

IN THE MATTER OF
THE *SECURITIES ACT*, R.S.N.S. 1989, C. 418, AS AMENDED ("Act")

- and -

IN THE MATTER OF JEAN-SMAILLE GERMEIL AND FPE TRADING
(collectively the Respondents)

MEMORANDUM OF PRE-HEARING CONFERENCE

This Memorandum of Pre-Hearing Conference is prepared pursuant to part 9.8 of Nova Scotia Securities Commission Rule 15-501 General Rules of Practice and Procedure (the Rules).

The following agreements, undertakings, and orders were made or given at the Pre-Hearing Conference held at 10:30a.m. on Thursday, February 8, 2018.

In attendance was Heidi Schedler, counsel for the Director of Enforcement for the Commission.

Although proper service of documents was effected on the Respondents, none attended at the Pre-Hearing Conference.

AGREEMENTS:

None.

UNDERTAKINGS:

None.

ORDERS:

1. Service of the Notice of Pre-Hearing Conference issued January 8, 2018, Notice of Hearing issued January 8, 2018, Statement of Allegations dated January 3, 2018, Identification List dated January 3, 2018, and Notice of Pre-Hearing Conference issued January 16, 2018 was properly effected on the Respondents in accordance with part 5 of the Rules.
2. Any notice or document required under the Rules to be served shall be sufficiently served on the Respondents at the following addresses:

Email: jeangermeil@tutanota.de

Courier: Unit B25 – 6020 2nd Street SE
Calgary, AB T2H 2L8

3. All Documents, information, and things disclosed in this proceeding shall be used for the purpose of this proceeding only, unless otherwise ordered or directed by the Commission.
4. The Respondents shall keep confidential all Documents, information, and things disclosed in this proceeding, unless otherwise ordered by the Commission.
5. The Respondents shall maintain as confidential all records and personal information, as defined in the *Freedom of Information and Protection of Privacy Act* (Nova Scotia), disclosed in this proceeding, other than for the purposes of this proceeding, unless otherwise ordered.
6. The disclosure pursuant to part 8 of the Rules shall proceed as follows:
 - a) On or before a date to be determined by the Commission, the Director of Enforcement shall provide written notice to the Respondents via courier requiring confirmation of receipt that all Documents and things in the possession and control of Staff that are relevant to this proceeding are available for inspection pursuant to part 8.1 of the Rules.
 - b) On or before a date to be determined by the Commission, written notice that the Director of Enforcement's disclosure pursuant to part 8.2 of the Rules is prepared and ready for delivery shall be provided to the Respondents via courier requiring confirmation of receipt.
 - c) Promptly upon receipt of the above written notice from the Director of Enforcement, the Respondents shall provide written notice to the Director of Enforcement that they wish to receive the Director of Enforcement's opening statement and disclosure pursuant to part 8.2 of the Rules, and the address where the opening statement and disclosure shall be delivered.
 - d) Promptly upon receipt of the above written notice from the Respondents, the Director of Enforcement shall then deliver the disclosure pursuant to part 8.2 of the Rules to the Respondents.
 - e) On or before a date to be determined by the Commission, the Respondents shall file with the Commission and deliver to the Director of Enforcement their disclosure pursuant to part 8.3 of the Rules.
 - f) On or before Thursday, March 1, 2018 the Director shall file with the Commission and deliver to the Respondents written submissions in support of proceeding by way of a written hearing, addressing:
 - i.) The law surrounding written hearings,
 - ii.) Facts relevant to and supporting a written hearing, and

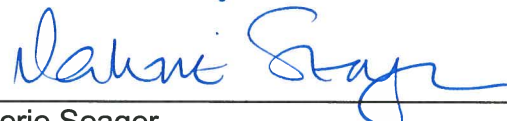
- iii.) A proposed schedule for a written hearing.
- g) On or before Thursday, March 22, 2018, the Respondents shall file with the Commission and deliver to the Director written submissions in support of or in opposition to proceeding by way of written hearing.
- h) On or before Tuesday, March 27, 2018 the Director shall file with the Commission and deliver to the Respondents any reply submissions in support of proceeding by way of written hearing.

DATED at Halifax, Nova Scotia, this 12th day of February, 2018.


NOVA SCOTIA SECURITIES COMMISSION



Shirley P. Lee, Q.C.
Chair



Valerie Seager
Commissioner



Kenneth Wheelans
Commissioner