## IN THE MATTER OF THE SECURITIES ACT R.S.N.S. 1989, CHAPTER 418, AS AMENDED (the Act)

- AND -

## IN THE MATTER OF VINCENT GEORGE BYRNE (the Respondent)

- AND -

IN THE MATTER OF THE APPLICATION OF NOVA SCOTIA SECURITIES COMMISSION STAFF UNDER SECTION 134 OF THE ACT

## **TEMPORARY ORDER**

(Section 134)

**WHEREAS** on December 21, 2016, the Director of Enforcement of the Nova Scotia Securities Commission filed with the Commission a Notice (Ex Parte Application) for a temporary order in respect of the Respondent;

**AND WHEREAS** the Respondent is a resident of Nova Scotia;

**AND WHEREAS** the Respondent appears to have conducted trades and acted as an adviser in securities in Nova Scotia without being registered to do so;

**AND WHEREAS** the Commission is of the opinion that it is in the public interest to make this order;

**AND WHEREAS** the Commission is of the opinion that the length of time required to conclude a hearing could be prejudicial to the public interest;

**AND UPON** reviewing the Affidavit of March Wagg, Investigator with the Commission, sworn December 20, 2016:

## IT IS HEREBY ORDERED THAT:

- 1. pursuant to section 134(1)(a)(i) of the Act, the Respondent cease acting as a registered representative, registered dealer, registered adviser or registered investment fund manager in contravention of section 31 of the Act;
- 2. pursuant to section 134(1)(b)(ii) of the Act, the Respondent cease trading in any securities beneficially owned by anyone other than himself; and

3. pursuant to section 134(2) of the Act, this Order shall have effect for a period of fifteen (15) days after the date of this Order, unless otherwise ordered by the Commission.

**DATED** at Halifax, Nova Scotia, this 22<sup>nd</sup> day of December 2016.

**NOVA SCOTIA SECURITIES COMMISSION** 

Paul E. Radford, Q.C.

Chair