

**IN THE MATTER OF**  
**THE SECURITIES ACT, R.S.N.S. 1989, C. 418, AS AMENDED (the Act)**

**-AND-**

**IN THE MATTER OF**  
**CHICAGO MERCANTILE EXCHANGE INC.**

**ORDER**  
**(Section 151)**

**WHEREAS**

1. The Nova Scotia Securities Commission (the **Commission**) recognized the Chicago Mercantile Exchange Inc. (the **Applicant**) as a derivatives trade repository in Nova Scotia under section 30I of the Act by an order dated July 15, 2016 (the **2016 Recognition Order**).
2. As provided by section 4 of Schedule A to the 2016 Recognition Order (the **Terms and Conditions**), the Applicant operates a trade repository that enables participants that are local counterparties (**Local Participants**) to fulfil their reporting obligations under Multilateral Instrument 96-101 *Trade Repositories and Derivatives Data Reporting (MI 96-101)*.
3. Since the granting of the 2016 Recognition Order, and in accordance with section 6 of the Terms and Conditions, the Applicant has been accepting derivatives data, from Local Participants, in relation to specified derivatives that are required under MI 96-101 to be reported in the following asset classes: interest rate; commodity; credit; and foreign exchange (the **current asset classes**).
4. To continue to enable Local Participants to fulfil their reporting obligations under MI 96-101, the Applicant is seeking to add the equity asset class to the current asset classes and has applied to the Commission under section 151 of the Act to vary section 6 of the Terms and Conditions.
5. Terms defined in the Act, in National Instrument 14-101 *Definitions*, in Multilateral Instrument 91-101 *Derivatives: Product Determination* or in MI 96-101 have the same meaning in this order unless otherwise defined herein.
6. This order is based on the following representations made by the Applicant to the Commission:

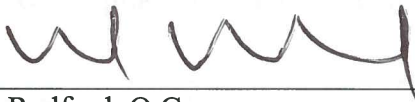
- (a) the Applicant will continue to carry on business as a derivatives trade repository in Nova Scotia;
- (b) the addition of the equity asset class to the current asset classes would allow the Applicant to accept derivatives data in relation to specified derivatives in the equity asset class and allow Local Participants to fulfil their reporting obligations under MI 96-101; and
- (c) the addition of the equity asset class to the current asset classes will not affect the Applicant's ability to comply with the Terms and Conditions.

AND UPON the Commission being of the opinion that the order sought by the Applicant would not be prejudicial to the public interest;

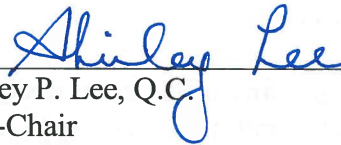
IT IS ORDERED, pursuant to section 151 of the Act, that section 6 of the Terms and Conditions is varied to read as follows:

The Applicant accept derivatives data in relation to specified derivatives that are required to be reported in the Local Jurisdiction in the following asset classes: interest rate; commodity; credit; equity; and foreign exchange.

DATED at Halifax, Nova Scotia this 7<sup>th</sup> day of June, 2019



\_\_\_\_\_  
Paul Radford, Q.C.  
Chair  
Nova Scotia Securities Commission



\_\_\_\_\_  
Shirley P. Lee, Q.C.  
Vice-Chair  
Nova Scotia Securities Commission