

**IN THE MATTER OF THE SECURITIES ACT  
R.S.N.S 1989, CHAPTER 418, AS AMENDED**

**-AND-**

**RONALD G. DAVIS, LAURIE JEFFERS DAVIS,  
DAVIS & DAVIS PERSONAL AND PROFESSIONAL DEVELOPMENT  
ENTERPRISES INCORPORATED, 3021310 NOVA SCOTIA LIMITED,  
LAURON ENTERPRISES INCORPORATED, AND  
DAVIS AND DAVIS PERSONAL & PROFESSIONAL DEVELOPMENT SEMINARS  
LIMITED**

**NOTICE OF HEARING  
(Subsection 134(1))**

**TAKE NOTICE** that the Nova Scotia Securities Commission (the "Commission") will hold a hearing pursuant to subsection 134 (1) of the Securities Act, R.S.N.S. 1989, Chapter 418, as amended (the "Act") commencing at 10:00 a.m. on Wednesday, May 12<sup>th</sup>, 1999 or so soon thereafter as the hearing can be held at the office of the Commission, Joseph Howe Building, 1690 Hollis Street, 2nd Floor, Halifax, Nova Scotia.

**TO CONSIDER:**

1. whether it would be in the public interest to make an order pursuant to clause 134 (1) (b) of the Act, subject to such terms and conditions as the Commission may impose, that Ronald G. Davis and or Laurie Jeffers Davis (collectively "Davis and Davis") and or Davis & Davis Personal and Professional Development Enterprises Incorporated, 3021310 Nova Scotia Limited, Lauron Enterprises Incorporated, and Davis and Davis Personal & Professional Development Seminars Limited (collectively the "Companies") cease trading in a security known as the Personal Empowerment Program ("PEP program") issued by Davis and Davis or the Companies;
3. whether it would be in the public interest to make an order pursuant to clause 134 (1) (c) of the Act that any or all of the exemptions described or referred to in any of Section 40 or 41, subsection (1) of Section 77, subsection (11) of Section 77, subsection (1) of Section 78, subsection (1) of Section 99 or subsection (3) of Section 99 do not apply to Ronald G. Davis, Laurie Jeffers Davis or the Companies;
4. whether it is in the public interest to make an order pursuant to Section 135 of the Act that Ronald G. Davis, Laurie Jeffers Davis or the Companies pay the Commission an administrative penalty of not more than one hundred thousand dollars;

Laurie Jeffers Davis or the Companies;

4. whether it is in the public interest to make an order pursuant to Section 135 of the Act that Ronald G. Davis, Laurie Jeffers Davis or the Companies pay the Commission an administrative penalty of not more than one hundred thousand dollars;

**BY REASON OF THE FOLLOWING:**

1. The Companies are registered under the *Companies Act* R.S.N.S 1989 Chapter 81, as amended and each of the Companies lists Ronald G. Davis and Laurie Jeffers Davis as its President and Vice-President respectively.
2. Davis and Davis or the Companies are trading a security in Nova Scotia known as the Personal Empowerment Program ("PEP") with out first filing a preliminary prospectus and a prospectus contrary to Section 58 of the Act;
3. Davis and Davis or the Companies are trading in a security in Nova Scotia with out being registered as a dealer or salesman contrary to subsection 31 (1) of the Act;
4. That there are no registration exemptions under subsections 41 (1) and (2) of the Act and no prospectus exemptions under Section 77 and subsection 78(1) of the Act or the Rules to the Act that appear to apply to Davis and Davis or the Companies; and
5. Such further and other allegations as counsel may advise and the Commission permit.

**AND TAKE NOTICE** that any party to the proceedings may be represented by counsel of the party's choice at the hearing;

**AND TAKE NOTICE** that upon the failure of any party to attend at the time and place aforesaid, the hearing may proceed in the party's absence and the party is not entitled to any further Notice in this proceeding.

**DATED** at Halifax, Nova Scotia on April 28<sup>th</sup>, 1999.

**NOVA SCOTIA SECURITIES COMMISSION**



Robert B. Maclellan  
Chair