

Nova Scotia Securities Commission

**Changes to Nova Scotia Securities Commission Policy 51-601 Reporting Issuer List
(the Policy Changes)**

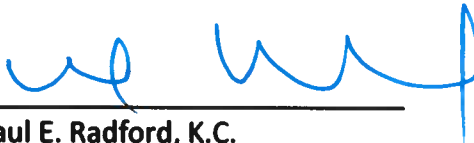
WHEREAS:

1. Pursuant to section 19 of the *Securities Act*, R.S.N.S. 1989, chapter 418, as amended (the Act), the Nova Scotia Securities Commission (the Commission) has the power to issue and publish policy statements;
3. The Policy Changes, copies of which are attached hereto, are required in connection with the introduction of the System for Electronic Data Analysis and Retrieval + (SEDAR+) by the Commission and one or more of the Canadian securities regulatory authorities; and
4. The Commission is of the opinion that the attainment of the purpose of the Act is advanced by this Instrument.

NOW THEREFORE the Commission hereby:

- (a) pursuant to the authority contained in section 19 of the Act and subject to publication on the Commission's website, issues the Policy Changes as a policy statement of the Commission; and
- (b) declares that the issuance of the policy statement shall take effect on June 9, 2023.

IN WITNESS WHEREOF this Instrument has been signed by the Chair of the Commission, being the member of the Commission prescribed by the Chair pursuant to subsection 15(3) of the Act to attend the hearing of this matter and the quorum with respect to this matter, on the 22nd day of March, 2023.



Paul E. Radford, K.C.
Chair

Attachments

**CHANGES TO
NOVA SCOTIA SECURITIES COMMISSION POLICY
51-601 REPORTING ISSUERS LIST**

1. Nova Scotia Securities Commission Policy 51-601 *Reporting Issuers List* is changed by this Document as follows:

- a. Section 5 is replaced with the following:

5. Filing Consideration For Reporting Issuers

5.1. A Nova Scotia reporting issuer may be noted in default if it fails to file a required document within the prescribed time period. National Instrument 13-103 *System for Electronic Document Analysis and Retrieval + (SEDAR+)* (NI 13-103) provides for the electronic transmission of documents to the NSSC for filing. As stated in Companion Policy 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* (13-103CP), we consider a document to be filed when the transmission of the document through SEDAR+ has been completed. A temporary hardship exemption is available under NI 13-103 to an issuer that encounters unanticipated technical difficulties when attempting to file through SEDAR+.

5.2. A Nova Scotia reporting issuer is responsible for creating and maintaining a current filer profile under NI 13-103 and a current issuer profile supplement under National Instrument 55-102 *System for Electronic Disclosure by Insiders (SEDI)* (NI 55-102). Nova Scotia reporting issuers should review the requirements for filing a filer profile under NI 13-103, an issuer profile supplement under NI 55-102 and any amendments to the filer profile or issuer profile supplement. Nova Scotia reporting issuers are reminded that the NSSC relies on the contact information in the issuer's SEDAR+ profile to serve any notices or orders issued under the Act.

2. This change becomes effective on June 9, 2023.