

IN THE MATTER OF
THE *SECURITIES ACT*, R.S.N.S. 1989, CHAPTER 418, AS AMENDED (the Act)

- and -

IN THE MATTER OF
DOUGLAS G. RUDOLPH, PETER A.D. MILL, CFG*CN Ltd. (also known as
CANGLOBE FINANCIAL GROUP), AND CANGLOBE INTERNATIONAL CAPITAL INC.
(collectively, the Respondents)

AMENDED NOTICE OF HEARING
(Sections 134, 135, 135A and 136A of the Act)

WHEREAS by Notice of Hearing dated April 15, 2013, the Nova Scotia Securities Commission (the Commission) gave notice that it would hold a hearing in this matter pursuant to sections 134, 135, 135A, and 136A of the Act at the offices of the Commission located at Suite 400, 5251 Duke Street, Duke Tower, Halifax, Nova Scotia, or such other place as the Commission may designate on notice to the parties, on a date and at a time to be designated by the Commission;

AND WHEREAS by Notice of Adjournment dated October 5, 2015, the Commission gave notice that said hearing had been adjourned without day pending further development;

AND WHEREAS the Commission held a pre-hearing conference on November 18, 2019, pursuant to Part 9 of Rule 15-501 *General Rules of Practice and Procedure* and issued a Memorandum of Pre-Hearing Conference on November 20, 2019, setting out the Commission's Orders therefrom, including, among other things, setting the following dates for the hearing to consider the Statement of Allegations of the Director of Enforcement for the Commission (the Director of Enforcement) dated April 9, 2013:

November 16-20, 2020, inclusive;
November 23-27, 2020, inclusive;
November 30 to December 4, 2020, inclusive;
December 7-11, 2020, inclusive; and
December 14-18, 2020, inclusive,

(the Hearing Dates) and an Amended Notice of Hearing setting out the Hearing Dates was issued on November 20, 2019.

AND WHEREAS the Commission held a pre-hearing conference on October 14, 2020 pursuant to Part 9 of Rule 15-501 *General Rules of Practice and Procedure* and issued a Memorandum of Pre-Hearing Conference on November 3, 2020, confirming the Hearing Dates and setting out the Commission's Orders therefrom;

TAKE NOTICE by this Amended Notice of Hearing, the Commission will hold a hearing pursuant to sections 134, 135, 135A, and 136A of the Act in conference rooms at the

Hampton Inn and Homewood Suites, Halifax Downtown, located at 1960 Brunswick St, Halifax, Nova Scotia, or such other place as the Commission may designate on notice to the parties, on the following dates, from 9:30 a.m. to 4:30 p.m., or so soon thereafter as the hearing can be held:

- November 16-20, 2020, inclusive, in Conference Rooms no. 5 & 6;
- November 23-27, 2020, inclusive, in Conference Rooms no. 5 & 6;
- November 30 to December 4, 2020, inclusive, in Conference Room no. 7;
- December 7-11, 2020, inclusive, in Conference Rooms no. 5 & 6; and
- December 14-18, 2020, inclusive, in Conference Rooms no. 5 & 6.

AND TAKE NOTICE that the purpose of the hearing will be for the Commission to consider whether it is in the public interest for the Commission to make:

1. An order pursuant to section 134 of the Act that the Respondents be sanctioned in a manner to be determined by the Commission;
2. An order pursuant to section 135 of the Act that the Respondents pay an administrative penalty in an amount to be determined by the Commission;
3. An order pursuant to section 135A of the Act that the Respondents pay costs in connection with the investigation and conduct of the proceeding before the Commission;
4. An order pursuant to section 136A of the Act imposing such terms and conditions on any order pursuant to sections 134 and 135 as the Commission considers appropriate; and
5. Such other order as the Commission considers appropriate.

BY REASON OF the allegations set out in the Statement of Allegations of the Director of Enforcement dated April 9, 2013, and such additional allegations as counsel may advise and the Commission may permit;

AND TAKE NOTICE that disclosure of evidence and copies of documents to be presented at the hearing will be provided in accordance with Rule 15-501 General Rules of Practice and Procedure and any orders granted by the Commission;

AND TAKE NOTICE that any party to the proceeding may be represented by legal counsel or an authorized agent;

AND TAKE NOTICE that the Respondents shall provide written notice to the Commission, at least seven (7) days prior to the date of the hearing, of their intention to attend the hearing and if no such notice is provided within this time, or upon the failure of any party to attend at the hearing, the hearing may proceed in the absence of that party

and an order granted and such party is not entitled to any further notice of the proceeding without leave of the Commission;

AND TAKE NOTICE that orders made by the Commission may form the basis for parallel orders in other jurisdictions in Canada. The securities laws of some other Canadian jurisdictions may allow orders made in this matter to take effect in those other jurisdictions automatically, without further notice to you. If an order is made in relation to this Amended Notice of Hearing, you should contact the securities regulator of any other jurisdiction in which you may intend to engage in any securities related activities.

DATED at Halifax, Nova Scotia, on November 3, 2020.

NOVA SCOTIA SECURITIES COMMISSION



H. Jane Anderson, Secretary

