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# Canadian securities regulators to host roundtable on the proposed Derivatives Business Conduct Rule

**TORONTO** – The Canadian Securities Administrators (CSA) today announced it will host a virtual roundtable on the proposed derivatives business conduct rule, the CSA's next step towards modernizing the regulatory framework for the OTC derivatives market in Canada. The roundtable will be held on **September 28, 2022** from **10:00 a.m.** – **12:00 p.m.** ET.

Expert panels will explore a number of regulatory, implementation and compliance issues related to the CSA's plan to adopt a final rule in the upcoming year. The CSA will also provide a status update on other OTC derivatives rules.

The roundtable panellists are:

### **Industry Panel**

- Gregory Aronson, Senior Counsel, Derivatives, TD Bank Group
- Élodie Fleury, Managing Director, Regulatory Change Management, Business Transformation and Governance – Financial Markets, National Bank of Canada
- Melissa Ghislanzoni, General Counsel, Portfolio Management Association of Canada
- Emily O'Donohue, Director and Associate General Counsel, Ontario Teachers' Pension Plan

# **Regulatory Panel**

- Alison Beer, Senior Legal Counsel, Derivatives, Ontario Securities Commission
- Michael Brady, Deputy Director, British Columbia Securities Commission
- Janice Cherniak, Senior Legal Counsel, Alberta Securities Commission
- Debra Foubert, Director, Compliance and Registrant Regulation Branch, Ontario Securities Commission
- Tammy Wong, Associate Director, OTC Derivatives, National Futures Association

The panels will be moderated by **Kevin Fine**, Director, Derivatives, Ontario Securities Commission, and **Dominique Martin**, Director, Oversight of Trading Activities, Autorité des marchés financiers. Attendees will have an opportunity to direct questions to the panels.

To register, please visit the <u>CSA's website</u>. A preliminary agenda can be viewed <u>here</u>.

The CSA, the council of the securities regulators of Canada's provinces and territories, coordinates and harmonizes regulation for the Canadian capital markets.

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For investor inquiries, please contact your local securities regulator.