



Securities Commission

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Commission reciprocates orders against Jason Keith Giddens

Halifax - The Nova Scotia Securities Commission has reciprocated a criminal conviction and related orders by the Nova Scotia Provincial Court against Jason Keith Giddens.

Giddens was convicted of eight criminal offences arising from a course of conduct related to securities on October 16, 2017. The offences included fraud and use of forged documents.

Under the Nova Scotia Securities Act, the Commission may make an order against a person or company that has been convicted in Canada or elsewhere of an offense arising from a transaction, business or course of conduct related to securities or derivatives.

Giddens' course of conduct was detrimental to the public's perception of fairness and the efficiency of the capital markets.

In reciprocating sanctions imposed by the Court, the Commission ordered that Mr. Giddens:

- Cease trading in Nova Scotia for 10 years, except on his own behalf through a registrant of the Commission;
- Be prohibited from relying on any exemptions contained in NS securities laws for 10 years;
- Be prohibited from becoming or acting as a director or officer of any issuer for 10 years;
- Be prohibited from disseminating or authorizing the dissemination to the public, directly or indirectly, of any information or record of any kind relating to the distribution or trading of securities for 10 years; and
- Be prohibited from becoming or acting as a registrant, investment fund manager or promoter for 10 years.

Copies of the original Order and Decision of the Commission are available on the Commission's website [\[here\]](#).

The Nova Scotia Securities Commission is the provincial government agency responsible for regulating trading in securities in the province.

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