

**IN THE MATTER OF THE *SECURITIES ACT*,
R.S.N.S. 1989, CHAPTER 418, AS AMENDED (the Act)**

– AND –

IN THE MATTER OF GREGORY BURKE (the Applicant)

**DIRECTIONS ORDER
(Section 18.2 of Rule 15-501)**

WHEREAS:

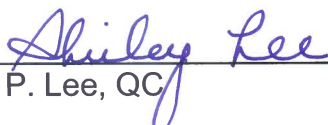
1. On July 19, 2019, the Nova Scotia Securities Commission (the Commission) issued an Amended Notice of Hearing in relation to a request by the Applicant pursuant to subsections 30(5) and (5A) of the Act for a hearing and review by the Commission (the Hearing) of the decision of the Mutual Fund Dealers Association of Canada (the MFDA) dated December 19, 2017;
2. The Hearing took place on December 9 and 10, 2019, in Halifax, Nova Scotia, before a three person hearing panel of the Commission (the Panel);
3. During the Hearing; the following affidavits were entered as exhibits:
 - (a) Affidavit of John Gregory Burke sworn April 4, 2019, marked as Exhibit No. 1 (the Burke Affidavit); and
 - (b) Affidavit of Stephen Davis sworn May 8, 2019, marked as Exhibit No. 2 (the Davis Affidavit);
4. At the conclusion of the Hearing on December 10, 2019, dates were set for serving and filing written submissions and for presentation of the submissions at a continuation of the Hearing; and
5. The Commission is of the opinion that it is in the public interest to issue this order.

IT IS DIRECTED, pursuant to section 18.2 of Rule 15-501 General Rules of Practice and Procedure, that:

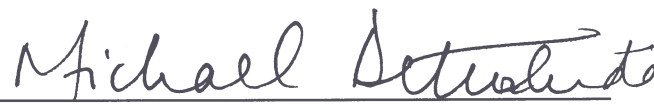
- (a) If a non-party to this proceeding requests production of or access to the Burke Affidavit or the Davis Affidavit, the Secretary shall not provide a copy of or access to the requested affidavit to the non-party without first redacting from the affidavit any and all personal information (as defined in the *Freedom of Information and Protection of Privacy Act*) relating to any client of the Applicant;
- (b) The Applicant shall serve and file written submissions by Friday, February 14, 2020;
- (c) The MFDA shall serve and file written submissions by Friday, March 6, 2020;
- (d) The Applicant shall serve and file written reply submissions, if any, by Friday, March 20, 2020; and
- (e) The continuation of the Hearing for presentation of the submissions by the Applicant and the MFDA shall be held before the Panel at the offices of the Commission located at Suite 400, 5251 Duke Street, Halifax, Nova Scotia, on Thursday, May 14, 2020, at 9:30 am, or so soon thereafter as the Hearing can be held.

DATED at Halifax, Nova Scotia, this 31st day of December, 2019.

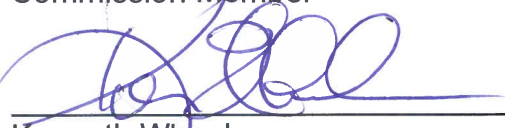
NOVA SCOTIA SECURITIES COMMISSION



Shirley P. Lee, QC
Chair



Michael Deturbide, QC
Commission Member



Kenneth Wheelans
Commission Member