

IN THE MATTER OF THE SECURITIES ACT  
R.S.N.S. 1989, CHAPTER 418, AS AMENDED ("Act")

- AND -

IN THE MATTER OF  
GLOBEINVEST CAPITAL MANAGEMENT INC. ("Globeinvest")

**STATEMENT OF ALLEGATIONS**

Staff of the Nova Scotia Securities Commission ("Commission") makes the following allegations:

1. Globeinvest is registered in Nova Scotia in the category of Investment Counsel/Portfolio Manager.
2. Peter Anthony Brieger ("Brieger") is registered in Nova Scotia as an Investment Counsel Officer and a Portfolio Manager Officer. Brieger is also registered in Nova Scotia as the Chairman, Chief Executive Officer, Chief Financial Officer and Compliance Officer of Globeinvest.
3. On August 6, 2001 Brieger was contacted by an acquaintance, Chas Gunn of The Scots – The North British Society ("The Scots"), regarding managing investments for the Society.
4. Brieger began managing one of the portfolios of The Scots in 2001.
5. Brieger became registered as an adviser in Nova Scotia effective January 17, 2007.
6. From 2001 to 2007, Brieger acted as an advisor to The Scots without being registered to do so, thereby contravening s. 31(1)(c) of the Act.

DATED this 24<sup>th</sup> day of September 2007.



R. Scott Peacock  
Deputy Director, Compliance and Enforcement  
Nova Scotia Securities Commission