

NOVA SCOTIA SECURITIES COMMISSION

**RULE 11-509 (AMENDMENT)
AMENDMENTS TO THE
FEES SCHEDULES IN THE GENERAL SECURITIES RULES**

PART 1 – DEFINITIONS

- 1.1 “General Securities Rules”, as defined in Rule 14-501 Definitions, means the rules deemed by subsection 150A(9) of the Act to have been made pursuant to section 150 of the Act, as amended from time to time.

PART 2 – AMENDMENT TO APPENDIX A, SCHEDULE 1-FEES

- 2.1 Appendix A of the General Securities Rules is amended by deleting subsection 11(5) in Appendix A, Schedule 1 – Fees and replacing it with the following:
- (5) Any pro forma simplified prospectus or preliminary simplified prospectus shall be accompanied by a fee of \$1400.20 per issuer.
- 2.2 Appendix A of the General Securities Rules is amended by deleting subsection 11(6) in Appendix A, Schedule 1 – Fees and replacing it with the following:
- (6) Any pro forma simplified prospectus or preliminary simplified prospectus where Nova Scotia is the principal regulator shall be accompanied by a fee of \$1924.55 per issuer.

PART 3 - EFFECTIVE DATE

- 3.1 This rule shall take effect, with the approval and specification of the Minister under Subsection 150A(4) of the Act, on September 1, 2022 or on such other date as may be specified by the Minister, unless the Minister disapproves the rule or returns it to the Commission in accordance with subsection 150A(3) of the Act in which event the rule shall not be effective until the rule is approved by the Minister.

IN WITNESS WHEREOF this Instrument is made a rule by the signature of the Chair of the Commission, being the member of the Commission prescribed by the Chair pursuant to subsection 15(3) of the Act to attend the hearing of this matter and the quorum with respect to this matter, on the 3rd day of August, 2022.



Paul Radford, Q.C., Chair