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Canadian securities regulators publish annual activities report on the oversight of SROs and investor protection funds

Toronto – The Canadian Securities Administrators (CSA) today published <u>CSA Staff Notice 25-310 2022 Annual Activities Report on the Oversight of Self-Regulatory Organizations (SROs) and Investor Protection Funds (IPFs) that summarizes key information, activities and observations related to CSA oversight of the former SROs and IPFs during the 2022 calendar year.</u>

As of <u>January 1, 2023</u>, the two former SROs – the Investment Industry Regulatory Organization of Canada and the Mutual Fund Dealers Association of Canada – officially amalgamated to form the New Self-Regulatory Organization of Canada. And the former IPFs – the Canadian Investor Protection Fund and the MFDA Investor Protection Corporation – merged to form the new Canadian Investor Protection Fund.

The next annual report will detail CSA oversight of the two new entities. <u>CSA Staff Notice 25-310</u> is available on CSA member <u>websites</u>.

The CSA, the council of the securities regulators of Canada's provinces and territories, coordinates and harmonizes regulation for the Canadian capital markets.

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