

**Sanction Payment Status Report
as of June 30, 2022**

| Respondent | Penalties | Payment Status |
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| Adams, Timothy | 7,790.00 | Unpaid |
| Advantage Financial Group Inc. | 7,500.00 | Unpaid |
| Allen, John Alexander | 1,057,000.00 | Partially Paid |
| Atlantic Industrial Minerals Incorporated | 500.00 | Paid |
| Baie Chedabucto Bay Investment Fund Limited | 500.00 | Paid |
| Beaton, Larry Enos | 25,000.00 | Paid |
| Berry, Wayne J. and EnCharge Inc., et al | 43,500.00 | Unpaid |
| Bevis, Christopher John | 6,000.00 | Paid |
| Black, Emeric Neil | 3,000.00 | Paid |
| Bowser, Paul Brian | 5,000.00 | Paid |
| Brown, Steven Jay | 8,500.00 | Paid |
| Byrne, Vincent | 7,500.00 | Paid |
| Campbell, John George | 12,500.00 | Paid |
| Canaccord Capital Corporation | 53,800.00 | Paid |
| Canaccord Capital Corporation | 26,000.00 | Paid |
| Chan, Hermes | 7,560.00 | Paid |
| Clarke Inc. and Geosam Investments Limited | 430,000.00 | Paid |
| Clarke, Bruce Elliott | 150,000.00 | Partially Paid |
| Clarke, Steven Elliott | 35,000.00 | Paid |
| Colpitts, R. Blois | 50,000.00 | Paid |
| Courtney, Raymond G. | 15,000.00 | Paid |
| Credential Asset Management Inc. | 15,000.00 | Paid |
| C.S.T. Consultants Inc. | 32,000.00 | Paid |
| Desjardins Financial Security Investments Inc. | 55,000.00 | Paid |
| Dunbar, Glenn | 356,500.00 | Unpaid |
| Duncan, Gregory Matthew | 11,000.00 | Paid |
| During, L. John | 3,500.00 | Paid |
| EastBay Asset Management, LLC, and EastBay Master Fund, LP | 67,000.00 | Paid |
| EBI Electronic Benefits Inc. | 17,500.00 | Unpaid |
| Elsie, Randall | 3,500.00 | Paid |
| Evangeline Wind Field | 3,000.00 | Paid |
| Fillmore, Bruce | 8,500.00 | Paid |
| Fillmore, Byron | 8,500.00 | Paid |
| Fleming, William | 3,000.00 | Paid |
| Germeil, Jean-Smaille and FPE Trading | 165,000.00 | Unpaid |
| Getta, Alexander | 2,500.00 | Paid |
| Global Maxfin Investments Inc. | 10,750.00 | Paid |
| GlobeInvest Capital Management Inc. | 2,500.00 | Paid |
| Glooscap Wind Field Inc. | 3,250.00 | Paid |
| Harrigan, Michael Andrew | 8,500.00 | Partially Paid |
| Helical Corporation Inc., The | 62,400.00 | Paid |
| Helical Corporation Inc., The | 500.00 | Paid |
| Hibbert, Lyndon Horace | 21,000.00 | Partially Paid |
| Hicks, Eric Cecil | 125,000.00 | Paid |
| Hill, Trevor Wayne | 518,000.00 | Unpaid |

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| Homburg Invest Inc. | 86,400.00 | Partially Paid |
| Investors Group Financial Services | 27,500.00 | Paid |
| Investors Group Financial Services Inc. | 42,500.00 | Paid |
| IPC Investment Corporation | 43,000.00 | Paid |
| IPC Securities Corporation | 12,500.00 | Paid |
| Johnson, Douglas Allen | 6,000.00 | Paid |
| Johnson, J. Arnold | 5,000.00 | Paid |
| Keogan, Russel Dan | 5,000.00 | Paid |
| Keybase Financial Group Inc. | 110,000.00 | Paid |
| Langille, Jeremiah David | 77,500.00 | Unpaid |
| Leonard, Andrew and A.J.R. Leonard Consulting, et al | 65,000.00 | Unpaid |
| Lynch, Michael Dennis | 3,500.00 | Paid |
| MacDermott, Ralph and A.C.T. Associated Capital Traders | 26,000.00 | Partially Paid |
| Marino, Mario | 15,000.00 | Paid |
| McLaughlin-Weir, Carol | 12,650.00 | Paid |
| Medmira Inc. | 125,940.00 | Paid |
| Mill, Peter | 904,705.00 | Unpaid |
| National Bank Financial Limited | 125,000.00 | Paid |
| Norman, Terrance | 5,000.00 | Paid |
| NPFB Europe SRL (aka SC NPFB Europe SRL), World of Tickets INC. (aka Wide World of Tickets, INC.), and Vincenzo Pettinicchio (aka Vincent Pettinicchio and Vince Pettinicchio) | 35,000.00 | Unpaid |
| Northeastern Community Investment Incorporated | 3,000.00 | Paid |
| Northumberland Wind Field Inc. | 5,000.00 | Paid |
| Olympian Financial Inc. | 11,200.00 | Paid |
| optionsXpress, Inc. | 3,749.00 | Paid |
| Orthogenic Technologies Inc. | 26,500.00 | Unpaid |
| Oxford Investment Holdings Inc. | 12,730.00 | Paid |
| PharmaChoice East Ltd. Amalgamated as PharmaChoice Canada Inc. | 19,500.00 | Paid |
| Publicover, Taura Irene | 31,000.00 | Partially Paid |
| Quadrus Investment Services Ltd. | 41,000.00 | Paid |
| Quadrus Investment Services Ltd. | 41,000.00 | Paid |
| Rae & Lipskie Investment Counsel Inc. | 31,500.00 | Paid |
| Rawding, John C. | 3,250.00 | Paid |
| Rethy, Steven Jules | 22,500.00 | Paid |
| Return on Innovation Advisors Ltd., et al | 11,500.00 | Paid |
| River John Oceanfront Resorts Ltd. | 3,000.00 | Paid |
| Robinson, Christopher | 6,500.00 | Paid |
| Robinson, Wesley William and DRR900306 NS Ltd | 525,000.00 | Unpaid |
| Royal Roads Corp. | 21,500.00 | Paid |
| Rudolph, Douglas | 1,104,705.00 | Unpaid |
| Rust, Grant Stuart | 11,000.00 | Paid |
| Scallop Shell Pollution Solution | 5,750.00 | Partially Paid |
| Shriver, Bruce Patrick (Order No. 1; Order No. 2) | 18,500.00 | Partially Paid |
| Scotia Securities Inc. | 31,000.00 | Paid |
| Scotia Securities Inc. | 41,000.00 | Paid |
| Scotian Wind Inc. and Terrance Norman | 8,000.00 | Paid |
| Select Money Strategies Incorporated | 13,000.00 | Paid |

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| Silver Spruce Resources Inc. | 14,000.00 | Paid |
| Smith, Dr. James A. | 3,000.00 | Paid |
| Sponagle, Quintin Earl | 527,000.00 | Unpaid |
| Stone, Mark | 25,000.00 | Unpaid |
| Stone, Teddy | 25,000.00 | Unpaid |
| Stratus Offshore/Stratus Financial Group International | 50,000.00 | Unpaid |
| Stratus Offshore/Stratus Financial Group International, et al | 3,000.00 | Unpaid |
| Stuckless, Everett R. | 7,500.00 | Unpaid |
| TD Waterhouse Canada Inc. | 11,000.00 | Paid |
| Torgerson, Magnus | 40,000.00 | Unpaid |
| TradeStation Securities Inc. | 10,000.00 | Paid |
| Trusthouse Mercantile Inc. and Raymond, Robert Ernest | 112,000.00 | Partially Paid |
| Ucore Rare Metals Inc. | 2,500.00 | Paid |
| Union Securities Inc. | 27,000.00 | Paid |
| Unique CEDC Ltd. | 3,250.00 | Paid |
| Vestcap Investment Management Inc. | 31,500.00 | Paid |
| Weir, Lowell | 31,160.00 | Paid |
| Westbrook, John | 25,000.00 | Unpaid |