

IN THE MATTER OF  
THE *SECURITIES ACT*, R.S.N.S. 1989, CHAPTER 418, AS AMENDED ("Act")

- and -

IN THE MATTER OF  
DOUGLAS G. RUDOLPH, PETER A.D. MILL, CFG\*CN Ltd. (also known as  
CANGLOBE FINANCIAL GROUP), AND CANGLOBE INTERNATIONAL CAPITAL INC.  
(collectively the "Respondents")

**ORDER**

(respecting private and confidential information of nonparties)

**WHEREAS** a Notice of Hearing of Motion was issued by the Nova Scotia Securities Commission ("Commission") on the 19<sup>th</sup> day of November, 2013 upon the request of the Director of Enforcement;

**AND WHEREAS** an Amended Notice of Hearing was issued by the Commission on the 4<sup>th</sup> day of December, 2013;

**AND WHEREAS** a Notice of Adjournment was issued by the Commission on the 22<sup>nd</sup> day of January, 2014;

**AND WHEREAS** an Amended Notice of Hearing of Motion was issued by the Commission on the 30<sup>th</sup> day of January, 2014;

**AND WHEREAS** the Commission issued its Decision on this Motion on the 28<sup>th</sup> day of February, 2014;

**AND WHEREAS** the Commission determined that service of documents was properly effected upon the Respondents in accordance with part 5 of Rule 15-501 General Rules of Practice and Procedure ("Rule 15-501");

**AND WHEREAS** CFG\*CN Ltd. and CanGlobe International Capital Inc. were provided with notice of this Motion in accordance with part 5 of Rule 15-501, but did not appear at the hearing of this Motion;

**AND WHEREAS** the Director of Enforcement is required to make disclosure pursuant to parts 8.1 and 8.2 of Rule 15-501 at least 15 days before the date on which the hearing is to commence;

**AND WHEREAS** the Director of Enforcement is prepared to make delivery of his disclosure pursuant to parts 8.1 and 8.2 of Rule 15-501 to the Respondents in accordance with the terms of this Order;

**AND WHEREAS** compliance with part 8 of Rule 15-501 requires disclosure of information and documents such as account opening documents, account statements, emails, correspondence and other documents that relate to persons and/or entities who are not parties to this proceeding;

**AND WHEREAS** Stephanie Atkinson, counsel for the Director of Enforcement, has conducted a review of the information and documents to be disclosed and has noted references to personal information of nonparties, and the redaction of such information would require a significant commitment of time and resources, thereby delaying the progress of this proceeding;

**AND WHEREAS** the Commission has determined that it is important to protect the private information of nonparties consistent with securing the most expeditious and least expensive determination of every hearing before the Commission pursuant to part 18.5 of Rule 15-501;

**AND WHEREAS** the Commission may issue general or specific procedural directions at any time before or during the hearing pursuant to part 18.2 of Rule 15-501;

**AND UPON** reviewing the Affidavit of Service of Vanessa F. Allen, Paralegal for the Commission, dated the 24<sup>th</sup> day of April, 2013, the Affidavit of Vanessa F. Allen dated the 8<sup>th</sup> day of November, 2013, the written submissions of Stephanie Atkinson, counsel for the Director of Enforcement, dated the 8<sup>th</sup> day of November, 2013 and the written submissions of Richard Bureau, counsel for Douglas Rudolph, dated the 20<sup>th</sup> day of January, 2014;

**AND UPON** hearing the submissions of Stephanie Atkinson, counsel for the Director of Enforcement, and Richard Bureau, counsel for Douglas G. Rudolph;

**AND UPON** the Commission determining that William MacInnes, Q.C., counsel for Peter A.D. Mill, consented to the terms of this Order;

**AND UPON** the Commission determining it is in the public interest to make this Order;

**IT IS HEREBY ORDERED** that:

1. The parties shall be bound by the terms and conditions of this Order;
2. CFG\*CN Ltd. and CanGlobe International Capital Inc. shall provide written notice to the Director of Enforcement that they wish to receive his disclosure pursuant to parts 8.1 and 8.2 of Rule 15-501 and the address where the disclosure shall be delivered;
3. Promptly upon receipt of the written notice in paragraph two of this Order from CFG\*CN Ltd. and/or CanGlobe International Capital Inc., the Director of

Enforcement shall then deliver his disclosure pursuant to parts 8.1 and 8.2 of Rule 15-501 to the Respondent from whom the written notice was received;

4. The failure of CFG\*CN Ltd. and/or CanGlobe International Capital Inc. to provide the Director of Enforcement with the written notice in paragraph two of this Order which results in the Director of Enforcement being unable to provide that Respondent with disclosure at least 15 days before the date the hearing is to commence as otherwise required in parts 8.1 and 8.2 of Rule 15-501, shall not be grounds for legitimate objection by that Respondent that disclosure was not given within the time frames required by parts 8.1 and 8.2 of Rule 15-501, and disclosure given promptly by the Director of Enforcement to a Respondent after receipt of written notice from that Respondent shall be considered in compliance with parts 8.1 and 8.2 of Rule 15-501; and
5. Once a Respondent receives the Director of Enforcement's disclosure pursuant to parts 8.1 and 8.2 of Rule 15-501, each Respondent shall keep and treat all documents and all personal information, as defined in the *Freedom of Information and Protection of Privacy Act* (Nova Scotia), in that disclosure confidential, other than for the purposes of this proceeding, unless otherwise ordered.

DATED at Halifax, Nova Scotia, this 10<sup>th</sup> day of April, 2014.


CONSENTED TO AS TO FORM:

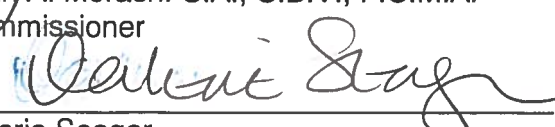
  
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Stephanie Atkinson

  
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Richard Bureau

NOVA SCOTIA SECURITIES COMMISSION

  
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Sandra MacPherson Duncan, Q.C.  
Chair

  
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John A. Morash, C.A., C.B.V., F.C.M.A.  
Commissioner

  
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Valerie Seager  
Commissioner