

Notice No. 14-701

NOVA SCOTIA SECURITIES COMMISSION  
STAFF NOTICE

IN THE MATTER OF THE SECURITIES ACT,  
R.S.N.S. 1989, CHAPTER 418, AS AMENDED (the “Act”)

-AND-

IN THE MATTER OF  
RULE 14-502, CIVIL LIABILITY FOR  
SECONDARY MARKET DISCLOSURE

WHEREAS:

1. On October 17, 2007, the Commission approved Rule 14-502, *Civil Liability for Secondary Market Disclosure* (the “Rule”) which is expressed to come into effect on November 15, 2007;
2. The Rule defines certain terms for the purposes of Sections 146A to 146N, which also come into effect on November 15, 2007 upon proclamation.

NOTICE is given by Staff of the Commission that November 15, 2007 is the effective date of the Rule and Sections 146A to 146N of the Act come into force on November 15, 2007 upon proclamation.

DATED at Halifax, Nova Scotia, this 16th day of November, 2007.

“Nicholas A. Pittas”

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Nicholas A. Pittas, Director