IN THE MATTER OF THE SECURITIES ACT, R.S.N.S. 1989, CHAPTER 418, AS AMENDED (the Act)

- AND -

IN THE MATTER OF JEAN-SMAILLE GERMEIL and FPE TRADING (collectively, the Respondents)

ORDER

cherry he

WHEREAS:

- 1. On January 8, 2018, the Nova Scotia Securities Commission (the Commission) issued a Notice of Hearing pursuant to sections 134, 135 and 135A of the Act in relation to the Statement of Allegations dated January 3, 2018, filed by the Director of Enforcement for the Commission (Staff);
- 2. The hearing on the merits in this proceeding against the Respondents was heard in writing;
- 3. On March 27, 2019, the Commission issued its Decision on the merits in this proceeding; and
- 4. The Commission is of the opinion that it is in the public interest to issue this order.

IT IS HEREBY ORDERED THAT:

- (a) the Respondents have until April 5, 2019, to notify the Secretary of the Commission that they require an oral sanctions hearing, which, if required, will be scheduled by the Secretary;
- (b) failing notification by the Respondents, Staff shall serve and file their written submissions on sanctions and costs by April 17, 2019;
- (c) the Respondents shall serve and file their written submissions on sanctions and costs by April 26, 2019; and

(d) Staff shall serve and file reply submissions on sanctions and costs, if any, by May 3, 2019.

DATED at Halifax, Nova Scotia, this 27th day of March, 2019.

NOVA SCOTIA SECURITIES COMMISSION

Shirley P. Lee, QC

Chair

Valerie B. Seager

Commission Member

Kenneth Wheelans Commission Member