

NOVA SCOTIA SECURITIES COMMISSION

**RULE 13-102
SYSTEM FEES FOR SEDAR AND NRD**

-AND-

**RESCISSION AND REPLACEMENT OF
CONSEQUENTIAL AMENDMENTS TO
NATIONAL INSTRUMENT 13-101 SYSTEM FOR ELECTRONIC
DOCUMENT ANALYSIS AND RETRIEVAL (SEDAR),
NATIONAL INSTRUMENT 31-102 NATIONAL REGISTRATION DATABASE
AND NATIONAL INSTRUMENT 55-102 SYSTEM FOR ELECTRONIC
DISCLOSURE BY INSIDERS (SEDI)**

WHEREAS:

1. Pursuant to section 150 of the *Securities Act*, R.S.N.S. 1989, chapter 418, as amended (the Act), the Nova Scotia Securities Commission (the Commission) has power to make rules subject to compliance with the requirements of the Act;
2. Amendments to National Instrument 13-101 *System For Electronic Document Analysis and Retrieval (SEDAR)*, Amendments to National Instrument 31-102 *National Registration Database* and Amendments to National Instrument 55-102 *System For Electronic Disclosure by Insiders (SEDI)*, copies of which are attached hereto and are hereinafter called the Rule, have been made a rule by one or more of the Canadian securities regulatory authorities;
3. The Rule replaces Consequential Amendments to National Instrument 13-101 *System For Electronic Document Analysis and Retrieval (SEDAR)*, National Instrument 31-102 *National Registration Database* and National Instrument 55-102 *System For Electronic Disclosure by Insiders (SEDI)* dated July 24, 2013 (the Previous Rule), which is to be rescinded; and
4. The Commission is of the opinion that the attainment of the purpose of the Act is advanced by this Instrument.

NOW THEREFORE the Commission hereby:

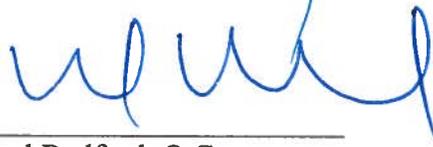
- (a) pursuant to the authority contained in section 150 of the Act and subject to compliance with the requirements of section 150A of the Act, rescinds the Previous Rule and approves the Rule and makes the same a rule of the Commission; and
- (b) declares that the rule approved and made pursuant to clause (a) shall take effect on **October 12, 2013**, unless the Minister disapproves the rule or returns it to the

Commission in accordance with subsection 150A(3) of the Act in which event the rule shall not be effective until the rule is approved by the Minister.

IN WITNESS WHEREOF this Instrument has been signed by the Chair and Vice-chair of the Commission, being the members of the Commission prescribed by the Chair pursuant to subsection 15(3) of the Act to attend the hearing of this matter and the quorum with respect to this matter, on the 4th day of September, 2013.



Sarah P. Bradley



Paul Radford, Q.C.

Attachments

**AMENDMENTS TO NATIONAL INSTRUMENT 31-102
NATIONAL REGISTRATION DATABASE**

- 1. *National Instrument 31-102 National Registration Database is amended by this Instrument.***
- 2. *Section 1.1 is amended by, in the definition of “NRD administrator”, replacing “CDS INC.” with “the Alberta Securities Commission”.***
- 3. *Paragraph 4.5(e) is amended by replacing “pays the following fees by submitting a cheque, payable to CDS INC. in Canadian funds, to the firm’s principal regulator within 14 days of the date the payment is due” with “pays the following fees within 14 days of the date the payment is due by submitting a cheque, payable to the Ontario Securities Commission in Canadian currency, to CSA Service Desk, Attn: NRD Administrator, 12 Millennium Blvd, Suite 210, Moncton, NB E1C 0M3”.***
- 4. This Instrument comes into force on October 12, 2013.**