

Nova Scotia Securities Commission

Rule 31-501 (Revocation)

SRO Membership – Mutual Fund Dealers

WHEREAS:

1. Pursuant to section 150 of the Securities Act, R.S.N.S. 1989, chapter 418, as amended (the Act), the Nova Scotia Securities Commission (the Commission) has power to make rules subject to compliance with the requirements of the Act;
2. Rule 31-501 SRO Membership – Mutual Fund Dealers (the Rule) is to be revoked; and
3. The Commission is of the opinion that the attainment of the purpose of the Act is advanced by this Instrument.

NOW THEREFORE the Commission hereby:

- (a) pursuant to the authority contained in section 150 of the Act and subject to compliance with the requirements of section 150A of the Act revokes the Rule; and
- (b) declares that the rule approved and made pursuant to clause (a) shall take effect on **September 28, 2009**, unless the Minister disapproves the rule or returns it to the Commission in accordance with subsection 150A(3) of the Act in which event the rule shall not be effective until the rule is approved by the Minister.

IN WITNESS WHEREOF this Instrument has been signed by the Chair and Vice-Chair of the Commission, being the members of the Commission prescribed by the Chair pursuant to subsection 15(3) of the Act to attend the hearing of this matter and the quorum with respect to this matter, on the 15 day of July, 2009.

“H. Leslie O’Brien”
H. Leslie O’Brien, Q.C.

“R. Daren Baxter”
R. Daren Baxter