

**IN THE MATTER OF THE SECURITIES ACT  
R.S.N.S. 1989, C. 418, AS AMENDED (the “Act”)**

**- and -**

**IN THE MATTER OF  
SELECT MONEY STRATEGIES INCORPORATED ( the “Respondent”)**

**AMENDED STATEMENT OF ALLEGATIONS  
OF  
STAFF OF THE NOVA SCOTIA SECURITIES COMMISSION ( the “Commission”)**

Staff of the Commission (“Staff”) make the following allegations:

1. The Respondent was incorporated in the Province of Nova Scotia on 27<sup>th</sup> day of February 1996 and has offices located at 3058 Oxford St, Halifax, Nova Scotia.
2. The Respondent was granted registration by the Commission as a mutual fund dealer on the 29<sup>th</sup> day of October 1996.
3. The Respondent was admitted to membership of the Mutual Fund Dealers Association of Canada (the “M.F.D.A.” ) on the 16<sup>th</sup> day of April 2003.
4. The Respondent was between the 17<sup>th</sup> day of November 2003 and the 30<sup>th</sup> day of January 2004 (the “relevant period” ) subject to the regulation of the Commission and the M.F.D.A..
5. The Respondent failed, during the relevant period, to maintain procedures required to maintain books and records necessary to record properly its business transactions and financial affairs and did thereby fail to comply with section 30(1) of the General Securities Rules.
6. The Respondent failed, during the relevant period, to establish procedures for dealing with its clients that enabled it to serve its clients adequately and did thereby fail to comply with section 31(1)(a)(ii) of the General Securities Rules.

DATED this 7<sup>th</sup> day of February, 2005.

“M. Lianne Bradshaw”

M. Lianne Bradshaw  
Investigator, Compliance and Enforcement  
Nova Scotia Securities Commission.