

**Sanction Payment Status Report  
as of December 31, 2019**

Respondent	Penalties	Payment Status
<a href="#">Adams, Timothy</a>	7,790.00	Unpaid
<a href="#">Advantage Financial Group Inc.</a>	7,500.00	Unpaid
<a href="#">Allen, John Alexander</a>	1,057,000.00	Partially Paid
<a href="#">Atlantic Industrial Minerals Incorporated</a>	500.00	Paid
<a href="#">Baie Chedabucto Bay Investment Fund Limited</a>	500.00	Paid
<a href="#">Beaton, Larry Enos</a>	25,000.00	Paid
<a href="#">Berry, Wayne J. and EnCharge Inc., et al</a>	43,500.00	Unpaid
<a href="#">Bevis, Christopher John</a>	6,000.00	Paid
<a href="#">Black, Emeric Neil</a>	3,000.00	Paid
<a href="#">Bowser, Paul Brian</a>	5,000.00	Paid
<a href="#">Brown, Steven Jay</a>	8,500.00	Paid
<a href="#">Byrne, Vincent</a>	7,500.00	Paid
<a href="#">Campbell, John George</a>	12,500.00	Paid
<a href="#">Canaccord Capital Corporation</a>	53,800.00	Paid
<a href="#">Canaccord Capital Corporation</a>	26,000.00	Paid
<a href="#">Chan, Hermes</a>	7,560.00	Paid
<a href="#">Clarke Inc. and Geosam Investments Limited</a>	430,000.00	Paid
<a href="#">Clarke, Bruce Elliott</a>	150,000.00	Partially Paid
<a href="#">Clarke, Steven Elliott</a>	35,000.00	Paid
<a href="#">Colpitts, R. Blois</a>	50,000.00	Paid
<a href="#">Courtney, Raymond G.</a>	15,000.00	Paid
<a href="#">Credential Asset Management Inc.</a>	15,000.00	Paid
<a href="#">C.S.T. Consultants Inc.</a>	32,000.00	Paid
<a href="#">Desjardins Financial Security Investments Inc.</a>	55,000.00	Paid
<a href="#">Dunbar, Glenn</a>	356,500.00	Unpaid
<a href="#">Duncan, Gregory Matthew</a>	11,000.00	Paid
<a href="#">During, L. John</a>	3,500.00	Paid
<a href="#">EBI Electronic Benefits Inc.</a>	17,500.00	Unpaid
<a href="#">Elsie, Randall</a>	3,500.00	Paid
<a href="#">Evangeline Wind Field</a>	3,000.00	Paid
<a href="#">Fillmore, Bruce</a>	8,500.00	Paid
<a href="#">Fillmore, Byron</a>	8,500.00	Partially Paid
<a href="#">Fleming, William</a>	3,000.00	Paid
<a href="#">Germeil, Jean-Smaille and FPE Trading</a>	165,000.00	Unpaid
<a href="#">Getta, Alexander</a>	2,500.00	Paid
<a href="#">Global Maxfin Investments Inc.</a>	10,750.00	Paid
<a href="#">GlobeInvest Capital Management Inc.</a>	2,500.00	Paid
<a href="#">Glooscap Wind Field Inc.</a>	3,250.00	Paid
<a href="#">Harrigan, Michael Andrew</a>	8,500.00	Partially Paid
<a href="#">Helical Corporation Inc., The</a>	62,400.00	Unpaid
<a href="#">Helical Corporation Inc., The</a>	500.00	Paid
<a href="#">Hibbert, Lyndon Horace</a>	21,000.00	Partially Paid
<a href="#">Hicks, Eric Cecil</a>	125,000.00	Paid
<a href="#">Hill, Trevor Wayne</a>	518,000.00	Unpaid
<a href="#">Homburg Invest Inc.</a>	86,400.00	Partially Paid
<a href="#">Investors Group Financial Services</a>	27,500.00	Paid

<a href="#">Investors Group Financial Services Inc.</a>	42,500.00	Paid
<a href="#">IPC Investment Corporation</a>	43,000.00	Paid
<a href="#">IPC Securities Corporation</a>	12,500.00	Paid
<a href="#">Johnson, Douglas Allen</a>	6,000.00	Paid
<a href="#">Johnson, J. Arnold</a>	5,000.00	Paid
<a href="#">Keogan, Russel Dan</a>	5,000.00	Paid
<a href="#">Keybase Financial Group Inc.</a>	110,000.00	Paid
<a href="#">Langille, Jeremiah David</a>	77,500.00	Unpaid
<a href="#">Leonard, Andrew and A.J.R. Leonard Consulting, et al</a>	65,000.00	Unpaid
<a href="#">Lynch, Michael Dennis</a>	3,500.00	Paid
<a href="#">MacDermott, Ralph and A.C.T. Associated Capital Traders</a>	26,000.00	Partially Paid
<a href="#">Marino, Mario</a>	15,000.00	Paid
<a href="#">McLaughlin-Weir, Carol</a>	12,650.00	Paid
<a href="#">Medmira Inc.</a>	125,940.00	Paid
<a href="#">National Bank Financial Limited</a>	125,000.00	Paid
<a href="#">Norman, Terrance</a>	5,000.00	Paid
<a href="#">NPFB Europe SRL (aka SC NPFB Europe SRL), World of Tickets INC. (aka Wide World of Tickets, INC.), and Vincenzo Pettinicchio (aka Vincent Pettinicchio and Vince Pettinicchio)</a>	35,000.00	Unpaid
<a href="#">Northeastern Community Investment Incorporated</a>	3,000.00	Paid
<a href="#">Northumberland Wind Field Inc.</a>	5,000.00	Paid
<a href="#">Olympian Financial Inc.</a>	11,200.00	Paid
<a href="#">optionsXpress, Inc.</a>	3,749.00	Paid
<a href="#">Oxford Investment Holdings Inc.</a>	12,730.00	Paid
<a href="#">PharmaChoice East Ltd. Amalgamated as PharmaChoice Canada Inc.</a>	19,500.00	Paid
<a href="#">Publicover, Taura Irene</a>	31,000.00	Partially Paid
<a href="#">Quadrus Investment Services Ltd.</a>	41,000.00	Paid
<a href="#">Quadrus Investment Services Ltd.</a>	41,000.00	Paid
<a href="#">Rawding, John C.</a>	3,250.00	Paid
<a href="#">Rethy, Steven Jules</a>	22,500.00	Paid
<a href="#">Return on Innovation Advisors Ltd., et al</a>	11,500.00	Paid
<a href="#">River John Oceanfront Resorts Ltd.</a>	3,000.00	Paid
<a href="#">Robinson, Christopher</a>	6,500.00	Paid
<a href="#">Royal Roads Corp.</a>	21,500.00	Paid
<a href="#">Rust, Grant Stuart</a>	11,000.00	Paid
<a href="#">Scallop Shell Pollution Solution</a>	5,750.00	Partially Paid
<a href="#">Schrifer, Bruce Patrick (Order No. 1; Order No. 2)</a>	18,500.00	Partially Paid
<a href="#">Scotia Securities Inc.</a>	31,000.00	Paid
<a href="#">Scotian Wind Inc. and Terrance Norman</a>	8,000.00	Paid
<a href="#">Select Money Strategies Incorporated</a>	13,000.00	Paid
<a href="#">Smith, Dr. James A.</a>	3,000.00	Paid
<a href="#">Sponagle, Quintin Earl</a>	527,000.00	Unpaid
<a href="#">Stone, Mark</a>	25,000.00	Unpaid
<a href="#">Stone, Teddy</a>	25,000.00	Unpaid
<a href="#">Stratus Offshore/Stratus Financial Group International</a>	50,000.00	Unpaid
<a href="#">Stratus Offshore/Stratus Financial Group International, et al</a>	3,000.00	Unpaid
<a href="#">Stuckless, Everett R.</a>	7,500.00	Unpaid
<a href="#">TD Waterhouse Canada Inc.</a>	11,000.00	Paid

<a href="#"><u>Torgerson, Magnus</u></a>	40,000.00	Unpaid
<a href="#"><u>TradeStation Securities Inc.</u></a>	10,000.00	Paid
<a href="#"><u>Trusthouse Mercantile Inc. and Raymond, Robert Ernest</u></a>	112,000.00	Partially Paid
<a href="#"><u>Ucore Rare Metals Inc.</u></a>	2,500.00	Paid
<a href="#"><u>Union Securities Inc.</u></a>	27,000.00	Paid
<a href="#"><u>Unique CEDC Ltd.</u></a>	3,250.00	Paid
<a href="#"><u>Vestcap Investment Management Inc.</u></a>	31,500.00	Paid
<a href="#"><u>Weir, Lowell</u></a>	31,160.00	Paid
<a href="#"><u>Westbrook, John</u></a>	25,000.00	Unpaid