

**For Immediate Release**

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**Canadian Securities Administrators increase digital presence in fight against securities misconduct: Fiscal Year 2018/19 Enforcement Report**

*Securities regulators detect, disrupt and deter securities misconduct amid emerging technologies and threats*

**Montreal** – The Canadian Securities Administrators (CSA) today released its [fiscal year 2018/19 Enforcement Report](#), which outlines how securities regulators are protecting investors and the integrity of Canada’s capital markets.

The report highlights the cross-jurisdictional collaboration and investments in technology that have enabled CSA members to detect and deter misconduct amid the emergence of new technologies and threats.

“As our world becomes increasingly digital, enforcement of securities laws must also evolve,” said Louis Morisset, Chair of the CSA and President and CEO of the Autorité des marchés financiers. “This year’s Enforcement Report shows that by deploying sophisticated investigative tools and techniques and by continuing to strengthen our connections – with each other, global enforcement partners and other regulators – we are keeping pace with emerging trends in Canada’s capital markets.”

Recognizing that securities misconduct goes beyond borders, CSA members continued to strengthen efforts to collaborate with each other and securities regulators in other countries by sharing best practices and aligning on enforcement priorities. This included advancing the multijurisdictional response to emerging pump-and-dump threats as part of the Cross-Border Market Fraud Initiative and hosting global representatives at the annual Insider Trading and Market Manipulation Conference.

The CSA also continued the development of a data repository and analytics system that will help CSA members improve efficiencies and identify market misconduct faster and earlier.

Highlights from the fiscal year 2018/19 Enforcement Report include:

- 42 cases involving collaboration between CSA member jurisdictions;
- 100 cease-trade and asset-freeze orders issued;
- 63 individuals banned from participating in the capital market;
- 12 offenders received a combined 36 years of jail time under the Criminal Code; and,
- 46 Investor Alerts issued to warn the public about possible investment scams.

The CSA, the council of the securities regulators of Canada’s provinces and territories, coordinates and harmonizes regulation for the Canadian capital markets.

Click [here](#) to view the Canadian Securities Administrators fiscal year 2018/19 Enforcement Report.

-30-

**For Investor inquiries, please refer to your respective securities regulator. You can contact them here.**

**For media inquiries about the 2018/19 CSA Enforcement Report, contact:**

Stephanie Ronson  
Edelman  
647-252-2856  
stephanie.ronson@edelman.com

**For other media inquiries, please refer to the list of provincial and territorial representatives below or contact us at [media@acvm-csa.ca](mailto:media@acvm-csa.ca).**

**For more information:**

Kristen Rose  
Ontario Securities Commission  
416-593-2336

Hilary McMeekin  
Alberta Securities Commission  
403-592-8186

Sylvain Th  berge  
Autorit   des march  s financiers  
514-940-2176

Brian Kladko  
British Columbia Securities Commission  
604-899-6713

Jason (Jay) Booth  
Manitoba Securities Commission  
204-945-1660

Sara Wilson  
Financial and Consumer Services  
Commission, New Brunswick  
506-643-7045

Shannon McMillan  
Financial and Consumer Affairs  
Authority of Saskatchewan  
306-798-4160

David Harrison  
Nova Scotia Securities Commission  
902-424-8586

Steve Dowling  
Government of  
Prince Edward Island,  
Superintendent of Securities  
902-368-4550

Ren  e Dyer  
Office of the Superintendent  
of Securities, Newfoundland and Labrador  
709-729-4909

Tom Hall  
Office of the Superintendent  
of Securities, Northwest Territories  
867-767-9305

Rhonda Horte  
Office of the Yukon Superintendent  
of Securities  
867-667-5466

Jeff Mason  
Nunavut Securities Office  
867-975-6591