



Securities Commission

5251 Duke St., Ste. 400
Duke Tower
PO Box 458
Halifax, NS
B3J 2P8

tel:(902) 424-7768
fax: (902) 424-4625
www.gov.ns.ca/nssc

December 17, 2019

Commission issues Temporary Cease Trade Order against Wesley William Robinson and DRR900306 NS Ltd.

Halifax - The Nova Scotia Securities Commission has issued a temporary cease trade order against Wesley William Robinson and DRR900306 NS Ltd.

Robinson is the president, secretary, recognized agent, and director of DRR900306 NS Ltd. Both Robinson and DRR900306 NS Ltd. appear to be conducting trades of securities in Nova Scotia without being registered to do so.

“The definition of security is broad and includes debt securities, such as promissory notes, debentures, and other evidence of indebtedness”, advises Jennie Pick, enforcement counsel for the Commission. “The public should exercise caution regarding offers or solicitations of any securities, including through online advertisements or social media. Persons that solicit investments from the public in Nova Scotia are required to be registered with the Commission and to comply with Nova Scotia securities laws.”

The Commission made the following temporary orders against Robinson and DRR900306 NS Ltd.:

- Robinson and DRR900306 NS Ltd. shall cease contravening section 31 of the *Securities Act*, ie., acting as a dealer, a representative of a dealer, an adviser, a representative of an adviser, or an investment fund manager without being registered;
- Robinson and DRR900306 NS Ltd. shall cease trading in all classes of securities, directly or indirectly, except Robinson may trade on his own behalf through a registrant of the securities’ regulatory authority in the jurisdiction in which he resides;
- any exemptions contained in Nova Scotia securities laws shall cease to apply to Robinson and DRR900306 NS Ltd.;

- Robinson and DRR900306 NS Ltd. shall cease disseminating to the public, or authorizing the dissemination to the public, any information or material that pertains to the trading of securities; and
- Robinson is prohibited from becoming or acting as a registrant or promoter.

A copy of the Temporary Order is available [here](#).

The Temporary Order remains in effect for 30 days unless revoked or extended by the Commission. A [review hearing](#) is scheduled for January 9, 2020.

The Commission issued an [investor alert](#) about this matter on November 7, 2019.

The Commission urges Nova Scotians to exercise extreme caution when dealing with firms that are not registered in Nova Scotia. To see if a company or person is registered, you can check the Canadian Securities Administrators' National Registration Database [here](#).

The Nova Scotia Securities Commission is the provincial government agency responsible for regulating trading in securities in the province.

-30-

Media Contact: David Harrison
Nova Scotia Securities Commission
902-424-8586
E-mail: david.harrison@novascotia.ca