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Securities Commission

January 10, 2022

Sanctions decision released on Wesley William Robinson and DRR900306 NS Ltd.

Halifax – The Nova Scotia Securities Commission has issued its sanctions decision against Wesley William Robinson and DRR900306 NS Ltd. (the Respondents) following a previous decision against the Respondents dated August 20, 2021.

In the August decision, the Commission found that the Respondents committed several violations of securities laws including acting as a dealer and an adviser without being registered to do so, engaging in unfair practices, and perpetrating fraud. Due to the seriousness and magnitude of these violations the Commission has ordered in the sanctions decision that:

- the Respondents comply with Nova Scotia securities laws;
- the Respondents permanently cease trading in any securities and derivatives;
- the Respondents are permanently prohibited from relying on exemptions contained in Nova Scotia securities laws;
- Mr. Robinson is permanently prohibited from becoming or acting as a director or officer of any issuer or registrant;
- the Respondents are permanently prohibited from becoming or acting as a registrant or promoter;
- the Respondents pay an administrative penalty of \$500,000;
- the Respondents pay costs in connection with the investigation and conduct of the proceeding in the amount of \$25,000; and
- The Commission will apply to the Supreme Court of Nova Scotia for a declaration the Respondents have not complied with Nova Scotia securities laws and for an order requiring the Respondents to compensate or make restitution to aggrieved parties.

“Sanctions and penalties ordered by the Commission are effective measures to deter future securities laws violations,” says Jennie Pick, Enforcement Counsel for the Commission. “However, the most effective and immediate protective measures for your financial well-being are steps you can take. Protect yourself by taking time to become informed before committing funds to an investment opportunity, including by checking for registration with securities regulators and searching online for the individuals and entities involved.”

For complete details of the [sanctions decision](#), please visit the Nova Scotia Securities Commission [website](#).

The Nova Scotia Securities Commission is the provincial government agency responsible for regulating trading in securities and derivatives in the province.

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