

**Nova Scotia Securities Commission**

**Rule 13-101 (Amendment)**

***System for Electronic Document Analysis and Retrieval (SEDAR)***

**-and-**

**Consequential Amendments to Rule 13-102**

**-and-**

**Amendments to Multilateral Instrument 13-102 *System Fees for SEDAR and NRD*  
(the Rule Amendments)**

WHEREAS:

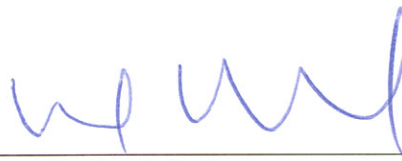
1. Pursuant to section 150 of the *Securities Act*, R.S.N.S. 1989, chapter 418, as amended (the Act), the Nova Scotia Securities Commission (the Commission) has power to make rules subject to compliance with the requirements of the Act;
2. The Rule Amendments, copies of which are attached hereto, have been made a rule by one or more of the Canadian securities regulatory authorities; and
3. The Commission is of the opinion that the attainment of the purpose of the Act is advanced by this Instrument.

NOW THEREFORE the Commission hereby:

- (a) pursuant to the authority contained in section 150 of the Act and subject to compliance with the requirements of section 150A of the Act, approves the Rule Amendments and makes the same a rule of the Commission; and
- (b) declares that the rule approved and made pursuant to clause (a) shall take effect on **May 24, 2016**, unless the Minister disapproves the rule or returns it to the Commission in accordance with subsection 150A(3) of the Act in which event the rule shall not be effective until the rule is approved by the Minister.

IN WITNESS WHEREOF this Instrument has been signed by the Vice-chair and Acting Chair of the Commission, being the member of the Commission prescribed by the Chair pursuant to subsection 15(3) of the Act to attend the hearing of this matter and the quorum with respect to this

matter, on the 3<sup>rd</sup> day of December, 2015.



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Paul Radford, Q.C.,  
Chair

Attachments

**Amendments to  
Multilateral Instrument 13-102 System Fees for SEDAR and NRD**

1. *Multilateral Instrument 13-102 System Fees for SEDAR and NRD is amended by this instrument.*

2. *The following section is added to Part 2 – SEDAR System Fees:*

4.1 System fees for filings that do not require a principal regulator – (1) A person or company making a filing of the type described in Column B of Appendix C, and of the category referred to in Column A of that Appendix, must pay the system fee specified in Column C of that Appendix. The system fee is payable to, and allocated among, the securities regulatory authorities with whom the filing is required under National Instrument 13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)*..

3. *Section 8 is amended by adding “4.1,” after “4,”.*

4. *The following appendix is added:*

*Appendix C – Other SEDAR System Fees  
(for filings that do not require a principal regulator)*

(Section 4.1)

<b>Item</b>	<b>Column A Category of Filing</b>	<b>Column B Type of Filing</b>	<b>Column C System Fee Payable</b>
1	Investment fund issuers/ exempt market offerings and disclosure	Report of Exempt Distribution	\$25.00
2	Other issuers/ exempt market offerings and disclosure	Report of Exempt Distribution	\$25.00

5. This Instrument comes into force on May 24, 2016.