# IN THE MATTER OF THE SECURITIES ACT, R.S.N.S. 1989, CHAPTER 418, AS AMENDED [the "Act"]

- and -

IN THE MATTER OF
DOUGLAS G. RUDOLPH, PETER A.D. MILL, CFG\*CN Ltd. (also known as
CANGLOBE FINANCIAL GROUP), AND CANGLOBE INTERNATIONAL CAPITAL INC.
[collectively the "Respondents"]

# MEMORANDUM OF PRE-HEARING CONFERENCE

This Memorandum of Pre-Hearing Conference is prepared pursuant to part 9.8 of Nova Scotia Securities Commission Rule 15-501 General Rules of Practice and Procedure ["Rule 15-501"].

The following agreements, undertakings, and orders were made or given at the Pre-Hearing Conference held at 9:30 a.m. on November 18, 2019.

In attendance were Jennie Pick, counsel for the Director of Enforcement [the "Director"], and Ian Gray, counsel for Peter A.D. Mill.

Although proper service of documents was effected on the Respondents, none of CFG\*CN Ltd., CanGlobe International Capital Inc., or Douglas G. Rudolph attended the Pre-Hearing Conference.

#### AGREEMENTS:

Peter A.D. Mill's address for service of documents is designated as:

c/o Ian Gray Walker Dunlop 1477 South Park Street Halifax, NS B3J 2L1

Email: <iangray@walkerdunlop.ca>

Pursuant to part 6.2 of Rule 15-501, Mr. Gray will provide to the Director the current personal address of Peter A.D. Mill.

### **UNDERTAKINGS:**

None.

## **ORDERS:**

Subject to any further Order(s) of the Commission, it is hereby ordered that:

1. Pursuant to part 5.5 of Rule 15-501, all notices within this proceeding of which service is required shall henceforth be served on the Respondents CFG\*CN Ltd. and CanGlobe International Capital Inc. via publication by the Secretary on the main page of the website of the Nova Scotia Securities Commission (accessible at <a href="https://nssc.novascotia.ca/">https://nssc.novascotia.ca/</a>).

Any notice so served shall remain available on the website until a hearing is held and a decision is rendered by the Commission with respect to the Director's Statement of Allegations. Such service will be deemed effective as of the date of publication of the notice to be served;

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2. The Director shall deliver her updated disclosure to the Respondents Peter A.D. Mill and Douglas Rudolph pursuant to parts 8.1 and 8.2 of Rule 15-501 on or before January 31, 2020.

Notice that this disclosure is available upon written request shall be provided to the Respondents CFG\*CN Ltd. and CanGlobe International Capital Inc. via publication of notice of same on the Commission's website consistent with Order 1 above;

- 3. Each of the Respondents' disclosure pursuant to part 8.3 of Rule 15-501 shall be delivered to the Director of Enforcement on or before Friday, February 28, 2020:
- 4. There will be a further pre-hearing conference on March 31, 2020, beginning at 9:30 a.m. to address any issues among the parties with respect to disclosure and other matters contemplated by part 9 of Rule 15-501; and
- 5. The hearing to consider the Statement of Allegations of the Director dated April 9, 2013, will be held on the following dates from 9:30 a.m. to 4:30 p.m. each day:

November 16-20, 2020; November 23-27, 2020; November 30 to December 4, 2020; December 7-11, 2020; and December 14-18, 2020.

**DATED** at Halifax, Nova Scotia, this 20th day of November, 2019.

Jennie Pick
Counsel for the Director of Enforcement

NOVA SCOTIA SECURITIES COMMISSION

J. Walter Thompson, Q.C.
Chair

Shirley P. Lee, Q.C

Heidi Walsh Sampso Commissioner