



Securities Commission

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The Commission reciprocates sanctions ordered by other provincial securities regulators

Halifax – The Nova Scotia Securities Commission (the Commission) has reciprocated sanctions imposed by the Alberta, Ontario and Quebec securities regulators against Douglas Gordon Campbell, Atlantic Tides Incorporated and Atlantic Tides Mortgage Investment Corp., against Colby Cooper Capital Inc., Colby Cooper Inc., Pac West Minerals Limited and John Douglas Lee Mason, against Financière Hélios Capital, Agence Créditis Plus Inc.; Altima Environnement Technologie Inc.; 9218-3524 Québec Inc. and their representatives. The Commission also reciprocates a criminal order from the Nova Scotia Provincial Court (NSPC) against Paul Gregory Burden.

The Respondents violated securities law that resulted in undermining investors' confidence in the capital markets.

In reciprocating sanctions imposed by other securities regulatory authorities and the NSPC, the Commission's orders include:

- prohibitions from trading in or acquiring securities in Nova Scotia;
- prohibitions from using exemptions contained in NS securities laws;
- prohibitions from becoming or acting as directors or officers of any issuer;
- prohibitions from becoming or acting as registrants, investment fund managers or promoters.

These Commission orders reciprocate orders issued before May 20, 2016. As a result of amendments to the NS Securities Act, orders issued by other securities regulatory authorities in Canada after May 20, 2016 which are based on a finding or admission of a contravention of securities laws or conduct contrary to the public interest will automatically apply in Nova Scotia as if it were made by the Commission, and without any further action by the Commission.

The Nova Scotia Securities Commission is the provincial government agency responsible for regulating trading in securities in the province. To view the orders please visit <http://nssc.novascotia.ca>

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