       | ON           | No         |                   |
| iShares S&P 500 3% Capped Index ETF (CAD-Hedged)                     |                                                                       | ON           | No         |                   |
| iShares S&P Global Consumer Discretionary Index ETF (CAD-Hedged)     | iShares S&P Global Consumer Discretionary Index Fund (CAD-Hedged)     | ON           | No         |                   |
| iShares S&P Global Industrials Index ETF (CAD-Hedged)                | iShares S&P Global Industrials Index Fund (CAD-Hedged)                | ON           | No         |                   |
| iShares S&P U.S. Financials Index ETF                                |                                                                       | ON           | No         |                   |
| iShares S&P U.S. Mid-Cap Index ETF                                   |                                                                       | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                           | Previous Name                                                          | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------------------|------------------------------------------------------------------------|--------------|------------|-------------------|
| iShares S&P U.S. Mid-Cap Index ETF (CAD-Hedged)                       |                                                                        | ON           | No         |                   |
| iShares S&P U.S. Small-Cap Index ETF                                  |                                                                        | ON           | No         |                   |
| iShares S&P U.S. Small-Cap Index ETF (CAD-Hedged)                     |                                                                        | ON           | No         |                   |
| iShares S&P/TSX 60 Index ETF                                          | iShares S&P/TSX 60 Index Fund                                          | ON           | No         |                   |
| iShares S&P/TSX Canadian Dividend Aristocrats Index ETF               | Claymore S&P/TSX Canadian Dividend ETF                                 | ON           | No         |                   |
| iShares S&P/TSX Canadian Preferred Share Index ETF                    | iShares S&P/TSX Canadian Preferred Share Index Fund                    | ON           | No         |                   |
| iShares S&P/TSX Capped Consumer Staples Index ETF                     | iShares S&P/TSX Capped Consumer Staples Index Fund                     | ON           | No         |                   |
| iShares S&P/TSX Capped Energy Index ETF                               | iShares S&P/TSX Capped Energy Index Fund                               | ON           | No         |                   |
| iShares S&P/TSX Capped Financials Index ETF                           | iShares S&P/TSX Capped Financials Index Fund                           | ON           | No         |                   |
| iShares S&P/TSX Capped Information Technology Index ETF               | iShares S&P/TSX Capped Information Technology Index Fund               | ON           | No         |                   |
| iShares S&P/TSX Capped Materials Index ETF                            | iShares S&P/TSX Capped Materials Index Fund                            | ON           | No         |                   |
| iShares S&P/TSX Capped REIT Index ETF                                 | iShares S&P/TSX Capped REIT Index Fund                                 | ON           | No         |                   |
| iShares S&P/TSX Capped Utilities Index ETF                            | iShares S&P/TSX Capped Utilities Index Fund                            | ON           | No         |                   |
| iShares S&P/TSX Completion Index ETF                                  | iShares S&P/TSX Completion Index Fund                                  | ON           | No         |                   |
| iShares S&P/TSX Composite High Dividend Index ETF                     | iShares Core S&P/TSX Composite High Dividend Index ETF                 | ON           | No         |                   |
| iShares S&P/TSX Energy Transition Materials Index ETF                 |                                                                        | ON           | No         |                   |
| iShares S&P/TSX Global Base Metals Index ETF                          | iShares S&P/TSX Global Base Metals Index Fund                          | ON           | No         |                   |
| iShares S&P/TSX Global Gold Index ETF                                 | iShares S&P/TSX Global Gold Index Fund                                 | ON           | No         |                   |
| iShares S&P/TSX North American Preferred Stock Index ETF (CAD-Hedged) | iShares S&P/TSX North American Preferred Stock Index Fund (CAD-Hedged) | ON           | No         |                   |
| iShares S&P/TSX SmallCap Index ETF                                    | iShares S&P/TSX SmallCap Index Fund                                    | ON           | No         |                   |
| iShares Semiconductor Index ETF                                       |                                                                        | ON           | No         |                   |
| iShares Short Term Strategic Fixed Income ETF                         |                                                                        | ON           | No         |                   |
| iShares Silver Bullion ETF                                            | BlackRock Silver Bullion Fund                                          | ON           | No         |                   |
| iShares U.S. Aerospace & Defense Index ETF                            |                                                                        | ON           | No         |                   |
| iShares U.S. Aggregate Bond Index ETF                                 |                                                                        | ON           | No         |                   |
| iShares U.S. Aggregate Bond Index ETF (CAD-Hedged)                    |                                                                        | ON           | No         |                   |
| iShares U.S. High Dividend Equity Index ETF                           |                                                                        | ON           | No         |                   |
| iShares U.S. High Dividend Equity Index ETF (CAD-Hedged)              | iShares U.S. High Dividend Equity Index Fund (CAD-Hedged)              | ON           | No         |                   |
| iShares U.S. High Yield Bond Index ETF(CAD-Hedged)                    | iShares U.S. High Yield Bond Index Fund (CAD-Hedged)                   | ON           | No         |                   |
| iShares U.S. IG Corporate Bond Index ETF                              |                                                                        | ON           | No         |                   |
| iShares U.S. IG Corporate Bond Index ETF (CAD-Hedged)                 | iShares U.S. IG Corporate Bond Index Fund (CAD-Hedged)                 | ON           | No         |                   |
| iShares U.S. Small Cap Index ETF (CAD-Hedged)                         | iShares Russell 2000 Index Fund (CAD-Hedged)                           | ON           | No         |                   |
| iShares US Dividend Growers Index ETF (CAD-Hedged)                    | iShares S&P US Dividend Growers Index ETF (CAD-Hedged)                 | ON           | No         |                   |
| iShares US Fundamental Index ETF                                      | iShares US Fundamental Index Fund                                      | ON           | No         |                   |
| IsoEnergy Ltd.                                                        |                                                                        | ON           | No         |                   |
| Itafos Inc.                                                           |                                                                        | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                         | Previous Name                 | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------|-------------------------------|--------------|------------|-------------------|
| Itemus Inc.                                         | Vengold Inc.                  | BC           | No         |                   |
| Iti Education Corporation (Cease Traded)            |                               | NS           | Yes        | 1a                |
| IVACO INC                                           |                               | QC           | Yes        | 1a,1b,3           |
| Ivanhoe Electric Inc.                               |                               | BC           | No         |                   |
| Ivanhoe Energy Inc.                                 | Black Sea Energy LTD.         | BC           | No         |                   |
| Ivanhoe Mines Ltd.                                  | Ivanplats Limited             | BC           | No         |                   |
| Jade Power Trust                                    | Blockchain Power Trust        | ON           | No         |                   |
| Jaguar Mining Inc.                                  |                               | ON           | No         |                   |
| Jamieson Wellness Inc.                              |                               | ON           | No         |                   |
| Jayden Resources Inc.                               | Pinnacle Mines Ltd.           | BC           | No         |                   |
| JC Clark High Income Opportunities Fund*            |                               | ON           | No         |                   |
| Jeotex Inc.                                         | Jeotex Corporation            | ON           | No         |                   |
| Jervois Global Limited                              | Jervois Mining Limited        | BC           | Yes        | 1a,3              |
| JFT Strategies Fund*                                |                               | ON           | No         |                   |
| Jinhua Capital Corporation                          |                               | BC           | Yes        | 1a,1c,1e,3        |
| Johnson Controls International plc                  | Tyco International LTD.       | ON           | No         |                   |
| Journey Energy Inc.                                 |                               | AB           | No         |                   |
| JPMorgan - IG Emerging Markets Pool II              |                               | MB           | No         |                   |
| JPMorgan Global Select Equity Active ETF*           |                               | BC           | No         |                   |
| JPMorgan Income Active ETF*                         |                               | BC           | No         |                   |
| JPMorgan International Developed Equity Active ETF* |                               | BC           | No         |                   |
| JPMorgan Nasdaq Equity Premium Income Active ETF    |                               | BC           | No         |                   |
| JPMorgan US Bond Active ETF*                        |                               | BC           | No         |                   |
| JPMorgan US Core Active ETF*                        |                               | BC           | No         |                   |
| JPMorgan US Equity Premium Income Active ETF        |                               | BC           | No         |                   |
| JPMorgan US Growth Active ETF                       |                               | BC           | No         |                   |
| JPMorgan US Ultra-Short Income Active ETF*          |                               | BC           | No         |                   |
| JPMorgan US Value Active ETF                        |                               | BC           | No         |                   |
| Jumbo Petroleum Corporation                         | Jumbo Development Corporation | ON           | Yes        | 1a,1b,1c,1e,3     |
| Juniper Income Fund*                                | Capstone Cash Management Fund | ON           | Yes        | 1a,1c,1d,3        |
| Jura Energy Corporation                             | Proprietary Industries Inc.   | AB           | No         |                   |
| Jushi Holdings Inc.                                 |                               | ON           | No         |                   |
| K-Bro Linen Inc.                                    |                               | AB           | No         |                   |
| K92 Mining Inc.                                     |                               | BC           | No         |                   |
| Karnalyte Resources Inc.                            |                               | SK           | No         |                   |
| Katapult Technology Corp.                           |                               | AB           | Yes        | 1a,1c,1e,3        |
| KEEK SOCIAL INC.                                    | Personas Social Incorporated  | ON           | No         |                   |
| Keel Infrastructure Corp.                           |                               | ON           | No         |                   |
| Kelt Exploration Ltd.                               |                               | AB           | No         |                   |
| Kew Media Group Inc.                                |                               | ON           | No         |                   |
| Keyera Corp.                                        |                               | AB           | No         |                   |
| Khiron Life Sciences Corp.                          |                               | ON           | Yes        | 1a,1c,1e,3        |
| Kiboko Gold Inc.                                    |                               | BC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                              | Previous Name                                              | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------|------------------------------------------------------------|--------------|------------|-------------------|
| Killam Apartment Limited Partnership                     |                                                            | NS           | No         |                   |
| Killam Apartment Real Estate Investment Trust            |                                                            | NS           | No         |                   |
| Kinaxis Inc.                                             |                                                            | ON           | No         |                   |
| Kingsway Corporation                                     | Kingsway Financial Services Inc.                           | ON           | No         |                   |
| KINGWEST AVENUE PORTFOLIO                                |                                                            | ON           | No         |                   |
| Kingwest Canadian Equity Portfolio                       |                                                            | ON           | No         |                   |
| KINGWEST U.S. EQUITY PORTFOLIO                           |                                                            | ON           | No         |                   |
| Kinross Gold Corporation                                 | CMP Resources LTD                                          | ON           | No         |                   |
| Kits Eyecare Ltd.                                        |                                                            | BC           | No         |                   |
| kneat.com, inc.                                          | Fortune Bay Corp.                                          | ON           | No         |                   |
| Knight Therapeutics Inc.                                 |                                                            | QC           | No         |                   |
| Knowledge House Inc.                                     | Knowledge House Publishing Limited                         | NS           | Yes        | 1a                |
| Kolombo Technologies LTD.                                |                                                            | QC           | No         |                   |
| Kontrol Technologies Corp.                               |                                                            | ON           | No         |                   |
| Kootenay Silver Inc.                                     |                                                            | BC           | No         |                   |
| KORE Mining Ltd.                                         |                                                            | BC           | No         |                   |
| Koryx Copper S.A.                                        | Koryx Copper Inc.                                          | BC           | No         |                   |
| KP Tissue Inc.                                           |                                                            | ON           | No         |                   |
| Kraken Robotics Inc.                                     |                                                            | ON           | No         |                   |
| Krystal Bond Inc.                                        |                                                            | ON           | Yes        | 1a,1c,1d,3        |
| Kure Technologies, Inc.                                  | Unique Broadband Systems Inc.                              | ON           | Yes        | 1a,1c,1e,3        |
| LAB Research Inc.                                        |                                                            | QC           | No         |                   |
| Labrador Iron Mines Holdings Limited                     |                                                            | ON           | No         |                   |
| Labrador Iron Ore Royalty Corporation                    |                                                            | ON           | No         |                   |
| LaFleur Minerals Inc.                                    | First Responder Technologies Inc.                          | BC           | No         |                   |
| Lake Louise Limited Partnership                          |                                                            | MB           | No         |                   |
| Landmark Global Financial Corporation                    | Landmark Corporation                                       | ON           | No         |                   |
| Lanesborough Real Estate Investment Trust                |                                                            | MB           | Yes        | 1b,1c,1e          |
| Langdon Canadian Smaller Companies Portfolio             |                                                            | ON           | No         |                   |
| Langdon Global Smaller Companies Portfolio               |                                                            | ON           | No         |                   |
| Lantower Residential Real Estate Development Trust No. 1 | Lantower Residential Real Estate Development Trust (No. 1) | ON           | No         |                   |
| Lantronix, Inc.                                          |                                                            | BC           | No         |                   |
| Laramide Resources Ltd.                                  |                                                            | ON           | No         |                   |
| Largo Inc.                                               | Largo Resources Ltd.                                       | ON           | No         |                   |
| Laurentian Bank of Canada                                |                                                            | QC           | No         |                   |
| Lava Systems Inc.                                        |                                                            | ON           | Yes        | 1a,1b             |
| Lavras Gold Corp.                                        |                                                            | ON           | No         |                   |
| Lazard Defensive Global Dividend Fund                    | Lazard Global Managed Volatility Fund                      | ON           | No         |                   |
| Lazard Global Balanced Income Fund                       |                                                            | ON           | No         |                   |
| Lazard Global Compounders Fund                           | Greystone Global Equity Fund                               | ON           | No         |                   |
| Lazard International Compounders Fund                    |                                                            | ON           | No         |                   |
| Leafly Holdings, Inc.                                    | Merida Merger Corp. I                                      | ON           | No         |                   |
| Leef Brands Inc.                                         |                                                            | BC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                           | Previous Name                      | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------|------------------------------------|--------------|------------|-------------------|
| Legacy Education Savings Plan*                        | Global Educational Trust Plan      | ON           | No         |                   |
| Legend Power Systems Inc.                             |                                    | BC           | No         |                   |
| Leith Wheeler Balanced Fund                           |                                    | BC           | No         |                   |
| Leith Wheeler Canadian Dividend Fund                  |                                    | BC           | No         |                   |
| Leith Wheeler Canadian Equity Fund                    |                                    | BC           | No         |                   |
| Leith Wheeler Carbon Constrained Canadian Equity Fund |                                    | BC           | No         |                   |
| Leith Wheeler Corporate Advantage Fund                |                                    | BC           | No         |                   |
| Leith Wheeler Income Advantage Fund                   |                                    | BC           | No         |                   |
| Leith Wheeler International Equity Plus Fund          |                                    | BC           | No         |                   |
| Leith Wheeler Money Market Fund                       |                                    | BC           | No         |                   |
| Leith Wheeler Multi Credit Fund                       |                                    | BC           | No         |                   |
| Leith Wheeler Preferred Share Fund                    |                                    | BC           | No         |                   |
| Leith Wheeler U.S. Small/Mid-Cap Equity Fund          |                                    | BC           | No         |                   |
| LeoNovus Inc.                                         |                                    | ON           | No         |                   |
| Letko Brosseau Balanced Fund                          |                                    | QC           | No         |                   |
| Letko Brosseau Bond Fund                              |                                    | QC           | No         |                   |
| Letko Brosseau Canadian Equity Fund                   |                                    | QC           | No         |                   |
| Letko Brosseau Emerging Markets Equity Fund           |                                    | QC           | No         |                   |
| Letko Brosseau Infrastructure Equity Fund             |                                    | QC           | No         |                   |
| Letko Brosseau International Equity Fund              |                                    | QC           | No         |                   |
| Letko Brosseau RSP Balanced Fund                      |                                    | QC           | No         |                   |
| Letko Brosseau RSP Bond Fund                          |                                    | QC           | No         |                   |
| Levitee Labs Inc.                                     |                                    | BC           | Yes        | 1a,1c,1e,3        |
| LexaGene Holdings Inc.                                | Wolfeye Resource Corp.             | BC           | Yes        | 1a,1c,1e,3        |
| LGC Skyrota Wind Energy Corp.                         | Zenith Industries Corp.            | BC           | No         |                   |
| Li-FT Power Ltd.                                      |                                    | BC           | No         |                   |
| Liberty Defense Holdings, Ltd.                        |                                    | BC           | No         |                   |
| Liberty Gold Corp.                                    | Pilot Gold Inc.                    | BC           | No         |                   |
| Libertystream Infrastructure Partners Inc.            | Volt Lithium Corp.                 | AB           | No         |                   |
| Life & Banc Split Corp.*                              |                                    | ON           | No         |                   |
| Lifeist Wellness Inc.                                 | Namaste Technologies Inc.          | ON           | No         |                   |
| Light AI Inc.                                         |                                    | BC           | No         |                   |
| Lightspeed Commerce Inc.                              | Lightspeed POS Inc.                | QC           | No         |                   |
| Lightstream Resources Ltd.                            | Lightstream Resources Ltd.         | AB           | No         |                   |
| Linamar Corporation                                   |                                    | ON           | No         |                   |
| Lincluden Balanced Fund                               |                                    | ON           | No         |                   |
| Lion One Metals Limited                               |                                    | BC           | No         |                   |
| Lipari Mining Ltd.                                    | Golden Share Resources Corporation | ON           | Yes        | 1a,1c,1d,1e,3     |
| Liquid Alternative Fund                               |                                    | ON           | No         |                   |
| Lithium Americas Corp.                                |                                    | BC           | No         |                   |
| Lithium Argentina AG                                  | Lithium Americas (Argentina) Corp. | BC           | No         |                   |
| Livent Inc.                                           | Live Entertainment Of Canada Inc.  | ON           | Yes        | 1a,1b,3           |
| LNG Energy Group Corp.                                | Mind Cure Health Inc.              | ON           | Yes        | 1a,1c,1e,3        |
| Loblaw Companies Limited                              | Loblaws Companies Limited          | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                       | Previous Name                                        | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------|------------------------------------------------------|--------------|------------|-------------------|
| Logan Energy Corp.                                |                                                      | AB           | No         |                   |
| Logiq, Inc.                                       |                                                      | ON           | Yes        | 1a,1c,1d,1e,3     |
| Logixx Security Inc.                              | SSC Security Services Corp.                          | SK           | No         |                   |
| Long Duration Bond Fund                           | Primus Capital Advisors Canadian Long Duration Bond  | ON           | No         |                   |
| Longevity Pension Fund                            |                                                      | ON           | No         |                   |
| Los Andes Copper Ltd.                             |                                                      | BC           | No         |                   |
| Lowell Farms Inc.                                 | Indus Holdings Inc.                                  | ON           | Yes        | 1a,1c,1e,3        |
| LQwD Technologies Corp.                           | LQwD FinTech Corp.                                   | BC           | No         |                   |
| LSC Communications, Inc.                          |                                                      | ON           | Yes        | 1a,1c,1e,3        |
| Lucky Minerals Inc.                               |                                                      | BC           | No         |                   |
| lululemon athletica inc.                          | lululemon athletica inc.                             | BC           | No         |                   |
| Lumiera Health Inc.                               | Mondias Natural Products Inc.                        | QC           | Yes        | 1a,1c,1e,3        |
| Lumina Metals Corp.                               |                                                      | BC           | No         |                   |
| Lumine Group Inc.                                 |                                                      | ON           | No         |                   |
| Lundin Gold Inc.                                  |                                                      | BC           | No         |                   |
| Lundin Mining Corporation                         | South Atlantic Ventures LTD.                         | BC           | No         |                   |
| Lupaka Gold Corp.                                 |                                                      | BC           | No         |                   |
| Luxxfolio Holdings Inc.                           | AX1 Capital Corp                                     | BC           | No         |                   |
| LWP Capital Inc.                                  | Legumex Walker Inc.                                  | MB           | No         |                   |
| LXRandCo, Inc.                                    | Gibraltar Growth Corporation                         | QC           | Yes        | 1b,1c,1e          |
| Lycos Energy Inc.                                 |                                                      | AB           | No         |                   |
| Lydian International Limited                      | Dawson Creek Capital Corp.                           | ON           | No         |                   |
| Lynx Resources Corp.                              |                                                      | ON           | No         |                   |
| Lysander All Canadian Balanced Fund               |                                                      | ON           | No         |                   |
| Lysander All Canadian Equity Fund                 |                                                      | ON           | No         |                   |
| Lysander Canadian Alumni Balanced Fund            | Lysander-Canso Canadian Alumni Balanced Fund         | ON           | No         |                   |
| Lysander TDV Fund                                 |                                                      | ON           | No         |                   |
| Lysander-Canso All Country Long/Short Equity Fund | Lysander-Triasima All Country Long/Short Equity Fund | ON           | No         |                   |
| Lysander-Canso Balanced Fund                      | Lysander Balanced Fund                               | ON           | No         |                   |
| Lysander-Canso Bond Fund                          | Lysander Bond Fund                                   | ON           | No         |                   |
| Lysander-Canso Broad Corporate Bond Fund          |                                                      | ON           | No         |                   |
| Lysander-Canso Canadian Equity Fund               |                                                      | ON           | No         |                   |
| Lysander-Canso Corporate Treasury ActivETF        |                                                      | ON           | No         |                   |
| Lysander-Canso Corporate Treasury Fund            |                                                      | ON           | No         |                   |
| Lysander-Canso Corporate Value Bond Fund          | Lysander Corporte Value Bond Fund                    | ON           | No         |                   |
| Lysander-Canso Credit Income ActivETF*            |                                                      | ON           | No         |                   |
| Lysander-Canso Credit Opportunities Fund          |                                                      | ON           | No         |                   |
| Lysander-Canso Equity Fund                        | Lysander Equity Fund                                 | ON           | No         |                   |
| Lysander-Canso Floating Rate ActivETF             |                                                      | ON           | No         |                   |
| Lysander-Canso Short Term and Floating Rate Fund  | Lysander Short Term and Floating Rate Fund           | ON           | No         |                   |
| Lysander-Canso Strategic Credit Fund*             |                                                      | ON           | No         |                   |
| Lysander-Canso Strategic Loan Fund                |                                                      | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                | Previous Name                                            | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------|----------------------------------------------------------|--------------|------------|-------------------|
| Lysander-Canso U.S. Corporate Treasury Fund                |                                                          | ON           | No         |                   |
| Lysander-Canso U.S. Corporate Value Bond Fund              | Lysander-Canso U.S. Credit Fund                          | ON           | No         |                   |
| Lysander-Canso U.S. Short Term and Floating Rate Fund      |                                                          | ON           | No         |                   |
| Lysander-Crusader Equity Income Fund                       | Crusader Equity Income Fund                              | ON           | No         |                   |
| Lysander-Fulcra Corporate Securities Fund                  |                                                          | ON           | No         |                   |
| Lysander-Patient Capital Equity Fund                       | Lysander-18 Asset Management Canadian Equity Fund        | ON           | No         |                   |
| Lysander-Pembroke Canadian All Cap Equity Fund             |                                                          | ON           | No         |                   |
| Lysander-Pembroke U.S. Small-Mid Cap Fund                  |                                                          | ON           | No         |                   |
| Lysander-Slater Preferred Share ActivETF                   |                                                          | ON           | No         |                   |
| Lysander-Slater Preferred Share Dividend Fund              |                                                          | ON           | No         |                   |
| Lysander-Triasima All Country Equity Fund                  |                                                          | ON           | No         |                   |
| Lysander-Triasima Canadian Small Cap Equity Fund           |                                                          | ON           | No         |                   |
| M Split Corp.*                                             |                                                          | ON           | No         |                   |
| M3 Capital Corp.                                           |                                                          | AB           | No         |                   |
| M8 Entertainment Inc                                       | MDP Worldwide Entertainment Inc.                         | QC           | Yes        | 1a,1b,1c,1e,3     |
| Mabel Ventures Inc                                         | Aardvark Ventures Inc.                                   | BC           | No         |                   |
| Mack Canadian Bond Pool*                                   | Symmetry Canadian Bond Fund                              | ON           | No         |                   |
| Mack Canadian Equity Pool                                  | Symmetry Canadian Equity Fund                            | ON           | No         |                   |
| Mack Comprehensive Equity Pool                             | Symmetry Comprehensive Equity Fund                       | ON           | No         |                   |
| Mack EAFE Equity Pool                                      | Symmetry Low Volatility Fund                             | ON           | No         |                   |
| Mack Global Bond Pool                                      | Symmetry Global Bond Fund                                | ON           | No         |                   |
| Mack US Equity Pool                                        | Symmetry US Equity Fund                                  | ON           | No         |                   |
| Mackenzie - IG Canadian Bond Pool                          |                                                          | MB           | No         |                   |
| Mackenzie - IG Canadian Corporate Bond Pool*               |                                                          | MB           | No         |                   |
| Mackenzie - IG Canadian Equity Income Pool*                |                                                          | MB           | No         |                   |
| Mackenzie - IG Canadian Equity Pool                        |                                                          | MB           | No         |                   |
| Mackenzie - IG Equity Pool                                 | Investors Group Equity Pool                              | MB           | No         |                   |
| Mackenzie - IG Global Bond Pool*                           |                                                          | MB           | No         |                   |
| Mackenzie - IG Income Pool                                 | Investors Group Income Pool                              | MB           | No         |                   |
| Mackenzie - IG Low Volatility Canadian Equity Pool*        |                                                          | MB           | No         |                   |
| Mackenzie - IG Low Volatility Emerging Markets Equity Pool | IG Mackenzie Low Volatility Emerging Markets Equity Pool | MB           | No         |                   |
| Mackenzie - IG Low Volatility U.S. Equity Pool             |                                                          | MB           | No         |                   |
| Mackenzie - IG U.S. Equity Pool                            |                                                          | MB           | No         |                   |
| Mackenzie AAA CLO ETF                                      |                                                          | ON           | No         |                   |
| Mackenzie All-Equity Allocation ETF                        |                                                          | ON           | No         |                   |
| Mackenzie All-Equity ETF Portfolio                         |                                                          | ON           | No         |                   |
| Mackenzie Alternative Enhanced Yield Fund                  | Mackenzie Alternative Income Fund                        | ON           | No         |                   |
| Mackenzie Asian Small-Mid Cap Fund*                        | Mackenzie Asian Opportunities Fund                       | ON           | No         |                   |
| Mackenzie Balanced Allocation ETF                          |                                                          | ON           | No         |                   |
| Mackenzie Balanced ETF Portfolio                           |                                                          | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                             | Previous Name                                 | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------|-----------------------------------------------|--------------|------------|-------------------|
| Mackenzie Betterworld Canadian Equity Fund              |                                               | ON           | No         |                   |
| Mackenzie Betterworld Global Equity Fund                |                                               | ON           | No         |                   |
| Mackenzie Bluewater Canadian Growth Balanced Fund       | MacKenzie Canadian Growth Balanced Fund       | ON           | No         |                   |
| Mackenzie Bluewater Canadian Growth Fund                | MacKenzie Canadian Growth Fund                | ON           | No         |                   |
| Mackenzie Bluewater Global Growth Balanced Fund         | Mackenzie Global Growth Balanced Fund         | ON           | No         |                   |
| Mackenzie Bluewater Global Growth Fund                  | Mackenzie Global Growth Fund                  | ON           | No         |                   |
| Mackenzie Bluewater Next Gen Growth ETF                 |                                               | ON           | No         |                   |
| Mackenzie Bluewater Next Gen Growth Fund                |                                               | ON           | No         |                   |
| Mackenzie Bluewater North American Balanced Fund        | Mackenzie North American Balanced Fund        | ON           | No         |                   |
| Mackenzie Bluewater North American Equity Fund          | Mackenzie North American Equity Fund          | ON           | No         |                   |
| Mackenzie Bluewater US Growth Fund                      | Mackenzie US Growth Fund                      | ON           | No         |                   |
| Mackenzie Broad Risk Premia Collection Fund             |                                               | ON           | No         |                   |
| Mackenzie Canadian Aggregate Bond Index ETF*            |                                               | ON           | No         |                   |
| Mackenzie Canadian All Corporate Bond Index ETF*        |                                               | ON           | No         |                   |
| MacKenzie Canadian Bond Fund                            | MacKenzie Sentinel Bond Fund                  | ON           | No         |                   |
| Mackenzie Canadian Dividend Fund                        | Mackenzie Canadian Large Cap Dividend Fund    | ON           | No         |                   |
| Mackenzie Canadian Enhanced Core Plus Fixed Income Fund |                                               | ON           | No         |                   |
| Mackenzie Canadian Equity Fund                          | Mackenzie Canadian All Cap Value Fund         | ON           | No         |                   |
| Mackenzie Canadian Equity Index ETF*                    |                                               | ON           | No         |                   |
| Mackenzie Canadian Government Long Bond Index ETF       |                                               | ON           | No         |                   |
| Mackenzie Canadian High Dividend Yield ETF              |                                               | ON           | No         |                   |
| Mackenzie Canadian Large Cap Equity Index ETF*          |                                               | ON           | No         |                   |
| Mackenzie Canadian Money Market Fund                    | MacKenzie Sentinel Money Market Fund          | ON           | No         |                   |
| Mackenzie Canadian Short Term Fixed Income ETF          |                                               | ON           | No         |                   |
| Mackenzie Canadian Short Term Income Fund               | MacKenzie Sentinel Short-Term Income Fund     | ON           | No         |                   |
| Mackenzie Canadian Short-Term Bond Index ETF*           |                                               | ON           | No         |                   |
| Mackenzie Canadian Small Cap Fund                       | Mackenzie Canadian Small Cap Value Fund       | ON           | No         |                   |
| Mackenzie Canadian Strategic Fixed Income ETF           | Mackenzie Core Plus Canadian Fixed Income ETF | ON           | No         |                   |
| Mackenzie Canadian Sustainable Bond Fund                |                                               | ON           | No         |                   |
| Mackenzie Canadian Ultra Short Bond Index ETF           |                                               | ON           | No         |                   |
| Mackenzie China A-Shares CSI 300 Index ETF*             |                                               | ON           | No         |                   |
| Mackenzie ChinaAMC All China Bond Fund                  | Mackenzie ChinaAMC Bond Fund                  | ON           | No         |                   |
| Mackenzie ChinaAMC All China Equity Fund                | Mackenzie All China Equity Fund               | ON           | No         |                   |
| Mackenzie ChinaAMC Multi-Asset Fund                     |                                               | ON           | No         |                   |
| Mackenzie Conservative Allocation ETF                   |                                               | ON           | No         |                   |
| Mackenzie Conservative ETF Portfolio                    |                                               | ON           | No         |                   |
| Mackenzie Conservative Income ETF Portfolio             |                                               | ON           | No         |                   |
| Mackenzie Core Plus Global Fixed Income ETF             |                                               | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                   | Previous Name                           | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------|-----------------------------------------|--------------|------------|-------------------|
| Mackenzie Core Resources ETF                                  |                                         | ON           | No         |                   |
| Mackenzie Corporate Bond Fund                                 | MacKenzie Sentinel Corporate Bond Fund  | ON           | No         |                   |
| Mackenzie Corporate Knights Global 100 Index ETF              |                                         | ON           | No         |                   |
| Mackenzie Corporate Knights Global 100 Index Fund             |                                         | ON           | No         |                   |
| MacKenzie Cundill Canadian Balanced Fund                      | Cundill Canadian Balanced Fund          | ON           | No         |                   |
| MacKenzie Cundill Canadian Security Fund                      | Cundill Canadian Security Fund          | ON           | No         |                   |
| MacKenzie Cundill Value Fund                                  | Cundill Value Fund                      | ON           | No         |                   |
| Mackenzie Cundill Value Fund II                               |                                         | ON           | No         |                   |
| Mackenzie Cyclical Tilt ETF                                   |                                         | ON           | No         |                   |
| Mackenzie Defensive Tilt ETF                                  |                                         | ON           | No         |                   |
| Mackenzie Developed ex-North America Aggregate Bond Index ETF |                                         | ON           | No         |                   |
| Mackenzie Developed Markets Real Estate Index ETF             |                                         | ON           | No         |                   |
| Mackenzie Diversified Alternatives Fund                       |                                         | ON           | No         |                   |
| Mackenzie Emerging Markets Bond Index ETF (CAD-Hedged)        |                                         | ON           | No         |                   |
| Mackenzie Emerging Markets Equity Index ETF                   |                                         | ON           | No         |                   |
| Mackenzie Emerging Markets Large Cap Fund                     |                                         | ON           | No         |                   |
| Mackenzie Emerging Markets Local Currency Bond Index ETF      |                                         | ON           | No         |                   |
| Mackenzie Emerging Markets Small Cap Fund                     |                                         | ON           | No         |                   |
| Mackenzie Enhanced Equity Risk Premia Fund                    |                                         | ON           | No         |                   |
| Mackenzie Enhanced Fixed Income Risk Premia Fund              |                                         | ON           | No         |                   |
| Mackenzie Enhanced Global Balanced Fund                       | Mackenzie Diversified Growth Fund       | ON           | No         |                   |
| Mackenzie European Small-Mid Cap Fund*                        | Mackenzie European Opportunities Fund   | ON           | No         |                   |
| Mackenzie Floating Rate Income ETF                            | Mackenzie Global Women's Leadership ETF | ON           | No         |                   |
| Mackenzie Floating Rate Income Fund                           |                                         | ON           | No         |                   |
| Mackenzie FuturePath Canadian Balanced Fund                   |                                         | ON           | No         |                   |
| Mackenzie FuturePath Canadian Core Bond Fund                  |                                         | ON           | No         |                   |
| Mackenzie FuturePath Canadian Core Fund                       |                                         | ON           | No         |                   |
| Mackenzie FuturePath Canadian Core Plus Bond Fund             |                                         | ON           | No         |                   |
| Mackenzie FuturePath Canadian Dividend Fund                   |                                         | ON           | No         |                   |
| Mackenzie FuturePath Canadian Equity Balanced Fund            |                                         | ON           | No         |                   |
| Mackenzie FuturePath Canadian Fixed Income Portfolio          |                                         | ON           | No         |                   |
| Mackenzie FuturePath Canadian Growth Fund                     |                                         | ON           | No         |                   |
| Mackenzie FuturePath Canadian Money Market Fund               |                                         | ON           | No         |                   |
| Mackenzie FuturePath Canadian Sustainable Equity Fund         |                                         | ON           | No         |                   |
| Mackenzie FuturePath Global Balanced Fund                     |                                         | ON           | No         |                   |
| Mackenzie FuturePath Global Core Fund                         |                                         | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                 | Previous Name                                    | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------------|--------------------------------------------------|--------------|------------|-------------------|
| Mackenzie FuturePath Global Core Plus Bond Fund             |                                                  | ON           | No         |                   |
| Mackenzie FuturePath Global Equity Balanced Fund            |                                                  | ON           | No         |                   |
| Mackenzie FuturePath Global Equity Balanced Portfolio       |                                                  | ON           | No         |                   |
| Mackenzie FuturePath Global Equity Portfolio                |                                                  | ON           | No         |                   |
| Mackenzie FuturePath Global Fixed Income Balanced Portfolio |                                                  | ON           | No         |                   |
| Mackenzie FuturePath Global Growth Fund                     |                                                  | ON           | No         |                   |
| Mackenzie FuturePath Global Neutral Balanced Portfolio      |                                                  | ON           | No         |                   |
| Mackenzie FuturePath Global Value Fund                      |                                                  | ON           | No         |                   |
| Mackenzie FuturePath International Equity Fund              |                                                  | ON           | No         |                   |
| Mackenzie FuturePath Monthly Income Balanced Portfolio      |                                                  | ON           | No         |                   |
| Mackenzie FuturePath Monthly Income Conservative Portfolio  |                                                  | ON           | No         |                   |
| Mackenzie FuturePath Monthly Income Growth Portfolio        |                                                  | ON           | No         |                   |
| Mackenzie Futurepath Shariah Global Equity Fund             |                                                  | ON           | No         |                   |
| Mackenzie FuturePath US All Cap Growth Fund                 |                                                  | ON           | No         |                   |
| Mackenzie FuturePath US Core Fund                           |                                                  | ON           | No         |                   |
| Mackenzie FuturePath US Growth Fund                         |                                                  | ON           | No         |                   |
| Mackenzie FuturePath US Value Fund                          |                                                  | ON           | No         |                   |
| Mackenzie FuturePath USD US Core Fund                       |                                                  | ON           | No         |                   |
| Mackenzie Global Core Plus Bond Fund                        | Mackenzie Global Tactical Bond Fund              | ON           | No         |                   |
| Mackenzie Global Corporate Fixed Income Fund                |                                                  | ON           | No         |                   |
| Mackenzie Global Dividend Enhanced Yield Fund               |                                                  | ON           | No         |                   |
| Mackenzie Global Dividend Enhanced Yield Plus Fund          |                                                  | ON           | No         |                   |
| Mackenzie Global Dividend ETF                               |                                                  | ON           | No         |                   |
| Mackenzie Global Dividend Fund                              | Mackenzie Universal Global Infrastructure Fund   | ON           | No         |                   |
| Mackenzie Global Energy Opportunities Long/Short Fund       | Mackenzie Global Energy Resource Long/Short Fund | ON           | No         |                   |
| Mackenzie Global Enhanced Core Plus Fixed Income Fund       |                                                  | ON           | No         |                   |
| Mackenzie Global Equity Income Fund*                        |                                                  | ON           | No         |                   |
| Mackenzie Global Fixed Income Allocation ETF                |                                                  | ON           | No         |                   |
| Mackenzie Global Green Bond Fund                            |                                                  | ON           | No         |                   |
| Mackenzie Global High Yield Fixed Income ETF                |                                                  | ON           | No         |                   |
| Mackenzie Global Inflation-Linked Fund*                     |                                                  | ON           | No         |                   |
| Mackenzie Global Infrastructure Index ETF                   |                                                  | ON           | No         |                   |
| Mackenzie Global Low Volatility Equity Fund*                |                                                  | ON           | No         |                   |
| Mackenzie Global Macro Fund                                 |                                                  | ON           | No         |                   |
| Mackenzie Global Resource Fund                              | Mackenzie Canadian Resource Fund                 | ON           | No         |                   |
| Mackenzie Global Resource Fund II                           |                                                  | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                 | Previous Name                                            | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------------|----------------------------------------------------------|--------------|------------|-------------------|
| Mackenzie Global Small-Mid Cap Fund                         | Mackenzie Global Opportunities Fund                      | ON           | No         |                   |
| Mackenzie Global Strategic Income Fund                      | MacKenzie Global Diversified Income Fund                 | ON           | No         |                   |
| Mackenzie Global Sustainable Balanced Fund                  | Mackenzie Global Sustainability and Impact Balanced Fund | ON           | No         |                   |
| Mackenzie Global Sustainable Bond ETF                       |                                                          | ON           | No         |                   |
| Mackenzie Global Sustainable Bond Fund                      |                                                          | ON           | No         |                   |
| Mackenzie Global Sustainable High Yield Bond Fund           |                                                          | ON           | No         |                   |
| Mackenzie Global Value ETF                                  |                                                          | ON           | No         |                   |
| Mackenzie Global Value Fund                                 |                                                          | ON           | No         |                   |
| Mackenzie Global Women's Leadership ETF                     | Mackenzie Global Leadership Impact ETF                   | ON           | No         |                   |
| Mackenzie Global Women's Leadership Fund                    | Mackenzie Global Leadership Impact Fund                  | ON           | No         |                   |
| Mackenzie Gold Bullion Fund                                 |                                                          | ON           | No         |                   |
| Mackenzie GQE Canada Low Volatility ETF                     | Mackenzie Canada Low Volatility ETF                      | ON           | No         |                   |
| Mackenzie GQE Canadian Balanced Fund                        |                                                          | ON           | No         |                   |
| Mackenzie GQE Canadian Equity Fund                          |                                                          | ON           | No         |                   |
| Mackenzie GQE Emerging Markets ex-China Equity Fund         | Mackenzie Emerging Markets ex-China Equity Fund          | ON           | No         |                   |
| Mackenzie GQE Emerging Markets Fund                         | Mackenzie Emerging Markets Fund                          | ON           | No         |                   |
| Mackenzie GQE Emerging Markets Fund II                      | Mackenzie Emerging Markets Fund II                       | ON           | No         |                   |
| Mackenzie GQE Global Balanced ETF                           |                                                          | ON           | No         |                   |
| Mackenzie GQE Global Balanced Fund                          |                                                          | ON           | No         |                   |
| Mackenzie GQE Global Equity ETF                             | Mackenzie Global Equity ETF                              | ON           | No         |                   |
| Mackenzie GQE Global Equity Fund                            | Mackenzie Global Equity Fund                             | ON           | No         |                   |
| Mackenzie GQE International Balanced Fund                   |                                                          | ON           | No         |                   |
| Mackenzie GQE International Equity ETF                      | Mackenzie International Equity ETF                       | ON           | No         |                   |
| Mackenzie GQE International Equity Fund                     |                                                          | ON           | No         |                   |
| Mackenzie GQE US Alpha Extension ETF                        |                                                          | ON           | No         |                   |
| Mackenzie GQE US Alpha Extension Fund                       |                                                          | ON           | No         |                   |
| Mackenzie GQE US Low Volatility ETF                         | Mackenzie US Low Volatility ETF                          | ON           | No         |                   |
| Mackenzie GQE US Mid Cap Equity Currency Neutral Fund       | Mackenzie US Mid Cap Opportunities Currency Neutral Fund | ON           | No         |                   |
| Mackenzie GQE US Mid Cap Equity Fund                        | Mackenzie US Mid Cap Opportunities Fund                  | ON           | No         |                   |
| Mackenzie GQE US Small Cap Fund                             | Mackenzie US Small Cap Fund                              | ON           | No         |                   |
| Mackenzie GQE US Small-Mid Cap Equity Currency Neutral Fund | Mackenzie US Small-Mid Cap Growth Currency Neutral Fund  | ON           | No         |                   |
| Mackenzie GQE US Small-Mid Cap Equity Fund                  | Mackenzie US Small-Mid Cap Growth Fund                   | ON           | No         |                   |
| Mackenzie GQE USD US Mid Cap Equity Fund                    | Mackenzie USD US Mid Cap Opportunities Fund              | ON           | No         |                   |
| Mackenzie GQE World Low Volatility ETF                      | Mackenzie World Low Volatility ETF                       | ON           | No         |                   |
| Mackenzie GQE World Low Volatility Fund                     | Mackenzie World Low Volatility Fund                      | ON           | No         |                   |
| Mackenzie Greenchip Global Environmental All Cap Fund       | Mackenzie Global Environmental Equity Fund               | ON           | No         |                   |
| Mackenzie Greenchip Global Environmental Balanced Fund      | Mackenzie Greenchip Global Balanced Fund                 | ON           | No         |                   |
| Mackenzie Greenchip Global Environmental Equity Fund        |                                                          | ON           | No         |                   |
| Mackenzie Growth Allocation ETF                             |                                                          | ON           | No         |                   |
| Mackenzie Growth ETF Portfolio                              |                                                          | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                 | Previous Name                                         | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------------|-------------------------------------------------------|--------------|------------|-------------------|
| Mackenzie High Quality Floating Rate Fund                   |                                                       | ON           | No         |                   |
| MacKenzie Income Fund                                       | MacKenzie Sentinel Income Fund                        | ON           | No         |                   |
| Mackenzie International All Cap Equity Fund                 |                                                       | ON           | No         |                   |
| Mackenzie International Dividend Fund                       |                                                       | ON           | No         |                   |
| Mackenzie International Equity Index ETF (CAD-Hedged)*      |                                                       | ON           | No         |                   |
| Mackenzie International Equity Index ETF*                   |                                                       | ON           | No         |                   |
| Mackenzie International Quantitative Large Cap Fund*        |                                                       | ON           | No         |                   |
| Mackenzie International Quantitative Small Cap Fund*        |                                                       | ON           | No         |                   |
| Mackenzie Ivy Canadian Balanced Fund                        | MacKenzie Ivy Growth and Income Fund                  | ON           | No         |                   |
| MacKenzie Ivy Canadian Fund                                 | Ivy Canadian Fund                                     | ON           | No         |                   |
| Mackenzie Ivy European Fund                                 |                                                       | ON           | No         |                   |
| Mackenzie Ivy Foreign Equity Currency Neutral Fund          |                                                       | ON           | No         |                   |
| MacKenzie Ivy Foreign Equity Fund                           | Ivy Foreign Equity Fund                               | ON           | No         |                   |
| MacKenzie Ivy Global Balanced Fund                          | MacKenzie Universal World Asset Allocation Fund       | ON           | No         |                   |
| Mackenzie Ivy Global Equity ETF                             |                                                       | ON           | No         |                   |
| Mackenzie Ivy International Fund                            | Mackenzie International Growth Fund                   | ON           | No         |                   |
| Mackenzie Ivy International Fund II                         |                                                       | ON           | No         |                   |
| MacKenzie Master Limited Partnership*                       |                                                       | ON           | No         |                   |
| Mackenzie Moderate Growth ETF Portfolio                     |                                                       | ON           | No         |                   |
| Mackenzie Monthly Income Balanced Portfolio                 |                                                       | ON           | No         |                   |
| Mackenzie Monthly Income Conservative Portfolio             |                                                       | ON           | No         |                   |
| Mackenzie Monthly Income Growth Portfolio                   |                                                       | ON           | No         |                   |
| Mackenzie NASDAQ 100 Index ETF                              |                                                       | ON           | No         |                   |
| Mackenzie North American Corporate Bond Fund                | Mackenzie Sentinel North American Corporate Bond Fund | ON           | No         |                   |
| Mackenzie Northleaf Private Credit Interval Fund            |                                                       | ON           | No         |                   |
| Mackenzie Precious Metals Fund                              |                                                       | ON           | No         |                   |
| Mackenzie Private Equity Replication Fund                   |                                                       | ON           | No         |                   |
| Mackenzie Shariah Global Equity Fund                        |                                                       | ON           | No         |                   |
| Mackenzie Sovereign Bond Fund*                              |                                                       | ON           | No         |                   |
| Mackenzie Strategic Bond Fund                               |                                                       | ON           | No         |                   |
| Mackenzie Strategic Income Fund                             | Mackenzie Sentinel Strategic Income Fund              | ON           | No         |                   |
| Mackenzie Tactical Asset Allocation Fund                    | Mackenzie Inflation-Focused Fund                      | ON           | No         |                   |
| Mackenzie Target 2027 North American IG Corporate Bond ETF  |                                                       | ON           | No         |                   |
| Mackenzie Target 2027 North American IG Corporate Bond Fund |                                                       | ON           | No         |                   |
| Mackenzie Target 2029 North American IG Corporate Bond ETF  |                                                       | ON           | No         |                   |
| Mackenzie Target 2029 North American IG Corporate Bond Fund |                                                       | ON           | No         |                   |
| Mackenzie Tax-Managed Global Equity Fund                    |                                                       | ON           | No         |                   |
| Mackenzie U.S. Aggregate Bond Index ETF (CAD-Hedged)        |                                                       | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                         | Previous Name                           | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------------|-----------------------------------------|--------------|------------|-------------------|
| Mackenzie Unconstrained Bond ETF                                    |                                         | ON           | No         |                   |
| Mackenzie Unconstrained Fixed Income Fund                           |                                         | ON           | No         |                   |
| Mackenzie US All Cap Growth ETF                                     |                                         | ON           | No         |                   |
| Mackenzie US All Cap Growth Fund                                    | Mackenzie US Large Cap Growth Fund      | ON           | No         |                   |
| Mackenzie US Core Equity Fund*                                      |                                         | ON           | No         |                   |
| Mackenzie US Dividend Fund                                          |                                         | ON           | No         |                   |
| Mackenzie US Government Long Bond Index ETF                         |                                         | ON           | No         |                   |
| Mackenzie US High Dividend Yield ETF                                |                                         | ON           | No         |                   |
| Mackenzie US High Yield Bond Index ETF (CAD-Hedged)*                |                                         | ON           | No         |                   |
| Mackenzie US Investment Grade Corporate Bond Index ETF (CAD-Hedged) |                                         | ON           | No         |                   |
| Mackenzie US Large Cap Equity Index ETF (CAD-Hedged)*               |                                         | ON           | No         |                   |
| Mackenzie US Large Cap Equity Index ETF*                            |                                         | ON           | No         |                   |
| Mackenzie US Mid Cap Value Fund                                     |                                         | ON           | No         |                   |
| Mackenzie US Quantitative Large Cap Fund*                           |                                         | ON           | No         |                   |
| Mackenzie US Quantitative Small Cap Fund*                           |                                         | ON           | No         |                   |
| Mackenzie US TIPS Index ETF (CAD-Hedged)                            |                                         | ON           | No         |                   |
| Mackenzie US Value ETF                                              |                                         | ON           | No         |                   |
| Mackenzie US Value Fund                                             |                                         | ON           | No         |                   |
| Mackenzie USD Global Dividend Fund                                  |                                         | ON           | No         |                   |
| Mackenzie USD Global Strategic Income Fund                          |                                         | ON           | No         |                   |
| Mackenzie USD Greenchip Global Environmental All Cap Fund           |                                         | ON           | No         |                   |
| Mackenzie USD Ultra Short Duration Income Fund                      |                                         | ON           | No         |                   |
| Mackenzie USD Unconstrained Fixed Income Fund                       | Mackenzie USD Global Tactical Bond Fund | ON           | No         |                   |
| Madison Pacific Properties Inc.                                     | Princeton Mining Corporation            | BC           | No         |                   |
| Madison US Large Cap ETF                                            |                                         | ON           | No         |                   |
| Madison US Mid Cap ETF                                              |                                         | ON           | No         |                   |
| Magellan Aerospace Corporation                                      | Fleet Aerospace Corporation             | ON           | No         |                   |
| MagIndustries Corp.                                                 |                                         | ON           | No         |                   |
| Magna International Inc.                                            |                                         | ON           | No         |                   |
| Magna Mining Inc.                                                   | CT Developers Ltd.                      | ON           | No         |                   |
| Magnum Hunter Resources Corporation                                 |                                         | AB           | Yes        | 1a,1b,1c,1d,1e,3  |
| Major Drilling Group International Inc.                             |                                         | NB           | No         |                   |
| MAK Acquisition Corp.                                               |                                         | ON           | No         |                   |
| MANHATTAN URANIUM DISCOVERY CORP.                                   | Aero Energy Limited                     | BC           | No         |                   |
| Manitok Energy Inc.                                                 |                                         | AB           | No         |                   |
| Manulife Alternative Opportunities Fund                             |                                         | ON           | No         |                   |
| Manulife Balanced Equity Private Pool                               |                                         | ON           | No         |                   |
| Manulife Balanced ETF Portfolio                                     |                                         | ON           | No         |                   |
| Manulife Balanced ETF Portfolio Fund                                |                                         | ON           | No         |                   |
| Manulife Balanced Income Private Trust                              |                                         | ON           | No         |                   |
| Manulife Balanced Portfolio                                         |                                         | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                       | Previous Name                                | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------|----------------------------------------------|--------------|------------|-------------------|
| Manulife Bond Fund                                | AIC Bond Fund                                | ON           | No         |                   |
| Manulife Canadian Balanced Fund                   |                                              | ON           | No         |                   |
| Manulife Canadian Balanced Private Pool           |                                              | ON           | No         |                   |
| Manulife Canadian Bond Fund                       | Manulife Mawer Canadian Bond Fund            | ON           | No         |                   |
| Manulife Canadian Dividend Growth Fund            | Standard Life Canadian Dividend Growth Fund  | ON           | No         |                   |
| Manulife Canadian Equity Class                    | Manulife Fundamental Equity Class            | ON           | No         |                   |
| Manulife Canadian Equity Private Pool             |                                              | ON           | No         |                   |
| Manulife Canadian Growth and Income Private Trust |                                              | ON           | No         |                   |
| Manulife Canadian Investment Class                | Manulife Mawer Canadian Equity Class         | ON           | No         |                   |
| Manulife Canadian Investment Fund                 |                                              | ON           | No         |                   |
| Manulife Climate Action Balanced Fund             |                                              | ON           | No         |                   |
| Manulife Climate Action Bond Fund                 |                                              | ON           | No         |                   |
| Manulife Climate Action Class                     |                                              | ON           | No         |                   |
| Manulife Climate Action Fund                      |                                              | ON           | No         |                   |
| Manulife Conservative ETF Portfolio               |                                              | ON           | No         |                   |
| Manulife Conservative ETF Portfolio Fund          |                                              | ON           | No         |                   |
| Manulife Conservative Portfolio                   |                                              | ON           | No         |                   |
| Manulife Core Plus Bond Fund                      | Manulife Canadian Unconstrained Bond Fund    | ON           | No         |                   |
| Manulife Corporate Bond Fund                      | Elliott & Page Corporate Bond Fund           | ON           | No         |                   |
| Manulife Corporate Fixed Income Private Trust     |                                              | ON           | No         |                   |
| Manulife Covered Call U.S. Equity Class           | Manulife U.S. Opportunities Class            | ON           | No         |                   |
| Manulife Covered Call U.S. Equity Fund            | Manulife Value Fund                          | ON           | No         |                   |
| Manulife CQS Multi Asset Credit Fund              |                                              | ON           | No         |                   |
| Manulife Diversified Investment Fund              | Manulife Mawer Diversified Investment Fund   | ON           | No         |                   |
| Manulife Dividend Income Class                    |                                              | ON           | No         |                   |
| Manulife Dividend Income Fund                     |                                              | ON           | No         |                   |
| Manulife Dividend Income Plus Class               | Manulife Canadian Focused Class              | ON           | No         |                   |
| Manulife Dividend Income Plus Fund                | Manulife Canadian Focused Fund               | ON           | No         |                   |
| Manulife Dividend Income Private Pool             |                                              | ON           | No         |                   |
| Manulife Dollar-Cost Averaging Fund               |                                              | ON           | No         |                   |
| Manulife Emerging Markets Fund                    | Standard Life Emerging Markets Dividend Fund | ON           | No         |                   |
| Manulife Finance (Delaware), L.P.                 |                                              | ON           | No         |                   |
| Manulife Financial Corporation                    |                                              | ON           | No         |                   |
| Manulife Fundamental Balanced Class               | Manulife Canadian Equity Balanced Class      | ON           | No         |                   |
| Manulife Fundamental Dividend Fund                | Manulife Canadian Dividend Income Fund       | ON           | No         |                   |
| Manulife Fundamental Equity Fund                  | Manulife Canadian Stock Fund                 | ON           | No         |                   |
| Manulife Fundamental Global Equity Class          | Manulife Global Thematic Opportunities Class | ON           | No         |                   |
| Manulife Fundamental Global Equity Fund           | Manulife Global All Cap Focused Fund         | ON           | No         |                   |
| Manulife Fundamental Income Class                 | Manulife Canadian Monthly Income Class       | ON           | No         |                   |
| Manulife Fundamental Income Fund                  | Manulife Canadian Monthly Income Fund        | ON           | No         |                   |
| Manulife Global Balanced Fund                     |                                              | ON           | No         |                   |
| Manulife Global Balanced Private Trust            |                                              | ON           | No         |                   |
| Manulife Global Core Balanced Fund                |                                              | ON           | No         |                   |
| Manulife Global Core Equity Fund                  |                                              | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                            | Previous Name                                         | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------------|-------------------------------------------------------|--------------|------------|-------------------|
| Manulife Global Credit Opportunities Fund              |                                                       | ON           | No         |                   |
| Manulife Global Dividend Class                         |                                                       | ON           | No         |                   |
| Manulife Global Dividend Fund                          |                                                       | ON           | No         |                   |
| Manulife Global Dividend Growth Fund                   | Standard Life Global Dividend Growth Fund             | ON           | No         |                   |
| Manulife Global Edge ETF                               |                                                       | ON           | No         |                   |
| Manulife Global Edge ETF Fund                          |                                                       | ON           | No         |                   |
| Manulife Global Equity Class                           | Manulife Mawer Global Equity Class                    | ON           | No         |                   |
| Manulife Global Equity Private Pool                    |                                                       | ON           | No         |                   |
| Manulife Global Fixed Income Private Trust             |                                                       | ON           | No         |                   |
| Manulife Global Franchise Fund                         | Manulife Global Equity Unconstrained Fund             | ON           | No         |                   |
| Manulife Global Listed Infrastructure Class            | Manulife Global Infrastructure Class                  | ON           | No         |                   |
| Manulife Global Listed Infrastructure Fund             | Manulife Global Infrastructure Fund                   | ON           | No         |                   |
| Manulife Global Managed Volatility Portfolio           |                                                       | ON           | No         |                   |
| Manulife Global Monthly High Income Class              | Manulife Value Balanced Class                         | ON           | No         |                   |
| Manulife Global Monthly High Income Fund               | Manulife Value Balanced Fund                          | ON           | No         |                   |
| Manulife Global Small Cap Balanced Fund                |                                                       | ON           | No         |                   |
| Manulife Global Small Cap Fund                         | Manulife Mawer Global Small Cap Fund                  | ON           | No         |                   |
| Manulife Global Strategic Balanced Yield Fund          |                                                       | ON           | No         |                   |
| Manulife Global Unconstrained Bond Fund                | Manulife Global Tactical Credit Fund                  | ON           | No         |                   |
| Manulife Growth ETF Portfolio                          |                                                       | ON           | No         |                   |
| Manulife Growth ETF Portfolio Fund                     |                                                       | ON           | No         |                   |
| Manulife Growth Opportunities Fund                     | Elliott & Page Growth Opportunities Fund              | ON           | No         |                   |
| Manulife Growth Portfolio                              |                                                       | ON           | No         |                   |
| Manulife International Equity Private Trust            |                                                       | ON           | No         |                   |
| Manulife International Large Cap Fund                  |                                                       | ON           | No         |                   |
| Manulife Moderate Portfolio                            |                                                       | ON           | No         |                   |
| Manulife Money Market Fund                             | Manulife Money Private Trust                          | ON           | No         |                   |
| Manulife Monthly High Income Class                     | AIC Canadian Balanced Corporate Class                 | ON           | No         |                   |
| Manulife Monthly High Income Fund                      | Elliott & Page Monthly High Income Fund               | ON           | No         |                   |
| Manulife Multifactor Canadian Large Cap Index ETF      |                                                       | ON           | No         |                   |
| Manulife Multifactor Canadian SMID Cap Index ETF       |                                                       | ON           | No         |                   |
| Manulife Multifactor Developed International Index ETF |                                                       | ON           | No         |                   |
| Manulife Multifactor Emerging Markets Index ETF        |                                                       | ON           | No         |                   |
| Manulife Multifactor U.S. Large Cap Index ETF          |                                                       | ON           | No         |                   |
| Manulife Multifactor U.S. Mid Cap Index ETF            |                                                       | ON           | No         |                   |
| Manulife Multifactor U.S. Small Cap Index ETF          |                                                       | ON           | No         |                   |
| Manulife Simplicity Balanced Portfolio                 | E&P Manulife Balanced Asset Allocation Portfolio      | ON           | No         |                   |
| Manulife Simplicity Conservative Portfolio             |                                                       | ON           | No         |                   |
| Manulife Simplicity Global Balanced Portfolio          |                                                       | ON           | No         |                   |
| Manulife Simplicity Growth Portfolio                   | E&P Manulife Maximum Growth Asset Allocation Portfoli | ON           | No         |                   |
| Manulife Simplicity Moderate Portfolio                 |                                                       | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                          | Previous Name                           | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------|-----------------------------------------|--------------|------------|-------------------|
| Manulife Smart Balanced Dividend ETF Bundle          | Manulife Balanced Dividend ETF Bundle   | ON           | No         |                   |
| Manulife Smart Core Bond ETF                         |                                         | ON           | No         |                   |
| Manulife Smart Core Bond ETF Fund                    |                                         | ON           | No         |                   |
| Manulife Smart Corporate Bond ETF                    |                                         | ON           | No         |                   |
| Manulife Smart Defensive Equity ETF                  |                                         | ON           | No         |                   |
| Manulife Smart Dividend ETF                          |                                         | ON           | No         |                   |
| Manulife Smart Dividend ETF Fund                     |                                         | ON           | No         |                   |
| Manulife Smart Enhanced Yield Bond ETF               |                                         | ON           | No         |                   |
| Manulife Smart Enhanced Yield ETF                    |                                         | ON           | No         |                   |
| Manulife Smart Global Bond ETF                       |                                         | ON           | No         |                   |
| Manulife Smart Global Dividend ETF Portfolio         |                                         | ON           | No         |                   |
| Manulife Smart International Defensive Equity ETF    |                                         | ON           | No         |                   |
| Manulife Smart International Dividend ETF            |                                         | ON           | No         |                   |
| Manulife Smart International Dividend ETF Fund       |                                         | ON           | No         |                   |
| Manulife Smart Short-Term Bond ETF                   |                                         | ON           | No         |                   |
| Manulife Smart Short-Term Bond ETF Fund              |                                         | ON           | No         |                   |
| Manulife Smart U.S. Defensive Equity ETF             |                                         | ON           | No         |                   |
| Manulife Smart U.S. Dividend ETF                     |                                         | ON           | No         |                   |
| Manulife Smart U.S. Enhanced Yield ETF               |                                         | ON           | No         |                   |
| Manulife Strategic Balanced Yield Fund               |                                         | ON           | No         |                   |
| Manulife Strategic Dividend Bundle                   |                                         | ON           | No         |                   |
| Manulife Strategic Income Fund                       | Elliott & Page Strategic Income Fund    | ON           | No         |                   |
| Manulife Strategic Income Plus Fund                  |                                         | ON           | No         |                   |
| Manulife Strategic Investment Grade Global Bond Fund |                                         | ON           | No         |                   |
| Manulife Tactical Income Fund                        | Standard Life Tactical Income Fund      | ON           | No         |                   |
| Manulife Tax-Managed Growth Fund                     | Manulife Mawer Tax-Managed Growth Fund  | ON           | No         |                   |
| Manulife U.S. All Cap Equity Class                   |                                         | ON           | No         |                   |
| Manulife U.S. All Cap Equity Fund                    |                                         | ON           | No         |                   |
| Manulife U.S. Balanced Private Trust                 | Manulife Balanced Private Trust         | ON           | No         |                   |
| Manulife U.S. Balanced Value Private Trust           |                                         | ON           | No         |                   |
| Manulife U.S. Dividend Income Class                  | Manulife U.S. Dividend Class            | ON           | No         |                   |
| Manulife U.S. Dividend Income Fund                   | Standard Life U.S. Dividend Growth Fund | ON           | No         |                   |
| Manulife U.S. Dollar Strategic Balanced Yield Fund   |                                         | ON           | No         |                   |
| Manulife U.S. Dollar Strategic Income Fund           |                                         | ON           | No         |                   |
| Manulife U.S. Dollar U.S. All Cap Equity Fund        |                                         | ON           | No         |                   |
| Manulife U.S. Equity Fund                            | Manulife Mawer U.S. Equity Fund         | ON           | No         |                   |
| Manulife U.S. Equity Private Pool                    |                                         | ON           | No         |                   |
| Manulife U.S. Mid-Cap Equity Fund                    |                                         | ON           | No         |                   |
| Manulife U.S. Monthly High Income Fund               |                                         | ON           | No         |                   |
| Manulife U.S. Opportunities Fund                     | AIC American Focused Fund               | ON           | No         |                   |
| Manulife U.S. Unconstrained Bond Fund                | Manulife U.S. Tactical Credit Fund      | ON           | No         |                   |
| Manulife World Investment Class                      | Manulife Mawer World Investment Class   | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                         | Previous Name                                          | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------------|--------------------------------------------------------|--------------|------------|-------------------|
| Manulife World Investment Fund                                      |                                                        | ON           | No         |                   |
| Manulife Yield Opportunities Fund                                   |                                                        | ON           | No         |                   |
| Maple Gold Mines Ltd.                                               | Aurvista Gold Corporation                              | BC           | No         |                   |
| Maple Leaf Critical Minerals 2025 Enhanced Flow-Through LP*         |                                                        | BC           | No         |                   |
| Maple Leaf Critical Minerals 2025 Enhanced Flow-Through LP*         |                                                        | BC           | No         |                   |
| Maple Leaf Critical Minerals 2025-II Enhanced Flow-Through Limited* |                                                        | BC           | No         |                   |
| Maple Leaf Critical Minerals 2025-II Enhanced Flow-Through Limited* |                                                        | BC           | No         |                   |
| Maple Leaf Critical Minerals 2026 Enhanced Flow-Through Limited*    |                                                        | BC           | No         |                   |
| Maple Leaf Critical Minerals 2026 Enhanced Flow-Through Limited*    |                                                        | BC           | No         |                   |
| Maple Leaf Foods Inc.                                               | Canada Packers Inc. (Prior To Sept.20/91)              | ON           | No         |                   |
| Maple Leaf Gold & Critical Minerals Class                           | Maple Leaf Critical Minerals Class                     | BC           | No         |                   |
| Marimaca Copper Corp.                                               | Coro Mining Corp.                                      | ON           | No         |                   |
| Marquest Mutual Funds Inc. - Explorer Series Fund                   | Pathway Multi Series Fund Inc. - Explorer Series Fund  | ON           | No         |                   |
| Marwest Apartment Real Estate Investment Trust                      |                                                        | MB           | No         |                   |
| Matador Technologies Inc.                                           |                                                        | ON           | No         |                   |
| Matco Balanced Fund                                                 |                                                        | AB           | No         |                   |
| Matco Canadian Equity Income Fund                                   | Matco Canadian Equity Fund                             | AB           | No         |                   |
| Matco Diversified Income Fund                                       | Matco Fixed Income Fund                                | AB           | No         |                   |
| Matco Global Equity Fund                                            |                                                        | AB           | No         |                   |
| Matco Opportunities Fund                                            | Matco Small Cap Fund                                   | AB           | No         |                   |
| Matrix 2013 Short Duration National Class*                          |                                                        | ON           | Yes        | 1a,1c,1d,3        |
| Matrix 2013 Short Duration Québec Class*                            |                                                        | ON           | Yes        | 1a,1c,1d,3        |
| Matrix Asset Management Inc.                                        |                                                        | BC           | No         |                   |
| Mattel, Inc.                                                        |                                                        | ON           | No         |                   |
| Mattr Corp.                                                         | ShawCor Ltd.                                           | ON           | No         |                   |
| Maudore Minerals Ltd                                                |                                                        | QC           | No         |                   |
| Mawer Balanced Fund                                                 | Mawer Canadian Balanced Retirement Savings Fund        | AB           | No         |                   |
| Mawer Canadian Bond Fund                                            | Canadian Bond Fund (Mawer Investment Management Funds) | AB           | No         |                   |
| Mawer Canadian Equity Fund                                          | Canadian Equity Fund (Mawer Investment Management FUN) | AB           | No         |                   |
| Mawer Canadian Money Market Fund                                    | Canadian Money Market Fund (Mawer Investment Manageme  | AB           | No         |                   |
| Mawer EAFE Large Cap Fund                                           |                                                        | AB           | No         |                   |
| Mawer Emerging Markets Equity Fund*                                 |                                                        | AB           | No         |                   |
| Mawer Global Balanced Fund                                          |                                                        | AB           | No         |                   |
| Mawer Global Credit Opportunities Fund*                             |                                                        | AB           | No         |                   |
| Mawer Global Equity Fund                                            |                                                        | AB           | No         |                   |
| Mawer Global Small Cap Fund                                         |                                                        | AB           | No         |                   |
| Mawer International Equity Fund                                     | Mawer World Investment Fund                            | AB           | No         |                   |
| Mawer New Canada Fund                                               | New Canada Fund (Mawer Investment Management Funds)    | AB           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                | Previous Name                                        | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------|------------------------------------------------------|--------------|------------|-------------------|
| Mawer Short Term Bond Fund                 |                                                      | AB           | No         |                   |
| Mawer Tax Effective Balanced Fund          | Mawer Canadian Diversified Investment Fund           | AB           | No         |                   |
| Mawer U.S. Equity Fund                     | U.S. Equity Fund (Mawer Investment Management Funds) | AB           | No         |                   |
| Mawer U.S. Mid Cap Equity Fund             |                                                      | AB           | No         |                   |
| Maxam Arbitrage Fund                       |                                                      | BC           | No         |                   |
| Maxam Diversified Strategies Fund          |                                                      | BC           | No         |                   |
| Mayfair Gold Corp.                         |                                                      | ON           | No         |                   |
| MCAN Mortgage Corporation                  | McAp Inc.                                            | ON           | No         |                   |
| McElvaine Value Fund                       | McElvaine Investment Trust, The                      | BC           | No         |                   |
| McEwen Inc.                                | McEwen Mining Inc.                                   | ON           | No         |                   |
| mCloud Technologies Corp.                  | Universal mCloud Corp                                | BC           | Yes        | 1a,1b,1c,1d,1e,3  |
| MCP Exchangeco Inc.                        |                                                      | ON           | No         |                   |
| MD American Growth Fund                    | MD US Large Cap Growth Fund                          | ON           | No         |                   |
| MD American Value Fund                     | MD US Large Cap Value Fund                           | ON           | No         |                   |
| MD Bond Fund                               |                                                      | ON           | No         |                   |
| MD Canadian Equity Fund                    | MD Select Fund                                       | ON           | No         |                   |
| MD Dividend Growth Fund                    | MD Income & Growth Fund                              | ON           | No         |                   |
| MD Equity Fund                             |                                                      | ON           | No         |                   |
| MD Fossil Fuel Free Bond Fund              |                                                      | ON           | No         |                   |
| MD Fossil Fuel Free Equity Fund            |                                                      | ON           | No         |                   |
| MD Growth Investments Limited              |                                                      | ON           | No         |                   |
| MD International Growth Fund               |                                                      | ON           | No         |                   |
| MD International Value Fund                |                                                      | ON           | No         |                   |
| MD Money Fund                              |                                                      | ON           | No         |                   |
| MD Precision Balanced Growth Portfolio     | MD Balanced Growth Portfolio                         | ON           | No         |                   |
| MD Precision Balanced Income Portfolio     |                                                      | ON           | No         |                   |
| MD Precision Canadian Balanced Growth Fund | MD Balanced Fund                                     | ON           | No         |                   |
| MD Precision Canadian Moderate Growth Fund | MD Dividend Income Fund                              | ON           | No         |                   |
| MD Precision Conservative Portfolio        | MD Conservative Portfolio                            | ON           | No         |                   |
| MD Precision Maximum Growth Portfolio      | MD Maximum Growth Portfolio                          | ON           | No         |                   |
| MD Precision Moderate Balanced Portfolio   | MD Moderate Balanced Portfolio                       | ON           | No         |                   |
| MD Precision Moderate Growth Portfolio     |                                                      | ON           | No         |                   |
| MD Short-Term Bond Fund                    | MD Bond and Mortgage Fund                            | ON           | No         |                   |
| MD Strategic Opportunities Fund            |                                                      | ON           | No         |                   |
| MD Strategic Yield Fund                    |                                                      | ON           | No         |                   |
| MDA Space Ltd.                             | MDA Ltd.                                             | ON           | No         |                   |
| MDPIM Bond Pool                            | MDPIM Canadian Long Term Bond Pool                   | ON           | No         |                   |
| MDPIM Canadian Equity Index Pool*          | MDPIM S&P/TSX Capped Composite Index Pool            | ON           | No         |                   |
| MDPIM Canadian Equity Pool                 | MD Canadian Tax Managed Pool                         | ON           | No         |                   |
| MDPIM Dividend Pool                        |                                                      | ON           | No         |                   |
| MDPIM Emerging Markets Equity Pool         |                                                      | ON           | No         |                   |
| MDPIM International Equity Index Pool*     |                                                      | ON           | No         |                   |
| MDPIM International Equity Pool            |                                                      | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                          | Previous Name                                  | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------------------|------------------------------------------------|--------------|------------|-------------------|
| MDPIM Short-Term Bond Pool                                           | MDPIM Canadian Bond Pool                       | ON           | No         |                   |
| MDPIM Strategic Opportunities Pool                                   |                                                | ON           | No         |                   |
| MDPIM Strategic Yield Pool                                           |                                                | ON           | No         |                   |
| MDPIM US Equity Index Pool*                                          | MDPIM S&P 500 Index Pool                       | ON           | No         |                   |
| MDPIM US Equity Pool                                                 | MD US Tax Managed Pool                         | ON           | No         |                   |
| Med-Chem Health Care Limited                                         |                                                | ON           | Yes        | 1a,1b             |
| Medexus Pharmaceuticals Inc.                                         |                                                | ON           | No         |                   |
| Medical Facilities Corporation                                       |                                                | ON           | No         |                   |
| Medicure Inc.                                                        |                                                | MB           | No         |                   |
| Medicus Pharma Ltd.                                                  |                                                | ON           | No         |                   |
| MediPharm Labs Corp.                                                 | POCML 4 Inc.                                   | ON           | No         |                   |
| MedMen Enterprises Inc.                                              | Ladera Ventures Corp.                          | BC           | Yes        | 1a,1b,1c,1e,3     |
| MedMira Inc.                                                         |                                                | NS           | No         |                   |
| Medx Health Corp.                                                    |                                                | ON           | No         |                   |
| Mega Uranium Ltd.                                                    |                                                | ON           | No         |                   |
| MegaLong (3X) Canadian Banks Daily Leveraged Alternative ETF         |                                                | ON           | No         |                   |
| MegaLong (3X) Canadian Gold Miners Daily Leveraged Alternative ETF   |                                                | ON           | No         |                   |
| MegaLong (3X) NASDAQ-100® Daily Leveraged Alternative ETF            |                                                | ON           | No         |                   |
| MegaLong (3X) S&P 500® Daily Leveraged Alternative ETF               |                                                | ON           | No         |                   |
| MegaLong (3X) US Semiconductors Daily Leveraged Alternative ETF      |                                                | ON           | No         |                   |
| MegaShort (-3X) Canadian Gold Miners Daily Leveraged Alternative ETF |                                                | ON           | No         |                   |
| MegaShort (-3X) NASDAQ-100® Daily Leveraged Alternative ETF          |                                                | ON           | No         |                   |
| MegaShort (-3X) S&P 500® Daily Leveraged Alternative ETF             |                                                | ON           | No         |                   |
| MegaShort (-3X) US Semiconductors Daily Leveraged Alternative ETF    |                                                | ON           | No         |                   |
| Melodia Conservative Income Portfolio                                | Diapason Conservative Portfolio                | QC           | No         |                   |
| Melodia Very Conservative Income Portfolio                           | Diapason Retirement Portfolio B (Conservative) | QC           | No         |                   |
| Mene Inc.                                                            |                                                | ON           | No         |                   |
| Mentor Networks Inc.                                                 |                                                | NS           | Yes        | 1a,1b             |
| Mercator Minerals Ltd.                                               |                                                | BC           | No         |                   |
| Meritage American Equity Portfolio                                   |                                                | QC           | No         |                   |
| Meritage Balanced Income Portfolio                                   |                                                | QC           | No         |                   |
| Meritage Balanced Portfolio                                          |                                                | QC           | No         |                   |
| Meritage Canadian Equity Portfolio                                   |                                                | QC           | No         |                   |
| Meritage Conservative Income Portfolio                               |                                                | QC           | No         |                   |
| Meritage Conservative Portfolio                                      |                                                | QC           | No         |                   |
| Meritage Diversified Fixed Income Portfolio                          |                                                | QC           | No         |                   |
| Meritage Global Balanced Portfolio                                   | Meritage Global and Income Growth Portfolio    | QC           | No         |                   |
| Meritage Global Conservative Portfolio*                              |                                                | QC           | No         |                   |
| Meritage Global Equity Portfolio                                     |                                                | QC           | No         |                   |
| Meritage Global Growth Plus Portfolio                                | Meritage Global Dynamic Growth Portfolio       | QC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                    | Previous Name                                       | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------|-----------------------------------------------------|--------------|------------|-------------------|
| Meritage Global Growth Portfolio*              |                                                     | QC           | No         |                   |
| Meritage Global Moderate Portfolio*            |                                                     | QC           | No         |                   |
| Meritage Growth Income Portfolio               | Meritage Growth Income Portfolio                    | QC           | No         |                   |
| Meritage Growth Plus Income Portfolio          | Meritage Dynamic Growth Income Portfolio            | QC           | No         |                   |
| Meritage Growth Plus Portfolio                 | Meritage Dynamic Growth Portfolio                   | QC           | No         |                   |
| Meritage Growth Portfolio                      |                                                     | QC           | No         |                   |
| Meritage International Equity Portfolio        |                                                     | QC           | No         |                   |
| Meritage Moderate Income Portfolio             |                                                     | QC           | No         |                   |
| Meritage Moderate Portfolio                    |                                                     | QC           | No         |                   |
| Meritage Tactical ETF Balanced Portfolio*      |                                                     | QC           | No         |                   |
| Meritage Tactical ETF Equity Portfolio         |                                                     | QC           | No         |                   |
| Meritage Tactical ETF Growth Portfolio*        |                                                     | QC           | No         |                   |
| Meritage Tactical ETF Moderate Portfolio*      |                                                     | QC           | No         |                   |
| META (META) Yield Shares Purpose ETF           |                                                     | ON           | No         |                   |
| Metalla Royalty and Streaming Ltd.             |                                                     | BC           | No         |                   |
| Metalo Manufacturing Inc.                      | Muskrat Minerals Incorporated                       | ON           | Yes        | 1a,1c,1e,3        |
| Metatek-Group Ltd.                             |                                                     | AB           | No         |                   |
| Meteor Creek Resources Inc.                    | Double Down Resource LTD.                           | AB           | No         |                   |
| Methanex Corporation                           | Ocelot Industries Limited                           | BC           | No         |                   |
| Metro Inc.                                     | Metro-Richelieu Inc.                                | QC           | No         |                   |
| Miata Metals Corp.                             |                                                     | BC           | No         |                   |
| Microbix Biosystems Inc.                       |                                                     | ON           | No         |                   |
| Microsoft (MSFT) Yield Shares Purpose ETF      | Microsoft (MSFT) Yield Shares                       | ON           | No         |                   |
| Midas Capital Corporation                      | Midas Minerals Inc                                  | ON           | Yes        | 1a                |
| Middlefield ActivEnergy Dividend Class         | Middlefield Global Energy Transition Class          | ON           | No         |                   |
| Middlefield Canadian Dividend Growers Class    | Middlefield Canadian Dividend Growth Class          | ON           | No         |                   |
| Middlefield Global Agriculture Class           |                                                     | ON           | No         |                   |
| Middlefield Global Dividend Growers Class      |                                                     | ON           | No         |                   |
| Middlefield Global Dividend Growers ETF        | Middlefield Sustainable Global Dividend ETF         | ON           | No         |                   |
| Middlefield Global Infrastructure Dividend ETF | Middlefield Sustainable Infrastructure Dividend ETF | ON           | No         |                   |
| Middlefield Global Infrastructure Fund         |                                                     | ON           | No         |                   |
| Middlefield Healthcare Dividend ETF            | Middlefield Healthcare & Life Sciences ETF          | ON           | No         |                   |
| Middlefield Healthcare Dividend Fund           | Global Healthcare Dividend Fund                     | ON           | No         |                   |
| Middlefield High Interest Income Class         | Middlefield Short-Term Income Class                 | ON           | No         |                   |
| Middlefield Income Plus Class                  |                                                     | ON           | No         |                   |
| Middlefield Innovation Dividend Class          |                                                     | ON           | No         |                   |
| Middlefield Innovation Dividend ETF            |                                                     | ON           | No         |                   |
| Middlefield Real Estate Dividend Class         | Middlefield Global Real Estate Class                | ON           | No         |                   |
| Middlefield Real Estate Dividend ETF           | Middlefield REIT INDEXPLUS ETF                      | ON           | No         |                   |
| Middlefield Short Duration Bond Plus ETF       |                                                     | ON           | No         |                   |
| Middlefield Short Duration Bond Plus Fund      | INDEXPLUS Income Fund                               | ON           | No         |                   |
| Middlefield U.S. Equity Dividend Class         | Middlefield U.S. Dividend Growers Class             | ON           | No         |                   |
| Middlefield U.S. Equity Dividend ETF           | Middlefield American Core Dividend ETF              | ON           | No         |                   |
| Military Metals Corp.                          | X1 Entertainment Group Inc.                         | BC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                      | Previous Name                                       | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------------|-----------------------------------------------------|--------------|------------|-------------------|
| Minco Silver Corporation                                         |                                                     | BC           | No         |                   |
| Mindready Solutions Inc.                                         |                                                     | QC           | Yes        | 1a,3              |
| MindWalk Holdings Corp.                                          | ImmunoPrecise Antibodies Ltd.                       | BC           | No         |                   |
| Minera IRL Limited                                               |                                                     | BC           | Yes        | 1a,1c,1d,1e,3     |
| Mineros S.A.                                                     |                                                     | ON           | No         |                   |
| MINT Income Fund*                                                | Middlefield High Income Trust (Mint)                | ON           | No         |                   |
| Mirabela Nickel Limited                                          |                                                     | BC           | No         |                   |
| Mispec Resources Inc.                                            |                                                     | ON           | Yes        | 1a                |
| MJardin Group, Inc.                                              | Sumtra Diversified Inc.                             | ON           | Yes        | 1a,1c,1e,3        |
| MLD Core Fund                                                    | MLD Global Income Fund                              | ON           | No         |                   |
| MM Fund                                                          |                                                     | ON           | No         |                   |
| MNP Petroleum Corporation                                        | Manas Petroleum Corporation                         | BC           | No         |                   |
| Moat Active Premium Yield ETF*                                   |                                                     | ON           | No         |                   |
| Mogotes Metals Inc.                                              |                                                     | ON           | No         |                   |
| Molson Coors Beverage Company                                    | Molson Coors Brewing Company                        | QC           | No         |                   |
| Molson Coors Canada Inc.                                         |                                                     | QC           | No         |                   |
| Monarch Mining Corporation                                       |                                                     | QC           | Yes        | 1a,1c,1e,3        |
| Monarch Resources Limited                                        |                                                     | BC           | No         |                   |
| Money Market Fund                                                | Prime Credit Money Market Fund                      | ON           | No         |                   |
| Monogen, Inc.                                                    | Oxbow Equities Corp.                                | ON           | Yes        | 1a,1b,1c,1d,1e,3  |
| Montage Gold Corp.                                               |                                                     | BC           | No         |                   |
| Morguard Corporation                                             | Acktion Corporation                                 | ON           | No         |                   |
| Morguard North American Residential Real Estate Investment Trust |                                                     | ON           | No         |                   |
| Morguard Real Estate Investment Trust                            |                                                     | ON           | No         |                   |
| Morien Resources Corp.                                           | Advanced Primary Minerals Corporation               | NS           | No         |                   |
| Mountain Province Diamonds Inc.                                  |                                                     | ON           | No         |                   |
| MRF 2026 Resource Limited Partnership*                           |                                                     | ON           | No         |                   |
| Mullen Group Ltd.                                                |                                                     | AB           | No         |                   |
| Multi-Asset Growth & Income Strategy                             | Multi-Asset Growth & Income                         | ON           | No         |                   |
| Multi-Asset Growth & Income Strategy Class                       | Multi-Asset Growth & Income Class                   | ON           | No         |                   |
| Multi-Asset Growth Strategy                                      | Russell Multi-Asset Growth Strategy                 | ON           | No         |                   |
| Multi-Asset Growth Strategy Class                                | Russell Multi-Asset Growth Strategy Class Portfolio | ON           | No         |                   |
| Multi-Asset Income Strategy                                      | LifePoints Balanced Income Portfolio                | ON           | No         |                   |
| Multi-Asset Income Strategy Class                                | LifePoints Balanced Income Class                    | ON           | No         |                   |
| Multi-Asset International Equity                                 | Multi-Asset Equity Completion                       | ON           | No         |                   |
| Mulvihill Canadian Bank Enhanced Yield ETF                       | Mulvihill Enhanced Yield Canadian Bank ETF          | ON           | No         |                   |
| Mulvihill Canadian Bank ETF                                      |                                                     | ON           | No         |                   |
| Mulvihill Corporate Bond Fund                                    |                                                     | ON           | No         |                   |
| Mulvihill Enhanced Split Preferred Share ETF                     | Mulvihill U.S. Health Care Enhanced Yield ETF       | ON           | No         |                   |
| Mulvihill ETF Portfolio Fund                                     |                                                     | ON           | No         |                   |
| Mulvihill Premium Yield Fund                                     |                                                     | ON           | No         |                   |
| Mulvihill Split Capital Share ETF                                |                                                     | ON           | No         |                   |
| Mulvihill Split Preferred Share ETF                              |                                                     | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                           | Previous Name                                      | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------|----------------------------------------------------|--------------|------------|-------------------|
| Murchison Minerals Ltd.                               | Manicouagan Minerals Inc.                          | ON           | No         |                   |
| MustGrow Biologics Corp.                              |                                                    | SK           | No         |                   |
| Nabors Industries Ltd.                                |                                                    | AB           | No         |                   |
| Namibia Critical Metals Inc.                          | Namibia Rare Earths Inc.                           | NS           | No         |                   |
| Nanalysis Scientific Corp.                            |                                                    | AB           | No         |                   |
| Nano One Materials Corp.                              |                                                    | BC           | No         |                   |
| NanoXplore Inc.                                       |                                                    | QC           | No         |                   |
| Natcore Technology Inc.                               | Syracuse Capital Corp.                             | BC           | No         |                   |
| National Bank of Canada                               |                                                    | QC           | No         |                   |
| National Fibretech Inc.                               |                                                    | ON           | Yes        | 1a,1b             |
| Nautilus Minerals Inc.                                |                                                    | BC           | No         |                   |
| Nav Canada                                            |                                                    | ON           | No         |                   |
| Navitrak International Corporation                    |                                                    | NS           | Yes        | 1a,1b,1c          |
| NBI Active Canadian Preferred Shares ETF              |                                                    | QC           | No         |                   |
| NBI Active Global Equity Fund                         |                                                    | QC           | No         |                   |
| NBI Active International Equity ETF                   |                                                    | QC           | No         |                   |
| NBI Active U.S. Equity ETF                            |                                                    | QC           | No         |                   |
| NBI Active U.S. Equity Fund                           |                                                    | QC           | No         |                   |
| NBI Balanced ETF Portfolio                            |                                                    | QC           | No         |                   |
| NBI Balanced Portfolio*                               |                                                    | QC           | No         |                   |
| NBI Bond Fund                                         | National Bank Bond Fund                            | QC           | No         |                   |
| NBI Canadian All Cap Equity Fund                      | National Bank Canadian All Cap Equity Fund         | QC           | No         |                   |
| NBI Canadian Bond Index Fund                          |                                                    | QC           | No         |                   |
| NBI Canadian Bond Private Portfolio                   |                                                    | QC           | No         |                   |
| NBI Canadian Core Plus Bond Fund                      |                                                    | QC           | No         |                   |
| NBI Canadian Dividend Income ETF                      |                                                    | QC           | No         |                   |
| NBI Canadian Equity Fund                              | NBI Jarislowsky Fraser Select Canadian Equity Fund | QC           | No         |                   |
| NBI Canadian Equity Growth Fund                       | National Bank Canadian Equity Growth Fund          | QC           | No         |                   |
| NBI Canadian Equity Index Fund                        |                                                    | QC           | No         |                   |
| NBI Canadian Equity Private Portfolio                 |                                                    | QC           | No         |                   |
| NBI Canadian Fixed Income Private Portfolio           |                                                    | QC           | No         |                   |
| NBI Canadian High Conviction Equity Private Portfolio |                                                    | QC           | No         |                   |
| NBI Conservative ETF Portfolio                        |                                                    | QC           | No         |                   |
| NBI Conservative Portfolio*                           |                                                    | QC           | No         |                   |
| NBI Corporate Bond Fund                               | National Bank Corporate Bond Fund                  | QC           | No         |                   |
| NBI Corporate Bond Private Portfolio                  |                                                    | QC           | No         |                   |
| NBI Diversified Emerging Markets Equity Fund*         | NBI Emerging Markets Equity Private Portfolio      | QC           | No         |                   |
| NBI Equity ETF Portfolio                              |                                                    | QC           | No         |                   |
| NBI Equity Income Private Portfolio                   |                                                    | QC           | No         |                   |
| NBI Equity Portfolio*                                 |                                                    | QC           | No         |                   |
| NBI Floating Rate Income Fund                         | National Bank Floating Rate Income Fund            | QC           | No         |                   |
| NBI Global Balanced Growth Fund*                      |                                                    | QC           | No         |                   |
| NBI Global Climate Ambition Fund                      |                                                    | QC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                 | Previous Name                                     | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------------|---------------------------------------------------|--------------|------------|-------------------|
| NBI Global Equity Fund                                      | National Bank Global Equity Fund                  | QC           | No         |                   |
| NBI Global Equity Markets Private Portfolio                 |                                                   | QC           | No         |                   |
| NBI Global Private Equity ETF                               |                                                   | QC           | No         |                   |
| NBI Global Real Assets Income Fund*                         |                                                   | QC           | No         |                   |
| NBI Global Small Cap Fund                                   |                                                   | QC           | No         |                   |
| NBI Global Tactical Bond Fund                               | National Bank Global Tactical Bond Fund           | QC           | No         |                   |
| NBI Growth ETF Portfolio                                    |                                                   | QC           | No         |                   |
| NBI Growth Portfolio*                                       |                                                   | QC           | No         |                   |
| NBI High Yield Bond ETF                                     |                                                   | QC           | No         |                   |
| NBI High Yield Bond Fund                                    | National Bank High Yield Bond Fund                | QC           | No         |                   |
| NBI Income Fund                                             | National Bank Income Fund                         | QC           | No         |                   |
| NBI Innovators Fund                                         | NBI Science and Technology Fund                   | QC           | No         |                   |
| NBI International Equity Fund*                              |                                                   | QC           | No         |                   |
| NBI International Equity Index Fund                         |                                                   | QC           | No         |                   |
| NBI International High Conviction Equity Private Portfolio  |                                                   | QC           | No         |                   |
| NBI International Value Fund                                | NBI Active International Equity Fund              | QC           | No         |                   |
| NBI Jarislowsky Fraser Select Balanced Fund                 | Jarislowsky Fraser Select Balanced Fund           | QC           | No         |                   |
| NBI Jarislowsky Fraser Select Income Fund                   | Jarislowsky Fraser Select Income Fund             | QC           | No         |                   |
| NBI Liquid Alternatives ETF                                 |                                                   | QC           | No         |                   |
| NBI Moderate Portfolio*                                     |                                                   | QC           | No         |                   |
| NBI Money Market Fund                                       | National Bank Money Market Fund                   | QC           | No         |                   |
| NBI Multiple Asset Class Private Portfolio                  |                                                   | QC           | No         |                   |
| NBI Non-Traditional Capital Appreciation Private Portfolio* |                                                   | QC           | No         |                   |
| NBI Non-Traditional Fixed Income Private Portfolio*         |                                                   | QC           | No         |                   |
| NBI North American Dividend Private Portfolio               |                                                   | QC           | No         |                   |
| NBI Precious Metals Fund                                    | National Bank Precious Metals Fund                | QC           | No         |                   |
| NBI Preferred Equity Fund                                   | National Bank Preferred Equity Fund               | QC           | No         |                   |
| NBI Preferred Equity Income Fund                            | National Bank Preferred Equity Income Fund        | QC           | No         |                   |
| NBI Quebec Growth Fund                                      | National Bank Quebec Growth Fund                  | QC           | No         |                   |
| NBI Resource Fund                                           | National Bank Resource Fund                       | QC           | No         |                   |
| NBI Secure Portfolio*                                       |                                                   | QC           | No         |                   |
| NBI Senior Loan Fund                                        |                                                   | QC           | No         |                   |
| NBI Small Cap Fund                                          | National Bank Small Cap Fund                      | QC           | No         |                   |
| NBI SmartBeta Low Volatility Canadian Equity Fund*          | NBI SmartBeta Canadian Equity Fund                | QC           | No         |                   |
| NBI SmartBeta Low Volatility Global Equity Fund*            | NBI SmartBeta Global Equity Fund                  | QC           | No         |                   |
| NBI SmartData Canadian Equity Fund                          |                                                   | QC           | No         |                   |
| NBI SmartData Enhanced Yield U.S. Equity Fund               |                                                   | QC           | No         |                   |
| NBI SmartData Global Equity Fund                            | NBI Global Diversified Equity Fund                | QC           | No         |                   |
| NBI SmartData International Equity Covered Call Fund        |                                                   | QC           | No         |                   |
| NBI SmartData International Equity Fund                     | National Bank Consensus International Equity Fund | QC           | No         |                   |
| NBI SmartData U.S. Equity Covered Call Fund                 |                                                   | QC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                       | Previous Name                                | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------|----------------------------------------------|--------------|------------|-------------------|
| NBI SmartData U.S. Equity Fund                    | National Bank Consensus American Equity Fund | QC           | No         |                   |
| NBI Sustainable Balanced Portfolio                |                                              | QC           | No         |                   |
| NBI Sustainable Canadian Bond ETF                 |                                              | QC           | No         |                   |
| NBI Sustainable Canadian Bond Fund                |                                              | QC           | No         |                   |
| NBI Sustainable Canadian Corporate Bond ETF       |                                              | QC           | No         |                   |
| NBI Sustainable Canadian Equity ETF               |                                              | QC           | No         |                   |
| NBI Sustainable Canadian Equity Fund              |                                              | QC           | No         |                   |
| NBI Sustainable Canadian Short Term Bond ETF      |                                              | QC           | No         |                   |
| NBI Sustainable Conservative Portfolio            |                                              | QC           | No         |                   |
| NBI Sustainable Equity Portfolio                  |                                              | QC           | No         |                   |
| NBI Sustainable Global Bond Fund                  |                                              | QC           | No         |                   |
| NBI Sustainable Global Equity ETF                 |                                              | QC           | No         |                   |
| NBI Sustainable Global Equity Fund                |                                              | QC           | No         |                   |
| NBI Sustainable Growth Portfolio                  |                                              | QC           | No         |                   |
| NBI Sustainable Moderate Portfolio                |                                              | QC           | No         |                   |
| NBI Sustainable Secure Portfolio                  |                                              | QC           | No         |                   |
| NBI Sustainable Systematic World Equity Fund      |                                              | QC           | No         |                   |
| NBI Tactical Equity Private Portfolio*            |                                              | QC           | No         |                   |
| NBI Target 2026 Investment Grade Bond Fund        |                                              | QC           | No         |                   |
| NBI Target 2027 Investment Grade Bond Fund        |                                              | QC           | No         |                   |
| NBI Target 2028 Investment Grade Bond Fund        |                                              | QC           | No         |                   |
| NBI Target 2029 Investment Grade Bond Fund        |                                              | QC           | No         |                   |
| NBI Target 2030 Investment Grade Bond Fund        |                                              | QC           | No         |                   |
| NBI Target 2031 Investment Grade Bond Fund        |                                              | QC           | No         |                   |
| NBI Target 2032 Investment Grade Bond Fund        |                                              | QC           | No         |                   |
| NBI Thematic Rotation ETF                         |                                              | QC           | No         |                   |
| NBI U.S. Bond Private Portfolio*                  |                                              | QC           | No         |                   |
| NBI U.S. Equity Fund                              | National Bank U.S. Equity Fund               | QC           | No         |                   |
| NBI U.S. Equity Index Fund                        |                                              | QC           | No         |                   |
| NBI U.S. Equity Private Portfolio                 |                                              | QC           | No         |                   |
| NBI U.S. High Conviction Equity Private Portfolio |                                              | QC           | No         |                   |
| NBI Unconstrained Fixed Income ETF*               |                                              | QC           | No         |                   |
| NBI Unconstrained Fixed Income Fund*              |                                              | QC           | No         |                   |
| NCM Core Canadian*                                | Norrep Core Canadian Pool                    | AB           | No         |                   |
| NCM Core Global*                                  | Norrep Core Global Pool                      | AB           | No         |                   |
| NCM Dividend Champions                            | NCM Norrep Fund                              | AB           | No         |                   |
| NCM Global Balanced Retirement Fund               | NCM Global Equity Balanced Portfolio         | AB           | No         |                   |
| NCM Global Income Growth Class                    | Norrep Global Income Growth Class            | AB           | No         |                   |
| NCM Income Growth Class                           | Norrep Income Growth Class                   | AB           | No         |                   |
| NCM Small Companies Class                         | Norrep II Class                              | AB           | No         |                   |
| Nearctic Nickel Mines Inc.                        | Ungava Minerals Corp.                        | ON           | No         |                   |
| Neatt Corporation                                 |                                              | ON           | Yes        | 1a,1b             |

## Nova Scotia Securities Commission

| Issuer Name                             | Previous Name                                       | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------|-----------------------------------------------------|--------------|------------|-------------------|
| NEI Balanced Private Portfolio          |                                                     | ON           | No         |                   |
| NEI Balanced Yield Portfolio            | NEI Global Strategic Yield Fund                     | ON           | No         |                   |
| NEI Canadian Bond Fund                  | Ethical Income Fund                                 | ON           | No         |                   |
| NEI Canadian Dividend Fund              | NEI Northwest Canadian Dividend Fund                | ON           | No         |                   |
| NEI Canadian Equity Fund                | NEI Growth & Income Fund                            | ON           | No         |                   |
| NEI Canadian Equity Pool                |                                                     | ON           | No         |                   |
| NEI Canadian Equity RS Fund             | NEI Ethical Canadian Equity Fund                    | ON           | No         |                   |
| NEI Canadian Impact Bond Fund           |                                                     | ON           | No         |                   |
| NEI Canadian Small Cap Equity Fund      | NEI Northwest Specialty Equity Fund                 | ON           | No         |                   |
| NEI Canadian Small Cap Equity RS Fund   | NEI Ethical Special Equity Fund                     | ON           | No         |                   |
| NEI Clean Infrastructure Fund           |                                                     | ON           | No         |                   |
| NEI Conservative Yield Portfolio*       |                                                     | ON           | No         |                   |
| NEI Emerging Markets Fund               | NEI Northwest Emerging Markets Fund                 | ON           | No         |                   |
| NEI Environmental Leaders Fund*         |                                                     | ON           | No         |                   |
| NEI ESG Canadian Enhanced Index Fund    | NEI Jantzi Social Index® Fund                       | ON           | No         |                   |
| NEI Fixed Income Pool                   |                                                     | ON           | No         |                   |
| NEI Global Corporate Leaders Fund       |                                                     | ON           | No         |                   |
| NEI Global Dividend RS Fund             | NEI Ethical Global Dividend Fund                    | ON           | No         |                   |
| NEI Global Equity Pool                  |                                                     | ON           | No         |                   |
| NEI Global Equity RS Fund               | NEI Ethical Global Equity Fund                      | ON           | No         |                   |
| NEI Global High Yield Bond Fund         | NEI Northwest Specialty Global High Yield Bond Fund | ON           | No         |                   |
| NEI Global Impact Bond Fund             |                                                     | ON           | No         |                   |
| NEI Global Sustainable Balanced Fund    | NEI Balanced RS Fund                                | ON           | No         |                   |
| NEI Global Total Return Bond Fund       |                                                     | ON           | No         |                   |
| NEI Global Value Fund*                  |                                                     | ON           | No         |                   |
| NEI Growth & Income Fund                | NEI Northwest Growth and Income Fund                | ON           | No         |                   |
| NEI Growth Private Portfolio            |                                                     | ON           | No         |                   |
| NEI Impact Balanced Portfolio           |                                                     | ON           | No         |                   |
| NEI Impact Conservative Portfolio       |                                                     | ON           | No         |                   |
| NEI Impact Growth Portfolio             |                                                     | ON           | No         |                   |
| NEI Income & Growth Private Portfolio   |                                                     | ON           | No         |                   |
| NEI Income Private Portfolio            |                                                     | ON           | No         |                   |
| NEI International Equity RS Fund        | NEI Ethical International Equity Fund               | ON           | No         |                   |
| NEI Long Short Equity Fund              |                                                     | ON           | No         |                   |
| NEI Money Market Fund                   | Northwest Money Market Fund                         | ON           | No         |                   |
| NEI Multi-Strategy Global Equity Pool   |                                                     | ON           | No         |                   |
| NEI Select Balanced RS Portfolio        | NEI Ethical Select Balanced Portfolio               | ON           | No         |                   |
| NEI Select Growth & Income RS Portfolio | Meritas Growth & Income Portfolio                   | ON           | No         |                   |
| NEI Select Growth RS Portfolio          | NEI Ethical Select Growth Portfolio                 | ON           | No         |                   |
| NEI Select Income & Growth RS Portfolio | NEI Ethical Select Conservative Portfolio           | ON           | No         |                   |
| NEI Select Income RS Portfolio          | NEI Ethical Select Income Portfolio                 | ON           | No         |                   |
| NEI Select Maximum Growth RS Portfolio  | Meritas Maximum Growth Portfolio                    | ON           | No         |                   |
| NEI U.S. Dividend Fund                  | NEI Northwest U.S. Dividend Fund                    | ON           | No         |                   |
| NEI U.S. Equity RS Fund                 | NEI Ethical U.S. Equity Fund                        | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                     | Previous Name                            | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------------|------------------------------------------|--------------|------------|-------------------|
| Neo Performance Materials Inc.                                  |                                          | ON           | No         |                   |
| Neptune Wellness Solutions Inc.                                 | Neptune Technologies & Bioresources Inc. | QC           | Yes        | 1a,1c,1d,1e,3     |
| NervGen Pharma Corp.                                            |                                          | BC           | No         |                   |
| Netflix (NFLX) Yield Shares Purpose ETF                         |                                          | ON           | No         |                   |
| New Commerce Split Fund*                                        |                                          | ON           | No         |                   |
| New Found Gold Corp.                                            |                                          | BC           | No         |                   |
| New Leaf Ventures Inc.                                          |                                          | BC           | Yes        | 1a,1c,1e,3        |
| New Pacific Metals Corp.                                        |                                          | BC           | No         |                   |
| New Zealand Energy Corp.                                        |                                          | BC           | No         |                   |
| Newcore Gold Ltd.                                               |                                          | BC           | No         |                   |
| Newfoundland Power Inc.                                         | Newfoundland Light & Power Co. LTD.      | QC           | No         |                   |
| NewGen Alternative Income Fund                                  |                                          | ON           | No         |                   |
| NewGen Credit Strategies Fund                                   |                                          | ON           | No         |                   |
| NewGen Focused Alpha Fund                                       |                                          | ON           | No         |                   |
| Newkidco International Inc.                                     | Softquad International Inc.              | ON           | Yes        | 1a,1b,3           |
| Newmont Corporation                                             | Newmont Goldcorp Corporation             | ON           | No         |                   |
| Newstrike Brands Ltd.                                           | Newstrike Resources Ltd.                 | ON           | No         |                   |
| Nexa Resources S.A.                                             | VM Holding S.A.                          | ON           | No         |                   |
| NEXCEL METALS CORP.                                             |                                          | BC           | No         |                   |
| Nexe Innovations Inc.                                           |                                          | BC           | No         |                   |
| Nexera Energy Inc.                                              | Emerald Bay Energy Inc.                  | AB           | Yes        | 1b,1c,1e          |
| NexGen Energy Ltd.                                              |                                          | BC           | No         |                   |
| NexGold Mining Corp.                                            |                                          | ON           | No         |                   |
| Nexient Learning Inc.                                           | Crossoff Incorporated                    | NS           | Yes        | 1b,1c,1e          |
| NexJ Health Holdings Inc.                                       |                                          | ON           | No         |                   |
| NexLiving Communities Inc.                                      | NexLiving Communities Inc.               | ON           | No         |                   |
| NexMetals Mining Corp                                           | Premium Resources Ltd.                   | BC           | No         |                   |
| Nextech3D.AI Corporation                                        | Nextech AR Solutions Corp.               | ON           | No         |                   |
| Nextleaf Solutions Ltd.                                         |                                          | BC           | No         |                   |
| NextPoint Financial Inc.                                        | NextPoint Acquisition Corp.              | ON           | Yes        | 1a,1c,1d,1e,3     |
| NextSource Materials Inc.                                       |                                          | ON           | No         |                   |
| Nexus Industrial REIT                                           | Nexus Real Estate Investment Trust       | ON           | No         |                   |
| Nexus Uranium Corp.                                             | Golden Independence Mining Corp.         | BC           | No         |                   |
| NFI Group Inc.                                                  | New Flyer Industries Inc.                | MB           | No         |                   |
| NG Energy International Corp.                                   |                                          | BC           | No         |                   |
| NHC Communications Inc.                                         |                                          | QC           | Yes        | 1a,1b,1c,1d,3     |
| Nickel 28 Capital Corp.                                         | Conic Metals Corp.                       | ON           | No         |                   |
| Niko Resources Ltd.                                             |                                          | AB           | Yes        | 1a,1c,1e,3        |
| Ninepoint 2025 Short Duration Flow-Through Limited Partnership* |                                          | ON           | No         |                   |
| Ninepoint 2026 Flow-Through Limited Partnership*                |                                          | ON           | No         |                   |
| Ninepoint Alphabet HighShares ETF                               |                                          | ON           | No         |                   |
| Ninepoint Alternative Credit Opportunities Fund                 |                                          | ON           | No         |                   |
| Ninepoint Balanced+ Fund*                                       | Ninepoint Capital Appreciation Fund      | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                  | Previous Name                          | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------------------|----------------------------------------|--------------|------------|-------------------|
| Ninepoint Barrick HighShares ETF                             |                                        | ON           | No         |                   |
| Ninepoint BCE HighShares ETF                                 |                                        | ON           | No         |                   |
| Ninepoint Cameco HighShares ETF                              |                                        | ON           | No         |                   |
| Ninepoint Canadian Natural Resources HighShares ETF          |                                        | ON           | No         |                   |
| Ninepoint Cannabis & Alternative Health Fund                 | Ninepoint Alternative Health Fund      | ON           | No         |                   |
| Ninepoint Cash Management Fund                               | Ninepoint High Interest Savings Fund   | ON           | No         |                   |
| Ninepoint Celestica HighShares ETF                           |                                        | ON           | No         |                   |
| Ninepoint CNR HighShares ETF                                 |                                        | ON           | No         |                   |
| Ninepoint Constellation Software CoreShares ETF              |                                        | ON           | No         |                   |
| Ninepoint Constellation Software HighShares ETF              |                                        | ON           | No         |                   |
| Ninepoint Crypto and AI Leaders ETF                          | Ninepoint Web3 Innovators Fund         | ON           | No         |                   |
| Ninepoint Diversified Bond Fund                              | Sprott Diversified Bond Fund           | ON           | No         |                   |
| Ninepoint Enbridge HighShares ETF                            |                                        | ON           | No         |                   |
| Ninepoint Energy Fund                                        | Sprott Energy Fund                     | ON           | No         |                   |
| Ninepoint Energy Income Fund                                 |                                        | ON           | No         |                   |
| Ninepoint Enhanced Aerospace and Defense HighShares ETF      |                                        | ON           | No         |                   |
| Ninepoint Enhanced Canadian HighShares ETF                   |                                        | ON           | No         |                   |
| Ninepoint Enhanced Canadian Utilities HighShares ETF         |                                        | ON           | No         |                   |
| Ninepoint Enhanced Global Commodity Producers HighShares ETF |                                        | ON           | No         |                   |
| Ninepoint Enhanced Global Equity HighShares ETF              |                                        | ON           | No         |                   |
| Ninepoint Enhanced Global Technology HighShares ETF          |                                        | ON           | No         |                   |
| Ninepoint Enhanced U.S. Banks HighShares ETF                 |                                        | ON           | No         |                   |
| Ninepoint Enhanced U.S. Equity HighShares ETF                |                                        | ON           | No         |                   |
| Ninepoint Focused Global Dividend Fund                       |                                        | ON           | No         |                   |
| Ninepoint Global Infrastructure Fund                         | Sprott Global Infrastructure Fund      | ON           | No         |                   |
| Ninepoint Global Macro Fund                                  | Ninepoint FX Strategy Fund             | ON           | No         |                   |
| Ninepoint Global Select Fund*                                |                                        | ON           | No         |                   |
| Ninepoint Gold and Precious Minerals Fund                    | Sprott Gold and Precious Minerals Fund | ON           | No         |                   |
| Ninepoint Gold Bullion Fund                                  | Sprott Gold Bullion Fund               | ON           | No         |                   |
| Ninepoint Intel HighShares ETF                               |                                        | ON           | No         |                   |
| Ninepoint Kinross Gold HighShares ETF                        |                                        | ON           | No         |                   |
| Ninepoint Mining Evolution Fund                              | Ninepoint Resource Fund                | ON           | No         |                   |
| Ninepoint NVIDIA HighShares ETF                              |                                        | ON           | No         |                   |
| Ninepoint Palantir HighShares ETF                            |                                        | ON           | No         |                   |
| Ninepoint Resource Fund Class                                |                                        | ON           | No         |                   |
| Ninepoint Risk Advantaged U.S. Equity Index Fund             |                                        | ON           | No         |                   |
| Ninepoint Royal Bank HighShares ETF                          |                                        | ON           | No         |                   |
| Ninepoint Shopify HighShares ETF                             |                                        | ON           | No         |                   |
| Ninepoint Silver Bullion Fund*                               | Sprott Silver Bullion Fund             | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                              | Previous Name                                    | Jurisdiction | In Default | Nature of Default |
|------------------------------------------|--------------------------------------------------|--------------|------------|-------------------|
| Ninepoint Silver Equities Fund           |                                                  | ON           | No         |                   |
| Ninepoint SpaceX HighShares ETF*         |                                                  | ON           | No         |                   |
| Ninepoint Suncor HighShares ETF          |                                                  | ON           | No         |                   |
| Ninepoint Target Income Fund             |                                                  | ON           | No         |                   |
| Ninepoint TD HighShares ETF              |                                                  | ON           | No         |                   |
| Ninepoint Tesla HighShares ETF           |                                                  | ON           | No         |                   |
| NL2 Capital Inc.                         |                                                  | NS           | No         |                   |
| NOA Lithium Brines Inc.                  |                                                  | AB           | No         |                   |
| Nobilis Health Corp.                     | Northstar Healthcare Inc.                        | ON           | Yes        | 1a,1b,1c,1d,1e,3  |
| Nord Precious Metals Mining Inc.         | Canada Silver Cobalt Works Inc.                  | ON           | No         |                   |
| NordX Metals Corp.                       | United Lithium Corp                              | BC           | No         |                   |
| Nortel Networks Corporation              | New Nortel Inc.                                  | ON           | Yes        | 1a,1b,1c,1e,3     |
| Nortel Networks Limited                  | Nortel Networks Corporation                      | ON           | Yes        | 1a,1b,1c,1e,3     |
| North American Construction Group Ltd.   | North American Energy Partners Inc.              | AB           | No         |                   |
| North American Financial 15 Split Corp.* | Financial 15 Split Corp. II                      | ON           | No         |                   |
| North American Tungsten Corporation Ltd. |                                                  | BC           | Yes        | 1a,1b,1c,1e,3     |
| North Growth Canadian Equity Fund        |                                                  | BC           | No         |                   |
| North Growth U.S. Equity Advisor Fund    |                                                  | BC           | No         |                   |
| Northaven Resources Corp.                | Diamond International Exploration Inc.           | BC           | No         |                   |
| Northern Dynasty Minerals Ltd.           |                                                  | BC           | No         |                   |
| Northern Graphite Corporation            |                                                  | ON           | No         |                   |
| NorthIsle Copper and Gold Inc.           |                                                  | BC           | No         |                   |
| Northland Power Inc.                     |                                                  | ON           | No         |                   |
| Northland Resources SE                   | Northland Resources S.A.                         | ON           | Yes        | 3                 |
| Northland Systems Training Inc.          |                                                  | ON           | Yes        | 1a,1b,3           |
| Northstar Clean Technologies Inc.        |                                                  | AB           | No         |                   |
| Northstar Gold Corp.                     |                                                  | ON           | No         |                   |
| Northview Residential REIT               | Northview Fund                                   | AB           | No         |                   |
| NorthWest Copper Corp.                   |                                                  | ON           | No         |                   |
| Nouveau Monde Graphite Inc.              | New-World Mining Enterprises Inc.                | QC           | No         |                   |
| Nova Leap Health Corp.                   |                                                  | NS           | No         |                   |
| Nova Scotia Power Incorporated           |                                                  | NS           | No         |                   |
| NovaGold Resources Inc.                  | Novacan Mining Resources (1985) Limited          | BC           | No         |                   |
| Novanta Inc.                             | GSI Group Inc.                                   | NB           | No         |                   |
| Noveris Health Sciences Inc.             | Mydecine Innovations Group Inc.                  | BC           | No         |                   |
| Novo Resources Corp.                     |                                                  | BC           | No         |                   |
| NowVertical Group Inc.                   |                                                  | ON           | No         |                   |
| Nu-Life Corp.                            | Natraceuticals Inc.                              | ON           | Yes        | 1a,1b,1c,1d,1e,3  |
| Numinus Wellness Inc.                    |                                                  | BC           | Yes        | 1a,1b,1c,1d,1e,3  |
| Nutrien Ltd.                             |                                                  | SK           | No         |                   |
| Nuvau Minerals Inc.                      | Aardvark 2 Capital Corp.                         | ON           | No         |                   |
| Nuveen Global Green Bond Fund            | Nuveen Environmental Impact Bond Fund            | ON           | No         |                   |
| NVIDIA (NVDA) Yield Shares Purpose ETF   | Johnson & Johnson (JNJ) Yield Shares Purpose ETF | ON           | No         |                   |
| NYMBUS MONTHLY INCOME FUND               |                                                  | QC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                            | Previous Name                  | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------------------|--------------------------------|--------------|------------|-------------------|
| Nymbus Multistrategy Fund                                              |                                | QC           | No         |                   |
| NYMBUS SUSTAINABLE ENHANCED BONDS FUND                                 |                                | QC           | No         |                   |
| Oak Hill AQR Delphi Long-Short Equity Fund                             |                                | ON           | No         |                   |
| Oak Hill GMO Quality Fund*                                             |                                | ON           | No         |                   |
| Oak Hill NexPoint Global Merger Arbitrage Fund                         |                                | ON           | No         |                   |
| Obsidian Energy Ltd.                                                   | Penn West Petroleum Ltd.       | AB           | No         |                   |
| OceanaGold Corporation                                                 |                                | ON           | No         |                   |
| Oceansix Future Paths Ltd.                                             | K.B. Recycling Industries Ltd. | ON           | Yes        | 1a,1c,1e,3        |
| Oil Optimization Inc.                                                  |                                | AB           | No         |                   |
| Oilsands Quest Inc.                                                    |                                | AB           | No         |                   |
| Old API Wind-down Ltd. (Cease Traded)                                  | Aralez Pharmaceuticals Inc.    | BC           | Yes        | 1a,1b,1c,1e,3     |
| Old MAV Wind-Down Ltd.                                                 | MAV Beauty Brands Inc.         | ON           | Yes        | 1b,1c,1e          |
| Omai Gold Mines Corp.                                                  | Anconia Resources Corp.        | ON           | No         |                   |
| Onco-Innovations Limited                                               |                                | AB           | No         |                   |
| Oncolytics Biotech Inc.                                                |                                | AB           | No         |                   |
| ONE Financial All-Weather Profit Canada Fund                           |                                | ON           | Yes        | 1a,1b,1c,1d,3     |
| ONE Financial All-Weather Profit Commodities Fund                      |                                | ON           | Yes        | 1a,1b,1c,1d,3     |
| ONE Financial All-Weather Profit Conservative Growth 2022 Protected    |                                | ON           | Yes        | 1a,1b,1c,1d,3     |
| ONE Financial All-Weather Profit Emerging Markets Fund                 |                                | ON           | Yes        | 1a,1b,1c,1d,3     |
| ONE Financial All-Weather Profit Europe & Asia Fund                    |                                | ON           | Yes        | 1a,1b,1c,1d,3     |
| ONE Financial All-Weather Profit Global Diversified Fund               |                                | ON           | Yes        | 1a,1b,1c,1d,3     |
| ONE Financial All-Weather Profit Global Diversified Growth Fund        |                                | ON           | Yes        | 1a,1b,1c,1d,3     |
| ONE Financial All-Weather Profit Growth & Income Balanced Fund         |                                | ON           | Yes        | 1a,1b,1c,1d,3     |
| ONE Financial All-Weather Profit Monthly ROC Income 2022 Protected     |                                | ON           | Yes        | 1a,1b,1c,1d,3     |
| ONE Financial All-Weather Profit Monthly Tax-Efficient Bond Fund       |                                | ON           | Yes        | 1a,1b,1c,1d,3     |
| ONE Financial All-Weather Profit Tax-Efficient Short-term Savings Fund |                                | ON           | Yes        | 1a,1b,1c,1d,3     |
| ONE Financial All-Weather Profit U.S. Fund                             |                                | ON           | Yes        | 1a,1b,1c,1d,3     |
| ONE Financial Real Property Development Trust (2008-1)                 |                                | ON           | No         |                   |
| ONE Financial Real Property Income Fund (2008-1)                       |                                | ON           | No         |                   |
| One Signature Financial Corporation                                    | July Resources Corporation     | ON           | Yes        | 1a,1b,3           |
| ONEnergy Inc.                                                          | Look Communications Inc.       | ON           | No         |                   |
| Onex Corporation                                                       |                                | ON           | No         |                   |
| Onex Global Special Situations Alternative Fund                        |                                | ON           | No         |                   |
| Onex High Yield Bond Fund (Canada)                                     |                                | ON           | No         |                   |
| Onex U.S. Equity Fund                                                  |                                | ON           | No         |                   |
| Ontario Power Generation Inc.                                          |                                | ON           | No         |                   |
| Opawica Explorations Inc.                                              |                                | BC           | Yes        | 3                 |

## Nova Scotia Securities Commission

| Issuer Name                              | Previous Name                    | Jurisdiction | In Default | Nature of Default |
|------------------------------------------|----------------------------------|--------------|------------|-------------------|
| Open Text Corporation                    |                                  | ON           | No         |                   |
| Optimi Health Corp.                      |                                  | BC           | No         |                   |
| OR ROYALTIES INC.                        | OSISKO GOLD ROYALTIES LTD        | QC           | No         |                   |
| Oracle Commodity Holding Corp.           | Battery Metals Royalties Corp.   | BC           | No         |                   |
| Orbit Garant Drilling Inc.               |                                  | QC           | No         |                   |
| Orbite Technologies Inc.                 | Orbite Aluminae Inc.             | QC           | No         |                   |
| Orbus Pharma Inc.                        | Bovar Inc.                       | AB           | No         |                   |
| Orea Mining Corp.                        | Columbus Gold Corporation        | BC           | Yes        | 1a,1c,1d,1e,3     |
| Orezone Gold Corporation                 |                                  | BC           | No         |                   |
| Organigram Global Inc.                   | OrganiGram Holdings Inc.         | ON           | No         |                   |
| Organto Foods Inc.                       |                                  | BC           | No         |                   |
| Orion Digital Corp.                      | Orion Digital Corp.              | BC           | No         |                   |
| Orla Mining Ltd.                         |                                  | BC           | No         |                   |
| Oroco Resource Corp.                     |                                  | BC           | No         |                   |
| Orvana Minerals Corp.                    |                                  | ON           | No         |                   |
| Osiris One Metals Ltd.                   | Lithium Ion Energy Ltd.          | BC           | No         |                   |
| Osisko Development Corp.                 |                                  | QC           | No         |                   |
| Outcome Canadian Equity Income Fund      |                                  | ON           | No         |                   |
| Outcome International Equity Income Fund |                                  | ON           | No         |                   |
| Outcome Tactical Bond Fund               |                                  | ON           | No         |                   |
| Outcrop Silver & Gold Corporation        | Outcrop Gold Corp.               | BC           | No         |                   |
| Outdoorpartner Media Corporation         |                                  | ON           | No         |                   |
| Ovintiv Inc.                             |                                  | AB           | No         |                   |
| Paladin Energy Ltd.                      |                                  | ON           | No         |                   |
| Palantir (PLTR) Yield Shares Purpose ETF |                                  | ON           | No         |                   |
| Palliser Oil & Gas Corporation           |                                  | AB           | No         |                   |
| Palos Equity Income Fund                 |                                  | QC           | No         |                   |
| Pan American Silver Corp.                |                                  | BC           | No         |                   |
| Pan Pacific Strategies Corp.             | Regent Rock Development Inc.     | ON           | Yes        | 1a,1b,3           |
| Panther Minerals Inc.                    | Lithium Lion Metals Inc.         | BC           | No         |                   |
| Parallel Energy Trust                    |                                  | AB           | No         |                   |
| Paramount Resources Ltd.                 |                                  | AB           | No         |                   |
| Parex Resources Inc.                     |                                  | AB           | No         |                   |
| Parkit Enterprise Inc.                   | Greenscape Capital Group Inc.    | ON           | No         |                   |
| Partners Value Investments Inc.          | Partners Value Fund Inc.         | ON           | No         |                   |
| Partners Value Split Corp.*              | BAM Split Corp.                  | ON           | No         |                   |
| Patagonia Gold Corp.                     | Hunt Mining Corp.                | BC           | No         |                   |
| Pay Linx Financial Corporation           |                                  | AB           | No         |                   |
| PC 1 Corp.                               |                                  | ON           | No         |                   |
| PC Chips Corporation                     | Hookup Communication Corporation | ON           | Yes        | 1a,1b,3           |
| PCJ Absolute Return II Fund              |                                  | ON           | No         |                   |
| PCJ Focused Opportunities Fund           |                                  | ON           | No         |                   |
| Peak Discovery Capital Ltd.              | Hanwei Energy Services Corp.     | BC           | No         |                   |
| Pegasus Gold Inc.                        |                                  | BC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                   | Previous Name                         | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------|---------------------------------------|--------------|------------|-------------------|
| Pelangio Exploration Inc.                     |                                       | ON           | No         |                   |
| Pembina Pipeline Corporation                  |                                       | AB           | No         |                   |
| Pembroke American Growth Fund Inc.            | GBC American Growth Fund Inc., The    | QC           | No         |                   |
| Pembroke Canadian All Cap Fund                | Pembroke Canadian All Cap Pooled Fund | QC           | No         |                   |
| PEMBROKE CANADIAN BALANCED FUND               | Pembroke Growth and Income Fund       | QC           | No         |                   |
| Pembroke Canadian Bond Fund                   | GBC Canadian Bond Fund, The           | QC           | No         |                   |
| Pembroke Canadian Growth Fund                 | GBC Canadian Growth Fund, The         | QC           | No         |                   |
| PEMBROKE CONCENTRATED FUND                    |                                       | QC           | No         |                   |
| Pembroke Corporate Bond Fund                  | GBC Corporate Bond Fund, The          | QC           | No         |                   |
| Pembroke Dividend Growth Fund                 |                                       | QC           | No         |                   |
| Pembroke Global Balanced Fund                 | GBC Global Balanced Fund, The         | QC           | No         |                   |
| Pembroke International Growth Fund            | GBC International Growth Fund, The    | QC           | No         |                   |
| Pembroke Money Market Fund                    | GBC Money Market Fund, The            | QC           | No         |                   |
| Pender Alternative Absolute Return Fund       |                                       | BC           | No         |                   |
| Pender Alternative Arbitrage Fund             |                                       | BC           | No         |                   |
| Pender Alternative Arbitrage Plus Fund        |                                       | BC           | No         |                   |
| Pender Alternative Multi-Strategy Growth Fund |                                       | BC           | No         |                   |
| Pender Alternative Multi-Strategy Income Fund | Vertex Value Fund                     | BC           | No         |                   |
| Pender Alternative Select Equity Fund         | Purpose Select Equity Fund            | BC           | No         |                   |
| Pender Alternative Special Situations Fund    |                                       | BC           | No         |                   |
| Pender Bond Universe Fund*                    |                                       | BC           | No         |                   |
| Pender Corporate Bond Fund                    |                                       | BC           | No         |                   |
| Pender Global Small/Mid Cap Equity Fund       | Pender Value Fund                     | BC           | No         |                   |
| Pender Growth Fund Inc.                       |                                       | BC           | No         |                   |
| Pender Small Cap Opportunities Fund           |                                       | BC           | No         |                   |
| Pender Strategic Growth and Income Fund       | Pender Enhanced Income Fund           | BC           | No         |                   |
| Pender US Small/Mid Cap Equity Fund           |                                       | BC           | No         |                   |
| PENN Entertainment, Inc.                      | Penn National Gaming, Inc.            | ON           | No         |                   |
| Peregrine Systems, Inc.                       |                                       | BC           | Yes        | 1a,1b,3           |
| Perimeter Medical Imaging AI, Inc.            |                                       | ON           | No         |                   |
| Perk Labs Inc.                                | Glance Technologies Inc.              | BC           | Yes        | 1a,1b,1c,1e,3     |
| Perpetua Resources Corp.                      | Midas Gold Corp.                      | BC           | No         |                   |
| Perseus Mining Limited                        |                                       | BC           | No         |                   |
| Perseverance Metals Inc.                      |                                       | BC           | No         |                   |
| PesoRama Inc.                                 |                                       | ON           | No         |                   |
| Pet Valu Holdings Ltd.                        |                                       | ON           | No         |                   |
| Petro-Victory Energy Corp.                    |                                       | AB           | No         |                   |
| PetroTal Corp.                                | Sterling Resources Ltd.               | AB           | No         |                   |
| Petrowest Corporation                         |                                       | AB           | No         |                   |
| Peyto Exploration and Development Corp.       |                                       | AB           | No         |                   |
| PharmaCielo Ltd.                              |                                       | ON           | No         |                   |
| PharmaCorp Rx Inc.                            | Proton Capital Corp.                  | SK           | No         |                   |
| Pharmala Biotech Holdings Inc.                |                                       | ON           | No         |                   |
| Phenom Resources Corp.                        | First Vanadium Corp.                  | BC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                  | Previous Name                                              | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------------------|------------------------------------------------------------|--------------|------------|-------------------|
| Phillips, Hager & North Balanced Fund                        |                                                            | ON           | No         |                   |
| Phillips, Hager & North Balanced Pension Trust               |                                                            | ON           | No         |                   |
| Phillips, Hager & North Bond Fund                            |                                                            | ON           | No         |                   |
| Phillips, Hager & North Canadian Equity Fund                 |                                                            | ON           | No         |                   |
| Phillips, Hager & North Canadian Equity Pension Trust        |                                                            | ON           | No         |                   |
| Phillips, Hager & North Canadian Equity Plus Pension Trust   |                                                            | ON           | No         |                   |
| Phillips, Hager & North Canadian Equity Underlying Fund      |                                                            | ON           | No         |                   |
| Phillips, Hager & North Canadian Equity Underlying Fund II   | Phillips, Hager & North Canadian Equity Underlying Fund II | ON           | No         |                   |
| Phillips, Hager & North Canadian Equity Value Fund           |                                                            | ON           | No         |                   |
| Phillips, Hager & North Canadian Growth Fund                 | Phillips Hager & North Canadian Equity Plus Fund           | ON           | No         |                   |
| Phillips, Hager & North Canadian Income Fund                 |                                                            | ON           | No         |                   |
| Phillips, Hager & North Conservative Equity Income Fund      |                                                            | ON           | No         |                   |
| Phillips, Hager & North Conservative Pension Trust           |                                                            | ON           | No         |                   |
| Phillips, Hager & North Currency-Hedged Overseas Equity Fund |                                                            | ON           | No         |                   |
| Phillips, Hager & North Currency-Hedged U.S. Equity Fund     |                                                            | ON           | No         |                   |
| Phillips, Hager & North Dividend Income Fund                 |                                                            | ON           | No         |                   |
| Phillips, Hager & North Global Equity Fund                   |                                                            | ON           | No         |                   |
| Phillips, Hager & North Growth Pension Trust                 |                                                            | ON           | No         |                   |
| Phillips, Hager & North High Yield Bond Fund                 |                                                            | ON           | No         |                   |
| Phillips, Hager & North LifeTime 2015 Fund                   |                                                            | ON           | No         |                   |
| Phillips, Hager & North LifeTime 2020 Fund                   |                                                            | ON           | No         |                   |
| Phillips, Hager & North LifeTime 2025 Fund                   |                                                            | ON           | No         |                   |
| Phillips, Hager & North LifeTime 2030 Fund                   |                                                            | ON           | No         |                   |
| Phillips, Hager & North LifeTime 2035 Fund                   |                                                            | ON           | No         |                   |
| Phillips, Hager & North LifeTime 2040 Fund                   |                                                            | ON           | No         |                   |
| Phillips, Hager & North LifeTime 2045 Fund                   |                                                            | ON           | No         |                   |
| Phillips, Hager & North LifeTime 2050 Fund                   |                                                            | ON           | No         |                   |
| Phillips, Hager & North LifeTime 2060 Fund                   |                                                            | ON           | No         |                   |
| Phillips, Hager & North LifeTime 2065 Fund                   |                                                            | ON           | No         |                   |
| Phillips, Hager & North Monthly Income Fund                  |                                                            | ON           | No         |                   |
| Phillips, Hager & North Overseas Equity Fund                 |                                                            | ON           | No         |                   |
| Phillips, Hager & North Short Term Bond & Mortgage Fund      |                                                            | ON           | No         |                   |
| Phillips, Hager & North Small Float Fund                     |                                                            | ON           | No         |                   |
| Phillips, Hager & North Total Return Bond Fund               |                                                            | ON           | No         |                   |
| Phillips, Hager & North U.S. Dividend Income Fund            |                                                            | ON           | No         |                   |
| Phillips, Hager & North U.S. Equity Fund                     |                                                            | ON           | No         |                   |
| Phillips, Hager & North U.S. Growth Fund                     | PH & N North American Equity Fund                          | ON           | No         |                   |
| Phillips, Hager & North U.S. Multi-Style All-Cap Equity Fund |                                                            | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                        | Previous Name                                                     | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------|-------------------------------------------------------------------|--------------|------------|-------------------|
| Phillips, Hager & North Vintage Fund               |                                                                   | ON           | No         |                   |
| Phoenix Metals Corp.                               |                                                                   | BC           | No         |                   |
| PHX Energy Services Corp.                          |                                                                   | AB           | No         |                   |
| PICTON Arbitrage Alternative Fund                  | Picton Mahoney Fortified Arbitrage Alternative Fund               | ON           | No         |                   |
| PICTON Arbitrage Plus Alternative Fund             | Picton Mahoney Fortified Arbitrage Plus Alternative Fund          | ON           | No         |                   |
| PICTON Balanced Fund                               | Picton Mahoney Fortified Multi-Asset Fund                         | ON           | No         |                   |
| PICTON Core Bond Fund                              | Picton Mahoney Fortified Core Bond Fund                           | ON           | No         |                   |
| PICTON Credit Opportunities Alternative Fund       | Picton Mahoney Fortified Special Situations Alternative Fund      | ON           | No         |                   |
| PICTON Global Equity Fund                          | Picton Mahoney Fortified Equity Fund                              | ON           | No         |                   |
| PICTON Income Fund                                 | Picton Mahoney Fortified Income Fund                              | ON           | No         |                   |
| PICTON Inflation Opportunities Alternative Fund    | Picton Mahoney Fortified Inflation Opportunities Alternative Fund | ON           | No         |                   |
| PICTON Investment Grade Alternative Fund*          | Picton Mahoney Fortified Investment Grade Alternative Fund        | ON           | No         |                   |
| PICTON Long Short Equity (130/30) Alternative Fund | Picton Mahoney Fortified Active Extension Alternative Fund        | ON           | No         |                   |
| PICTON Long Short Equity Alternative Fund          | Picton Mahoney Fortified Long Short Alternative Fund              | ON           | No         |                   |
| PICTON Long Short Income Alternative Fund          | Picton Mahoney Fortified Income Alternative Fund                  | ON           | No         |                   |
| PICTON Market Neutral Equity Alternative Fund      | Picton Mahoney Fortified Market Neutral Alternative Fund          | ON           | No         |                   |
| PICTON Multi-Strategy Alpha Alternative Fund       | Picton Mahoney Fortified Alpha Alternative Fund                   | ON           | No         |                   |
| PICTON Multi-Strategy Alternative Fund             | Picton Mahoney Fortified Multi-Strategy Alternative Fund          | ON           | No         |                   |
| PIMCO - IG Global Bond Pool                        |                                                                   | MB           | No         |                   |
| PIMCO Canadian Core Bond Fund                      | PIMCO Canadian Total Return Bond Fund                             | ON           | No         |                   |
| PIMCO Climate Bond Fund (Canada)                   |                                                                   | ON           | No         |                   |
| PIMCO ESG Income Fund (Canada)                     |                                                                   | ON           | No         |                   |
| PIMCO Flexible Global Bond Fund (Canada)           | PIMCO Global Advantage Strategy Bond Fund (Canada)                | ON           | No         |                   |
| PIMCO Global Income Opportunities Fund             |                                                                   | ON           | No         |                   |
| PIMCO Global Short Maturity Fund (Canada)          |                                                                   | ON           | No         |                   |
| PIMCO Investment Grade Credit Fund (Canada)        |                                                                   | ON           | No         |                   |
| PIMCO Low Duration Monthly Income Fund (Canada)*   |                                                                   | ON           | No         |                   |
| PIMCO Managed Balanced Portfolio*                  |                                                                   | ON           | No         |                   |
| PIMCO Managed Conservative Bond Pool               |                                                                   | ON           | No         |                   |
| PIMCO Managed Core Bond Pool                       |                                                                   | ON           | No         |                   |
| PIMCO Monthly Enhanced Income Fund*                |                                                                   | ON           | No         |                   |
| PIMCO Monthly Income Fund (Canada)                 |                                                                   | ON           | No         |                   |
| PIMCO Unconstrained Bond Fund (Canada)             |                                                                   | ON           | No         |                   |
| Pine Trail Real Estate Investment Trust            | Pine Trail Capital Trust                                          | ON           | No         |                   |
| Pinnacle Balanced Portfolio                        | Pinnacle Conservative Balanced Growth Portfolio                   | ON           | No         |                   |
| Pivotree Inc.                                      |                                                                   | ON           | No         |                   |
| Pizza Pizza Royalty Corp.                          |                                                                   | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                     | Previous Name                                  | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------------|------------------------------------------------|--------------|------------|-------------------|
| Plaintree Systems Inc.                                          |                                                | ON           | No         |                   |
| Planet 13 Holdings Inc.*                                        | Carpincho Capital Inc.                         | ON           | No         |                   |
| Planet Based Foods Global Inc.                                  | Digital Buyer Technologies Corp.               | BC           | No         |                   |
| Plant-Based Investment Corp.                                    | Cannabis Growth Opportunity Corporation        | ON           | Yes        | 3                 |
| Platinova A/S                                                   | Isco, Inuit Service Company A/S                | ON           | Yes        | 1a                |
| Platinova Resources Ltd                                         |                                                | ON           | Yes        | 1a,1b,3           |
| Platinum Group Metals Ltd.                                      |                                                | BC           | No         |                   |
| Plaza Retail REIT                                               |                                                | NB           | No         |                   |
| Plazacorp Willowgrove Residential Real Estate Development Trust |                                                | ON           | No         |                   |
| Plurilock Security Inc.                                         |                                                | BC           | No         |                   |
| PMET Resources Inc.                                             | Patriot Battery Metals Inc.                    | QC           | No         |                   |
| POET Technologies Inc.                                          |                                                | ON           | No         |                   |
| Point Loma Resources Ltd.                                       | First Mountain Exploration Ltd.                | AB           | No         |                   |
| Polar Multi-Strategy Alternative Fund*                          |                                                | ON           | No         |                   |
| Polaris Renewable Energy Inc.                                   | Polaris Infrastructure Inc.                    | ON           | No         |                   |
| Pollard Banknote Limited                                        |                                                | MB           | No         |                   |
| Ponderosa Fund*                                                 |                                                | BC           | No         |                   |
| Portex Minerals Inc.                                            | Strategic Resource Acquisition Corporation     | ON           | No         |                   |
| Portland 15 of 15 Alternative Fund                              | Portland Global Dividend Fund                  | ON           | No         |                   |
| Portland Canadian Balanced Fund                                 |                                                | ON           | No         |                   |
| Portland Life Sciences Alternative Fund                         |                                                | ON           | No         |                   |
| Portland Replacement of Fossil Fuels Alternative Fund*          |                                                | ON           | No         |                   |
| Poseidon Concepts Corp.                                         | Open Range Energy Corp.                        | AB           | No         |                   |
| Postmedia Network Canada Corp.                                  |                                                | ON           | No         |                   |
| Power & Infrastructure Split Corp.                              | Sustainable Power & Infrastructure Split Corp. | ON           | No         |                   |
| Power Corporation of Canada                                     |                                                | QC           | No         |                   |
| Power Financial Corporation                                     |                                                | QC           | No         |                   |
| Power Sustainable China Ascent Fund                             |                                                | ON           | No         |                   |
| PowerBank Corporation                                           | SolarBank Corporation                          | ON           | No         |                   |
| Poynt Corporation                                               | Poynt Corporation                              | AB           | Yes        | 1a,1c,1e,3        |
| Prairie Provident Resources Inc.                                |                                                | AB           | No         |                   |
| PrairieSky Royalty Ltd.                                         |                                                | AB           | No         |                   |
| Precious Metals and Mining Trust*                               |                                                | ON           | No         |                   |
| Precision Assessment Technology Corporation                     | Conor Pacific Group Inc.                       | BC           | No         |                   |
| Precision Drilling Corporation                                  |                                                | AB           | No         |                   |
| Premier Health of America Inc.                                  |                                                | QC           | Yes        | 1b,1c,1e          |
| Premium Brands Holdings Corporation                             |                                                | BC           | No         |                   |
| Premium Cash Management Fund                                    |                                                | ON           | No         |                   |
| Premium Global Income Split Fund                                | Premium Global Income Split Corp.              | ON           | No         |                   |
| Premium Income Corporation                                      |                                                | ON           | No         |                   |
| Preo Software Inc.                                              |                                                | AB           | No         |                   |
| Primaris Real Estate Investment Trust                           |                                                | ON           | No         |                   |
| Prime Dividend Corp.*                                           |                                                | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                            | Previous Name                                  | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------------------|------------------------------------------------|--------------|------------|-------------------|
| Primerica Balanced Yield Fund                                          | Primerica Conservative Growth Fund             | ON           | No         |                   |
| Primerica Canadian Balanced Growth Fund                                | Primerica Growth Fund                          | ON           | No         |                   |
| Primerica Canadian Money Market Fund                                   | Primerica Canadian Money Market Portfolio Fund | ON           | No         |                   |
| Primerica Conservative Income Fund                                     |                                                | ON           | No         |                   |
| Primerica Global Balanced Growth Fund                                  | Primerica Moderate Growth Fund                 | ON           | No         |                   |
| Primerica Global Equity Fund                                           | Primerica Aggressive Growth Fund               | ON           | No         |                   |
| Primerica Income Fund                                                  | Primerica Canadian Income Portfolio Fund       | ON           | No         |                   |
| Printera Corporation                                                   | PMG Financial Inc.                             | ON           | No         |                   |
| Priszm Income Fund                                                     | Priszm Canadian Income Fund                    | ON           | No         |                   |
| Pro Real Estate Investment Trust                                       |                                                | QC           | No         |                   |
| Probe Exploration Inc.                                                 |                                                | AB           | Yes        | 1a,1b             |
| Probit Mining 2025 Short Duration Flow-Through Limited Partnership*    |                                                | BC           | No         |                   |
| Probit Mining 2025 Short Duration Flow-Through Limited Partnership*    |                                                | BC           | No         |                   |
| Probit Mining 2025 Short Duration Flow-Through Limited Partnership*    |                                                | BC           | No         |                   |
| Probit Mining 2025-II Short Duration Flow-Through Limited Partnership* |                                                | BC           | No         |                   |
| Probit Mining 2025-II Short Duration Flow-Through Limited Partnership* |                                                | BC           | No         |                   |
| Probit Mining 2025-II Short Duration Flow-Through Limited Partnership* |                                                | BC           | No         |                   |
| Probit Mining 2026 Short Duration Flow-Through Limited Partnership*    |                                                | BC           | No         |                   |
| Probit Mining 2026 Short Duration Flow-Through Limited Partnership*    |                                                | BC           | No         |                   |
| Probit Mining 2026 Short Duration Flow-Through Limited Partnership*    |                                                | BC           | No         |                   |
| Production Enhancement Group, Inc.                                     |                                                | AB           | Yes        | 3                 |
| Profound Medical Corp.                                                 | Mira IV Acquisition Corp.                      | ON           | No         |                   |
| Promino Nutritional Sciences Inc.                                      | Element Nutritional Sciences Inc.              | ON           | No         |                   |
| ProMIS Neurosciences Inc.                                              |                                                | ON           | No         |                   |
| Propel Holdings Inc.                                                   |                                                | ON           | No         |                   |
| PsyBio Therapeutics Corp.                                              |                                                | BC           | Yes        | 1b,1c,1e          |
| PTX Metals Inc.                                                        | Platinex Inc.                                  | ON           | No         |                   |
| Pulsar Helium Inc.                                                     |                                                | BC           | No         |                   |
| Pulse Oil Corp.                                                        |                                                | BC           | No         |                   |
| Pulse Seismic Inc.                                                     | Pulse Data Inc.                                | AB           | No         |                   |
| Pure Extracts Technologies Corp.                                       | Big Sky Petroleum Corporation                  | BC           | Yes        | 1a,1c,1e,3        |
| Purebread Brands Inc.                                                  | Coho Collective Kitchens Inc.                  | BC           | No         |                   |
| Purepoint Uranium Group Inc.                                           |                                                | ON           | No         |                   |
| Purpose Active Balanced Fund                                           |                                                | ON           | No         |                   |
| Purpose Active Conservative Fund                                       |                                                | ON           | No         |                   |
| Purpose Active Growth Fund                                             |                                                | ON           | No         |                   |
| Purpose Best Ideas Fund                                                |                                                | ON           | No         |                   |
| Purpose Bitcoin ETF                                                    |                                                | ON           | No         |                   |
| Purpose Bitcoin Yield ETF                                              |                                                | ON           | No         |                   |
| Purpose BMO (BMO) Yield Shares ETF                                     |                                                | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                               | Previous Name                                       | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------|-----------------------------------------------------|--------------|------------|-------------------|
| Purpose Brookfield (BN) Yield Shares ETF                  |                                                     | ON           | No         |                   |
| Purpose Canadian Financial Income Fund                    |                                                     | ON           | No         |                   |
| Purpose Canadian National Railway (CNR) Yield Shares ETF  |                                                     | ON           | No         |                   |
| Purpose Canadian Natural Resources (CNQ) Yield Shares ETF |                                                     | ON           | No         |                   |
| Purpose Canadian Preferred Share Fund                     | Redwood Canadian Preferred Share Fund               | ON           | No         |                   |
| Purpose Cash Management Fund                              | Purpose Cash Management Portfolio                   | ON           | No         |                   |
| Purpose CIBC (CM) Yield Shares ETF                        |                                                     | ON           | No         |                   |
| Purpose Conservative Income Fund                          |                                                     | ON           | No         |                   |
| Purpose Core Bitcoin ETF*                                 |                                                     | ON           | No         |                   |
| Purpose Core Dividend Fund                                |                                                     | ON           | No         |                   |
| Purpose Core Equity Income Fund                           | Redwood Core Income Equity Fund                     | ON           | No         |                   |
| Purpose Core Ether ETF*                                   |                                                     | ON           | No         |                   |
| Purpose Couche-Tard (ATD) Yield Shares ETF                |                                                     | ON           | No         |                   |
| Purpose Credit Opportunities Class*                       |                                                     | ON           | No         |                   |
| Purpose Credit Opportunities Fund                         |                                                     | ON           | No         |                   |
| Purpose Diversified Real Asset Fund                       | Purpose Diversified Inflation Fund                  | ON           | No         |                   |
| Purpose Dollarama (DOL) Yield Shares ETF                  |                                                     | ON           | No         |                   |
| Purpose Emerging Markets Dividend Fund                    | Redwood Emerging Markets Dividend Fund              | ON           | No         |                   |
| Purpose Enbridge (ENB) Yield Shares ETF                   |                                                     | ON           | No         |                   |
| Purpose Enhanced Dividend Fund                            |                                                     | ON           | No         |                   |
| Purpose Enhanced Premium Yield Fund                       | Purpose MLP & Infrastructure Income Fund            | ON           | No         |                   |
| Purpose Ether ETF                                         |                                                     | ON           | No         |                   |
| Purpose Ether Yield ETF                                   |                                                     | ON           | No         |                   |
| Purpose Global Bond Class                                 | Purpose Managed Duration Investment Grade Bond Fund | ON           | No         |                   |
| Purpose Global Bond Fund                                  | Purpose Tactical Investment Grade Bond Fund         | ON           | No         |                   |
| Purpose Global Flexible Credit Fund                       | Purpose Floating Rate Income Fund                   | ON           | No         |                   |
| Purpose Global Innovators Fund                            | Redwood Global Opportunities Fund                   | ON           | No         |                   |
| Purpose Global Resource Fund                              | Redwood Resource Growth & Income Fund               | ON           | No         |                   |
| Purpose Gold Bullion Fund                                 |                                                     | ON           | No         |                   |
| Purpose High Interest Savings Fund                        | Purpose High Interest Savings ETF                   | ON           | No         |                   |
| Purpose International Dividend Fund                       |                                                     | ON           | No         |                   |
| Purpose International Enhanced Equity Income Fund         | Purpose International Tactical Hedged Equity Fund   | ON           | No         |                   |
| Purpose Monthly Income Fund                               |                                                     | ON           | No         |                   |
| Purpose Multi-Asset Income Fund                           | Redwood High Income Fund                            | ON           | No         |                   |
| Purpose Multi-Strategy Market Neutral Fund                |                                                     | ON           | No         |                   |
| Purpose National Bank (NA) Yield Shares ETF               |                                                     | ON           | No         |                   |
| Purpose Premium Money Market Fund                         |                                                     | ON           | No         |                   |
| Purpose Premium Yield Fund                                |                                                     | ON           | No         |                   |
| Purpose RBC (RY) Yield Shares ETF                         |                                                     | ON           | No         |                   |
| Purpose Real Estate Income Fund                           | Purpose Duration Hedged Real Estate Fund            | ON           | No         |                   |
| Purpose Scotiabank (BNS) Yield Shares ETF                 |                                                     | ON           | No         |                   |
| Purpose Shopify (SHOP) Yield Shares ETF                   |                                                     | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                     | Previous Name                                  | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------|------------------------------------------------|--------------|------------|-------------------|
| Purpose Silver Bullion Fund*                    | Silver Bullion Trust                           | ON           | No         |                   |
| Purpose Solana ETF*                             |                                                | ON           | No         |                   |
| Purpose SpaceX (SPCX) Yield Shares ETF          | Purpose Manulife (MFC) Yield Shares ETF        | ON           | No         |                   |
| Purpose Strategic Yield Fund                    | Redwood Strategic Yield Fund                   | ON           | No         |                   |
| Purpose Structured Equity Growth Fund           |                                                | ON           | No         |                   |
| Purpose Structured Equity Yield Fund*           | PURPOSE STRUCTURED EQUITY YIELD PORTFOLIO      | ON           | No         |                   |
| Purpose Structured Equity Yield Plus Fund       | Purpose Structured Equity Yield Plus Portfolio | ON           | No         |                   |
| Purpose Tactical Asset Allocation Fund          | Redwood Tactical Asset Allocation Fund         | ON           | No         |                   |
| Purpose Tactical Hedged Equity Fund             | Purpose Market-Hedged Equity Fund              | ON           | No         |                   |
| Purpose Tactical Thematic Fund                  |                                                | ON           | No         |                   |
| Purpose TD (TD) Yield Shares ETF                |                                                | ON           | No         |                   |
| Purpose TELUS (T) Yield Shares ETF              |                                                | ON           | No         |                   |
| Purpose Total Return Bond Fund                  |                                                | ON           | No         |                   |
| Purpose US Cash Fund                            | Purpose US Cash ETF                            | ON           | No         |                   |
| Purpose USD Cash Management Fund                |                                                | ON           | No         |                   |
| Purpose XRP ETF*                                |                                                | ON           | No         |                   |
| Putnam - IG High Yield Income Pool              |                                                | MB           | No         |                   |
| Putnam - IG Sustainable Leaders Pool            |                                                | MB           | No         |                   |
| Putnam - IG U.S. Growth Pool                    |                                                | MB           | No         |                   |
| PyroGenesis Inc.                                | PyroGenesis Canada Inc.                        | QC           | No         |                   |
| QCAD Digital Trust                              |                                                | ON           | No         |                   |
| Quadravest Preferred Split Share ETF            |                                                | ON           | No         |                   |
| Quantum BioPharma Ltd.                          | FSD Pharma Inc.                                | ON           | No         |                   |
| Quarterhill Inc.                                | Wi-Lan Inc.                                    | ON           | No         |                   |
| Quebecor Inc.                                   |                                                | QC           | No         |                   |
| Queens Road Capital Investment Ltd.             | Queen's Road Capital Ltd.                      | BC           | No         |                   |
| Queensway Financial Holdings Limited            |                                                | ON           | Yes        | 1a,1b             |
| Questerre Energy Corporation                    |                                                | AB           | No         |                   |
| Quorum Expansion Capital Fund Inc.*             |                                                | ON           | Yes        | 1a,1b,1c,3        |
| Radiant Technologies Inc.                       |                                                | AB           | Yes        | 1a,1c,1e,3        |
| Rakovina Therapeutics Inc.                      |                                                | BC           | No         |                   |
| Rare Element Resources Ltd.                     |                                                | BC           | No         |                   |
| RavenSource Fund                                | First Asia Income Fund, The                    | ON           | No         |                   |
| Rayonier Advanced Materials Inc.                |                                                | QC           | No         |                   |
| RB Energy Inc.                                  | Canada Lithium Corp.                           | BC           | No         |                   |
| RB Global, Inc.                                 | Ritchie Bros. Auctioneers Incorporated         | BC           | No         |                   |
| RBC \$U.S. Core Bond Pool                       |                                                | ON           | No         |                   |
| RBC \$U.S. Core Plus Bond Pool                  |                                                | ON           | No         |                   |
| RBC \$U.S. Global Balanced Portfolio            |                                                | ON           | No         |                   |
| RBC \$U.S. Global Bond Fund                     |                                                | ON           | No         |                   |
| RBC \$U.S. High Yield Bond Fund                 |                                                | ON           | No         |                   |
| RBC \$U.S. Investment Grade Corporate Bond Fund |                                                | ON           | No         |                   |
| RBC \$U.S. Money Market Fund                    | Royal \$U.S. Money Market Fund                 | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                       | Previous Name                              | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------|--------------------------------------------|--------------|------------|-------------------|
| RBC \$U.S. Money Market Fund II                   | RBC Premium \$U.S. Money Market Fund       | ON           | No         |                   |
| RBC \$U.S. Short-Term Corporate Bond Fund*        |                                            | ON           | No         |                   |
| RBC \$U.S. Short-Term Government Bond Fund        |                                            | ON           | No         |                   |
| RBC \$U.S. Strategic Income Bond Fund*            |                                            | ON           | No         |                   |
| RBC 1-5 Year Laddered Canadian Bond ETF           |                                            | ON           | No         |                   |
| RBC 1-5 Year Laddered Canadian Corporate Bond ETF | RBC 1-5 Year Laddered Corporate Bond ETF   | ON           | No         |                   |
| RBC AAA CLO (CAD Hedged) ETF*                     |                                            | ON           | No         |                   |
| RBC All International Multi-Strategy Equity Fund  |                                            | ON           | No         |                   |
| RBC Alternative Short-Term Bond Fund              |                                            | ON           | No         |                   |
| RBC Asia Pacific ex-Japan Equity Fund             |                                            | ON           | No         |                   |
| RBC Asian Equity Fund                             | Royal Asian Equity Fund                    | ON           | No         |                   |
| RBC Balanced Fund                                 | Royal Balanced Fund                        | ON           | No         |                   |
| RBC Balanced Growth & Income Fund                 |                                            | ON           | No         |                   |
| RBC Bond Fund                                     | Royal Bond Fund                            | ON           | No         |                   |
| RBC Canadian Bank Yield Index ETF                 |                                            | ON           | No         |                   |
| RBC Canadian Bond Index ETF Fund                  | RBC Canadian Bond Index Fund               | ON           | No         |                   |
| RBC Canadian Corporate Bond Fund                  | RBC Private Canadian Corporate Bond Pool   | ON           | No         |                   |
| RBC Canadian Discount Bond ETF                    |                                            | ON           | No         |                   |
| RBC Canadian Dividend Covered Call ETF            |                                            | ON           | No         |                   |
| RBC Canadian Dividend Fund                        | Royal Dividend Fund                        | ON           | No         |                   |
| RBC Canadian Equity ETF                           |                                            | ON           | No         |                   |
| RBC Canadian Equity Fund                          | Royal Canadian Equity Fund                 | ON           | No         |                   |
| RBC Canadian Equity Income Fund                   | RBC Canadian Diversified Income Trust Fund | ON           | No         |                   |
| RBC Canadian Equity Index ETF Fund                |                                            | ON           | No         |                   |
| RBC Canadian Government Bond Index Fund           | RBC Canadian Bond Index Fund               | ON           | No         |                   |
| RBC Canadian Index Fund                           | Royal Canadian Index Fund                  | ON           | No         |                   |
| RBC Canadian Mid-Cap Equity Fund                  | RBC Private Canadian Mid-Cap Equity Pool   | ON           | No         |                   |
| RBC Canadian Money Market Fund                    | Royal Canadian Money Market Fund           | ON           | No         |                   |
| RBC Canadian Preferred Share ETF                  |                                            | ON           | No         |                   |
| RBC Canadian Short-Term Income Fund               | Royal Canadian Short-Term Income Fund      | ON           | No         |                   |
| RBC Canadian Small & Mid-Cap Resources Fund       |                                            | ON           | No         |                   |
| RBC Canadian T-Bill Fund                          | Royal Canadian T-Bill Fund                 | ON           | No         |                   |
| RBC Canadian Ultra Short Term Bond ETF            |                                            | ON           | No         |                   |
| RBC China Equity Fund*                            |                                            | ON           | No         |                   |
| RBC Conservative Bond Pool                        |                                            | ON           | No         |                   |
| RBC Conservative Growth & Income Fund*            |                                            | ON           | No         |                   |
| RBC Core Bond Pool                                |                                            | ON           | No         |                   |
| RBC Core Plus Bond Pool                           |                                            | ON           | No         |                   |
| RBC Emerging Markets Balanced Fund*               |                                            | ON           | No         |                   |
| RBC Emerging Markets Bond Fund                    |                                            | ON           | No         |                   |
| RBC Emerging Markets Bond Fund (CAD Hedged)       |                                            | ON           | No         |                   |
| RBC Emerging Markets Dividend Fund                |                                            | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                       | Previous Name                    | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------|----------------------------------|--------------|------------|-------------------|
| RBC Emerging Markets Equity Focus Fund*           |                                  | ON           | No         |                   |
| RBC Emerging Markets Equity Fund                  | RBC Emerging Markets Fund        | ON           | No         |                   |
| RBC Emerging Markets Equity Index ETF Fund        |                                  | ON           | No         |                   |
| RBC Emerging Markets ex-China Dividend Fund       |                                  | ON           | No         |                   |
| RBC Emerging Markets ex-China Equity Fund         |                                  | ON           | No         |                   |
| RBC Emerging Markets Foreign Exchange Fund        |                                  | ON           | No         |                   |
| RBC Emerging Markets Multi-Strategy Equity Fund   |                                  | ON           | No         |                   |
| RBC Emerging Markets Small-Cap Equity Fund        |                                  | ON           | No         |                   |
| RBC Enhanced North American Value Fund            |                                  | ON           | No         |                   |
| RBC Enhanced Quant Canadian Dividend Leaders Fund |                                  | ON           | No         |                   |
| RBC Enhanced Quant U.S. Dividend Leaders Fund     |                                  | ON           | No         |                   |
| RBC European Equity Fund                          | Royal European Equity Fund       | ON           | No         |                   |
| RBC European Mid-Cap Equity Fund                  |                                  | ON           | No         |                   |
| RBC Global All-Equity Portfolio                   |                                  | ON           | No         |                   |
| RBC Global Balanced Fund                          | RBC Balanced Growth Fund         | ON           | No         |                   |
| RBC Global Balanced Portfolio                     |                                  | ON           | No         |                   |
| RBC Global Bond Fund                              | Royal Global Bond Fund           | ON           | No         |                   |
| RBC Global Bond Index ETF Fund                    |                                  | ON           | No         |                   |
| RBC Global Choices All-Equity Portfolio           |                                  | ON           | No         |                   |
| RBC Global Choices Balanced Portfolio             |                                  | ON           | No         |                   |
| RBC Global Choices Conservative Portfolio         |                                  | ON           | No         |                   |
| RBC Global Choices Growth Portfolio               |                                  | ON           | No         |                   |
| RBC Global Choices Very Conservative Portfolio    |                                  | ON           | No         |                   |
| RBC Global Conservative Portfolio                 |                                  | ON           | No         |                   |
| RBC Global Corporate Bond Fund                    |                                  | ON           | No         |                   |
| RBC Global Dividend Growth Currency Neutral Fund* |                                  | ON           | No         |                   |
| RBC Global Dividend Growth Fund                   | RBC Global Titans Fund           | ON           | No         |                   |
| RBC Global Energy Fund                            | RBC Energy Fund                  | ON           | No         |                   |
| RBC Global Equity Focus Currency Neutral Fund*    |                                  | ON           | No         |                   |
| RBC Global Equity Focus Fund                      |                                  | ON           | No         |                   |
| RBC Global Equity Fund                            |                                  | ON           | No         |                   |
| RBC Global Equity Index ETF Fund                  |                                  | ON           | No         |                   |
| RBC Global Equity Leaders Currency Neutral Fund   |                                  | ON           | No         |                   |
| RBC Global Equity Leaders Fund*                   |                                  | ON           | No         |                   |
| RBC Global Growth & Income Fund*                  |                                  | ON           | No         |                   |
| RBC Global Growth Portfolio                       |                                  | ON           | No         |                   |
| RBC Global High Yield Bond Fund                   | RBC Global High Yield Fund       | ON           | No         |                   |
| RBC Global Large-Cap Equity Fund                  |                                  | ON           | No         |                   |
| RBC Global Precious Metals Fund                   | RBC Precious Metals Fund         | ON           | No         |                   |
| RBC Global Resources Fund                         | RBC Global Resources Sector Fund | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                   | Previous Name                                           | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------|---------------------------------------------------------|--------------|------------|-------------------|
| RBC Global Technology Fund                                    | RBC Global Technology Sector Fund                       | ON           | No         |                   |
| RBC Global Very Conservative Portfolio                        |                                                         | ON           | No         |                   |
| RBC High Yield Bond Fund                                      |                                                         | ON           | No         |                   |
| RBC India Equity Fund                                         | RBC Indigo Indian Equity Fund                           | ON           | No         |                   |
| RBC International Dividend Growth Fund                        | RBC DS International Focus Fund                         | ON           | No         |                   |
| RBC International Equity Currency Neutral Fund                |                                                         | ON           | No         |                   |
| RBC International Equity Currency Neutral Index ETF Fund      | RBC International Index Currency Neutral Fund           | ON           | No         |                   |
| RBC International Equity Fund                                 | Royal International Equity Fund                         | ON           | No         |                   |
| RBC International Equity Index Fund                           | RBC Indigo International Equity Index Fund              | ON           | No         |                   |
| RBC Japanese Equity Fund                                      |                                                         | ON           | No         |                   |
| RBC Life Science and Technology Fund                          | Royal Life Science And Technology Fund                  | ON           | No         |                   |
| RBC Managed Payout Solution                                   | RBC Cash Flow Portfolio                                 | ON           | No         |                   |
| RBC Managed Payout Solution - Enhanced                        | RBC Enhanced Cash Flow Portfolio                        | ON           | No         |                   |
| RBC Managed Payout Solution - Enhanced Plus                   | RBC Tax Managed Return Fund                             | ON           | No         |                   |
| RBC Monthly Income Bond Fund                                  |                                                         | ON           | No         |                   |
| RBC Monthly Income Fund                                       | Royal Monthly Income Fund                               | ON           | No         |                   |
| RBC North American Growth Fund                                | RBC Canadian Growth Fund                                | ON           | No         |                   |
| RBC North American Value Fund                                 | RBC North American Value Fund                           | ON           | No         |                   |
| RBC Private Canadian Dividend Pool                            | RBC Private Dividend Pool                               | ON           | No         |                   |
| RBC Private Canadian Equity Pool                              |                                                         | ON           | No         |                   |
| RBC Private EAFE Equity Pool                                  |                                                         | ON           | No         |                   |
| RBC Private Fundamental Canadian Equity Pool                  | RBC Private Canadian Growth and Income Equity Pool      | ON           | No         |                   |
| RBC Private Global Growth Equity Pool                         |                                                         | ON           | No         |                   |
| RBC Private Income Pool                                       |                                                         | ON           | No         |                   |
| RBC Private Overseas Equity Pool                              |                                                         | ON           | No         |                   |
| RBC Private Short-Term Income Pool                            |                                                         | ON           | No         |                   |
| RBC Private U.S. Growth Equity Pool                           |                                                         | ON           | No         |                   |
| RBC Private U.S. Large-Cap Core Equity Currency Neutral Pool  | RBC Private U.S. Large Cap Equity Currency Neutral Pool | ON           | No         |                   |
| RBC Private U.S. Large-Cap Core Equity Pool                   | RBC Private U.S. Large Cap Equity Pool                  | ON           | No         |                   |
| RBC Private U.S. Large-Cap Value Equity Currency Neutral Pool | RBC Private U.S. Value Equity Currency Neutral Pool     | ON           | No         |                   |
| RBC Private U.S. Large-Cap Value Equity Pool                  | RBC Private U.S. Value Equity Pool                      | ON           | No         |                   |
| RBC Private U.S. Small-Cap Equity Pool                        |                                                         | ON           | No         |                   |
| RBC Private World Equity Pool                                 |                                                         | ON           | No         |                   |
| RBC Quant Canadian Dividend Leaders ETF                       |                                                         | ON           | No         |                   |
| RBC Quant EAFE Dividend Leaders (CAD Hedged) ETF              |                                                         | ON           | No         |                   |
| RBC Quant EAFE Dividend Leaders ETF                           |                                                         | ON           | No         |                   |
| RBC Quant European Dividend Leaders (CAD Hedged) ETF          |                                                         | ON           | No         |                   |
| RBC Quant European Dividend Leaders ETF                       |                                                         | ON           | No         |                   |
| RBC Quant U.S. Dividend Leaders (CAD Hedged) ETF              |                                                         | ON           | No         |                   |
| RBC Quant U.S. Dividend Leaders ETF                           |                                                         | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                  | Previous Name                                                   | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------------------|-----------------------------------------------------------------|--------------|------------|-------------------|
| RBC QUBE Canadian Equity Fund                                |                                                                 | ON           | No         |                   |
| RBC QUBE Global Equity Fund                                  |                                                                 | ON           | No         |                   |
| RBC QUBE International Equity Fund                           | RBC O'Shaughnessy International Equity Fund                     | ON           | No         |                   |
| RBC QUBE Low Volatility All Country World Equity Fund        |                                                                 | ON           | No         |                   |
| RBC QUBE Low Volatility Canadian Equity Fund                 | Phillips Hager & North QUBE Low Volatility Canadian Equity Fund | ON           | No         |                   |
| RBC QUBE Low Volatility Global Equity Currency Neutral Fund* |                                                                 | ON           | No         |                   |
| RBC QUBE Low Volatility Global Equity Fund                   |                                                                 | ON           | No         |                   |
| RBC QUBE Low Volatility U.S. Equity Currency Neutral Fund*   |                                                                 | ON           | No         |                   |
| RBC QUBE Low Volatility U.S. Equity Fund                     |                                                                 | ON           | No         |                   |
| RBC QUBE U.S. Equity Currency Neutral Fund                   | RBC O'Shaughnessy U.S. Value Fund                               | ON           | No         |                   |
| RBC QUBE U.S. Equity Fund                                    |                                                                 | ON           | No         |                   |
| RBC QUBE U.S. Mid-Cap Equity Fund                            |                                                                 | ON           | No         |                   |
| RBC Retirement 2020 Portfolio*                               |                                                                 | ON           | No         |                   |
| RBC Retirement 2025 Portfolio*                               |                                                                 | ON           | No         |                   |
| RBC Retirement 2030 Portfolio*                               |                                                                 | ON           | No         |                   |
| RBC Retirement 2035 Portfolio*                               |                                                                 | ON           | No         |                   |
| RBC Retirement 2040 Portfolio*                               |                                                                 | ON           | No         |                   |
| RBC Retirement 2045 Portfolio*                               |                                                                 | ON           | No         |                   |
| RBC Retirement 2050 Portfolio*                               |                                                                 | ON           | No         |                   |
| RBC Retirement 2055 Portfolio                                |                                                                 | ON           | No         |                   |
| RBC Retirement 2060 Portfolio                                |                                                                 | ON           | No         |                   |
| RBC Retirement 2065 Portfolio                                |                                                                 | ON           | No         |                   |
| RBC Retirement Income Solution*                              |                                                                 | ON           | No         |                   |
| RBC Select Aggressive Growth Portfolio                       |                                                                 | ON           | No         |                   |
| RBC Select Balanced Portfolio                                | Royal Select Balanced Portfolio                                 | ON           | No         |                   |
| RBC Select Choices Aggressive Growth Portfolio               | Royal Select Choices Aggressive Growth Portfolio                | ON           | No         |                   |
| RBC Select Choices Balanced Portfolio                        | Royal Select Choices Balanced Portfolio                         | ON           | No         |                   |
| RBC Select Choices Conservative Portfolio                    | Royal Select Choices Conservative Portfolio                     | ON           | No         |                   |
| RBC Select Choices Growth Portfolio                          | Royal Select Choices Growth Portfolio                           | ON           | No         |                   |
| RBC Select Conservative Portfolio                            | Royal Select Conservative Portfolio                             | ON           | No         |                   |
| RBC Select Growth Portfolio                                  | Royal Select Growth Portfolio                                   | ON           | No         |                   |
| RBC Select Very Conservative Portfolio                       |                                                                 | ON           | No         |                   |
| RBC Short Term U.S. Corporate Bond ETF                       |                                                                 | ON           | No         |                   |
| RBC Short-Term Global Bond Fund                              |                                                                 | ON           | No         |                   |
| RBC Strategic Income Bond Fund                               | RBC Monthly Income High Yield Bond Fund                         | ON           | No         |                   |
| RBC Target 2026 Canadian Corporate Bond Index ETF            | RBC Target 2026 Corporate Bond Index ETF                        | ON           | No         |                   |
| RBC Target 2026 Canadian Corporate Bond Index ETF Fund       |                                                                 | ON           | No         |                   |
| RBC Target 2026 Canadian Government Bond ETF                 | RBC Target 2026 Government Bond ETF                             | ON           | No         |                   |
| RBC Target 2026 U.S. Corporate Bond ETF                      |                                                                 | ON           | No         |                   |
| RBC Target 2027 Canadian Corporate Bond Index ETF            | RBC Target 2027 Corporate Bond Index ETF                        | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                            | Previous Name                            | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------------|------------------------------------------|--------------|------------|-------------------|
| RBC Target 2027 Canadian Corporate Bond Index ETF Fund |                                          | ON           | No         |                   |
| RBC Target 2027 Canadian Government Bond ETF           | RBC Target 2027 Government Bond ETF      | ON           | No         |                   |
| RBC Target 2027 U.S. Corporate Bond ETF                |                                          | ON           | No         |                   |
| RBC Target 2028 Canadian Corporate Bond Index ETF      | RBC Target 2028 Corporate Bond Index ETF | ON           | No         |                   |
| RBC Target 2028 Canadian Corporate Bond Index ETF Fund |                                          | ON           | No         |                   |
| RBC Target 2028 Canadian Government Bond ETF           | RBC Target 2028 Government Bond ETF      | ON           | No         |                   |
| RBC Target 2028 U.S. Corporate Bond ETF                |                                          | ON           | No         |                   |
| RBC Target 2029 Canadian Corporate Bond Index ETF      | RBC Target 2029 Corporate Bond Index ETF | ON           | No         |                   |
| RBC Target 2029 Canadian Corporate Bond Index ETF Fund |                                          | ON           | No         |                   |
| RBC Target 2029 Canadian Government Bond ETF           | RBC Target 2029 Government Bond ETF      | ON           | No         |                   |
| RBC Target 2029 U.S. Corporate Bond ETF                |                                          | ON           | No         |                   |
| RBC Target 2030 Canadian Corporate Bond Index ETF      |                                          | ON           | No         |                   |
| RBC Target 2030 Canadian Corporate Bond Index ETF Fund |                                          | ON           | No         |                   |
| RBC Target 2030 Canadian Government Bond ETF           |                                          | ON           | No         |                   |
| RBC Target 2030 Education Fund                         |                                          | ON           | No         |                   |
| RBC Target 2030 U.S. Corporate Bond ETF                |                                          | ON           | No         |                   |
| RBC Target 2031 Canadian Corporate Bond ETF            |                                          | ON           | No         |                   |
| RBC Target 2031 Canadian Government Bond ETF           |                                          | ON           | No         |                   |
| RBC Target 2031 U.S. Corporate Bond ETF                |                                          | ON           | No         |                   |
| RBC Target 2032 Canadian Corporate Bond ETF            |                                          | ON           | No         |                   |
| RBC Target 2032 Canadian Government Bond ETF           |                                          | ON           | No         |                   |
| RBC Target 2032 U.S. Corporate Bond ETF                |                                          | ON           | No         |                   |
| RBC Target 2035 Education Fund                         |                                          | ON           | No         |                   |
| RBC Target 2040 Education Fund                         |                                          | ON           | No         |                   |
| RBC U.S. Discount Bond (CAD Hedged) ETF                |                                          | ON           | No         |                   |
| RBC U.S. Discount Bond ETF                             |                                          | ON           | No         |                   |
| RBC U.S. Dividend Covered Call ETF                     |                                          | ON           | No         |                   |
| RBC U.S. Dividend Currency Neutral Fund*               |                                          | ON           | No         |                   |
| RBC U.S. Dividend Fund                                 | RBC North American Dividend Fund         | ON           | No         |                   |
| RBC U.S. Equity Currency Neutral Fund                  |                                          | ON           | No         |                   |
| RBC U.S. Equity Currency Neutral Index ETF Fund        | RBC U.S. Index Currency Neutral Fund     | ON           | No         |                   |
| RBC U.S. Equity Focus Fund                             |                                          | ON           | No         |                   |
| RBC U.S. Equity Fund                                   | Royal U.S. Equity Fund                   | ON           | No         |                   |
| RBC U.S. Equity Index ETF Fund                         |                                          | ON           | No         |                   |
| RBC U.S. Equity Value Fund                             |                                          | ON           | No         |                   |
| RBC U.S. Index Fund                                    | Royal U.S. Index Fund                    | ON           | No         |                   |
| RBC U.S. Large-Cap Equity ETF                          |                                          | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                          | Previous Name                                 | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------------------|-----------------------------------------------|--------------|------------|-------------------|
| RBC U.S. Mid-Cap Core Equity Fund                                    |                                               | ON           | No         |                   |
| RBC U.S. Mid-Cap Growth Equity Currency Neutral Fund                 | RBC U.S. Mid-Cap Equity Currency Neutral Fund | ON           | No         |                   |
| RBC U.S. Mid-Cap Growth Equity Fund                                  | RBC U.S. Mid-Cap Equity Fund                  | ON           | No         |                   |
| RBC U.S. Mid-Cap Value Equity Fund                                   |                                               | ON           | No         |                   |
| RBC U.S. Monthly Income Fund                                         | RBC \$U.S. Income Fund                        | ON           | No         |                   |
| RBC Vision Balanced Fund                                             | RBC Jantzi Balanced Fund                      | ON           | No         |                   |
| RBC Vision Bond Fund                                                 | PH&N Community Values Bond Fund               | ON           | No         |                   |
| RBC Vision Canadian Equity Fund                                      | RBC Jantzi Canadian Equity Fund               | ON           | No         |                   |
| RBC Vision Fossil Fuel Free Balanced Fund                            |                                               | ON           | No         |                   |
| RBC Vision Fossil Fuel Free Bond Fund                                |                                               | ON           | No         |                   |
| RBC Vision Fossil Fuel Free Emerging Markets Equity Fund             |                                               | ON           | No         |                   |
| RBC Vision Fossil Fuel Free Global Equity Fund                       |                                               | ON           | No         |                   |
| RBC Vision Fossil Fuel Free Short-Term Bond Fund                     |                                               | ON           | No         |                   |
| RBC Vision Future Solutions International Equity Fund                |                                               | ON           | No         |                   |
| RBC Vision Global Equity Fund                                        | RBC Jantzi Global Equity Fund                 | ON           | No         |                   |
| RBC Vision QUBE Fossil Fuel Free Low Volatility Canadian Equity Fund |                                               | ON           | No         |                   |
| Rci Corporation                                                      |                                               | ON           | Yes        | 1a,1b             |
| RDX Technologies Corporation                                         | Ridgeline Energy Services Inc.                | AB           | Yes        | 1a,1c,1e,3        |
| RE Royalties Ltd.                                                    | RE Royalties Ltd.                             | BC           | No         |                   |
| Real Del Monte Mining Corporation                                    | Consolidated Nevada Goldfields Corporation    | BC           | No         |                   |
| Real Estate Investment Corporate Class                               |                                               | ON           | No         |                   |
| Real Estate Investment Pool                                          | Assante Real Estate Investment Pool           | ON           | No         |                   |
| Real Estate Split Corp.                                              | Real Estate & E-Commerce Split Corp.          | ON           | No         |                   |
| Real Matters Inc.                                                    |                                               | ON           | No         |                   |
| Real Return Bond Fund                                                | Primus Real Return Bond Fund                  | ON           | No         |                   |
| Realbotix Corp.                                                      | Tokens.com Corp.                              | ON           | No         |                   |
| Realia Properties Inc.                                               | TitanStar Properties Inc.                     | BC           | No         |                   |
| Reconnaissance Energy Africa Ltd.                                    |                                               | AB           | No         |                   |
| Red Eagle Mining Corporation                                         |                                               | BC           | No         |                   |
| Red Light Holland Corp.                                              | Added Capital Inc.                            | ON           | No         |                   |
| Red White & Bloom Brands Inc.                                        | Red White Bloom Brands Inc.                   | BC           | No         |                   |
| Reef Resources Ltd.                                                  |                                               | AB           | Yes        | 1a,1c,1e,3        |
| ReeXploration Inc.                                                   | E-Tech Resources Inc.                         | NS           | No         |                   |
| Reko International Group Inc.                                        |                                               | ON           | No         |                   |
| Renaissance Canadian All-Cap Equity Fund                             |                                               | ON           | No         |                   |
| Renaissance Canadian Balanced Fund                                   | Renaissance Canadian Balanced Value Fund      | ON           | No         |                   |
| Renaissance Canadian Bond Fund                                       | Talvest Bond Fund                             | ON           | No         |                   |
| Renaissance Canadian Core Value Fund                                 | Merrill LYNCH Canadian Core Value 1999 Fund   | ON           | No         |                   |
| Renaissance Canadian Dividend Fund                                   | Renaissance Canadian Dividend Income Fund     | ON           | No         |                   |
| Renaissance Canadian Growth Fund                                     | Merrill LYNCH Canadian Growth Fund            | ON           | No         |                   |
| Renaissance Canadian Monthly Income Fund                             | Renaissance Canadian Income Trust Fund        | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                             | Previous Name                                 | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------|-----------------------------------------------|--------------|------------|-------------------|
| Renaissance Canadian Small-Cap Fund                     | Merrill LYNCH Canadian Small Cap Fund         | ON           | No         |                   |
| Renaissance China Plus Fund                             | Talvest China Plus Fund                       | ON           | No         |                   |
| Renaissance Corporate Bond Fund                         | Renaissance Corporate Bond Capital Yield Fund | ON           | No         |                   |
| Renaissance Diversified Income Fund                     | Renaissance Diversified Income Fund           | ON           | No         |                   |
| Renaissance Emerging Markets Fund                       | Renaissance Developing Capital Markets Fund   | ON           | No         |                   |
| Renaissance Flexible Yield Fund                         |                                               | ON           | No         |                   |
| Renaissance Floating Rate Income Fund                   |                                               | ON           | No         |                   |
| Renaissance Global Bond Fund                            | Talvest Global Bond Fund                      | ON           | No         |                   |
| Renaissance Global Focus Fund                           | Renaissance Global Sectors Fund               | ON           | No         |                   |
| Renaissance Global Growth Currency Neutral Fund         |                                               | ON           | No         |                   |
| Renaissance Global Growth Fund                          | Merrill LYNCH Global Growth Fund              | ON           | No         |                   |
| Renaissance Global Health Care Fund                     | Talvest Global Health Care Fund               | ON           | No         |                   |
| Renaissance Global Infrastructure Currency Neutral Fund |                                               | ON           | No         |                   |
| Renaissance Global Infrastructure Fund                  |                                               | ON           | No         |                   |
| Renaissance Global Innovation Fund                      | Renaissance Global Science & Technology Fund  | ON           | No         |                   |
| Renaissance Global Markets Fund                         | Talvest Global Markets Fund                   | ON           | No         |                   |
| Renaissance Global Real Estate Currency Neutral Fund    |                                               | ON           | No         |                   |
| Renaissance Global Real Estate Fund                     |                                               | ON           | No         |                   |
| Renaissance Global Small-Cap Fund                       | Talvest Global Small Cap Fund                 | ON           | No         |                   |
| Renaissance High Income Fund                            | Renaissance Millenium High Income Fund        | ON           | No         |                   |
| Renaissance High-Yield Bond Fund                        | Renaissance Corporate Bond Capital Yield Fund | ON           | No         |                   |
| Renaissance International Dividend Fund                 | Renaissance International Index Fund          | ON           | No         |                   |
| Renaissance International Equity Currency Neutral Fund  |                                               | ON           | No         |                   |
| Renaissance International Equity Fund                   | Renaissance International Equity Fund         | ON           | No         |                   |
| Renaissance Money Market Fund                           | Talvest Money Market Fund                     | ON           | No         |                   |
| Renaissance Optimal Conservative Income Portfolio       |                                               | ON           | No         |                   |
| Renaissance Optimal Global Equity Portfolio             | Renaissance Global Multi Management Fund      | ON           | No         |                   |
| Renaissance Optimal Growth & Income Portfolio           |                                               | ON           | No         |                   |
| Renaissance Optimal Income Portfolio                    |                                               | ON           | No         |                   |
| Renaissance Short-Term Income Fund                      | Renaissance Canadian Income Fund              | ON           | No         |                   |
| Renaissance U.S. Dollar Corporate Bond Fund             |                                               | ON           | No         |                   |
| Renaissance U.S. Dollar Diversified Income Fund         |                                               | ON           | No         |                   |
| Renaissance U.S. Equity Fund                            | Renaissance U.S. Index Fund                   | ON           | No         |                   |
| Renaissance U.S. Equity Growth Currency Neutral Fund    |                                               | ON           | No         |                   |
| Renaissance U.S. Equity Growth Fund                     | Renaissance U.S. Fundamental Growth FUND      | ON           | No         |                   |
| Renaissance U.S. Equity Income Fund                     |                                               | ON           | No         |                   |
| Renaissance U.S. Equity Value Fund                      | Renaissance U.S. Basic Value Fund             | ON           | No         |                   |
| Renaissance U.S. Money Market Fund                      | Merrill LYNCH U.S. Money Market Fund          | ON           | No         |                   |
| Replenish Nutrients Holding Corp.                       | EarthRenew Inc.                               | AB           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                         | Previous Name                        | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------|--------------------------------------|--------------|------------|-------------------|
| Restaurant Brands International Inc.                |                                      | ON           | No         |                   |
| Restaurant Brands International Limited Partnership |                                      | ON           | No         |                   |
| Resverlogix Corp.                                   |                                      | AB           | No         |                   |
| Retrocom Growth Fund Inc.*                          |                                      | ON           | Yes        | 1a,1b,1c,3        |
| Return Stacked® Global Balanced & Macro ETF         |                                      | ON           | No         |                   |
| REV Exploration Corp.                               | Gitennes Exploration Inc.            | BC           | No         |                   |
| Revitalist Lifestyle and Wellness Ltd.              |                                      | BC           | Yes        | 1a,1c,1e,3        |
| Revival Gold Inc.                                   |                                      | ON           | No         |                   |
| Revive Therapeutics Ltd.                            | Mercury Capital II Limited           | ON           | No         |                   |
| RGP Alternative Income Portfolio                    |                                      | QC           | No         |                   |
| RGP Emerging Markets Fund                           |                                      | QC           | No         |                   |
| RGP Global Equity Concentrated Fund                 |                                      | QC           | No         |                   |
| RGP Global Equity Fund                              | RGP Quantitative Global Equity Fund  | QC           | No         |                   |
| RGP Global Infrastructure Fund                      |                                      | QC           | No         |                   |
| Richelieu Hardware LTD.                             |                                      | QC           | No         |                   |
| Richtree Inc.                                       |                                      | ON           | Yes        | 1a,1b,3           |
| Ridgewood Canadian Bond Fund                        | Mulvihill Canadian Bond Fund         | ON           | No         |                   |
| Ridgewood Canadian Investment Grade Bond Fund*      |                                      | ON           | No         |                   |
| Ridgewood Tactical Yield Fund                       |                                      | ON           | No         |                   |
| Rio2 Limited                                        |                                      | BC           | No         |                   |
| Riocan Real Estate Investment Trust                 | Counsel Real Estate Investment Trust | ON           | No         |                   |
| Rivalry Corp.                                       |                                      | ON           | Yes        | 1a,1c,1e,3        |
| Rock Tech Lithium Inc.                              |                                      | ON           | No         |                   |
| Rockefeller - IG Climate Solutions Pool             |                                      | MB           | No         |                   |
| Rocket Doctor AI Inc.                               | Treatment.com AI Inc.                | BC           | No         |                   |
| Rocklinc Principled Equity ETF*                     |                                      | ON           | No         |                   |
| Rockpoint Gas Storage Inc.                          |                                      | AB           | No         |                   |
| Rocky Mountain Brands, Inc.                         | Healing Waters Inc.                  | ON           | No         |                   |
| Rocky Mountain Liquor Inc.                          | Humber Capital Corporation           | AB           | No         |                   |
| Rodinia Oil Corp.                                   |                                      | AB           | No         |                   |
| Rogers Communications Inc.                          |                                      | ON           | No         |                   |
| Rogers Sugar Inc.                                   |                                      | BC           | No         |                   |
| Roman Corporation Limited                           |                                      | ON           | No         |                   |
| Roots Corporation                                   |                                      | ON           | No         |                   |
| Roxmore Resources Inc.                              | Axcap Ventures Inc.                  | BC           | No         |                   |
| Royal Bank of Canada                                |                                      | QC           | No         |                   |
| Royal Gold, Inc.                                    |                                      | ON           | No         |                   |
| Royal Helium Ltd.                                   |                                      | SK           | No         |                   |
| Royal Road Minerals Limited                         |                                      | ON           | No         |                   |
| Roycefield Resources Ltd                            | Guiness Gold Resources Limited       | NB           | Yes        | 1a                |
| RP Alternative Credit Opportunities Fund            |                                      | ON           | No         |                   |
| RP Alternative Global Bond Fund                     |                                      | ON           | No         |                   |
| RP Strategic Income Plus Fund                       |                                      | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                          | Previous Name                                          | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------|--------------------------------------------------------|--------------|------------|-------------------|
| RP Target 2028 Discount Bond Fund                    |                                                        | ON           | No         |                   |
| RPX Gold Inc.                                        | Red Pine Exploration Inc.                              | ON           | No         |                   |
| Rua Gold Inc.                                        |                                                        | BC           | No         |                   |
| Rubicon Organics Inc.                                |                                                        | BC           | No         |                   |
| Russel Metals Inc.                                   | Federal Industries Limited                             | ON           | No         |                   |
| Russell Investments Balanced                         | LifePoints Balanced Portfolio                          | ON           | No         |                   |
| Russell Investments Balanced Class                   | LifePoints Balanced Class Portfolio                    | ON           | No         |                   |
| Russell Investments Balanced Growth                  | LifePoints Balanced Growth Portfolio                   | ON           | No         |                   |
| Russell Investments Balanced Growth Class            | LifePoints Balanced Growth Class                       | ON           | No         |                   |
| Russell Investments Canadian Cash Fund               | Russell Canadian Cash Fund                             | ON           | No         |                   |
| Russell Investments Canadian Dividend Pool           | Russel Canadian Dividend Pool                          | ON           | No         |                   |
| Russell Investments Canadian Equity Class            | Russell Canadian Equity Class                          | ON           | No         |                   |
| Russell Investments Canadian Equity Pool             | Russell Canadian Equity Pool                           | ON           | No         |                   |
| Russell Investments Canadian Fixed Income Fund       | Russell Canadian Fixed Income Fund                     | ON           | No         |                   |
| Russell Investments Conservative Income              | Russell LifePoints Conservative Income Portfolio       | ON           | No         |                   |
| Russell Investments Conservative Income Class        | Russell LifePoints Conservative Income Class Portfolio | ON           | No         |                   |
| Russell Investments Core Plus Fixed Income ETF       |                                                        | ON           | No         |                   |
| Russell Investments Diversified Monthly Income       | Russell Diversified Monthly Income Portfolio           | ON           | No         |                   |
| Russell Investments Diversified Monthly Income Class | Russell Diversified Monthly Income Class Portfolio     | ON           | No         |                   |
| Russell Investments Emerging Markets Equity Pool     | Russell Emerging Markets Equity Pool                   | ON           | No         |                   |
| Russell Investments ESG Global Equity Pool           | Russell Investments ESG Global Equity Fund             | ON           | No         |                   |
| Russell Investments Fallen Angels ETF                |                                                        | ON           | No         |                   |
| Russell Investments Fixed Income Class               | Russell Fixed Income Class                             | ON           | No         |                   |
| Russell Investments Fixed Income Plus Pool           | Multi-Asset Fixed Income                               | ON           | No         |                   |
| Russell Investments Fixed Income Pool                | Russell Fixed Income Pool                              | ON           | No         |                   |
| Russell Investments Global Balanced                  |                                                        | ON           | No         |                   |
| Russell Investments Global Equity Balanced           |                                                        | ON           | No         |                   |
| Russell Investments Global Equity Class              | Russell Global Equity Class                            | ON           | No         |                   |
| Russell Investments Global Equity Pool               | Russell Global Equity Pool                             | ON           | No         |                   |
| Russell Investments Global Income Balanced           |                                                        | ON           | No         |                   |
| Russell Investments Global Infrastructure Class      | Russell Global Infrastructure Class                    | ON           | No         |                   |
| Russell Investments Global Infrastructure Pool       | Russell Global Infrastructure Pool                     | ON           | No         |                   |
| Russell Investments Global Real Estate Pool          | Russell Global Real Estate Pool                        | ON           | No         |                   |
| Russell Investments Global Smaller Companies Pool    | Russell Global Smaller Companies Pool                  | ON           | No         |                   |
| Russell Investments Income Essentials                | Russell Income Essentials Portfolio                    | ON           | No         |                   |
| Russell Investments Income Essentials Class          | Russell Income Essentials Class Portfolio              | ON           | No         |                   |
| Russell Investments International Equity Class       | Russell Investments Overseas Equity Class              | ON           | No         |                   |
| Russell Investments International Equity Pool        | Russell Investments Overseas Equity Pool               | ON           | No         |                   |
| Russell Investments Long Duration Bond Fund          | Russell Investments Inflation Linked Bond Fund         | ON           | No         |                   |
| Russell Investments Long-Term Growth                 | LifePoints Long-Term Growth                            | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                | Previous Name                                   | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------------|-------------------------------------------------|--------------|------------|-------------------|
| Russell Investments Long-Term Growth Class                 | Lifepoints Long-Term Growth Class               | ON           | No         |                   |
| Russell Investments Money Market Pool                      | Russell Money Market Pool                       | ON           | No         |                   |
| Russell Investments Multi-Factor Canadian Equity Pool      |                                                 | ON           | No         |                   |
| Russell Investments Multi-Factor Global Balanced           |                                                 | ON           | No         |                   |
| Russell Investments Multi-Factor International Equity Pool |                                                 | ON           | No         |                   |
| Russell Investments Multi-Factor US Equity Pool            |                                                 | ON           | No         |                   |
| Russell Investments Real Assets                            | Russell Real Assets Portfolio                   | ON           | No         |                   |
| Russell Investments Short Term Income Class                | Russell Short Term Income Class                 | ON           | No         |                   |
| Russell Investments Short Term Income Pool                 | Russell Short Term Income Pool                  | ON           | No         |                   |
| Russell Investments Strategic Income Pool                  | Russell Investments Global Credit Pool          | ON           | No         |                   |
| Russell Investments Tax-Managed Global Equity Class        | Russell Investments Focused Global Equity Class | ON           | No         |                   |
| Russell Investments Tax-Managed Global Equity Pool         | Russell Investments Focused Global Equity Pool  | ON           | No         |                   |
| Russell Investments Tax-Managed US Equity Class            | Russell Investments Focused US Equity Class     | ON           | No         |                   |
| Russell Investments Tax-Managed US Equity Pool             | Russell Investments Focused US Equity Pool      | ON           | No         |                   |
| Russell Investments US Equity Class                        | Russell US Equity Class                         | ON           | No         |                   |
| Russell Investments US Equity Pool                         | Russell US Equity Pool                          | ON           | No         |                   |
| Russell Investments Yield Opportunities Pool*              |                                                 | ON           | No         |                   |
| RYU Apparel Inc.                                           |                                                 | BC           | Yes        | 1a,1c,1e,3        |
| Saco Smartvision Inc.                                      | Teknor Industries Computers Inc.                | QC           | No         |                   |
| Sagen MI Canada Inc.                                       | Genworth MI Canada Inc.                         | ON           | No         |                   |
| Sagicor Financial Company Ltd.                             | Alignvest Acquisition II Corporation            | ON           | No         |                   |
| Salazar Resources Limited                                  | Consolidated Kookaburra Resources LTD.          | BC           | No         |                   |
| Saltire Capital Ltd.                                       | FG Acquisition Corp.                            | ON           | No         |                   |
| Samco Gold Limited                                         |                                                 | ON           | Yes        | 1b,1c,1e          |
| San Gold Corporation                                       |                                                 | MB           | Yes        | 1b                |
| Sangoma Technologies Corporation                           |                                                 | ON           | No         |                   |
| Santacruz Silver Mining Ltd.                               |                                                 | BC           | No         |                   |
| Saputo Inc.                                                | Saputo Group Inc                                | QC           | No         |                   |
| Sarama Resources Ltd.                                      |                                                 | BC           | No         |                   |
| Saturn Oil & Gas Inc.                                      |                                                 | AB           | No         |                   |
| Savaria Corporation                                        |                                                 | QC           | No         |                   |
| SavvyLong (2X) AAPL ETF                                    |                                                 | ON           | No         |                   |
| SavvyLong (2X) AMD ETF                                     |                                                 | ON           | No         |                   |
| SavvyLong (2X) AMZN ETF                                    |                                                 | ON           | No         |                   |
| SavvyLong (2X) Anthropic ETF                               |                                                 | ON           | No         |                   |
| SavvyLong (2X) Barrick ETF                                 |                                                 | ON           | No         |                   |
| SavvyLong (2X) Cameco ETF                                  |                                                 | ON           | No         |                   |
| SavvyLong (2X) Cdn Natural Resources ETF                   |                                                 | ON           | No         |                   |
| SavvyLong (2X) COIN ETF                                    | LFG Daily (2X) COIN Long ETF                    | ON           | No         |                   |
| SavvyLong (2X) Constellation Software ETF                  |                                                 | ON           | No         |                   |
| SavvyLong (2X) GOOGL ETF                                   |                                                 | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                        | Previous Name                           | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------|-----------------------------------------|--------------|------------|-------------------|
| SavvyLong (2X) HOOD ETF                            |                                         | ON           | No         |                   |
| SavvyLong (2X) META ETF                            |                                         | ON           | No         |                   |
| SavvyLong (2X) Micron ETF                          |                                         | ON           | No         |                   |
| SavvyLong (2X) MSFT ETF                            |                                         | ON           | No         |                   |
| SavvyLong (2X) MSTR ETF                            | LFG Daily (2X) MSTR Long ETF            | ON           | No         |                   |
| SavvyLong (2X) NVDA ETF                            |                                         | ON           | No         |                   |
| SavvyLong (2X) PLTR ETF                            |                                         | ON           | No         |                   |
| SavvyLong (2X) Shopify ETF                         |                                         | ON           | No         |                   |
| SavvyLong (2X) SpaceX ETF                          |                                         | ON           | No         |                   |
| SavvyLong (2X) TSLA ETF                            |                                         | ON           | No         |                   |
| SavvyLong 2X CIBC (CM) Equity-Linked ETF           |                                         | ON           | No         |                   |
| SavvyLong 2X NBC (NA) Equity-Linked ETF            |                                         | ON           | No         |                   |
| SavvyLong 2X RBC (RY) Equity-Linked ETF            |                                         | ON           | No         |                   |
| SavvyLong 2X TDB (TD) Equity-Linked ETF            |                                         | ON           | No         |                   |
| SavvyLong Geared Crude Oil ETF                     |                                         | ON           | No         |                   |
| SavvyLong Geared Natural Gas ETF                   |                                         | ON           | No         |                   |
| SavvyShort (-2X) COIN ETF                          |                                         | ON           | No         |                   |
| SavvyShort (-2X) MSTR ETF                          |                                         | ON           | No         |                   |
| SavvyShort (-2X) NVDA ETF                          |                                         | ON           | No         |                   |
| SavvyShort (-2X) Shopify ETF                       |                                         | ON           | No         |                   |
| SavvyShort (-2X) TSLA ETF                          |                                         | ON           | No         |                   |
| SavvyShort Geared Crude Oil ETF                    |                                         | ON           | No         |                   |
| SavvyShort Geared Natural Gas ETF                  |                                         | ON           | No         |                   |
| Scaffold Connection Corporation                    | Pioneer Metals Corporation              | AB           | Yes        | 1a,1b,3           |
| Scorpio Gold Corporation                           |                                         | BC           | No         |                   |
| Scotia Canadian Balanced Fund                      | National Trust Balanced Fund            | ON           | No         |                   |
| Scotia Canadian Bond Index Fund                    |                                         | ON           | No         |                   |
| Scotia Canadian Bond Index Tracker ETF             |                                         | ON           | No         |                   |
| Scotia Canadian Dividend Class                     |                                         | ON           | No         |                   |
| Scotia Canadian Dividend Fund                      | National Trust Dividend Fund            | ON           | No         |                   |
| Scotia Canadian Equity Blend Class                 |                                         | ON           | No         |                   |
| Scotia Canadian Equity Fund                        | Scotia Canadian Blue Chip Fund          | ON           | No         |                   |
| Scotia Canadian Equity Index Fund                  | Scotia Canadian Index Fund              | ON           | No         |                   |
| Scotia Canadian Growth Fund                        | Scotia Excelsior Canadian Growth Fund   | ON           | No         |                   |
| Scotia Canadian Income Fund                        | National Trust Canadian Bond Fund       | ON           | No         |                   |
| Scotia Canadian Large Cap Equity Index Tracker ETF |                                         | ON           | No         |                   |
| Scotia Canadian Small Cap Fund                     | National Trust Special Equity Fund      | ON           | No         |                   |
| Scotia Diversified Balanced Fund                   | Scotia Balanced Opportunities Fund      | ON           | No         |                   |
| Scotia Diversified Monthly Income Fund             |                                         | ON           | No         |                   |
| Scotia Dividend Balanced Fund                      | Scotia Dividend Income Fund             | ON           | No         |                   |
| Scotia Emerging Markets Equity Index Tracker ETF   |                                         | ON           | No         |                   |
| Scotia Essentials Balanced Portfolio               | Scotia Aria Moderate Build Portfolio    | ON           | No         |                   |
| Scotia Essentials Growth Portfolio                 | Scotia Aria Progressive Build Portfolio | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                     | Previous Name                                      | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------|----------------------------------------------------|--------------|------------|-------------------|
| Scotia Essentials Income Portfolio              | Scotia Aria Conservative Build Portfolio           | ON           | No         |                   |
| Scotia Essentials Maximum Growth Portfolio      | Scotia Aria Equity Build Portfolio                 | ON           | No         |                   |
| Scotia Global Balanced Fund                     |                                                    | ON           | No         |                   |
| Scotia Global Bond Fund                         | Scotia Canglobal Income Fund                       | ON           | No         |                   |
| Scotia Global Dividend Class                    |                                                    | ON           | No         |                   |
| Scotia Global Dividend Fund                     |                                                    | ON           | No         |                   |
| Scotia Global Equity Fund                       | Scotia Global Opportunities Fund                   | ON           | No         |                   |
| Scotia Global Growth Fund                       | Scotia International Growth Fund                   | ON           | No         |                   |
| Scotia Global Small Cap Fund                    | Capital Global Small Companies Fund                | ON           | No         |                   |
| Scotia Income Advantage Fund                    |                                                    | ON           | No         |                   |
| Scotia India Equity Fund                        |                                                    | ON           | No         |                   |
| Scotia INNOVA Balanced Growth Portfolio         |                                                    | ON           | No         |                   |
| Scotia INNOVA Balanced Growth Portfolio Class   |                                                    | ON           | No         |                   |
| Scotia INNOVA Balanced Income Portfolio         |                                                    | ON           | No         |                   |
| Scotia INNOVA Balanced Income Portfolio Class   |                                                    | ON           | No         |                   |
| Scotia INNOVA Growth Portfolio                  |                                                    | ON           | No         |                   |
| Scotia INNOVA Growth Portfolio Class            |                                                    | ON           | No         |                   |
| Scotia INNOVA Income Portfolio                  |                                                    | ON           | No         |                   |
| Scotia INNOVA Income Portfolio Class            |                                                    | ON           | No         |                   |
| Scotia INNOVA Maximum Growth Portfolio          |                                                    | ON           | No         |                   |
| Scotia INNOVA Maximum Growth Portfolio Class    |                                                    | ON           | No         |                   |
| Scotia International Equity Fund                | Scotia International Value Fund                    | ON           | No         |                   |
| Scotia International Equity Index Fund          | Scotia International Index Fund                    | ON           | No         |                   |
| Scotia International Equity Index Tracker ETF   |                                                    | ON           | No         |                   |
| Scotia Low Carbon Canadian Fixed Income Fund    |                                                    | ON           | No         |                   |
| Scotia Low Carbon Global Balanced Fund          |                                                    | ON           | No         |                   |
| Scotia Low Carbon Global Equity Fund            |                                                    | ON           | No         |                   |
| Scotia Money Market Fund                        | Scotia Excelsior Money Market Fund                 | ON           | No         |                   |
| Scotia Mortgage Income Fund                     | Scotia Excelsior Mortgage Fund                     | ON           | No         |                   |
| Scotia Nasdaq Index Fund                        |                                                    | ON           | No         |                   |
| Scotia Partners Balanced Growth Portfolio       | Scotia Partners Balanced Income & Growth Portfolio | ON           | No         |                   |
| Scotia Partners Balanced Growth Portfolio Class |                                                    | ON           | No         |                   |
| Scotia Partners Balanced Income Portfolio       | Scotia Partners Income & Modest Growth Portfolio   | ON           | No         |                   |
| Scotia Partners Balanced Income Portfolio Class |                                                    | ON           | No         |                   |
| Scotia Partners Growth Portfolio                | Scotia Partners Moderate Growth Portfolio          | ON           | No         |                   |
| Scotia Partners Growth Portfolio Class          |                                                    | ON           | No         |                   |
| Scotia Partners Income Portfolio                | Scotia Partners Diversified Income Portfolio       | ON           | No         |                   |
| Scotia Partners Maximum Growth Portfolio        | Scotia Partners Aggressive Growth Portfolio        | ON           | No         |                   |
| Scotia Partners Maximum Growth Portfolio Class  |                                                    | ON           | No         |                   |
| Scotia Resource Fund                            | Scotia Excelsior Precious Metals Fund              | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                 | Previous Name                                      | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------------|----------------------------------------------------|--------------|------------|-------------------|
| Scotia Responsible Investing Canadian Bond Index ETF        |                                                    | ON           | No         |                   |
| Scotia Responsible Investing Canadian Equity Index ETF      |                                                    | ON           | No         |                   |
| Scotia Responsible Investing International Equity Index ETF |                                                    | ON           | No         |                   |
| Scotia Responsible Investing U.S. Equity Index ETF          |                                                    | ON           | No         |                   |
| Scotia Schools Trust (Cease Traded)                         |                                                    | NS           | Yes        | 1b                |
| Scotia Selected Balanced Growth Portfolio                   | Scotia Selected Balanced Income & Growth Portfolio | ON           | No         |                   |
| Scotia Selected Balanced Income Portfolio                   | Scotia Selected Income & Modest Growth Portfolio   | ON           | No         |                   |
| Scotia Selected Growth Portfolio                            | Scotia Selected Moderate Growth Portfolio          | ON           | No         |                   |
| Scotia Selected Income Portfolio                            |                                                    | ON           | No         |                   |
| Scotia Selected Maximum Growth Portfolio                    | Scotia Selected Aggressive Growth Portfolio        | ON           | No         |                   |
| Scotia U.S. \$ Balanced Fund                                |                                                    | ON           | No         |                   |
| Scotia U.S. \$ Bond Fund                                    | Scotia Canam U.S. \$ Income Fund                   | ON           | No         |                   |
| Scotia U.S. \$ Money Market Fund                            | Scotia Canam U.S. \$ Money Market Fund             | ON           | No         |                   |
| Scotia U.S. Dividend Fund                                   |                                                    | ON           | No         |                   |
| Scotia U.S. Equity Blend Class                              |                                                    | ON           | No         |                   |
| Scotia U.S. Equity Fund                                     | Scotia U.S. Blue Chip Fund                         | ON           | No         |                   |
| Scotia U.S. Equity Index Fund                               | Scotia U.S. Index Fund                             | ON           | No         |                   |
| Scotia U.S. Equity Index Tracker ETF                        |                                                    | ON           | No         |                   |
| Scotia U.S. Opportunities Fund                              | Scotia U.S. Value Fund                             | ON           | No         |                   |
| Scotia Wealth American Core-Plus Bond Pool                  | Scotia Private American Core-Plus Bond Pool        | ON           | No         |                   |
| Scotia Wealth Canadian Bond Pool                            |                                                    | ON           | No         |                   |
| Scotia Wealth Canadian Core Bond Pool                       | Scotia Private Canadian Core Bond Pool             | ON           | No         |                   |
| Scotia Wealth Canadian Corporate Bond Pool                  | Scotia Private Canadian Corporate Bond Pool        | ON           | No         |                   |
| Scotia Wealth Canadian Equity Pool                          | Scotia Private Canadian Equity Pool                | ON           | No         |                   |
| Scotia Wealth Canadian Growth Pool                          | Scotia Private Canadian Growth Pool                | ON           | No         |                   |
| Scotia Wealth Canadian Small Cap Pool                       | Scotia Private Canadian Small Cap Pool             | ON           | No         |                   |
| Scotia Wealth Canadian Value Pool                           | Scotia Private Canadian Value Pool                 | ON           | No         |                   |
| Scotia Wealth Credit Absolute Return Pool                   |                                                    | ON           | No         |                   |
| Scotia Wealth Emerging Markets Multi-Strategy Equity Pool   | 1832 AM Emerging Markets Equity Pool               | ON           | No         |                   |
| Scotia Wealth Emerging Markets Pool                         | Scotia Private Emerging Markets Pool               | ON           | No         |                   |
| Scotia Wealth Floating Rate Income Pool                     | Scotia Private Floating Rate Income Pool           | ON           | No         |                   |
| Scotia Wealth Focus International Value Pool                |                                                    | ON           | No         |                   |
| Scotia Wealth Focus U.S. Growth Pool                        |                                                    | ON           | No         |                   |
| Scotia Wealth Focus U.S. Value Pool                         |                                                    | ON           | No         |                   |
| Scotia Wealth Fundamental Canadian Equity Pool              | 1832 AM Fundamental Canadian Equity Pool           | ON           | No         |                   |
| Scotia Wealth Fundamental International Equity Pool         |                                                    | ON           | No         |                   |
| Scotia Wealth Global Equity Pool                            | Scotia Private Global Equity Pool                  | ON           | No         |                   |
| Scotia Wealth Global High Yield Pool                        | Scotia Private Global High Yield Pool              | ON           | No         |                   |
| Scotia Wealth Global Infrastructure Pool                    | Scotia Private Global Infrastructure Pool          | ON           | No         |                   |
| Scotia Wealth Global Real Estate Pool                       | Scotia Private Global Real Estate Pool             | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                               | Previous Name                                            | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------|----------------------------------------------------------|--------------|------------|-------------------|
| Scotia Wealth High Yield Bond Pool                        | Scotia Private High Yield Bond Pool                      | ON           | No         |                   |
| Scotia Wealth High Yield Income Pool                      | Scotia Private High Yield Income Pool                    | ON           | No         |                   |
| Scotia Wealth Income Pool                                 | Scotia Private Income Pool                               | ON           | No         |                   |
| Scotia Wealth International Core Equity Pool              | Scotia Private International Core Equity Pool            | ON           | No         |                   |
| Scotia Wealth International Equity Pool                   | Scotia Private International Equity Pool                 | ON           | No         |                   |
| Scotia Wealth International Small to Mid Cap Value Pool   | Scotia Private International Small to Mid Cap Value Pool | ON           | No         |                   |
| Scotia Wealth North American Dividend Pool                | Scotia Private North American Dividend Pool              | ON           | No         |                   |
| Scotia Wealth Premium Payout Pool                         | Scotia Private Premium Payout Pool                       | ON           | No         |                   |
| Scotia Wealth Quantitative Canadian Small Cap Equity Pool |                                                          | ON           | No         |                   |
| Scotia Wealth Quantitative Global Small Cap Equity Pool   |                                                          | ON           | No         |                   |
| Scotia Wealth Real Estate Income Pool                     | Scotia Private Real Estate Income Pool                   | ON           | No         |                   |
| Scotia Wealth Short Term Bond Pool                        | Scotia Private Short Term Bond Pool                      | ON           | No         |                   |
| Scotia Wealth Short-Mid Government Bond Pool              | Scotia Private Short-Mid Government Bond Pool            | ON           | No         |                   |
| Scotia Wealth Strategic Balanced Pool                     | Scotia Private Strategic Balanced Pool                   | ON           | No         |                   |
| Scotia Wealth Tactical Asset Allocation PLUS Pool         | 1832 AM Tactical Asset Allocation PLUS Pool              | ON           | No         |                   |
| Scotia Wealth Total Return Bond Pool                      | Scotia Private Total Return Bond Pool                    | ON           | No         |                   |
| Scotia Wealth U.S. Dividend Pool                          | Scotia Private U.S. Dividend Pool                        | ON           | No         |                   |
| Scotia Wealth U.S. Large Cap Growth Pool                  | Scotia Private U.S. Large Cap Growth Pool                | ON           | No         |                   |
| Scotia Wealth U.S. Mid Cap Value Pool                     | Scotia Private U.S. Mid Cap Value Pool                   | ON           | No         |                   |
| Scotia Wealth U.S. Value Pool                             | Scotia Private U.S. Value Pool                           | ON           | No         |                   |
| Scotia Wealth World Infrastructure Pool                   |                                                          | ON           | No         |                   |
| Scotiabank Capital Trust                                  |                                                          | ON           | No         |                   |
| SDX Energy plc                                            |                                                          | AB           | No         |                   |
| Seabridge Gold Inc.                                       |                                                          | ON           | No         |                   |
| Sears Canada Inc.                                         |                                                          | ON           | No         |                   |
| Sectorwise Balanced Portfolio                             |                                                          | QC           | No         |                   |
| Sectorwise Conservative Portfolio                         |                                                          | QC           | No         |                   |
| SectorWise Global Equity Class Portfolio                  | SectorWise Global Equity Portfolio Class                 | QC           | No         |                   |
| Sectorwise Growth Portfolio                               |                                                          | QC           | No         |                   |
| Secure Waste Infrastructure Corp.                         | Secure Energy Services Inc.                              | AB           | No         |                   |
| Sedibelo Resources Limited                                | Sedibelo Platinum Mines Limited                          | ON           | Yes        | 1a,1b,1c,1e,3     |
| Semi-Tech Corporation                                     | International Semi-Tech Microelectronics Inc.            | ON           | Yes        | 1a,1b             |
| Sentry Select Primary Metals Corp.*                       |                                                          | ON           | No         |                   |
| Sernova Biotherapeutics Inc.                              | Sernova Corp.                                            | ON           | No         |                   |
| Serval Integrated Energy Services                         | Serval Growth Fund                                       | AB           | No         |                   |
| ShaMaran Petroleum Corp.                                  | Bayou Bend Petroleum Ltd.                                | BC           | No         |                   |
| Sheen Resources Ltd.                                      | Pencari Resource Corporation                             | BC           | Yes        | 3                 |
| Sherritt International Corporation                        |                                                          | ON           | No         |                   |
| Shopify Inc.                                              |                                                          | ON           | No         |                   |
| Shoreline Energy Corp.                                    |                                                          | AB           | Yes        | 1a,1b,1c,3        |
| Short Term Bond Fund                                      |                                                          | ON           | No         |                   |
| Short Term Income Corporate Class                         |                                                          | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                          | Previous Name                                         | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------|-------------------------------------------------------|--------------|------------|-------------------|
| Short Term Income Pool                               | Optima Strategy Fund - Short Term Investment Section  | ON           | No         |                   |
| Short Term Investment Fund                           |                                                       | ON           | No         |                   |
| Sienna Senior Living Inc.                            | Leisureworld Senior Care Corporation                  | ON           | No         |                   |
| Sigma Lithium Corporation                            |                                                       | ON           | No         |                   |
| Silver Bear Resources Plc                            |                                                       | ON           | Yes        | 1a,1c,1d,1e,3     |
| Silver Bow Mining Corp.                              |                                                       | BC           | No         |                   |
| Silver Elephant Mining Corp.                         | Prophecy Development Corp.                            | BC           | No         |                   |
| Silver Mountain Resources Inc.                       |                                                       | ON           | No         |                   |
| Silver Spruce Resources Inc.                         | First Labrador Acquisitions Inc.                      | NS           | No         |                   |
| Silver Tiger Metals Inc.                             | Oceanus Resources Corporation                         | NS           | No         |                   |
| Silver47 Exploration Corp.                           |                                                       | BC           | No         |                   |
| Silvercorp Metals Inc.                               |                                                       | BC           | No         |                   |
| Sintana Energy Inc.                                  | Mobius Resources Inc.                                 | ON           | No         |                   |
| Sionna Canadian Equity Fund                          | Brandes Sionna Canadian Equity Fund                   | ON           | No         |                   |
| Sionna Opportunities Fund                            |                                                       | ON           | No         |                   |
| Sionna Strategic Income Fund                         | Sionna Canadian Balanced Fund                         | ON           | No         |                   |
| Sir Royalty Income Fund                              |                                                       | ON           | No         |                   |
| Sirius XM Holdings Inc.                              |                                                       | ON           | No         |                   |
| Sixth Wave Innovations Inc.                          | Atom Energy Inc.                                      | NS           | Yes        | 1a,1c,1e,3        |
| Sixty Six Capital Inc.                               | Hydro66 Holdings Corp.                                | BC           | No         |                   |
| Skeena Resources Limited                             |                                                       | BC           | No         |                   |
| SKG Interactive Inc.                                 | Sikaman Gold Resources Limited                        | ON           | Yes        | 1a,1b,3           |
| Skycap Investment Holdings Inc.                      | Li-Metal Corp.                                        | ON           | No         |                   |
| Skye Bioscience, Inc.                                |                                                       | BC           | No         |                   |
| SLANG Worldwide Inc.                                 | Fire Cannabis Inc.                                    | ON           | Yes        | 1b,1c,1e          |
| Slate Grocery REIT                                   | Slate Retail REIT                                     | ON           | No         |                   |
| Slater Steel Inc.                                    | Slater Industries Inc.                                | ON           | No         |                   |
| SLGI MFS Blended Research Low Vol Global Fund        | Sun Life MFS Low Volatility Global Equity Fund        | ON           | No         |                   |
| SLGI MFS Blended Research Low Vol International Fund | Sun Life MFS Low Volatility International Equity Fund | ON           | No         |                   |
| SLMsoft Inc.                                         | SLmsoft.Com Inc.                                      | ON           | No         |                   |
| SmartCentres Real Estate Investment Trust            | Smart Real Estate Investment Trust                    | ON           | No         |                   |
| SNDL Inc.                                            | Sundial Growers Inc.                                  | AB           | No         |                   |
| Snowline Gold Corp.                                  |                                                       | BC           | No         |                   |
| Sobeys Inc.                                          | Sobeys Canada Inc.                                    | NS           | No         |                   |
| Sol Strategies Inc.                                  |                                                       | ON           | No         |                   |
| Solana Company                                       | Helius Medical Technologies, Inc.                     | BC           | No         |                   |
| Solaris Resources Inc.                               | Solaris Copper Inc.                                   | BC           | No         |                   |
| SOLITARIO RESOURCES CORP.                            | Solitario Zinc Corp.                                  | ON           | No         |                   |
| Solution Financial Inc.                              | Shelby Ventures Inc.                                  | BC           | No         |                   |
| Sona Nanotech Inc.                                   | Stockport Exploration Inc.                            | NS           | No         |                   |
| Sonde Resources Corp.                                | Canadian Superior Energy Inc.                         | AB           | No         |                   |
| SOPerior Fertilizer Corp.                            | Potash Ridge Corporation                              | ON           | Yes        | 1a,1c,1e,3        |
| Source Energy Services Ltd.                          |                                                       | AB           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                         | Previous Name                      | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------|------------------------------------|--------------|------------|-------------------|
| Source Rock Royalties Ltd.                          |                                    | AB           | No         |                   |
| South Bow Corporation                               |                                    | AB           | No         |                   |
| Southern Energy Corp.                               |                                    | AB           | No         |                   |
| SouthGobi Resources Ltd.                            | SouthGobi Energy Resources Ltd.    | BC           | No         |                   |
| Space Exploration Technologies Corp.                |                                    | ON           | No         |                   |
| Spackman Equities Group Inc.                        | Centiva Capital Inc.               | ON           | No         |                   |
| Spartan Delta Corp.                                 | Return Energy Inc.                 | AB           | No         |                   |
| Specialty Foods Group Income Fund                   |                                    | ON           | Yes        | 1a,1b,1c,1d,1e,3  |
| Spectra7 Microsystems Inc.                          | Chrysalis Capital VIII Corporation | ON           | Yes        | 1a,1c,1e,3        |
| Spectral Medical Inc.                               | Spectral Diagnostics Inc.          | ON           | No         |                   |
| Spin Master Corp.                                   |                                    | ON           | No         |                   |
| Spire Global, Inc.                                  |                                    | ON           | No         |                   |
| Springbok Ventures Inc.                             | Stikine Energy Corp.               | BC           | No         |                   |
| Sprott Inc.                                         |                                    | ON           | No         |                   |
| Sprott Physical Copper Trust*                       |                                    | ON           | No         |                   |
| Sprott Physical Gold and Silver Trust*              |                                    | ON           | No         |                   |
| Sprott Physical Gold Trust                          |                                    | ON           | No         |                   |
| Sprott Physical Platinum and Palladium Trust*       |                                    | ON           | No         |                   |
| Sprott Physical Silver Trust*                       |                                    | ON           | No         |                   |
| Sprott Physical Uranium Trust                       |                                    | ON           | No         |                   |
| Spyglass Resources Corp.                            |                                    | AB           | Yes        | 1a,1c,1e,3        |
| Srai Capital Corp.                                  |                                    | BC           | Yes        | 1a,1c,1e,3        |
| SSR Mining Inc.                                     | Silver Standard Resources Inc      | BC           | No         |                   |
| St. Augustine Gold and Copper Limited               | Ratel Gold Limited                 | ON           | No         |                   |
| Stack Capital Group Inc.                            |                                    | ON           | No         |                   |
| Stage Capital Corp.                                 | Stage Holdco Ltd.                  | BC           | No         |                   |
| Stagwell Inc.                                       | MDC Partners Inc.                  | ON           | No         |                   |
| Stallion Uranium Corp.                              |                                    | BC           | No         |                   |
| Stampede Drilling Inc.                              |                                    | AB           | No         |                   |
| Standard Lithium Ltd.                               |                                    | BC           | No         |                   |
| Standard Uranium Ltd.                               |                                    | BC           | No         |                   |
| Stantec Inc.                                        | Stanley Technology Group Inc.      | AB           | No         |                   |
| Star Diamond Corporation                            | Shore Gold Inc.                    | SK           | No         |                   |
| Stardust Metal Corp.                                | Mistango River Resources Inc.      | ON           | No         |                   |
| Starlight Dividend Growth Class                     | Stone Dividend Growth Class        | ON           | No         |                   |
| Starlight Global Balanced Fund                      | Stone Global Balanced Fund         | ON           | No         |                   |
| Starlight Global Growth Fund                        | Stone Global Growth Fund           | ON           | No         |                   |
| Starlight Global Infrastructure Fund                |                                    | ON           | No         |                   |
| Starlight Global Real Estate Fund                   |                                    | ON           | No         |                   |
| Starlight North American Equity Fund                | Stone Growth Fund                  | ON           | No         |                   |
| Starlight Western Canada Multi-Family (No. 2) Fund* |                                    | ON           | No         |                   |
| Steadyhand Builders Fund                            |                                    | ON           | No         |                   |
| Steadyhand Equity Fund                              |                                    | ON           | No         |                   |
| Steadyhand Founders Fund                            |                                    | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                     | Previous Name                                | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------|----------------------------------------------|--------------|------------|-------------------|
| Steadyhand Global Equity Fund                   |                                              | ON           | No         |                   |
| Steadyhand Global Small-Cap Equity Fund         |                                              | ON           | No         |                   |
| Steadyhand Income Fund                          |                                              | ON           | No         |                   |
| Steadyhand Savings Fund                         |                                              | ON           | No         |                   |
| Steadyhand Small-Cap Equity Fund                |                                              | ON           | No         |                   |
| Stella-Jones Inc.                               |                                              | QC           | No         |                   |
| Stem Holdings, Inc.                             |                                              | ON           | Yes        | 1a,1c,1e,3        |
| Steppe Gold Ltd.                                |                                              | ON           | No         |                   |
| Sterling Shoes Inc.                             |                                              | BC           | No         |                   |
| Stingray Group Inc.                             | Stingray Digital Group Inc.                  | QC           | No         |                   |
| STLLR Gold Inc.                                 |                                              | ON           | No         |                   |
| StorageVault Canada Inc.                        |                                              | ON           | No         |                   |
| STRACON Group Holding Inc.                      |                                              | ON           | No         |                   |
| Strategic Fixed Income Corporate Class          | Global Income Allocation Corporate Class     | ON           | No         |                   |
| Strategic Fixed Income Pool                     | Global Income Allocation Pool                | ON           | No         |                   |
| Strategic Oil & Gas Ltd.                        |                                              | AB           | No         |                   |
| Strathcona Resources Ltd.                       |                                              | AB           | No         |                   |
| Sucro Limited                                   |                                              | ON           | No         |                   |
| SugarBud Craft Growers Corp.                    | Relentless Resources Ltd.                    | AB           | Yes        | 1a,1c,1e,3        |
| Sun Life Acadian International Equity Fund*     |                                              | ON           | No         |                   |
| Sun Life Aditya Birla India Fund                | Sun Life Excel India Fund                    | ON           | No         |                   |
| Sun Life Assurance Company Of Canada            |                                              | ON           | No         |                   |
| Sun Life Balanced ETF+ Portfolio*               | Sun Life Tactical Balanced ETF Portfolio     | ON           | No         |                   |
| Sun Life BlackRock Canadian Equity Fund         |                                              | ON           | No         |                   |
| Sun Life Capital Trust                          |                                              | ON           | No         |                   |
| Sun Life Conservative ETF+ Portfolio*           | Sun Life Tactical Conservative ETF Portfolio | ON           | No         |                   |
| Sun Life Core Advantage Credit Private Pool     |                                              | ON           | No         |                   |
| Sun Life Crescent Specialty Credit Private Pool |                                              | ON           | No         |                   |
| Sun Life Dynamic Equity Income Fund             |                                              | ON           | No         |                   |
| Sun Life Dynamic Strategic Yield Fund           |                                              | ON           | No         |                   |
| Sun Life Equity ETF+ Portfolio*                 | Sun Life Tactical Equity ETF Portfolio       | ON           | No         |                   |
| Sun Life Financial Inc.                         | Sun Life Financial Services Of Canada Inc.   | ON           | No         |                   |
| Sun Life Fixed Income ETF+ Portfolio*           | Sun Life Tactical Fixed Income ETF Portfolio | ON           | No         |                   |
| Sun Life Global Mid Cap Fund                    | Sun Life Schroder Global Mid Cap Fund        | ON           | No         |                   |
| Sun Life Global Tactical Yield Private Pool     |                                              | ON           | No         |                   |
| Sun Life Granite Balanced Class                 | Sun Life Managed Balanced Class              | ON           | No         |                   |
| Sun Life Granite Balanced Growth Class          | Sun Life Managed Balanced Growth Class       | ON           | No         |                   |
| Sun Life Granite Balanced Growth Portfolio      | Sun Life Managed Balanced Growth Portfolio   | ON           | No         |                   |
| Sun Life Granite Balanced Portfolio             | Sun Life Managed Balanced Portfolio          | ON           | No         |                   |
| Sun Life Granite Conservative Class             | Sun Life Managed Conservative Class          | ON           | No         |                   |
| Sun Life Granite Conservative Portfolio         | Sun Life Managed Conservative Portfolio      | ON           | No         |                   |
| Sun Life Granite Enhanced Income Portfolio      | Sun Life Managed Enhanced Income Portfolio   | ON           | No         |                   |
| Sun Life Granite Growth Class                   | Sun Life Managed Growth Class                | ON           | No         |                   |
| Sun Life Granite Growth Portfolio               | Sun Life Managed Growth Portfolio            | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                              | Previous Name                                       | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------------|-----------------------------------------------------|--------------|------------|-------------------|
| Sun Life Granite Income Portfolio                        | Sun Life Managed Income Portfolio                   | ON           | No         |                   |
| Sun Life Granite Moderate Class                          | Sun Life Managed Moderate Class                     | ON           | No         |                   |
| Sun Life Granite Moderate Portfolio                      | Sun Life Managed Moderate Portfolio                 | ON           | No         |                   |
| Sun Life Granite Tactical Completion Fund                |                                                     | ON           | No         |                   |
| Sun Life Growth ETF+ Portfolio*                          | Sun Life Tactical Growth ETF Portfolio              | ON           | No         |                   |
| Sun Life KBI Global Dividend Private Pool                | Sun Life Global Dividend Private Pool               | ON           | No         |                   |
| Sun Life KBI Sustainable Infrastructure Private Pool     |                                                     | ON           | No         |                   |
| Sun Life MFS Canadian Bond Fund                          | Sun Life MFS McLean Budden Canadian Bond Fund       | ON           | No         |                   |
| Sun Life MFS Canadian Equity Fund                        | Sun Life MFS Canadian Equity Growth Fund            | ON           | No         |                   |
| Sun Life MFS Diversified Income Fund                     | Sun Life MFS Dividend Income Fund                   | ON           | No         |                   |
| Sun Life MFS Global Core Plus Bond Fund                  | Sun Life Amundi Emerging Markets Debt Fund          | ON           | No         |                   |
| Sun Life MFS Global Growth Class                         | Sun Life MFS McLean Budden Global Growth Class      | ON           | No         |                   |
| Sun Life MFS Global Growth Fund                          | Sun Life MFS McLean Budden Global Growth Fund       | ON           | No         |                   |
| Sun Life MFS Global Total Return Fund                    | Sun Life MFS McLean Budden Global Total Return Fund | ON           | No         |                   |
| Sun Life MFS Global Value Fund                           | Sun Life MFS McLean Budden Global Value Fund        | ON           | No         |                   |
| Sun Life MFS International Opportunities Class           | Sun Life MFS International Growth Class             | ON           | No         |                   |
| Sun Life MFS International Opportunities Fund            | Sun Life MFS International Growth Fund              | ON           | No         |                   |
| Sun Life MFS International Value Fund                    | Sun Life MFS McLean Budden International Value Fund | ON           | No         |                   |
| Sun Life MFS U.S. Equity Fund                            | Sun Life MFS McLean Budden U.S. Equity Fund         | ON           | No         |                   |
| Sun Life MFS U.S. Growth Class                           | Sun Life MFS McLean Budden U.S. Growth Class        | ON           | No         |                   |
| Sun Life MFS U.S. Growth Fund                            | Sun Life MFS McLean Budden U.S. Growth Fund         | ON           | No         |                   |
| Sun Life MFS U.S. Mid Cap Growth Fund                    |                                                     | ON           | No         |                   |
| Sun Life MFS U.S. Value Fund                             | Sun Life MFS McLean Budden U.S. Value Fund          | ON           | No         |                   |
| Sun Life Milestone 2030 Fund                             |                                                     | ON           | No         |                   |
| Sun Life Milestone 2035 Fund                             |                                                     | ON           | No         |                   |
| Sun Life Milestone Global Equity Fund                    |                                                     | ON           | No         |                   |
| Sun Life Money Market Class                              |                                                     | ON           | No         |                   |
| Sun Life Money Market Fund                               |                                                     | ON           | No         |                   |
| Sun Life Multi-Strategy Bond Fund                        | Sun Life Beutel Goodman Canadian Bond Fund          | ON           | No         |                   |
| Sun Life Net Zero Target Bond Fund                       |                                                     | ON           | No         |                   |
| Sun Life Nuveen Flexible Income Fund                     | Sun Life NWQ Flexible Income Fund                   | ON           | No         |                   |
| Sun Life Real Assets Private Pool                        | Sun Life Real Assets Fund                           | ON           | No         |                   |
| Sun Life Risk Managed U.S. Equity Fund                   |                                                     | ON           | No         |                   |
| Sun Life Schroder Emerging Markets Fund                  | Sun Life Excel Emerging Markets Fund                | ON           | No         |                   |
| Sun Life State Street Canadian Bond Index Fund           | Sun Life BlackRock Canadian Universe Bond Fund      | ON           | No         |                   |
| Sun Life State Street Canadian Equity Index Fund         | Sun Life BlackRock Canadian Composite Equity Fund   | ON           | No         |                   |
| Sun Life State Street Emerging Markets Equity Index Fund |                                                     | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                           | Previous Name                                             | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------|-----------------------------------------------------------|--------------|------------|-------------------|
| Sun Life State Street International Equity Index Fund |                                                           | ON           | No         |                   |
| Sun Life State Street US Bond Index Fund              |                                                           | ON           | No         |                   |
| Sun Life State Street US Equity Index Fund            |                                                           | ON           | No         |                   |
| Sun Life U.S. Core Fixed Income Fund                  | Sun Life Ryan Labs U.S. Core Fixed Income Fund            | ON           | No         |                   |
| Sun Summit Minerals Corp.                             |                                                           | BC           | No         |                   |
| Sun-Times Media Group, Inc.                           | Hollinger International Inc.                              | ON           | No         |                   |
| Suncor Energy Inc.                                    |                                                           | AB           | No         |                   |
| Sunstone Opportunity (2006) Debenture Fund*           | Sunstone Opportunity Fund (2006) Debenture Fund           | BC           | No         |                   |
| Sunstone Opportunity 2006 Realty Trust*               |                                                           | BC           | No         |                   |
| Superior Plus Corp.                                   | Ballard Power Systems Inc.                                | ON           | No         |                   |
| SuperQ Quantum Computing Inc.                         | Atco Mining Inc.                                          | BC           | No         |                   |
| Supremex Inc.                                         |                                                           | QC           | No         |                   |
| Surge Energy Inc.                                     | Zapata Energy Corporation                                 | AB           | No         |                   |
| Sustainable Balanced 40/60 Fund                       |                                                           | ON           | No         |                   |
| Sustainable Balanced 60/40 Fund                       |                                                           | ON           | No         |                   |
| Sustainable Growth 100 Fund                           |                                                           | ON           | No         |                   |
| Sustainable Growth 80/20 Fund                         |                                                           | ON           | No         |                   |
| Sustainable Income 100 Fund                           |                                                           | ON           | No         |                   |
| Sustainable Income 20/80 Fund                         |                                                           | ON           | No         |                   |
| Sustainable Innovation & Health Dividend Fund*        |                                                           | ON           | No         |                   |
| Swiss Water Decaffeinated Coffee Inc.                 | Ten Peaks Coffee Company Inc.                             | BC           | No         |                   |
| Sylla Gold Corp.                                      | Atlantic Industrial Minerals Incorporated                 | NS           | No         |                   |
| Symmetry Balanced Portfolio                           | Symmetry One Registered Balanced Portfolio                | ON           | No         |                   |
| Symmetry Conservative Income Portfolio                | Symmetry One Registered Ultra Conservative Portfolio Fund | ON           | No         |                   |
| Symmetry Conservative Portfolio                       | Symmetry One Registered Conservative Portfolio            | ON           | No         |                   |
| Symmetry Equity Portfolio                             |                                                           | ON           | No         |                   |
| Symmetry Fixed Income Portfolio                       | Symmetry Registered Fixed Income Pool                     | ON           | No         |                   |
| Symmetry Growth Portfolio                             | Symmetry One Registered Growth Portfolio                  | ON           | No         |                   |
| Symmetry Moderate Growth Portfolio                    | Symmetry One Registered Moderate Growth Portfolio         | ON           | No         |                   |
| Synodon Inc.                                          |                                                           | AB           | No         |                   |
| T. Rowe Price - IG Global Impact Pool                 |                                                           | MB           | No         |                   |
| T. Rowe Price - IG U.S. Equity Pool                   |                                                           | MB           | No         |                   |
| T. Rowe Price Global Allocation Fund                  | Morningstar Balanced Portfolio                            | ON           | No         |                   |
| T. Rowe Price U.S. Blue Chip Growth Fund              |                                                           | ON           | No         |                   |
| Tactical Asset Allocation Balanced Class              |                                                           | ON           | No         |                   |
| Tactical Asset Allocation Balanced Growth Class       |                                                           | ON           | No         |                   |
| Tactical Asset Allocation Balanced Growth Pool        |                                                           | ON           | No         |                   |
| Tactical Asset Allocation Balanced Pool               |                                                           | ON           | No         |                   |
| Tactical Asset Allocation Conservative Balanced Class |                                                           | ON           | No         |                   |
| Tactical Asset Allocation Conservative Balanced Pool  |                                                           | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                     | Previous Name                                   | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------------|-------------------------------------------------|--------------|------------|-------------------|
| Tactical Asset Allocation Conservative Class                    |                                                 | ON           | No         |                   |
| Tactical Asset Allocation Conservative Income Class             |                                                 | ON           | No         |                   |
| Tactical Asset Allocation Conservative Income Pool              |                                                 | ON           | No         |                   |
| Tactical Asset Allocation Conservative Pool                     |                                                 | ON           | No         |                   |
| Tactical Asset Allocation Equity Class                          |                                                 | ON           | No         |                   |
| Tactical Asset Allocation Equity Pool                           |                                                 | ON           | No         |                   |
| Tactical Asset Allocation Growth Class                          |                                                 | ON           | No         |                   |
| Tactical Asset Allocation Growth Pool                           |                                                 | ON           | No         |                   |
| Tactical Asset Allocation Income Class                          |                                                 | ON           | No         |                   |
| Tactical Asset Allocation Income Pool                           |                                                 | ON           | No         |                   |
| Tactical Asset Allocation Neutral Balanced Class                |                                                 | ON           | No         |                   |
| Tactical Asset Allocation Neutral Balanced Pool                 |                                                 | ON           | No         |                   |
| Tactical Sovereign Bond Fund                                    | Caldwell Income Fund                            | ON           | No         |                   |
| TAG Oil Ltd                                                     |                                                 | BC           | No         |                   |
| Tahera Diamond Corporation                                      | Tahera Corporation                              | ON           | Yes        | 1a,1b,1c,1e,3     |
| Taiga Building Products LTD.                                    | Taiga Forest Products LTD.                      | BC           | No         |                   |
| Talon Metals Corp.                                              |                                                 | ON           | No         |                   |
| Tamarack Valley Energy Ltd.                                     |                                                 | AB           | No         |                   |
| Tangerine Balanced ETF Portfolio                                |                                                 | ON           | No         |                   |
| Tangerine Balanced Growth ETF Portfolio                         |                                                 | ON           | No         |                   |
| Tangerine Balanced Growth Portfolio                             | ING DIRECT Streetwise Balanced Growth Portfolio | ON           | No         |                   |
| Tangerine Balanced Growth SRI Portfolio                         |                                                 | ON           | No         |                   |
| Tangerine Balanced Income ETF Portfolio                         |                                                 | ON           | No         |                   |
| Tangerine Balanced Income Portfolio                             | ING DIRECT Streetwise Balanced Income Portfolio | ON           | No         |                   |
| Tangerine Balanced Income SRI Portfolio                         |                                                 | ON           | No         |                   |
| Tangerine Balanced Portfolio                                    | ING DIRECT Streetwise Balanced Portfolio        | ON           | No         |                   |
| Tangerine Balanced SRI Portfolio                                |                                                 | ON           | No         |                   |
| Tangerine Dividend Portfolio                                    |                                                 | ON           | No         |                   |
| Tangerine Equity Growth ETF Portfolio                           |                                                 | ON           | No         |                   |
| Tangerine Equity Growth Portfolio                               | ING DIRECT Streetwise Equity Growth Portfolio   | ON           | No         |                   |
| Tangerine Equity Growth SRI Portfolio                           |                                                 | ON           | No         |                   |
| Tangerine Money Market Fund                                     |                                                 | ON           | No         |                   |
| Tantalus Systems Holding Inc. (formerly RiseTech Capital Corp.) |                                                 | BC           | No         |                   |
| TC Energy Corporation                                           | TransCanada Corporation                         | AB           | No         |                   |
| TCT Logistics Inc.                                              | Prime-Link Group LTD.                           | AB           | Yes        | 1a,1b             |
| TD Active Global Enhanced Dividend ETF                          |                                                 | ON           | No         |                   |
| TD Active Global Equity Growth ETF                              |                                                 | ON           | No         |                   |
| TD Active Global Income ETF                                     |                                                 | ON           | No         |                   |
| TD Active Global Infrastructure Equity ETF                      |                                                 | ON           | No         |                   |
| TD Active Global Real Estate Equity ETF                         |                                                 | ON           | No         |                   |
| TD Active Preferred Share ETF                                   |                                                 | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                      | Previous Name                               | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------|---------------------------------------------|--------------|------------|-------------------|
| TD Active U.S. Enhanced Dividend CAD Hedged ETF* |                                             | ON           | No         |                   |
| TD Active U.S. Enhanced Dividend ETF             |                                             | ON           | No         |                   |
| TD Active U.S. High Yield Bond ETF               |                                             | ON           | No         |                   |
| TD All-Equity ETF Portfolio                      |                                             | ON           | No         |                   |
| TD Alternative Commodities Pool                  |                                             | ON           | No         |                   |
| TD Alternative Long/Short Commodities Pool       |                                             | ON           | No         |                   |
| TD Alternative Risk Focused Pool                 |                                             | ON           | No         |                   |
| TD Balanced ETF Portfolio*                       | TD One-Click Moderate ETF Portfolio         | ON           | No         |                   |
| TD Balanced Growth Fund                          | Green Line Balanced Growth Fund             | ON           | No         |                   |
| TD Balanced Index Fund                           | Canada Trust Balanced Index Fund            | ON           | No         |                   |
| TD Canadian Aggregate Bond Index ETF             |                                             | ON           | No         |                   |
| TD Canadian Bank Dividend Index ETF*             |                                             | ON           | No         |                   |
| TD Canadian Blue Chip Dividend Fund              | TD Private Canadian Blue Chip Dividend Fund | ON           | No         |                   |
| TD Canadian Bond Fund                            | Green Line Canadian Bond Fund               | ON           | No         |                   |
| TD Canadian Bond Index Fund                      | Canada Trust Canadian Bond Index Fund       | ON           | No         |                   |
| TD Canadian Core Plus Bond Fund                  |                                             | ON           | No         |                   |
| TD Canadian Corporate Bond Fund                  | TD Private Canadian Corporate Bond Fund     | ON           | No         |                   |
| TD Canadian Corporate Bond Index ETF             |                                             | ON           | No         |                   |
| TD Canadian Diversified Yield Fund               | TD Private Canadian Diversified Yield Fund  | ON           | No         |                   |
| TD Canadian Equity Class                         |                                             | ON           | No         |                   |
| TD Canadian Equity Fund                          | Green Line Canadian Equity Fund             | ON           | No         |                   |
| TD Canadian Equity Index ETF                     | TD S&P/TSX Capped Composite Index ETF       | ON           | No         |                   |
| TD Canadian Equity Pool                          |                                             | ON           | No         |                   |
| TD Canadian Equity Pool Class                    |                                             | ON           | No         |                   |
| TD Canadian Government Bond Index ETF            |                                             | ON           | No         |                   |
| TD Canadian Index Fund                           | Green Line Canadian Index Fund              | ON           | No         |                   |
| TD Canadian Large-Cap Equity Fund                | TD Private Canadian Blue Chip Equity Fund   | ON           | No         |                   |
| TD Canadian Liquidity Pool                       |                                             | ON           | No         |                   |
| TD Canadian Long Term Federal Bond ETF           |                                             | ON           | No         |                   |
| TD Canadian Long Term Federal Bond Fund          |                                             | ON           | No         |                   |
| TD Canadian Low Volatility Class                 |                                             | ON           | No         |                   |
| TD Canadian Low Volatility Fund                  |                                             | ON           | No         |                   |
| TD Canadian Low Volatility Pool                  |                                             | ON           | No         |                   |
| TD Canadian Money Market Fund                    | Green Line Canadian Money Market Fund       | ON           | No         |                   |
| TD Canadian Small-Cap Equity Class               |                                             | ON           | No         |                   |
| TD Canadian Small-Cap Equity Fund                | TD Special Equity Fund                      | ON           | No         |                   |
| TD Cash Management ETF                           |                                             | ON           | No         |                   |
| TD China Income & Growth Fund                    | TD Asian Growth Fund                        | ON           | No         |                   |
| TD Comfort Aggressive Growth Portfolio           | TD Comfort Equity Portfolio                 | ON           | No         |                   |
| TD Comfort Balanced Growth Portfolio             | TD Comfort Balanced Portfolio               | ON           | No         |                   |
| TD Comfort Balanced Income Portfolio             | TD Comfort Conservative Portfolio           | ON           | No         |                   |
| TD Comfort Balanced Portfolio                    | TD Comfort Moderate Portfolio               | ON           | No         |                   |
| TD Comfort Conservative Income Portfolio         |                                             | ON           | No         |                   |
| TD Comfort Growth Portfolio                      |                                             | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                         | Previous Name                                         | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------------|-------------------------------------------------------|--------------|------------|-------------------|
| TD Conservative ETF Portfolio*                                      | TD One-Click Conservative ETF Portfolio               | ON           | No         |                   |
| TD Diversified Bond Pool                                            |                                                       | ON           | No         |                   |
| TD Diversified Monthly Income Fund                                  | TD Monthly High Income Fund                           | ON           | No         |                   |
| TD Dividend Growth Class                                            |                                                       | ON           | No         |                   |
| TD Dividend Growth Fund                                             | Green Line Dividend Fund                              | ON           | No         |                   |
| TD Dividend Income Class                                            |                                                       | ON           | No         |                   |
| TD Dividend Income Fund                                             | Canada Trust Dividend Income Fund                     | ON           | No         |                   |
| TD Dow Jones Industrial Average Index Fund                          | Green Line Dow Jones Industrial Average Index Fund    | ON           | No         |                   |
| TD Emerald Balanced Fund                                            | Emerald Balanced Fund                                 | ON           | No         |                   |
| TD Emerald Canadian Bond Index Fund                                 | Emerald Canadian Bond Index Fund                      | ON           | No         |                   |
| TD Emerald Canadian Equity Index Fund                               | Emerald Canadian Equity Index Fund                    | ON           | No         |                   |
| TD Emerald Canadian Short Term Investment Fund                      | Emerald Canadian Short Term Investment Fund           | ON           | No         |                   |
| TD Emerald Canadian Treasury Management - Government Of Canada Fund | TD Canadian Treasury Management - Government Of CANAD | ON           | No         |                   |
| TD Emerald Canadian Treasury Management Fund                        | TD Canadian Treasury Management Fund                  | ON           | No         |                   |
| TD Emerald International Equity Index Fund                          | Emerald International Equity Index Fund               | ON           | No         |                   |
| TD Emerald U.S. Market Index Fund                                   | Emerald U.S. Market Index Fund                        | ON           | No         |                   |
| TD Emerging Markets Class                                           |                                                       | ON           | No         |                   |
| TD Emerging Markets Fund                                            | Green Line Emerging Markets Fund                      | ON           | No         |                   |
| TD European Index Fund                                              | Green Line European Index Fund                        | ON           | No         |                   |
| TD Fixed Income Pool                                                |                                                       | ON           | No         |                   |
| TD Global Capital Reinvestment Class                                | TD Global Equity Growth Class                         | ON           | No         |                   |
| TD Global Capital Reinvestment Fund                                 | TD Global Equity Growth Fund                          | ON           | No         |                   |
| TD Global Core Plus Bond Fund                                       | TD Global Bond Fund                                   | ON           | No         |                   |
| TD Global Disciplined Equity Alpha Fund™                            |                                                       | ON           | No         |                   |
| TD Global Entertainment & Communications Fund                       | TD Entertainment & Communications Fund                | ON           | No         |                   |
| TD Global Equity Focused Fund                                       |                                                       | ON           | No         |                   |
| TD Global Equity Pool                                               |                                                       | ON           | No         |                   |
| TD Global Equity Pool Class                                         |                                                       | ON           | No         |                   |
| TD Global Healthcare Leaders Index ETF                              |                                                       | ON           | No         |                   |
| TD Global Income Fund                                               |                                                       | ON           | No         |                   |
| TD Global Low Volatility Class                                      |                                                       | ON           | No         |                   |
| TD Global Low Volatility Fund                                       |                                                       | ON           | No         |                   |
| TD Global Research Equity Fund                                      |                                                       | ON           | No         |                   |
| TD Global Shareholder Yield Fund                                    | Epoch Global Shareholder Yield Fund                   | ON           | No         |                   |
| TD Global Tactical Monthly Income Fund                              | TD Strategic Yield Fund                               | ON           | No         |                   |
| TD Global Technology Innovators Index ETF                           |                                                       | ON           | No         |                   |
| TD Global Technology Leaders CAD Hedged Index ETF*                  |                                                       | ON           | No         |                   |
| TD Global Technology Leaders Index ETF                              |                                                       | ON           | No         |                   |
| TD Global Technology Leaders Index Fund                             |                                                       | ON           | No         |                   |
| TD Global Unconstrained Bond Fund                                   |                                                       | ON           | No         |                   |
| TD Growth ETF Portfolio*                                            | TD One-Click Aggressive ETF Portfolio                 | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                       | Previous Name                                         | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------|-------------------------------------------------------|--------------|------------|-------------------|
| TD Health Sciences Fund                           | Green Line Health Sciences Fund                       | ON           | No         |                   |
| TD High Yield Bond Fund                           | TD High Yield Income Fund                             | ON           | No         |                   |
| TD Income Advantage Portfolio                     |                                                       | ON           | No         |                   |
| TD Income Opportunities Pool                      | TD World Bond Pool                                    | ON           | No         |                   |
| TD International Equity CAD Hedged Index ETF      |                                                       | ON           | No         |                   |
| TD International Equity Focused Class             | TD International Stock Class                          | ON           | No         |                   |
| TD International Equity Focused Fund              | TD International Stock Fund                           | ON           | No         |                   |
| TD International Equity Fund                      | Epoch International Equity Fund                       | ON           | No         |                   |
| TD International Equity Index ETF                 |                                                       | ON           | No         |                   |
| TD International Index Currency Neutral Fund      | TD International RSP Index Fund                       | ON           | No         |                   |
| TD International Index Fund                       | Canada Trust International Equity Index Fund          | ON           | No         |                   |
| TD International Research Equity Fund             |                                                       | ON           | No         |                   |
| TD Managed Aggressive Growth ETF Portfolio*       |                                                       | ON           | No         |                   |
| TD Managed Aggressive Growth Portfolio            | TD Managed Aggressive Growth RSP Portfolio            | ON           | No         |                   |
| TD Managed Balanced Growth ETF Portfolio*         |                                                       | ON           | No         |                   |
| TD Managed Balanced Growth Portfolio              | TD Managed Balanced Growth RSP Portfolio              | ON           | No         |                   |
| TD Managed Income & Moderate Growth ETF Portfolio |                                                       | ON           | No         |                   |
| TD Managed Income & Moderate Growth Portfolio     | TD Managed Income & Moderate Growth RSP Portfolio     | ON           | No         |                   |
| TD Managed Income ETF Portfolio*                  |                                                       | ON           | No         |                   |
| TD Managed Income Portfolio                       | TD Managed Income RSP Portfolio                       | ON           | No         |                   |
| TD Managed Maximum Equity Growth ETF Portfolio*   |                                                       | ON           | No         |                   |
| TD Managed Maximum Equity Growth Portfolio        | TD Managed Maximum Equity Growth RSP Portfolio        | ON           | No         |                   |
| TD Monthly Income Fund                            | Canada Trust Monthly Income Fund                      | ON           | No         |                   |
| TD Nasdaq Index Fund                              | TD Nasdaq RSP Index Fund                              | ON           | No         |                   |
| TD North American Dividend Fund                   | TD U.S. Equity Fund                                   | ON           | No         |                   |
| TD North American Sustainability Balanced Fund    |                                                       | ON           | No         |                   |
| TD North American Sustainability Bond Fund        |                                                       | ON           | No         |                   |
| TD North American Sustainability Equity Fund      |                                                       | ON           | No         |                   |
| TD Opportunities Pool                             |                                                       | ON           | No         |                   |
| TD Options Premium Income Pool                    |                                                       | ON           | No         |                   |
| TD Precious Metals Fund                           | Green Line Precious Metals Fund                       | ON           | No         |                   |
| TD Preferred Share Fund                           |                                                       | ON           | No         |                   |
| TD Premium Money Market Fund                      | Green Line Premium Money Market Fund                  | ON           | No         |                   |
| TD Q Canadian Dividend ETF                        |                                                       | ON           | No         |                   |
| TD Q Canadian Low Volatility ETF                  |                                                       | ON           | No         |                   |
| TD Q Global Dividend ETF                          |                                                       | ON           | No         |                   |
| TD Q Global Multifactor ETF                       |                                                       | ON           | No         |                   |
| TD Q International Dividend ETF                   |                                                       | ON           | No         |                   |
| TD Q International Low Volatility ETF             | TD Systematic International Equity Low Volatility ETF | ON           | No         |                   |
| TD Q U.S. Low Volatility ETF                      |                                                       | ON           | No         |                   |
| TD Q U.S. Small-Mid-Cap Equity ETF                |                                                       | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                         | Previous Name                                       | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------|-----------------------------------------------------|--------------|------------|-------------------|
| TD Resource Fund                                    | Green Line Resource Fund                            | ON           | No         |                   |
| TD Retirement Balanced Portfolio                    |                                                     | ON           | No         |                   |
| TD Retirement Conservative Portfolio                |                                                     | ON           | No         |                   |
| TD Risk Management Pool                             |                                                     | ON           | No         |                   |
| TD Risk Reduction Pool - US\$*                      |                                                     | ON           | No         |                   |
| TD Risk Reduction Pool*                             | TD Stability Pool                                   | ON           | No         |                   |
| TD Science & Technology Fund                        | Green Line Science & Technology Fund                | ON           | No         |                   |
| TD Select Short Term Corporate Bond Ladder ETF      | TD Active Short Term Corporate Bond Ladder ETF      | ON           | No         |                   |
| TD Select U.S. Short Term Corporate Bond Ladder ETF | TD Active U.S. Short Term Corporate Bond Ladder ETF | ON           | No         |                   |
| TD Short Term Bond Fund                             | TD Short Term Monthly Income Fund                   | ON           | No         |                   |
| TD Short Term Investment Class                      |                                                     | ON           | No         |                   |
| TD Tactical Monthly Income Class                    |                                                     | ON           | No         |                   |
| TD Tactical Monthly Income Fund                     |                                                     | ON           | No         |                   |
| TD Tactical Pool                                    |                                                     | ON           | No         |                   |
| TD Tactical Pool Class                              |                                                     | ON           | No         |                   |
| TD Target 2026 Investment Grade Bond ETF*           |                                                     | ON           | No         |                   |
| TD Target 2026 Investment Grade Bond Fund           |                                                     | ON           | No         |                   |
| TD Target 2026 U.S. Investment Grade Bond ETF*      |                                                     | ON           | No         |                   |
| TD Target 2026 U.S. Investment Grade Bond Fund      |                                                     | ON           | No         |                   |
| TD Target 2027 Investment Grade Bond ETF*           |                                                     | ON           | No         |                   |
| TD Target 2027 Investment Grade Bond Fund           |                                                     | ON           | No         |                   |
| TD Target 2027 U.S. Investment Grade Bond ETF*      |                                                     | ON           | No         |                   |
| TD Target 2027 U.S. Investment Grade Bond Fund      |                                                     | ON           | No         |                   |
| TD Target 2028 Investment Grade Bond ETF            |                                                     | ON           | No         |                   |
| TD Target 2028 Investment Grade Bond Fund           |                                                     | ON           | No         |                   |
| TD Target 2029 Investment Grade Bond ETF            |                                                     | ON           | No         |                   |
| TD Target 2029 Investment Grade Bond Fund           |                                                     | ON           | No         |                   |
| TD Target 2030 Investment Grade Bond ETF            |                                                     | ON           | No         |                   |
| TD Target 2030 Investment Grade Bond Fund           |                                                     | ON           | No         |                   |
| TD Target 2031 Investment Grade Bond Fund           |                                                     | ON           | No         |                   |
| TD U.S. Capital Reinvestment Fund                   | TD U.S. Blue Chip Equity Fund                       | ON           | No         |                   |
| TD U.S. Cash Management ETF                         |                                                     | ON           | No         |                   |
| TD U.S. Corporate Bond Fund                         | TD Private U.S. Corporate Bond Fund                 | ON           | No         |                   |
| TD U.S. Disciplined Equity Alpha Fund™              | TD U.S. Quantitative Equity Fund                    | ON           | No         |                   |
| TD U.S. Dividend Growth Fund                        |                                                     | ON           | No         |                   |
| TD U.S. Equity CAD Hedged Index ETF                 | TD S&P 500 CAD Hedged Index ETF                     | ON           | No         |                   |
| TD U.S. Equity Focused Currency Neutral Fund        | Epoch U.S. Blue Chip Equity Currency Neutral Fund   | ON           | No         |                   |
| TD U.S. Equity Focused Fund                         | Epoch U.S. Blue Chip Equity Fund                    | ON           | No         |                   |
| TD U.S. Equity Index ETF                            | TD S&P 500 Index ETF                                | ON           | No         |                   |
| TD U.S. Equity Pool                                 | TD U.S. Equity Portfolio                            | ON           | No         |                   |
| TD U.S. Index Currency Neutral Fund                 | TD U.S. RSP Index Fund                              | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                  | Previous Name                         | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------|---------------------------------------|--------------|------------|-------------------|
| TD U.S. Index Fund                           | Green Line U.S. Index Fund            | ON           | No         |                   |
| TD U.S. Large-Cap Value Class                | Epoch U.S. Large-Cap Value Class      | ON           | No         |                   |
| TD U.S. Large-Cap Value Fund                 | Epoch U.S. Large-Cap Value Fund       | ON           | No         |                   |
| TD U.S. Long Term Treasury Bond ETF          |                                       | ON           | No         |                   |
| TD U.S. Long Term Treasury Bond Fund*        |                                       | ON           | No         |                   |
| TD U.S. Low Volatility Fund                  |                                       | ON           | No         |                   |
| TD U.S. Mid-Cap Growth Class                 |                                       | ON           | No         |                   |
| TD U.S. Mid-Cap Growth Currency Neutral Fund |                                       | ON           | No         |                   |
| TD U.S. Mid-Cap Growth Fund                  | Green Line U.S. Mid-Cap Growth Fund   | ON           | No         |                   |
| TD U.S. Money Market Fund                    | Green Line U.S. Money Market Fund     | ON           | No         |                   |
| TD U.S. Monthly Income Fund                  |                                       | ON           | No         |                   |
| TD U.S. Monthly Income Fund - C\$            |                                       | ON           | No         |                   |
| TD U.S. Research Equity Fund                 |                                       | ON           | No         |                   |
| TD U.S. Shareholder Yield Fund               | Epoch U.S. Shareholder Yield Fund     | ON           | No         |                   |
| TD U.S. Small-Cap Equity Fund                | Green Line U.S. Small-Cap Equity Fund | ON           | No         |                   |
| TD Ultra Short Term Bond Fund                |                                       | ON           | No         |                   |
| TD US\$ Retirement Portfolio                 |                                       | ON           | No         |                   |
| TDb Split Corp.                              |                                       | ON           | No         |                   |
| Teal Valley Health Inc.                      | Radiant Health Care Inc.              | BC           | Yes        | 1a,1c,3           |
| TearLab Corporation                          | Occulogix, Inc                        | ON           | Yes        | 1a,1b,1c,1e,3     |
| Tech Innovators Yield Shares Purpose ETF     |                                       | ON           | No         |                   |
| Teck Resources Limited                       | Teck Cominco Limited                  | BC           | No         |                   |
| TECSYS Inc.                                  |                                       | QC           | No         |                   |
| Tee-Comm Electronics Inc.                    |                                       | ON           | Yes        | 1a,1b             |
| Teleglobe Inc.                               | Memotec Data Inc                      | QC           | Yes        | 1a,1b,3           |
| Telesat Corporation                          |                                       | ON           | No         |                   |
| Telesat Partnership LP                       |                                       | ON           | No         |                   |
| Telescene Film Group Inc.                    |                                       | QC           | Yes        | 1a,1b             |
| Telus Corporation                            | BCT.Telus Communications Inc.         | BC           | No         |                   |
| Telus Corporation (Profile #1114)            |                                       | AB           | No         |                   |
| Temas Resources Corp.                        |                                       | BC           | No         |                   |
| Templeton Emerging Markets Fund              |                                       | ON           | No         |                   |
| Templeton Global Bond Fund                   | Templeton Global Income Fund          | ON           | No         |                   |
| Templeton Growth Fund                        |                                       | ON           | No         |                   |
| TeraGo Inc.                                  |                                       | ON           | No         |                   |
| Terra Energy Corp.                           |                                       | AB           | No         |                   |
| TerrAscend Corp.                             |                                       | ON           | No         |                   |
| TerraVest Industries Inc.                    | TerraVest Capital Inc.                | AB           | No         |                   |
| Tesla (TSLA) Yield Shares Purpose ETF        | Tesla (TSLA) Yield Shares             | ON           | No         |                   |
| Tethys Petroleum Limited                     |                                       | AB           | No         |                   |
| Tetra Bio-Pharma Inc.                        | GrowPros Cannabis Ventures Inc.       | ON           | Yes        | 1a,1c,1d,1e,3     |
| TFI International Inc.                       | TransForce Inc.                       | QC           | No         |                   |
| The Bitcoin Fund*                            |                                       | ON           | No         |                   |
| The Caldwell Partners International Inc.     |                                       | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                          | Previous Name                                              | Jurisdiction | In Default | Nature of Default |
|------------------------------------------------------|------------------------------------------------------------|--------------|------------|-------------------|
| The Canada Life Assurance Company                    |                                                            | MB           | No         |                   |
| The Canadian Chrome Company Inc.                     | KWG Resources Inc.                                         | ON           | No         |                   |
| The Cannabist Company Holdings Inc.                  | Columbia Care Inc.                                         | ON           | Yes        | 1a,1c,1d,1e,3     |
| The Children's Educational Foundation of Canada*     |                                                            | ON           | No         |                   |
| The Descartes Systems Group Inc.                     |                                                            | ON           | No         |                   |
| The Empire Life Insurance Company                    |                                                            | ON           | No         |                   |
| The Ether Fund                                       |                                                            | ON           | No         |                   |
| The Flowr Corporation                                | Needle Capital Corp., The                                  | ON           | Yes        | 1a,1c,1e,3        |
| The FUTR Corporation                                 | Hank Payments Corp.                                        | ON           | No         |                   |
| The Helical Corporation Inc.                         | Enervision Incorporated                                    | NS           | Yes        | 1a,3,4            |
| The Lodge At Kananaskis Limited Partnership          |                                                            | ON           | Yes        | 3                 |
| The Loewen Group Inc                                 |                                                            | BC           | Yes        | 1a                |
| The Medipattern Corporation                          |                                                            | ON           | No         |                   |
| The North West Company Inc.                          |                                                            | MB           | No         |                   |
| The Planting Hope Company Inc.                       |                                                            | BC           | Yes        | 1a,1b,1c,1e,3     |
| The Precision Peptide Company Inc.                   | Pangea Wellness Inc.                                       | BC           | No         |                   |
| The Real Brokerage Inc.                              |                                                            | ON           | No         |                   |
| The Supreme Cannabis Company, Inc.                   |                                                            | ON           | No         |                   |
| The Toronto-Dominion Bank                            |                                                            | ON           | No         |                   |
| The Very Good Food Company Inc.                      | Very Good Butchers Inc., The                               | BC           | Yes        | 1a,1c,1d,1e,3,4   |
| The Westaim Corporation                              |                                                            | ON           | No         |                   |
| The Western Investment Company of Canada Limited     |                                                            | ON           | No         |                   |
| The Winchester Group Inc.                            |                                                            | ON           | Yes        | 1a,1b,3           |
| Theralase Technologies Inc.                          |                                                            | ON           | No         |                   |
| Thinkific Labs Inc.                                  |                                                            | BC           | No         |                   |
| Thomson Reuters Corporation                          | Thomson Corporation, The                                   | ON           | No         |                   |
| Three Crowns Critical Metals Inc.                    | Gungnir Resources Inc.                                     | BC           | No         |                   |
| ThreeD Capital Inc.                                  | Brownstone Energy Inc.                                     | ON           | No         |                   |
| Threegold Resources Inc.                             |                                                            | QC           | No         |                   |
| Tidewater Midstream and Infrastructure Ltd.          |                                                            | AB           | No         |                   |
| Tidewater Renewables Ltd.                            |                                                            | AB           | No         |                   |
| Tier One Silver Inc.                                 |                                                            | BC           | No         |                   |
| Tilray Brands, Inc.                                  | Tilray, Inc.                                               | BC           | No         |                   |
| Timbercreek Financial Corp.*                         |                                                            | ON           | No         |                   |
| Times Three Wireless Inc.                            |                                                            | AB           | No         |                   |
| Tinka Resources Limited                              |                                                            | BC           | No         |                   |
| Tiny Ltd.                                            | WeCommerce Holdings Ltd. (formerly Brachium Capital Corp.) | BC           | No         |                   |
| Titan Mining Corporation                             |                                                            | BC           | No         |                   |
| Titanium Canadian Equity Private Pool                |                                                            | QC           | No         |                   |
| Titanium Canadian Fixed Income Private Pool          |                                                            | QC           | No         |                   |
| Titanium Global Fixed Income Private Pool            |                                                            | QC           | No         |                   |
| Titanium Global Strategic Opportunities Private Pool | Titanium Global Strategics Opportunities Private Pool      | QC           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                      | Previous Name                        | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------|--------------------------------------|--------------|------------|-------------------|
| Titanium International Equity Private Pool       |                                      | QC           | No         |                   |
| Titanium U.S. Equity Private Pool                |                                      | QC           | No         |                   |
| TLC Vision Corporation                           | TLC Laser Eye Centers Inc.           | ON           | No         |                   |
| TMX Group Limited                                |                                      | ON           | No         |                   |
| Toggle3D.ai Inc.                                 |                                      | ON           | Yes        | 1a,1c,1e,3        |
| Tokenwell Platforms Inc.                         | TRILOGY AI CORP.                     | BC           | No         |                   |
| Topaz Energy Corp.                               |                                      | AB           | No         |                   |
| Topicus.com Inc.                                 |                                      | ON           | No         |                   |
| Torex Gold Resources Inc.                        | Gleichen Resources Ltd.              | ON           | No         |                   |
| Toromont Industries LTD.                         |                                      | ON           | No         |                   |
| Toronto Hydro Corporation                        |                                      | ON           | No         |                   |
| Torq Resources Inc.                              |                                      | BC           | No         |                   |
| Total Energy Services Inc.                       | Biomerger Industries Ltd.            | AB           | No         |                   |
| Touchstone Exploration Inc.                      | Petrobank Energy and Resources Ltd   | AB           | No         |                   |
| Tourmaline Oil Corp.                             |                                      | AB           | No         |                   |
| TR Finance LLC                                   |                                      | ON           | No         |                   |
| Tradex Bond Fund                                 | Tradex Security Fund                 | ON           | No         |                   |
| Tradex Equity Fund Limited                       | Tradex Investment Fund Limited       | ON           | No         |                   |
| Tradex Global Equity Fund                        | Tradex Emerging Markets Country Fund | ON           | No         |                   |
| Trading Central Quant Canada 50 Equity Index ETF |                                      | ON           | No         |                   |
| Trading Central Quant Europe 50 Equity Index ETF |                                      | ON           | No         |                   |
| Trading Central Quant Global 50 Equity Index ETF |                                      | ON           | No         |                   |
| Trading Central Quant U.S. 50 Equity Index ETF   |                                      | ON           | No         |                   |
| Tralucet Global Alt (Long/Short) Equity Fund     |                                      | ON           | No         |                   |
| Transalta Corporation                            |                                      | AB           | No         |                   |
| Transat A.T. Inc.                                | Transat A.T. Inc.                    | QC           | No         |                   |
| TransCanada Pipelines Limited                    |                                      | AB           | No         |                   |
| TransCanada Trust                                |                                      | AB           | No         |                   |
| Transcontinental Inc                             | G.T.C. Transcontinental Group LTD.   | QC           | No         |                   |
| Travelbyus.Com LTD.                              |                                      | BC           | No         |                   |
| Tree Island Steel Ltd.                           |                                      | BC           | No         |                   |
| Trekor Metals Limited                            | Taseko Mines Limited                 | BC           | No         |                   |
| Trevali Mining Corporation                       |                                      | BC           | Yes        | 1b,1c,1e          |
| Tribe Property Technologies Inc.                 |                                      | BC           | No         |                   |
| Trican Well Service Ltd.                         |                                      | AB           | No         |                   |
| Trilogy Metals Inc.                              | NovaCopper Inc.                      | BC           | No         |                   |
| Triple Flag Precious Metals Corp.                |                                      | ON           | No         |                   |
| TriStar Gold Inc.                                |                                      | BC           | No         |                   |
| TriSummit Utilities Inc.                         | AltaGas Canada Inc.                  | AB           | No         |                   |
| Trisura Group Ltd.                               |                                      | ON           | No         |                   |
| Troilus Mining Corp.                             | Troilus Gold Corp.                   | QC           | No         |                   |
| TRU.X Exogenous Risk Pool                        | TruX Exogenous Risk Pool             | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                        | Previous Name                                         | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------------|-------------------------------------------------------|--------------|------------|-------------------|
| True North Commercial Real Estate Investment Trust |                                                       | ON           | No         |                   |
| Trulieve Cannabis Corp.                            | Schyan Exploration Inc.                               | ON           | No         |                   |
| TRX Gold Corporation                               |                                                       | ON           | No         |                   |
| Tucows Inc.                                        |                                                       | ON           | No         |                   |
| Tudor Gold Corp.                                   |                                                       | BC           | No         |                   |
| Tuktu Resources Ltd.                               |                                                       | AB           | No         |                   |
| Turnkey E&P Inc.                                   |                                                       | AB           | No         |                   |
| TVA Group Inc.                                     |                                                       | QC           | No         |                   |
| TWC Enterprises Limited                            | ClubLink Enterprises Limited                          | ON           | No         |                   |
| Twin Butte Energy LTD.                             | Altarex Corp.                                         | AB           | No         |                   |
| U.S. All Cap Equity Index Fund                     |                                                       | ON           | No         |                   |
| U.S. Equity Alpha Corporate Class                  | US Equity Alpha Corporate Class                       | ON           | No         |                   |
| U.S. Equity Alpha Pool                             | US Equity Alpha Pool                                  | ON           | No         |                   |
| U.S. Equity Growth Corporate Class                 | US Equity Growth Corporate Class                      | ON           | No         |                   |
| U.S. Equity Growth Pool                            | US Equity Growth Pool                                 | ON           | No         |                   |
| U.S. Equity Managed Class                          | US Equity Managed Class                               | ON           | No         |                   |
| U.S. Equity Managed Pool                           | US Equity Managed Pool                                | ON           | No         |                   |
| U.S. Equity Small Cap Corporate Class              | US Equity Small Cap Corporate Class                   | ON           | No         |                   |
| U.S. Equity Small Cap Pool                         |                                                       | ON           | No         |                   |
| U.S. Equity Value Corporate Class                  | US Equity Value Corporate Class                       | ON           | No         |                   |
| U.S. Equity Value Currency Hedged Corporate Class  | US Equity Value Currency Hedged Corporate Class       | ON           | No         |                   |
| U.S. Equity Value Currency Hedged Pool             | US Equity Value Currency Hedged Pool                  | ON           | No         |                   |
| U.S. Equity Value Pool                             | US Equity Value Pool                                  | ON           | No         |                   |
| U.S. Gold Corp.                                    |                                                       | ON           | No         |                   |
| U.S. GoldMining Inc.                               |                                                       | BC           | No         |                   |
| U.S. High Yield Bond Fund                          |                                                       | ON           | No         |                   |
| U.S. Large Cap Index Fund                          | S&P 500 Synthetic Fund                                | ON           | No         |                   |
| U.S. Large Company Equity Fund                     | Primus Capital Advisors U.S. Large Company Equity Fun | ON           | No         |                   |
| U.S. Small Company Equity Fund                     | Primus Capital Advisors U.S. Small Company Equity Fun | ON           | No         |                   |
| U92 Energy Corp.                                   |                                                       | ON           | No         |                   |
| Ucore Rare Metals Inc.                             | Ucore Uranium Inc.                                    | NS           | No         |                   |
| UniDoc Health Corp.                                |                                                       | BC           | Yes        | 1a,1c,1e,3        |
| United Keno Hill Mines Limited                     |                                                       | ON           | Yes        | 1a,1b             |
| UnitedHealth Group (UNH) Yield Shares Purpose ETF  |                                                       | ON           | No         |                   |
| Ur-Energy Inc.                                     |                                                       | ON           | No         |                   |
| Uranium Energy Corp.                               |                                                       | BC           | No         |                   |
| Urbana Corporation*                                |                                                       | ON           | No         |                   |
| UrtheCast Corp.                                    |                                                       | BC           | Yes        | 1a,1b,1c,1d,1e,3  |
| US Financial 15 Split Corp.*                       |                                                       | ON           | No         |                   |
| US High Interest Savings Account Fund              |                                                       | ON           | No         |                   |
| US Premium Cash Management Fund                    |                                                       | ON           | No         |                   |
| VAALCO Energy, Inc.                                |                                                       | AB           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                             | Previous Name                                                   | Jurisdiction | In Default | Nature of Default |
|-------------------------------------------------------------------------|-----------------------------------------------------------------|--------------|------------|-------------------|
| Vail Resorts, Inc.                                                      |                                                                 | BC           | No         |                   |
| Valero Energy Corporation                                               |                                                                 | ON           | No         |                   |
| Valeura Energy Inc.                                                     |                                                                 | AB           | No         |                   |
| Vanguard All-Equity ETF Portfolio                                       |                                                                 | ON           | No         |                   |
| Vanguard All-Equity ETF Portfolio Fund                                  |                                                                 | ON           | No         |                   |
| Vanguard Balanced ETF Portfolio                                         |                                                                 | ON           | No         |                   |
| Vanguard Balanced ETF Portfolio Fund                                    |                                                                 | ON           | No         |                   |
| Vanguard Canadian Aggregate Bond Index ETF                              |                                                                 | ON           | No         |                   |
| Vanguard Canadian Corporate Bond Index ETF                              |                                                                 | ON           | No         |                   |
| Vanguard Canadian Government Bond Index ETF                             |                                                                 | ON           | No         |                   |
| Vanguard Canadian Long-Term Bond Index ETF                              |                                                                 | ON           | No         |                   |
| Vanguard Canadian Short-Term Bond Index ETF                             |                                                                 | ON           | No         |                   |
| Vanguard Canadian Short-Term Corporate Bond Index ETF                   |                                                                 | ON           | No         |                   |
| Vanguard Canadian Ultra-Short Government Bond Index ETF                 |                                                                 | ON           | No         |                   |
| Vanguard Conservative ETF Portfolio                                     |                                                                 | ON           | No         |                   |
| Vanguard Conservative ETF Portfolio Fund                                |                                                                 | ON           | No         |                   |
| Vanguard Conservative Income ETF Portfolio                              |                                                                 | ON           | No         |                   |
| Vanguard Developed ex-North America Dividend Appreciation Index ETF     |                                                                 | ON           | No         |                   |
| Vanguard FTSE Canada All Cap Index ETF                                  |                                                                 | ON           | No         |                   |
| Vanguard FTSE Canada Index ETF                                          | Vanguard MSCI Canada Index ETF                                  | ON           | No         |                   |
| Vanguard FTSE Canadian Capped REIT Index ETF                            |                                                                 | ON           | No         |                   |
| Vanguard FTSE Canadian High Dividend Yield Index ETF                    |                                                                 | ON           | No         |                   |
| Vanguard FTSE Developed All Cap ex North America Index ETF (Cad-hedged) |                                                                 | ON           | No         |                   |
| Vanguard FTSE Developed All Cap ex North America Index ETF*             |                                                                 | ON           | No         |                   |
| Vanguard FTSE Developed All Cap ex U.S. Index ETF                       | Vanguard FTSE Developed ex North America Index ETF              | ON           | No         |                   |
| Vanguard FTSE Developed All Cap ex U.S. Index ETF (CAD-hedged)          | Vanguard FTSE Developed ex North America Index ETF (CAD-hedged) | ON           | No         |                   |
| Vanguard FTSE Developed Asia Pacific All Cap Index ETF                  | Vanguard FTSE Developed Asia Pacific Index ETF                  | ON           | No         |                   |
| Vanguard FTSE Developed Europe All Cap Index ETF                        | Vanguard FTSE Developed Europe Index ETF                        | ON           | No         |                   |
| Vanguard FTSE Developed ex North America High Dividend Yield Index ETF* |                                                                 | ON           | No         |                   |
| Vanguard FTSE Emerging Markets All Cap Index Direct ETF*                |                                                                 | ON           | No         |                   |
| Vanguard FTSE Emerging Markets All Cap Index ETF                        | Vanguard FTSE Emerging Markets Index ETF                        | ON           | No         |                   |
| Vanguard FTSE Global All Cap ex Canada Index ETF                        | Vanguard FTSE All-World ex Canada Index ETF                     | ON           | No         |                   |
| Vanguard Global Aggregate Bond Index ETF (CAD-hedged)*                  |                                                                 | ON           | No         |                   |
| Vanguard Global Balanced Fund                                           |                                                                 | ON           | No         |                   |
| Vanguard Global Credit Bond Fund                                        |                                                                 | ON           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                     | Previous Name                                        | Jurisdiction | In Default | Nature of Default |
|-----------------------------------------------------------------|------------------------------------------------------|--------------|------------|-------------------|
| Vanguard Global Dividend Fund                                   |                                                      | ON           | No         |                   |
| Vanguard Global Equity Fund                                     |                                                      | ON           | No         |                   |
| Vanguard Global ex-U.S. Aggregate Bond Index ETF (CAD-hedged)   | Vanguard Global ex-U.S. Aggregate Bond Index ETF     | ON           | No         |                   |
| Vanguard Global Minimum Volatility ETF                          |                                                      | ON           | No         |                   |
| Vanguard Global Momentum Factor ETF                             |                                                      | ON           | No         |                   |
| Vanguard Global Value Factor ETF                                |                                                      | ON           | No         |                   |
| Vanguard Growth ETF Portfolio                                   |                                                      | ON           | No         |                   |
| Vanguard Growth ETF Portfolio Fund                              |                                                      | ON           | No         |                   |
| Vanguard International Growth Fund                              |                                                      | ON           | No         |                   |
| Vanguard Retirement Income ETF Portfolio*                       |                                                      | ON           | No         |                   |
| Vanguard S&P 500 Index ETF                                      |                                                      | ON           | No         |                   |
| Vanguard S&P 500 Index ETF (CAD-hedged)                         |                                                      | ON           | No         |                   |
| Vanguard U.S. Aggregate Bond Index ETF (CAD-hedged)             |                                                      | ON           | No         |                   |
| Vanguard U.S. Dividend Appreciation Index ETF                   |                                                      | ON           | No         |                   |
| Vanguard U.S. Dividend Appreciation Index ETF (CAD-hedged)      |                                                      | ON           | No         |                   |
| Vanguard U.S. High Dividend Yield Index ETF                     |                                                      | ON           | No         |                   |
| Vanguard U.S. High Dividend Yield Index ETF (CAD-hedged)        |                                                      | ON           | No         |                   |
| Vanguard U.S. High-Yield Corporate Bond Index ETF (CAD-hedged)* |                                                      | ON           | No         |                   |
| Vanguard U.S. Total Market Index ETF                            |                                                      | ON           | No         |                   |
| Vanguard U.S. Total Market Index ETF (CAD-hedged)               | Vanguard U.S. Tactical Market Index ETF (CAD-hedged) | ON           | No         |                   |
| Vanguard Windsor U.S. Value Fund                                | Vanguard U.S. Value Windsor Fund                     | ON           | No         |                   |
| Vecima Networks Inc.                                            | Vcom Inc.                                            | BC           | No         |                   |
| Velan Inc.                                                      |                                                      | QC           | No         |                   |
| Venator Alternative Income Fund                                 |                                                      | ON           | No         |                   |
| Venator Ascendant Alternative Fund                              | Venator Founders Alternative Fund                    | ON           | No         |                   |
| Ventra Metals Corp.                                             |                                                      | BC           | No         |                   |
| Verano Holdings Corp.                                           | Verano Holdings Corp.                                | AB           | No         |                   |
| Verde AgriTech Ltd.                                             |                                                      | ON           | No         |                   |
| Veritas Absolute Return Fund                                    |                                                      | ON           | No         |                   |
| Veritas Canadian Equity Fund                                    |                                                      | ON           | No         |                   |
| Veritas Next Edge Premium Yield Fund*                           |                                                      | ON           | No         |                   |
| Vermilion Energy Inc.                                           |                                                      | AB           | No         |                   |
| VersaBank                                                       | Pacific & Western Bank of Canada                     | ON           | No         |                   |
| Versamet Royalties Corporation                                  |                                                      | BC           | No         |                   |
| Verses AI Inc.                                                  |                                                      | BC           | Yes        | 1a,1c,1d,1e,3     |
| VerticalScope Holdings Inc.                                     |                                                      | ON           | No         |                   |
| VEXT Science, Inc.                                              | Vapen MJ Ventures Corporation                        | BC           | No         |                   |
| Viavi Solutions Inc.                                            | JDS Uniphase Corporation                             | BC           | Yes        | 1a,1b,3           |
| Victoria Gold Corp.                                             |                                                      | ON           | Yes        | 1b,1c,1e          |
| Viewpoint Diversified Commodities Trust                         |                                                      | AB           | No         |                   |
| Viewpoint Enhanced Global Multi-Asset Trust                     |                                                      | AB           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                  | Previous Name                                                | Jurisdiction | In Default | Nature of Default |
|----------------------------------------------|--------------------------------------------------------------|--------------|------------|-------------------|
| Viewpoint Global Multi-Asset Trust           |                                                              | AB           | No         |                   |
| Village Farms International, Inc.            |                                                              | BC           | No         |                   |
| Vintage Wine Estates, Inc.                   | Bespoke Capital Acquisition Corp.                            | ON           | Yes        | 1a,1c,1d,1e,3     |
| VIQ Solutions Inc.                           |                                                              | ON           | No         |                   |
| Virginia Hills Oil Corp.                     |                                                              | AB           | No         |                   |
| Visa Inc.                                    |                                                              | ON           | No         |                   |
| Visible Gold Mines Inc.                      |                                                              | QC           | No         |                   |
| Vision Alternative Income Fund               |                                                              | ON           | No         |                   |
| Visionary Copper and Gold Mines Inc.         | Callinex Mines Inc.                                          | BC           | No         |                   |
| Vista Gold Corp.                             | Granges Inc.                                                 | BC           | No         |                   |
| Vital Infrastructure Property Trust          | NorthWest Healthcare Properties Real Estate Investment Trust | ON           | No         |                   |
| Vitalist Inc.                                | CE Brands Inc.                                               | AB           | No         |                   |
| Vizsla Copper Corp.                          |                                                              | BC           | No         |                   |
| Vizsla Royalties Corp.                       |                                                              | BC           | No         |                   |
| Vizsla Silver Corp.                          |                                                              | BC           | No         |                   |
| VM Hotel Acquisition Corp.                   |                                                              | ON           | Yes        | 1a,1c,1d,1e,3     |
| VOLATUS AEROSPACE CANADA CORP.               | Volatus Aerospace Corp.                                      | ON           | No         |                   |
| Volatus Aerospace Inc.                       | Drone Delivery Canada Corp.                                  | ON           | No         |                   |
| VOTI Detection Inc.                          | Steamsand Capital Corp.                                      | QC           | Yes        | 1a,1c,1e,3        |
| Vox Royalty Corp.                            | AIM3 Ventures Inc.                                           | ON           | No         |                   |
| Voyager Digital Ltd.                         |                                                              | ON           | Yes        | 1a,1c,1d,1e,3     |
| VPI Canadian Balanced Pool                   | VPI Dixon Mitchell Canadian Balanced Pool                    | MB           | No         |                   |
| VPI Canadian Equity Pool                     | VPI Cardinal Canadian Equity Pool                            | MB           | No         |                   |
| VPI Corporate Bond Pool                      |                                                              | MB           | No         |                   |
| VPI Dividend Growth Pool*                    |                                                              | MB           | No         |                   |
| VPI Global Equity Pool                       | VPI Foreign Equity Pool                                      | MB           | No         |                   |
| VPI High Interest Savings Pool               |                                                              | MB           | No         |                   |
| VPI Income Pool                              | VPI Canadian Income Pool                                     | MB           | No         |                   |
| VPI Special Opportunities Pool II*           |                                                              | MB           | No         |                   |
| VPI Sustainability Leaders Pool              |                                                              | MB           | No         |                   |
| VPI Total Equity Pool                        |                                                              | MB           | No         |                   |
| Vuzix Corporation                            |                                                              | ON           | No         |                   |
| Wajax Corporation                            |                                                              | ON           | No         |                   |
| Wallbridge Mining Company Limited            |                                                              | ON           | No         |                   |
| Walton Edgemont Development Corporation      |                                                              | AB           | No         |                   |
| Walton Ontario Land L.P. 1*                  |                                                              | AB           | No         |                   |
| Waratah Alternative Equity Income Fund       |                                                              | ON           | No         |                   |
| Waratah Core Fund*                           | Waratah Alternative ESG Fund                                 | ON           | No         |                   |
| Waratah Yield Fund                           |                                                              | ON           | No         |                   |
| Waste Connections, Inc.                      | Progressive Waste Solutions Ltd.                             | ON           | No         |                   |
| Waste Energy Corp.                           | MetaWorks Platforms, Inc.                                    | BC           | Yes        | 3                 |
| WaveFront All-Weather Alternative Fund       |                                                              | ON           | No         |                   |
| Wavefront Global Diversified Investment Fund |                                                              | ON           | No         |                   |
| Waverider Communications Inc.                |                                                              | ON           | Yes        | 1a,1b,1c,1d,1e,3  |

## Nova Scotia Securities Commission

| Issuer Name                                                   | Previous Name                 | Jurisdiction | In Default | Nature of Default |
|---------------------------------------------------------------|-------------------------------|--------------|------------|-------------------|
| Waverunner Capital Inc.                                       | Chemistree Technology Inc.    | BC           | No         |                   |
| Way of Will Inc.                                              |                               | ON           | Yes        | 1a,1c,1e,3        |
| Wayland Group Corp.                                           | Maricann Group Inc.           | ON           | Yes        | 1a,1c,1e,3        |
| Wealthsimple Developed Markets ex North America               |                               | ON           | No         |                   |
| Wealthsimple North America Socially Responsible Index ETF     |                               | ON           | No         |                   |
| Wealthsimple North American Green Bond Index ETF (CAD-Hedged) |                               | ON           | No         |                   |
| Wealthsimple Shariah World Equity Index ETF                   |                               | ON           | No         |                   |
| WELL Health Technologies Corp.                                |                               | BC           | No         |                   |
| Wellington - IG Global Equity Hedge Pool*                     |                               | MB           | No         |                   |
| Wesdome Gold Mines Ltd.                                       |                               | ON           | No         |                   |
| West Fraser Timber Co. LTD.                                   |                               | BC           | No         |                   |
| West Red Lake Gold Mines Ltd.                                 |                               | BC           | No         |                   |
| West Side Square Development Fund                             |                               | ON           | No         |                   |
| West Vault Mining Inc.                                        | West Kirkland Mining Inc.     | BC           | No         |                   |
| Westcoast Energy Inc.                                         |                               | AB           | No         |                   |
| Westcourt Hamilton Yield Portfolio ETF                        |                               | ON           | No         |                   |
| Western Copper and Gold Corporation                           | Western Copper Corporation    | BC           | No         |                   |
| Western Energy Services Corp.                                 |                               | AB           | No         |                   |
| Western Forest Products Inc.                                  |                               | BC           | No         |                   |
| Western Plains Petroleum Ltd.                                 | Saha Petroleum Ltd            | AB           | No         |                   |
| Westgate Energy Inc.                                          |                               | AB           | No         |                   |
| Westhaven Gold Corp.                                          |                               | BC           | No         |                   |
| Westport Fuel Systems Inc.                                    | Westport Innovations Inc.     | BC           | No         |                   |
| Westshore Terminals Investment Corporation                    |                               | BC           | No         |                   |
| Wheaton Precious Metals Corp.                                 | Silver Wheaton Corp.          | BC           | No         |                   |
| White Gold Corp.                                              |                               | ON           | No         |                   |
| White Rose Crafts And Nursery Sales Limited                   |                               | ON           | Yes        | 1a,1b,3           |
| Whitecap Resources Inc.                                       |                               | AB           | No         |                   |
| WildBrain Ltd.                                                | DHX Media Ltd.                | ON           | No         |                   |
| Wildpack Beverage Inc.                                        |                               | BC           | Yes        | 1a,1b,1c,1e,3     |
| Winchester Equity Corporation                                 | Three Valley Copper Corp.     | ON           | No         |                   |
| Wishpond Technologies Ltd.                                    |                               | BC           | No         |                   |
| Wolfden Resources Corporation                                 |                               | ON           | No         |                   |
| Wolverine Energy and Infrastructure Inc.                      |                               | AB           | Yes        | 1b,1c,1e          |
| Woodfine Professional Centres Limited Partnership*            |                               | BC           | No         |                   |
| World Copper Ltd.                                             |                               | BC           | No         |                   |
| WSP Global Inc.                                               |                               | QC           | No         |                   |
| Xali Gold Corp.                                               | Candente Gold Corp.           | BC           | No         |                   |
| Xerox Corporation                                             |                               | ON           | No         |                   |
| Xtract One Technologies Inc.                                  | Patriot One Technologies Inc. | ON           | No         |                   |
| XXL Energy Corp.                                              | Exxel Energy Corp.            | BC           | No         |                   |
| Yangarra Resources Ltd.                                       |                               | AB           | No         |                   |

## Nova Scotia Securities Commission

| Issuer Name                                                  | Previous Name               | Jurisdiction | In Default | Nature of Default |
|--------------------------------------------------------------|-----------------------------|--------------|------------|-------------------|
| Yellow Pages Limited                                         |                             | QC           | No         |                   |
| Yield Shares (JPYS) Purpose ETF                              |                             | ON           | No         |                   |
| Ynvisible Interactive Inc.                                   | Network Exploration Ltd.    | BC           | No         |                   |
| Yorkville Aegon Balanced Portfolio                           |                             | ON           | No         |                   |
| Yorkville Aegon Conservative Income Portfolio                |                             | ON           | No         |                   |
| Yorkville Aegon Growth Portfolio                             |                             | ON           | No         |                   |
| Yorkville American QVR Enhanced Protection Class             |                             | ON           | No         |                   |
| Yorkville Canadian QVR Enhanced Protection Class             |                             | ON           | No         |                   |
| Yorkville Crypto, Blockchain and FinTech Opportunities Class |                             | ON           | No         |                   |
| Yorkville Dividend Income Class                              |                             | ON           | No         |                   |
| Yorkville Enhanced Protection Class                          |                             | ON           | No         |                   |
| Yorkville Focused Growth Class                               |                             | ON           | No         |                   |
| Yorkville Global Opportunities Class                         |                             | ON           | No         |                   |
| Yorkville Health Care Opportunities Class                    |                             | ON           | No         |                   |
| Yorkville International QVR Enhanced Protection Class        |                             | ON           | No         |                   |
| Yorkville Optimal Return Bond Class                          |                             | ON           | No         |                   |
| Young Australian Mines Ltd.                                  | Moly Mines Limited          | ON           | Yes        | 1a,3              |
| YourWay Cannabis Brands Inc.                                 | Hollister Biosciences Inc.  | BC           | Yes        | 1a,1c,1e,3        |
| YTM Capital Fixed Income Alternative Fund                    |                             | ON           | No         |                   |
| Zedcor Inc.                                                  |                             | AB           | No         |                   |
| Zenith Capital Corp.                                         | Zenith Epigenetics Corp.    | AB           | No         |                   |
| Zentek Ltd.                                                  | ZEN Graphene Solutions Ltd. | ON           | No         |                   |
| Zeox Corporation                                             | C2C Zeolite Corporation     | AB           | Yes        | 1a,1b,1c,3        |
| Zephyr Minerals Ltd.                                         |                             | NS           | No         |                   |
| ZRCN Inc.                                                    | ZRCN Inc.                   | QC           | Yes        | 1a,1c,1e,3        |
| Zungui Haixi Corporation                                     |                             | ON           | No         |                   |
| Zymeworks Inc.                                               |                             | BC           | No         |                   |